## Large deviations for the 3D dimer model

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## Plan for the talk

- Part I: set up.
- what the dimer model is in 2D or 3D
- what large deviation principle (LDP) for dimers would mean
- Part II: digression: LDP for dimers in 2D.
- this is just for context, since our methods in 3D are different.
- Part III: statements of LDP theorems in 3D.
- Part IV: simulations!
- Part V (time permitting): a few main ingredients and ideas for the proofs in 3D.

Everything in this talk is joint work with Nishant Chandgotia and Scott Sheffield (preprint on arxiv with the same title as the talk) [1].

Part I: set up

## What is the dimer model?

Dimers in 2D are dominoes, e.g. $1 \times 2$ or $2 \times 1$ blocks.
Dimers in 3D are bricks, e.g. $2 \times 1 \times 1$ or $1 \times 2 \times 1$ or $1 \times 1 \times 2$ blocks.
A dimer tiling of a region $R \subset \mathbb{Z}^{2}$ or $\mathbb{Z}^{3}$ is a collection of dimer tiles such that every square/cube is covered by exactly one tile.


What do the colors of dimers mean?

## What do the colors of dimers mean?

For any $d, \mathbb{Z}^{d}$ is a bipartite lattice, with underlying black and white checkerboard.


The colors of the dimers represent the cardinal direction of the dimer (north, south, east, west, up, down for $d=3$ ), viewed as a vector from its white cube to its black cube.

There is a correspondence between 1) a dimer tiling $\tau$ of $\mathbb{Z}^{d}$ and 2) a discrete vector field $v_{\tau}$ defined by: for each edge $e$ of $\mathbb{Z}^{d}$ oriented from white to black,

$$
v_{\tau}(e)= \begin{cases}1 & e \in \tau \\ 0 & e \notin \tau\end{cases}
$$

## Correspondence: dimer tilings and divergence free discrete vector fields

Observation: compute divergences of $v_{\tau}$.

$$
\operatorname{div} v_{\tau}(x)=\sum_{\substack{e \ni x \\ \text { oriented out of } x}} v_{\tau}(e)= \begin{cases}+1 & x \text { is white } \\ -1 & x \text { is black. }\end{cases}
$$

Upshot: divergences depend only on the parity of $x$.
Subtracting a constant reference flow $r(e)=1 /(2 d)$ for all $e \in \mathbb{Z}^{d}$, a dimer tiling $\tau$ corresponds to a divergence free discrete vector field $f_{\tau}$ which we call the tiling flow.

When $d=3$ this is

$$
f_{\tau}(e)= \begin{cases}1-1 / 6=5 / 6 & e \in \tau \\ -1 / 6 & e \notin \tau\end{cases}
$$

The tiling flow construction works in any dimension and plays a role analogous to the height function in 2D (more on this later).

The main intuition throughout this talk is to think of a dimer tiling as a flow.

## Set up for large deviations in 2D or 3D

Fix, for dimension $d=2$ or 3 :

- a "reasonable" compact region $R \subset \mathbb{R}^{d}$ and some boundary condition $b$ (boundary condition can be specified e.g. using the flow)
- a sequence of grid regions $R_{n} \subset \frac{1}{n} \mathbb{Z}^{d}$ approximating $R$ with the boundary conditions of $R_{n}$ converging to $b$ as $n \rightarrow \infty$.


Question: what do uniform random dimer tilings of $R_{n}$ look like in the fine-mesh limit as $n \rightarrow \infty$ ?

As a dimer tiling corresponds to a discrete divergence free flow on $\frac{1}{n} \mathbb{Z}^{d}$, the fine-mesh limit as $n \rightarrow \infty$ should be some measurable divergence free vector field.

Large deviations means quantifying: given a deterministic flow $g$, what is the probability that a tiling of $R_{n}$ is close to $g$ as $n \rightarrow \infty$ ? There is a limit shape if is there is one flow that random tilings concentrate on as $n \rightarrow \infty$.

