

LEGENDRIAN

AND

-TRANSVERSE

INVARIANTS

IN

HEEGHARD FLOER

HOMOLOGY

VERA VÉRTESI (MIT-IAS)

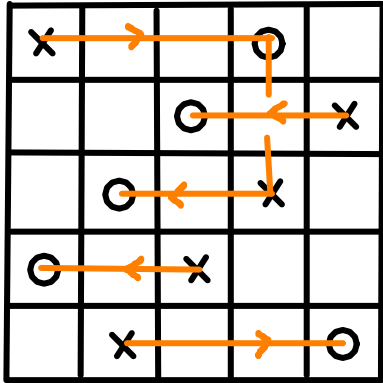
10/4/2011

GRID DIAGRAMS FOR KNOTS

x			o	
		o		x
	o		x	
o		x		
	x			o

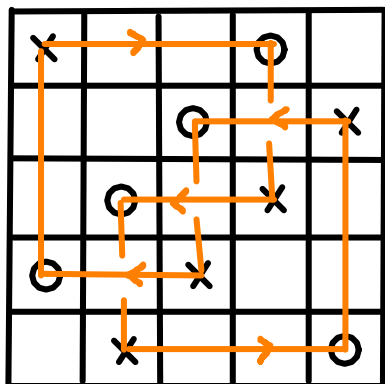
- every row/column contains one "X" and one "O"

GRID DIAGRAMS FOR KNOTS



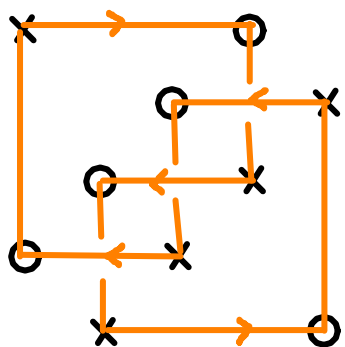
- every row/column contains one "X" and one "O"
- connect "X" to "O" horizontally

GRID DIAGRAMS FOR KNOTS



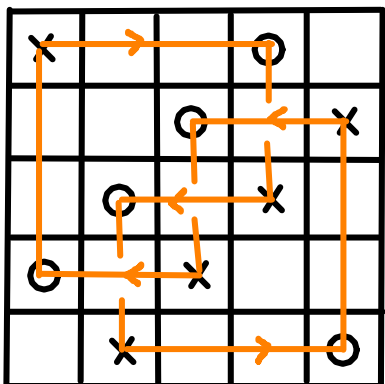
- every row/column contains one "X" and one "O"
- connect "X" to "O" horizontally
- connect "O" to "X" vertically
- vertical strands are OVER the horizontal strands

GRID DIAGRAMS FOR KNOTS



- every row/column contains one "X" and one "O"
 - connect "X" to "O" horizontally
 - connect "O" to "X" vertically
 - vertical strands are OVER the horizontal strands
- \rightsquigarrow knot (or link) in \mathbb{R}^3

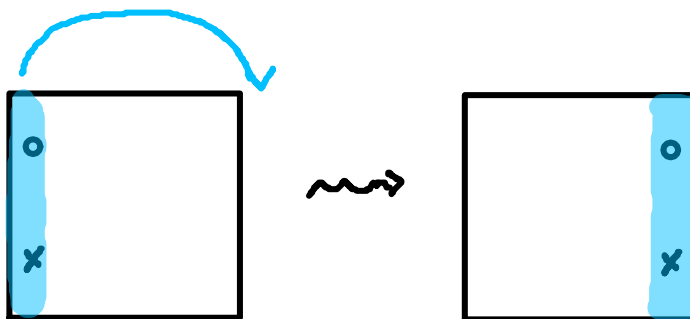
GRID DIAGRAMS FOR KNOTS



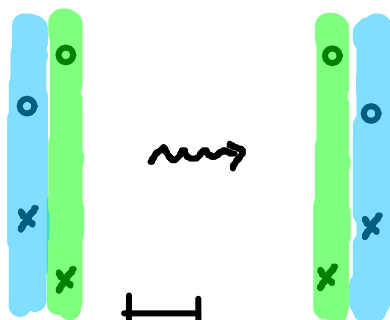
- every row/column contains one "X" and one "O"
 - connect "X" to "O" horizontally
 - connect "O" to "X" vertically
 - vertical strands are OVER the horizontal strands
- knot (or link) in \mathbb{R}^3

grid moves:

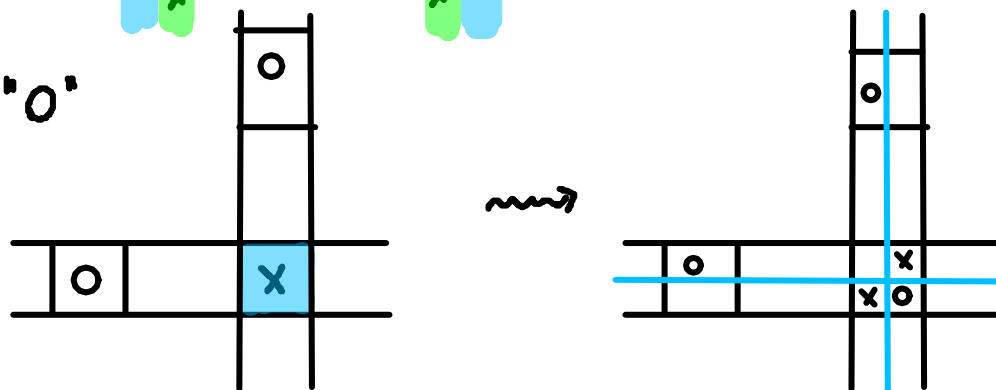
- cyclic permutation of columns and rows



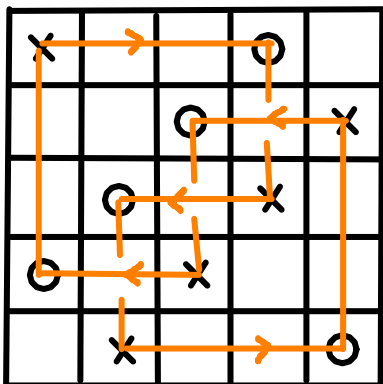
- commutation of columns and rows whose "X"s and "O"s do not overlap



- stabilization of an "X" or an "O"



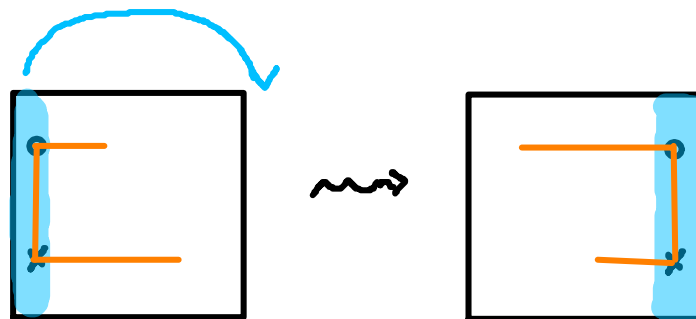
GRID DIAGRAMS FOR KNOTS



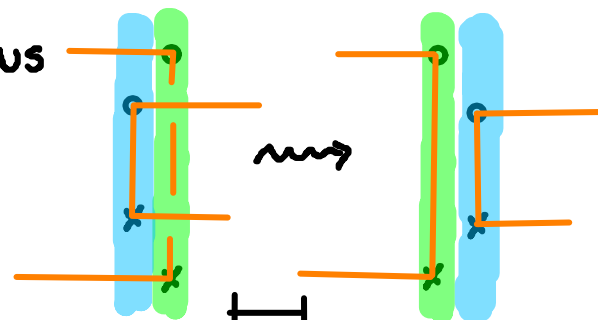
- every row/column contains one "X" and one "O"
 - connect "X" to "O" horizontally
 - connect "O" to "X" vertically
 - vertical strands are OVER the horizontal strands
- ↪ knot (or link) in \mathbb{R}^3

grid moves:

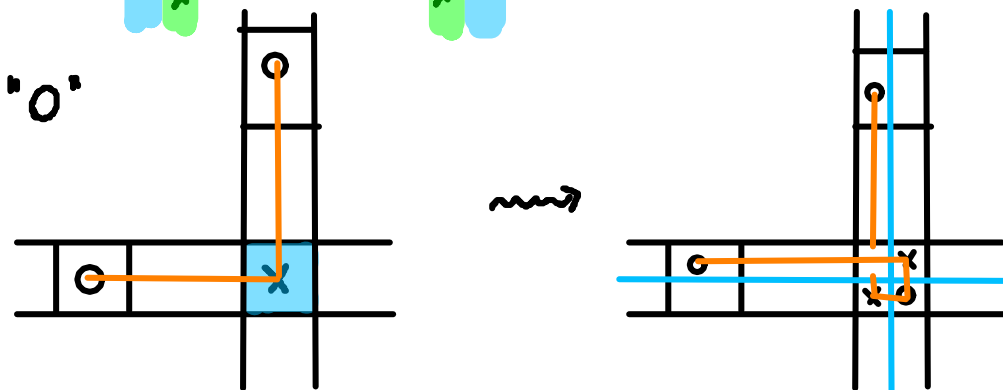
- cyclic permutation of columns and rows



- commutation of columns and rows whose "X"s and "O"s do not overlap

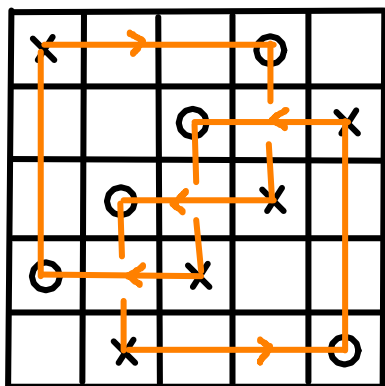


- stabilization of an "X" or an "O"



these moves give isotopic knots

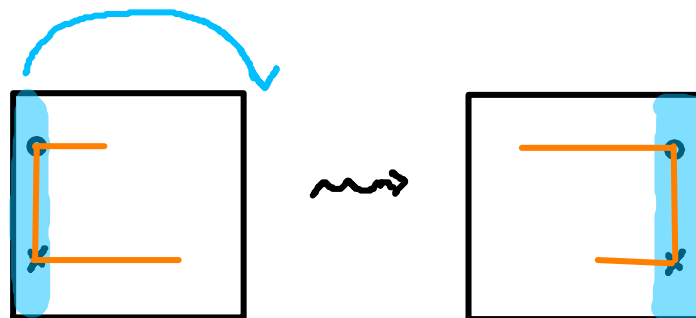
GRID DIAGRAMS FOR KNOTS



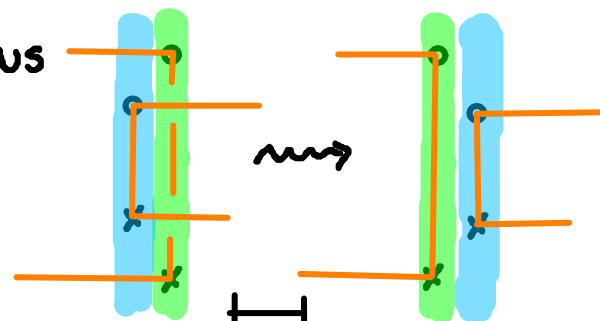
- every row/column contains one "X" and one "O"
 - connect "X" to "O" horizontally
 - connect "O" to "X" vertically
 - vertical strands are OVER the horizontal strands
- ↪ knot (or link) in \mathbb{R}^3

grid moves:

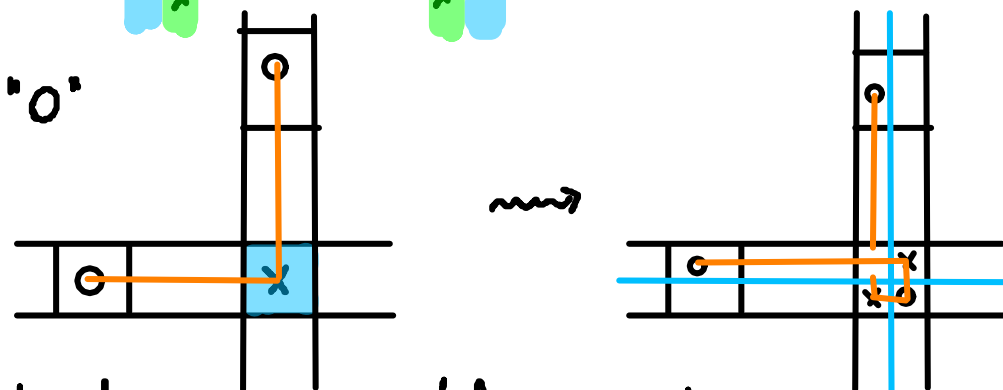
- cyclic permutation of columns and rows



- commutation of columns and rows whose "X"s and "O"s do not overlap



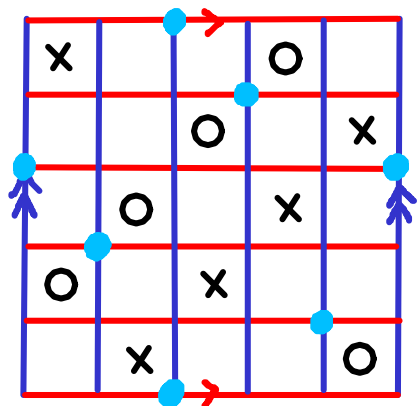
- stabilization of an "X" or an "O"



Thm (Cromwell, Dynnikov) two grid diagrams define isotopic knots if they are related by a sequence of grid moves.

KNOT FLOER HOMOLOGY

Strategy: define a homology for any grid diagram
then prove it is independent of grid moves



- identify the left and right, and top and bottom of the grid

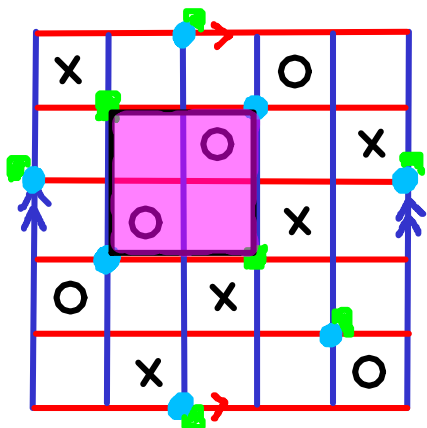
generators: N -tuples of intersection points such that

- every horizontal line contains 1 point
- every vertical line contains 1 point

$$\widehat{CFK}(G) = \bigoplus \widehat{CFK}_M(G)$$

KNOT FLOER HOMOLOGY

Strategy: define a homology for any grid diagram
then prove it is independent of grid moves



- identify the left and right, and top and bottom of the grid

generators: N -tuples of intersection points such that

- every horizontal line contains 1 point
- every vertical line contains 1 point

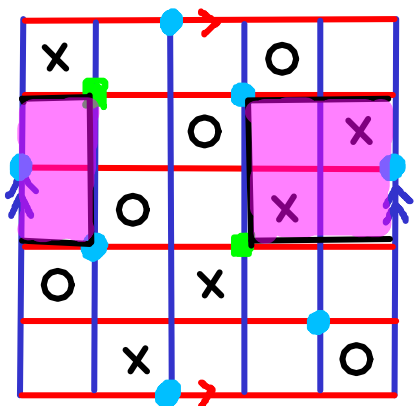
$$\widehat{CFK}(G) = \bigoplus \widehat{CFK}_M(G)$$

differential: $\hat{\partial} \cdot \widehat{CFK}(G) = \bigoplus \widehat{CFK}_M(G) \hookrightarrow^{-1}$ given by empty rectangles:

- \bullet and \blacksquare differs in exactly 2 coordinates
- they span 4 rectangles on the torus

KNOT FLOER HOMOLOGY

Strategy: define a homology for any grid diagram
then prove it is independent of grid moves