## Ingredients of an LDP



In general, a large deviation principle (LDP) needs:

1. A sequence of probability measures $\left(\rho_{n}\right)_{n \geq 1}$ that the large deviation principle is about.
2. A topology (to say what the fine-mesh limits are, and to compare things)
3. A rate function $I(\cdot)$, where $I$ measures, for any fixed $\delta>0$,
" $\rho_{n}$ (tiling flow $f_{\tau}$ is within $\delta$ of deterministic flow $\left.g\right) \approx \exp \left(-n^{d} \cdot I(g)\right) "$
4. When the rate function $I(\cdot)$ has a unique minimizer and $\left(\rho_{n}\right)_{n \geq 1}$ satisfy an LDP, then the $\rho_{n}$-probability that a random tiling is close to minimizer goes to 1 as $n \rightarrow \infty$. The minimizer is called the limit shape.
5. Main step for proving that $I(\cdot)$ has a unique minimizer is usually to prove that $I(\cdot)$ is strictly convex.

## Part II: two dimensions (for context)

## Context: large deviations in 2D

In 2000, Cohn, Kenyon and Propp [2] proved an LDP for the 2D dimer tilings of simply connected compact regions $R \subset \mathbb{R}^{2}$ and showed that there is a limit shape.


Example: finer and finer aztec diamonds. The interface between the frozen/rough regions is exactly a circle in the limit.

To compare with 3D, will explain the measures $\left(\rho_{n}\right)_{n \geq 1}$, topology, and how to understand the rate function $I(\cdot)$.

## Dimers in 2D: LDP topology from height functions

In 2D, the divergence-free tiling flow $f_{\tau}$ can be upgraded, and we get a correspondence between 2D dimer tilings and Lipschitz functions called height functions (up to an additive constant).


Example of a height function of a tiling. From [10].
The LDP in 2D is in terms of height functions, i.e. two tilings are close if their height functions are close in the sup norm.

To get from tiling flow to height function: a div-free flow $f$ in 2D is dual (by rotating by $\pi / 2$ ) to a curl-free flow. The scalar potential of the curl-free dual of the tiling flow in 2D is the height function $h$, that is, $\nabla h$ is a rotation of $f_{\tau}$. In this sense the tiling flow $f_{\tau}$ is a natural generalization of $\nabla h$.

## Dimers in 2D: LDP measures $\rho_{n}$

The fine-mesh limits of height functions as $n \rightarrow \infty$ are called asymptotic height functions. These are 2-Lipschitz functions $h$ that satisfy

$$
\nabla h \in\{(x, y):|x|+|y| \leq 2\}=\diamond
$$

To define measures, start with: simply connected compact region $R \subset \mathbb{R}^{2}$ and boundary value $h_{b}$ on $\partial R$, which is an asymptotic height function restricted to $\partial R$.

Boundary conditions: suppose $\tau_{1}, \tau_{2}$ are dimer tilings with height functions $h_{1}, h_{2}$.
$\tau_{1}, \tau_{2}$ tilings of same region $R_{n} \subset \mathbb{Z}^{2} \Longleftrightarrow h_{1}, h_{2}$ have $\left.h_{1}\right|_{\partial R_{n}}=\left.h_{2}\right|_{\partial R_{n}}$.
I.e., choosing a sequence of regions $R_{n} \subset \frac{1}{n} \mathbb{Z}^{2}$ is equivalent to choosing a sequence of boundary height functions $h_{n}$.
We choose regions $R_{n} \subset \frac{1}{n} \mathbb{Z}^{2}$ so that the boundary conditions $h_{n}$ converge to $h_{b}$ as $n \rightarrow \infty$ and let $\rho_{n}$ be the uniform measure on dimer tilings of $R_{n}$.

## Dimers in 2D: LDP rate function and exact computations

If $h$ is an asymptotic height function with $\left.h\right|_{\partial R}=h_{b}$, the measures $\rho_{n}$ satisfy an LDP with rate function I of the form

$$
I(h)=C-E n t_{2}(\nabla h)
$$

where

$$
\operatorname{Ent}_{2}(h)=\frac{1}{\operatorname{area}(R)} \int_{R} \operatorname{ent}_{2}(\nabla h(x)) d x
$$

Note that I has a unique minimizer if $E n t_{2}$ has a unique maximizer.
The function ent $2_{2}$ can be computed explicitly, and this is the main tool in 2D for showing strict convexity and proving that I has a unique minimizer given boundary conditions.

This uses linear algebra methods called Kasteleyn theory. The formula is

$$
\operatorname{ent}_{2}\left(s_{1}, s_{2}\right)=\sum_{i=1}^{4} L\left(\pi p_{i}\right)
$$

where $p_{i}$ are determined by $\left(s_{1}, s_{2}\right)$ with the equations $p_{1}+p_{2}+p_{3}+p_{4}=1$, $s_{1}=2\left(p_{1}-p_{2}\right), s_{2}=2\left(p_{3}-p_{4}\right)$, and $\sin \left(\pi p_{1}\right) \sin \left(\pi p_{2}\right)=\sin \left(\pi p_{3}\right) \sin \left(\pi p_{4}\right)$ and $L(z)=\int_{0}^{z} \log |2 \sin t| d t$ is the Lobachevsky function.

## Part III: moving to three dimensions

## LDP and limit shape in 3D?

Yes! Simulation of random tiling:


What has changed from 2D to 3D: 1) there is no height function correspondence, so we need a new topology and 2) there are no (known) exact formulas in 3D for the rate function, so need a new way to study this, for example to prove strict convexity.

- Measures $\rho_{n}$;
- Topology for comparing tilings;
- Rate function $I$.


## Topology using tiling flows

We use tiling flows instead of height functions to define the topology for the LDP in 3D.

Recall that any dimension $d$, there is a correspondence

$$
\left\{\text { dimer tilings } \tau \text { of } \mathbb{Z}^{d}\right\} \quad \Longleftrightarrow \quad\left\{\text { div free discrete flows } f_{\tau}\right\}
$$

The corresponding flow is called a tiling flow.
When $d=3$, for each edge $e$ of $\mathbb{Z}^{3}$ oriented from black to white,

$$
f_{\tau}(e)= \begin{cases}1-1 / 6=5 / 6 & e \in \tau \\ -1 / 6 & e \notin \tau\end{cases}
$$

Topology on flows: induced by metric $d_{w}$ on flows is a version of Wasserstein distance.

Two flows are close if we to transform one flow into another with low "cost" where "cost" is the minimum sum of 1 ) amount of flow moved times distance moved, 2) flow added, 3) flow deleted to transform one flow into the other.

## Fine-mesh limits

The fine-mesh limits of tiling flows in this topology are measurable divergence-free vector fields $g$ that we call asymptotic flows valued in the mean-current octahedron

$$
\mathcal{O}=\left\{s=\left(s_{1}, s_{2}, s_{3}\right):\left|s_{1}\right|+\left|s_{2}\right|+\left|s_{3}\right| \leq 1\right\} .
$$

A element $s \in \mathcal{O}$ is called a mean current.
Motivating the name mean current: suppose $\mu$ is a measure on dimer tilings which is invariant under translations by even integers (these are the translations which preserve the colors of dimers/directions of flow).

Then we can compute the expected flow through the origin (equivalently, expected flow through any white vertex) as

$$
s_{i}=\mu\left(e_{i} \in \tau\right)-\mu\left(-e_{i} \in \tau\right) \quad i=1,2,3,
$$

where $e_{1}$ (resp. $-e_{1}$ ) is the edge connecting the origin to $(1,0,0)$ (resp. $(-1,0,0)$ ).

## Hard vs. soft boundary conditions: ways to define $\rho_{n}$

Like with height functions, $\tau_{1}, \tau_{2}$ are tilings of the same region $R_{n} \subset \mathbb{Z}^{3}$ if and only if their tiling flows have the same boundary values (i.e., same flow of vector field through boundary).

Fix a nice region $R \subset \mathbb{R}^{3}$ (compact, closure of a domain, $\partial R$ piecewise smooth) and $b$ a boundary value on $\partial R$.


Hard boundary (HB): fix a sequence of regions $R_{n} \subset \frac{1}{n} \mathbb{Z}^{3}$ with boundary values $b_{n}$ approximating $b$ and let $\bar{\rho}_{n}$ be uniform measure on dimer tilings of $R_{n}$.

Soft boundary (SB): choose a sequence of "thresholds" $\left(\theta_{n}\right)_{n \geq 0}$ with $\theta_{n} \rightarrow 0$ slowly enough and let $\rho_{n}$ be uniform measure on free-boundary tilings of $R \cap \frac{1}{n} \mathbb{Z}^{3}$ with boundary values within $\theta_{n}$ of $b$.

The soft boundary measures $\rho_{n}$ will satisfy an LDP for a slightly larger class of regions that the hard boundary ones $\bar{\rho}_{n}$.