- identify the left and right, and top and bottom of the grid

generators: N -tuples of intersection points such that

- every horizontal line contains 1 point
- every vertical line contains 1 point

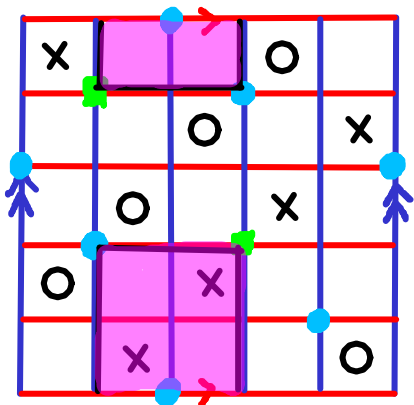
$$\widehat{CFK}(G) = \bigoplus \widehat{CFK}_M(G)$$

differential: $\hat{\partial} : \widehat{CFK}(G) = \bigoplus \widehat{CFK}_M(G) \rightarrow -1$ given by empty rectangles:

- \bullet and \blacksquare differs in exactly 2 coordinates
- they span 4 rectangles on the torus

KNOT FLOER HOMOLOGY

Strategy: define a homology for any grid diagram
then prove it is independent of grid moves



- identify the left and right, and top and bottom of the grid

generators: N -tuples of intersection points such that

- every horizontal line contains 1 point
- every vertical line contains 1 point

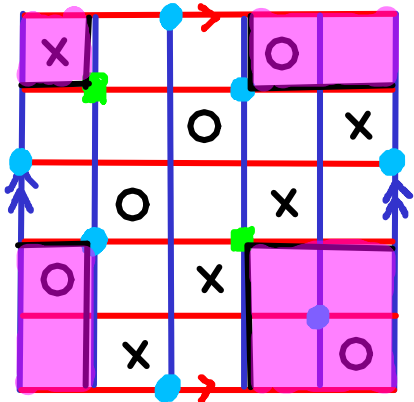
$$\widehat{CFK}(G) = \bigoplus \widehat{CFK}_M(G)$$

differential: $\hat{\partial} \cdot \widehat{CFK}(G) = \bigoplus \widehat{CFK}_M(G) \hookrightarrow^{-1}$ given by empty rectangles:

- \bullet and \blacksquare differs in exactly 2 coordinates
- they span 4 rectangles on the torus

KNOT FLOER HOMOLOGY

Strategy: define a homology for any grid diagram
then prove it is independent of grid moves



- identify the left and right, and top and bottom of the grid

generators: N -tuples of intersection points such that

- every horizontal line contains 1 point
- every vertical line contains 1 point

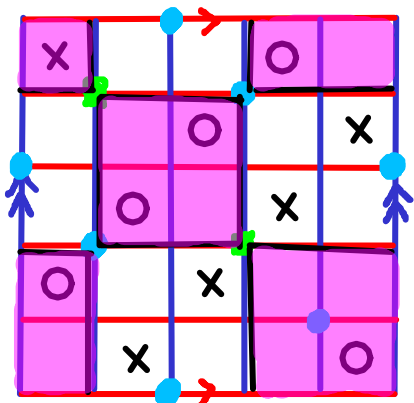
$$\widehat{CFK}(G) = \bigoplus \widehat{CFK}_H(G)$$

differential: $\partial: \widehat{CFK}(G) = \bigoplus \widehat{CFK}_H(G) \rightarrow \widehat{CFK}(G)$ given by empty rectangles:

- \bullet and \blacksquare differs in exactly 2 coordinates
- they span 4 rectangles on the torus

KNOT FLOER HOMOLOGY

Strategy: define a homology for any grid diagram
then prove it is independent of grid moves



- identify the left and right, and top and bottom of the grid

generators: N -tuples of intersection points such that

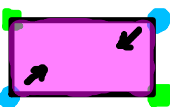
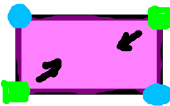
- every horizontal line contains 1 point
- every vertical line contains 1 point

$$\widehat{CFK}(G) = \bigoplus \widehat{CFK}_M(G)$$

differential: $\hat{\partial} : \widehat{CFK}(G) \rightarrow \widehat{CFK}_M(G) \otimes^{-1}$ given by empty rectangles:

- \bullet and \blacksquare differs in exactly 2 coordinates

- they span 4 rectangles on the torus

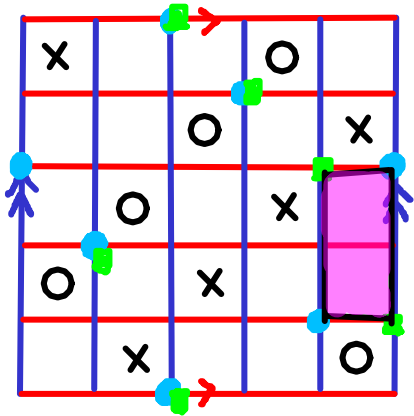
- 2 of which goes from \bullet to \blacksquare :  the other 2 from \blacksquare to \bullet : 

- a rectangle is empty if there is no "X", "O", other point $\bullet = \blacksquare$ in its interior

$$\hat{\partial}_x = \sum_{\exists \text{ empty rectangle } z \rightarrow y} 4$$

KNOT FLOER HOMOLOGY

Strategy: define a homology for any grid diagram
then prove it is independent of grid moves



- identify the left and right, and top and bottom of the grid

generators: N -tuples of intersection points such that

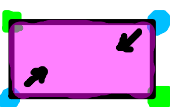

- every horizontal line contains 1 point
- every vertical line contains 1 point

$$\widehat{CFK}(G) = \bigoplus \widehat{CFK}_M(G)$$

differential: $\hat{\partial} : \widehat{CFK}(G) \rightarrow \widehat{CFK}_M(G) \otimes^{-1}$ given by empty rectangles:

- \bullet and \blacksquare differs in exactly 2 coordinates

- they span 4 rectangles on the torus

- 2 of which goes from \bullet to \blacksquare :  the other 2 from \blacksquare to \bullet : 

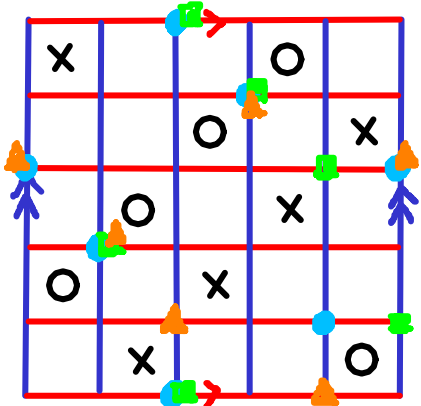
- a rectangle is empty if there is no "x", "o", other point $\bullet = \blacksquare$ in its interior

$$\hat{\partial}_x = \sum_{\exists \text{ empty rectangle } z \rightarrow y} 4$$

e.g. $\hat{\partial} \bullet = \blacksquare$

KNOT FLOER HOMOLOGY

Strategy: define a homology for any grid diagram
then prove it is independent of grid moves



- identify the left and right, and top and bottom of the grid

generators: N -tuples of intersection points such that

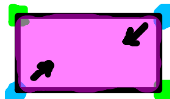
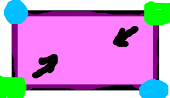
- every horizontal line contains 1 point
- every vertical line contains 1 point

$$\widehat{CFK}(G) = \bigoplus \widehat{CFK}_H(G)$$

differential: $\hat{\partial} : \widehat{CFK}(G) = \bigoplus \widehat{CFK}_H(G) \rightarrow \bigoplus \widehat{CFK}_H(G)$ given by empty rectangles:

- \bullet and \blacksquare differs in exactly 2 coordinates

- they span 4 rectangles on the torus

- 2 of which goes from \bullet to \blacksquare :  the other 2 from \blacksquare to \bullet : 

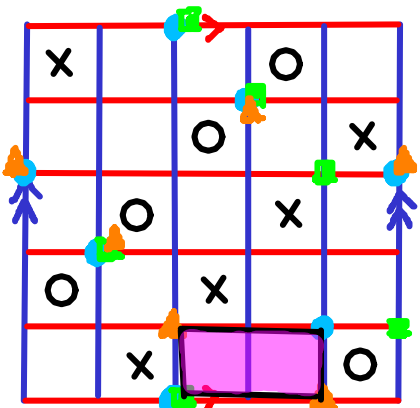
- a rectangle is empty if there is no "x", "o", other point $\bullet = \blacksquare$ in its interior

$$\hat{\partial}_x = \sum_{\exists \text{ empty rectangle } z \rightarrow y} 4$$

e.g. $\hat{\partial} \bullet = \blacksquare + \blacktriangle$

KNOT FLOER HOMOLOGY

Strategy: define a homology for any grid diagram
then prove it is independent of grid moves



- identify the left and right, and top and bottom of the grid

generators: N -tuples of intersection points such that

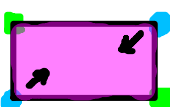
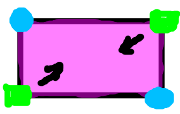
- every horizontal line contains 1 point
- every vertical line contains 1 point

$$\widehat{CFK}(G) = \bigoplus \widehat{CFK}_M(G)$$

differential: $\hat{\partial} \cdot \widehat{CFK}(G) = \bigoplus \widehat{CFK}_M(G) \hookrightarrow^{-1}$ given by empty rectangles:

- \bullet and \blacksquare differs in exactly 2 coordinates

- they span 4 rectangles on the torus

- 2 of which goes from \bullet to \blacksquare :  the other 2 from \blacksquare to \bullet : 

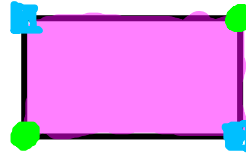
- a rectangle is empty if there is no "X", "O", other point $\bullet = \blacksquare$ in its interior

$$\hat{\partial}_x = \sum_{\exists \text{ empty rectangle } z \rightarrow y} 4$$

e.g. $\hat{\partial} \bullet = \blacksquare + \blacktriangle$

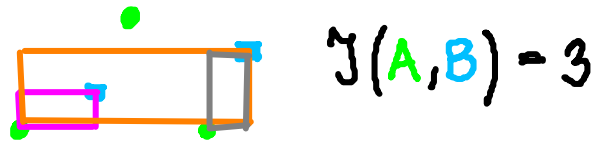
GRADINGS

Remember: $\hat{\partial}$ removed an inversion



Notation $\mathcal{J}(A, B) := \# \{ \square_{a,b} : a \in A, b \in B \}$

e.g.:



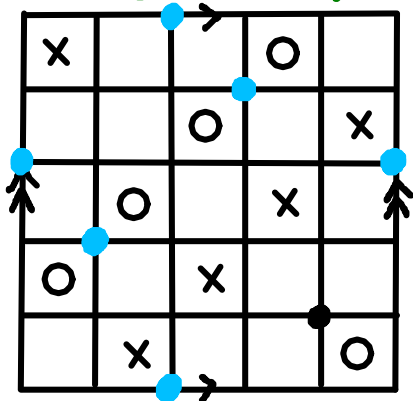
$$\mathcal{J}(A-B, C) := \mathcal{J}(A, B) - \mathcal{J}(B, C)$$

\underline{x} generator, \underline{X} set of "X"s, \underline{O} set of "O"s on the grid

Maslov grading: $M(\underline{x}) = \mathcal{J}(\underline{x} - \underline{O}, \underline{x} - \underline{O}) + 1$

Alexander grading: $A(\underline{x}) = \frac{1}{2} \left(\mathcal{J}(\underline{x} - \underline{O}, \underline{x} - \underline{O}) - \mathcal{J}(\underline{x} - \underline{X}, \underline{x} - \underline{X}) - (N-1) \right)$

e.g.:



$$M(\underline{x}) =$$

$$A(\underline{x}) =$$

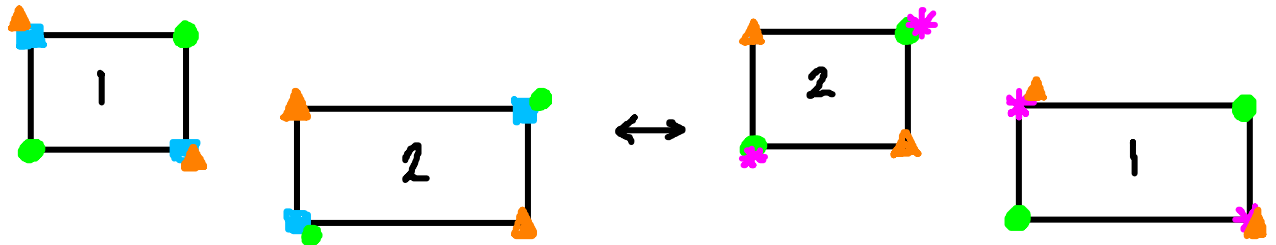
$(\hat{CFK}, \hat{\partial})$ IS A CHAIN COMPLEX