## LDP rate function

For either $\left(\rho_{n}\right)_{n \geq 1}$ (soft boundary) or $\left(\bar{\rho}_{n}\right)$ (hard boundary), the rate function when an LDP holds is

$$
I_{b}(g)=C-E n t_{3}(g),
$$

Like 2D, the entropy functional Ents is an average of a local entropy function ent ${ }_{3}$ :

$$
\operatorname{Ent}_{3}(g)=\frac{1}{\operatorname{Vol}(R)} \int_{R} \operatorname{ent}_{3}(g(x)) d x
$$

The local entropy function ent $=$ ent $_{3}: \mathcal{O} \rightarrow[0, \infty)$ is defined more abstractly as:

$$
\operatorname{ent}(s)=\max _{\mu \in \mathcal{P}^{s}} h(\mu)
$$

where $\mathcal{P}^{s}$ are measures on tilings of $\mathbb{Z}^{3}$ invariant under even translations with mean current $s=\left(s_{1}, s_{2}, s_{3}\right) \in \mathcal{O}$, i.e. $s$ is the expected flow through the origin in a tiling sampled from $\mu$, and $h(\cdot)$ is specific entropy, defined as the limit of Shannon entropy per site.
$h(\mu):=-\lim _{n \rightarrow \infty}\left|\Lambda_{n}\right|^{-1} \sum_{\tau \in \Omega\left(\Lambda_{n}\right)} \mu\left(\left\{\sigma \in \Omega:\left.\sigma\right|_{\Lambda_{n}}=\tau\right\}\right) \log \mu\left(\left\{\sigma \in \Omega:\left.\sigma\right|_{\Lambda_{n}}=\tau\right\}\right)$.
where $\Lambda_{n}=[-n, n]^{3}, \Omega$ is tilings of $\mathbb{Z}^{3}, \Omega(\Lambda)$ is tilings restricted to $\Lambda$.

## Dictionary between 2D LDP and 3D soft boundary LDP set ups

|  | 2D | 3D |
| :--- | :---: | :---: |
| compact region $R$ that <br> is... | simply connected [2], <br> multiply connected [7] | closure of connected <br> domain, $\partial R$ piecewise <br> smooth |
| object associated to <br> tiling $\tau$ | height function $h$ | tiling flow $f_{\tau}$ |
| topology (to compare <br> tilings) | sup norm on height <br> functions | Wasserstein metric $d_{w}$ <br> on tiling flows |
| limits of discrete <br> objects | asymptotic height <br> functions: 2-Lipschitz <br> functions | asymptotic flows: <br> div-free meas. vector <br> fields valued in $\mathcal{O}$ |
| rate function | $C_{2}-$ Ent $_{2}(\nabla h)$ | $C_{3}-$ Ent $_{3}\left(f_{\tau}\right)$ |



## Main theorems in 3D summarized

Recall that $\mathcal{O}$ is the mean current octahedron, let $\mathcal{E}$ be the edges of $\partial \mathcal{O}$. We say a region/ boundary value pair $(R, b)$ is

- flexible if for every $x \in R$, there exists a neighborhood $U \ni x$ and an extension $g$ of $b$ such that $g(U) \subset \operatorname{Int}(\mathcal{O})$.
- semi-flexible if for every $x \in R$, there exists a neighborhood $U \ni x$ and an extension $g$ of $b$ such that $g(U) \subset \mathcal{O} \backslash \mathcal{E}$.
- Otherwise $(R, b)$ is rigid.

Theorems (Chandgotia, Sheffield, W.) Assume that $R \subset \mathbb{R}^{3}$ is the closure of a connected domain and $\partial R$ is piecewise smooth.

| $(R, b)$ | $\operatorname{SB} \operatorname{LDP}\left(\rho_{n}\right)$ | Ent maximizer $/ I_{b}$ minimizer unique | $\operatorname{HB} \operatorname{LDP}\left(\bar{\rho}_{n}\right)$ |
| :---: | :---: | :---: | :---: |
| rigid | yes | not known | no |
| semi-flexible | yes | yes | no |
| flexible | yes | yes | yes |

The hard boundary LDP is provably not true in full generality in 3D; there exists $(R, b)$ semi-flexible where the HB LDP is false.

For $(R, b)$ rigid, we can prove weak uniqueness. Namely, if $f_{1}, f_{2}$ are both Ent maximizers, then on the set $A$ where they differ they are both valued in $\mathcal{E}$.

## Part IV: simulations

## Simulations: aztechedron and slices



## Simulations: pyramid and slices



## Part V: proofs?

## Proofs?



Will say a bit about 1) patching, 2) how to understand the rate function, in particular strict concavity of ent, and 3) measures with boundary mean current (since this is something where 3D is different from 2D).

## Patching: why this is important

Patching result: if two tilings $\tau_{1}, \tau_{2}$ have "asymptotically the same" mean current $s \in \operatorname{lnt}(\mathcal{O})$, then you can cut out a piece of one tiling and "patch it in" to the other.


This is some sort of "locality" property that says that the mean current s is sufficient information to be able to stitch two tilings together, as long as $s \in \operatorname{Int}(\mathcal{O})$.

In 2D [2], patching can be proved using Lipschitz extension theory, but those techniques don't work for us.

Note: the patching theorem is false if the tilings approximate a constant flow with a value $s \in \partial \mathcal{O}$ instead of $s \in \operatorname{lnt}(\mathcal{O})$.

## Patching

Let $B_{n}=[-n, n]^{3}$ and fix $\delta>0$. Given two tilings $\tau_{1}, \tau_{2}$ of $\mathbb{Z}^{3}$, when can we "patch together" $\tau_{1}$ outside $B_{n}$ to $\tau_{2}$ inside $B_{(1-\delta) n}$ by tiling the annulus $A_{n}=B_{n} \backslash B_{(1-\delta) n}$ between them?


In other words, under what conditions is an annular region like the one above exactly tileable by dimers?

## Hall's matching theorem

Necessary condition: a dimer contains 1 black cube and 1 white cube, so the region $R$ needs to have white $(R)=\operatorname{black}(R)$. We call this balanced.

Hall's matching theorem [5] gives a necessary and sufficient condition:
Theorem. A balanced region $R \subset \mathbb{Z}^{3}$ is tileable by dimers if and only if there is no counterexample set $U \subset R$, i.e. no set of cubes which has white $(U)>\operatorname{black}(U)$, despite having only black cubes along its boundary within $R$.


To prove the patching theorem, we show that there are no counterexamples to tileability of $A_{n}$ when $n$ is large enough and apply Hall's matching theorem.

## Remark: generalized patching and HB LDP

To prove the HB LDP, we use a generalized patching theorem, that says we can patch two tilings close to the same asymptotic flow $g$ extending $b$.


Analogous to the condition that $s \in \operatorname{Int}(\mathcal{O})$ for the regular patching theorem, for generalized patching we need $g$ to be valued $\operatorname{in} \operatorname{Int}(\mathcal{O})$ (at least on any compact set $D \subset R$ ).

This is why we need $(R, b)$ to be flexible for HB LDP to hold.

## Understanding the rate function $I_{b}$

Unlike in 2D, we do not have a formula for ent(s) in $\operatorname{Int}(\mathcal{O})$. (We do have a formula on $\partial \mathcal{O}$ though-I will get to this in a minute time permitting!)

To prove that the rate function

$$
I_{b}(g)=C-\operatorname{Ent}(g)=C-\frac{1}{\operatorname{Vol}(R)} \int_{R} \operatorname{ent}(g(x)) \mathrm{d} x
$$

has a unique minimizer, one of the important steps is to show that ent(s) is strictly concave on $\mathcal{O} \backslash \mathcal{E}$, where $\mathcal{E}$ is the edges of $\mathcal{O}$.

Like in 2 D , ent $\left.\right|_{\mathcal{E}} \equiv 0$, so it is not strictly concave on $\mathcal{E}$. (This is why we need the semi-flexible condition to prove that the Ent maximizer is unique.)

Without a formula for ent we need "soft arguments" for strict concavity. The main idea, for $s \in \operatorname{lnt}(\mathcal{O})$, is a method called chain swapping.

## Gibbs measures and entropy

Before explaining chain swapping, want to explain some background about Gibbs measures.

A measure $\mu$ is Gibbs if for any finite region $B, \mu$ conditional on a tiling $\sigma$ of $\mathbb{Z}^{3} \backslash B$ is uniform on tilings $\tau$ of $B$ extending $\sigma$.
Gibbs measures have a special relationship with entropy.

- Classical result [8]: specific entropy $h(\cdot)$ is maximized by Gibbs measure.
- Straightforward to extend this to say that

$$
\operatorname{ent}(s)=\max _{\mu \in \mathcal{P}^{\mathrm{s}}} h(\mu)
$$

is realized by a Gibbs measure of mean current s.

- As a corollary of the patching theorem: if $\mu_{1}, \mu_{2}$ are ergodic Gibbs measures (EGMs) of the same mean current $s \in \operatorname{Int}(\mathcal{O})$, then $h\left(\mu_{1}\right)=h\left(\mu_{2}\right)$.

Idea with chain swapping: uses two measures $\mu_{1}, \mu_{2}$ of mean currents $s_{1}, s_{2}$ to construct new two measures with mean currents $\left(s_{1}+s_{2}\right) / 2$ and the same total entropy, but then show that this breaks the Gibbs property.

## Chain swapping and ent(s) for $s \in \operatorname{Int}(\mathcal{O})$

Let $\mu=\left(\mu_{1}, \mu_{2}\right)$ be a measure on pairs of dimer tilings which is invariant under even translations, sample $\left(\tau_{1}, \tau_{2}\right)$ from $\mu$. The union $\tau_{1} \cup \tau_{2}$ is a collection of double tiles, finite loops, and infinite paths.


Chain swapping: for each infinite path "of nonzero slope" $\ell \subset\left(\tau_{1}, \tau_{2}\right)$, with independent probability $1 / 2$ we swap the tiles from $\tau_{1}, \tau_{2}$ to construct a new pair of tilings $\left(\tau_{1}^{\prime}, \tau_{2}^{\prime}\right)$. This defines a new swapped measure $\mu^{\prime}=\left(\mu_{1}^{\prime}, \mu_{2}^{\prime}\right)$.

## Chain swapping to prove strict concavity on $\operatorname{Int}(\mathcal{O})$

Suppose $\mu$ is an erogdic coupling of ergodic measures $\mu_{1}, \mu_{2}$ on dimer tilings, with mean currents $s\left(\mu_{1}\right) \neq s\left(\mu_{2}\right)$. Let $\mu^{\prime}$ be the swapped measure, with marginals $\mu_{1}^{\prime}, \mu_{2}^{\prime}$. Chain swapping...

- Preserves ergodicity: $\mu^{\prime}$ and hence $\mu_{1}^{\prime}, \mu_{2}^{\prime}$ are ergodic.
- Preserves total entropy: $h\left(\mu_{1}\right)+h\left(\mu_{2}\right)=h(\mu)=h\left(\mu^{\prime}\right)=h\left(\mu_{1}^{\prime}\right)+h\left(\mu_{2}^{\prime}\right)$.
- Preserves but redistributes mean current: for $i=1,2$,

$$
s\left(\mu_{i}^{\prime}\right)=\frac{s\left(\mu_{1}\right)+s\left(\mu_{2}\right)}{2}
$$

- BREAKS the Gibbs property: if $\mu_{1}, \mu_{2}$ are Gibbs, then $\mu_{1}^{\prime}, \mu_{2}^{\prime}$ are not Gibbs. proof* of strict concavity for $s \in \operatorname{Int}(\mathcal{O})$ : Given mean currents $s_{1} \neq s_{2}$, $\frac{s_{1}+s_{2}}{2} \in \operatorname{Int}(\mathcal{O})$, let $\mu_{1}, \mu_{2}$ Gibbs be such that ent $\left(s_{i}\right)=h\left(\mu_{i}\right)$. If $\mu_{1}, \mu_{2}$ are ergodic Gibbs measures, then by chain swapping

$$
2 e n t\left(\frac{s_{1}+s_{2}}{2}\right)>h\left(\mu_{1}^{\prime}\right)+h\left(\mu_{2}^{\prime}\right)=h\left(\mu_{1}\right)+h\left(\mu_{2}\right)=\operatorname{ent}\left(s_{1}\right)+\operatorname{ent}\left(s_{2}\right)
$$

*full proof uses case work based on ergodic decompositions (we don't yet know that EGMs of every mean current exist), but this is the main idea.

## Measures with boundary mean current (i.e. $s \in \partial \mathcal{O}$ )

Both patching and the "proof" of strict concavity need $s \in \operatorname{Int}(\mathcal{O})$. What is happening for $s \in \partial \mathcal{O}$ ?

Moral: measures with boundary mean current in dimension $d$ have a constraint, so they behave like measures of arbitrary mean current on a $(d-1)$ dimensional lattice.
In 2D, we see something trivial on $\partial \mathcal{O}_{2}$ since it reduces to a 1-dimensional problem, and indeed ent $\left.\right|_{\partial \mathcal{O}_{2}} \equiv 0$.

In 3D, it reduces to a non-trivial 2-dimensional problem.

## Measures with boundary mean current (i.e. $s \in \partial \mathcal{O}$ )

If $\mu$ is a measure of mean current $s \in \partial \mathcal{O}$, then $\mu$ a.s. samples only three orthogonal kinds of tiles (wlog north, east, and up).

A tiling with only these three types of tiles in 3D corresponds to a sequence of tilings on 2D slabs,

$$
L_{c}=\left\{\left(x_{1}, x_{2}, x_{3}\right): x_{1}+x_{2}+x_{3}=2 c \text { or } 2 c+1\right\} .
$$



Theorem. Each slab $L_{c}$ is a copy of the hexagonal lattice. If $\tau$ is a tiling of $\mathbb{Z}^{3}$ with mean current $\left(s_{1}, s_{2}, s_{3}\right) \in \partial \mathcal{O}$, then $\tau \mid L_{c}$ is a lozenge tiling with tile densities $\left(s_{1}, s_{2}, s_{3}\right)$.

## Measures with boundary mean current (i.e. $s \in \partial \mathcal{O}$ )

An EGM $\mu$ with boundary mean current s corresponds to a sequence of marginal measures $\left(\rho_{c}\right)_{c \in \mathbb{Z}}$ on each slab $L_{c}$, where $s\left(\rho_{c}\right)$ averages to $s$. Maximum entropy sequence: $\left(\rho_{c}\right)_{c \in \mathbb{Z}}$ i.i.d. copies of the unique EGM on lozenge tilings of slope s.

Theorem. For any face $\mathcal{F} \subset \partial \mathcal{O}$, for $s=\left(s_{1}, s_{2}, s_{3}\right) \in \mathcal{F}$,

$$
\operatorname{ent}_{3}\left(s_{1}, s_{2}, s_{3}\right)=\operatorname{ent}_{\text {loz }}\left(\left|s_{1}\right|,\left|s_{2}\right|,\left|s_{3}\right|\right)=L\left(\pi\left|s_{1}\right|\right)+L\left(\pi\left|s_{2}\right|\right)+L\left(\pi\left|s_{3}\right|\right)
$$

where $L(z)=\int_{0}^{z} \log |2 \sin t| d t$.
In 2D, $\mathcal{O}_{2}=\diamond$ and $\operatorname{ent}_{2}(s)=0$ for all $s \in \partial \mathcal{O}_{2}$ because this becomes the 1-dimensional problem of tiling strips.


## Thank you for listening!!



Various open questions...

- Is there is a unique EGM of mean current $s$ for all $s \in \operatorname{lnt}(\mathcal{O})$ ?
- What can be said about the interfaces between frozen and liquid regions in the limit shapes? How big should the fluctuations be?
- Do there exist regions $R \subset \mathbb{R}^{3}$ (with $\partial R$ piecewise smooth) and boundary conditions $b$ where $(R, b)$ has more than one Ent maximizer?
- Now we know a limit shape exists. Are there soft arguments, for example, for the existence of frozen regions in the limit shape?
- and more...


## Open question: local moves and mixing times?

Any two dimer tilings of a simply connected region $D \subset \mathbb{R}^{2}$ are connected by a finite sequence of flips.


Random tilings in 2D can be simulated by Glauber dynamics with flips. Flip connectedness fails in 3D. Lots of interesting work on this, e.g. [9, 3, 4, 6].


Open question: are flips and trits enough to connect any two tilings of a $M \times N \times L$ box, for $M, N, L>2$ ?

Our simulations are generated a Markov chain that constructs random loops in a tiling $\tau$ : 1) choose a random point, 2) follow alternating sequence of tiles/random directions until it forms a lasso, 3) "shift" tiles on loop part. Open question: what is the mixing time of this loop shift Markov chain?

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