Need to prove $\hat{\partial}^2 = 0$

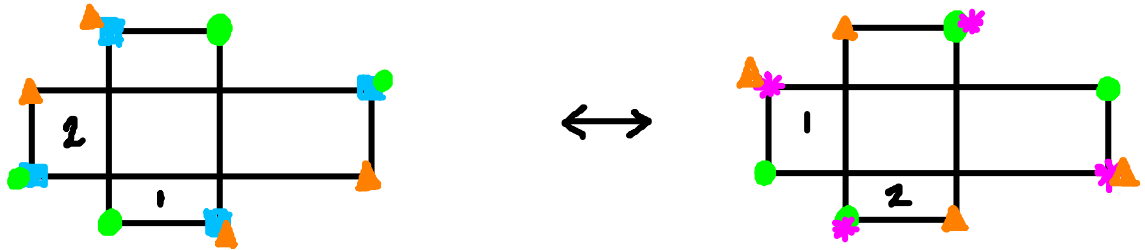
$$\hat{\partial}^2 x = \hat{\partial} \left(\sum_{\exists \text{ empty rectangle } x \rightarrow y} y \right) = \sum_{\exists \text{ empty rectangle } x \rightarrow y} \left(\sum_{\exists \text{ empty rectangle } y \rightarrow z} z \right)$$

the coefficient of z is given by # 2 empty rectangles $x \rightarrow y \rightarrow z$

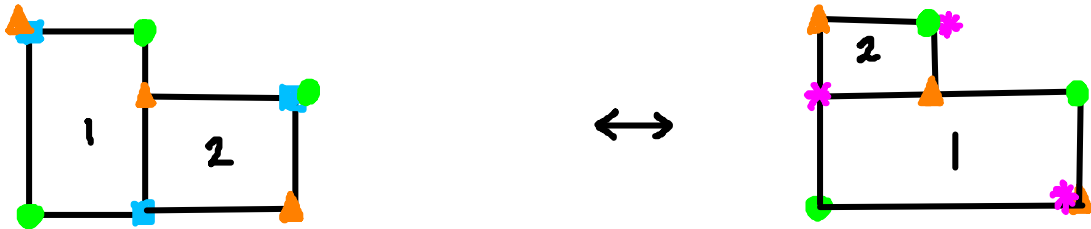
→ disjoint rectangles



→ the interiors intersect



→ common corner



⇒ $\hat{\partial}^2 = 0$ over \mathbb{F}_2

Thm (Manolescu - Ozsváth - Sarkar) $H_*(\hat{CFK}, \hat{\partial}) \cong \hat{HFK}(K) \otimes V^{\otimes N-1}$

where $V = (\mathbb{F}_2)_{0,0} \oplus (\mathbb{F}_2)_{(-1,-1)}$

invariant of the knot

LEGENDRIAN KNOTS

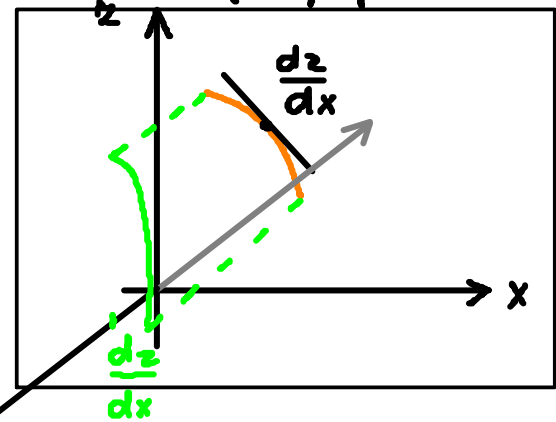
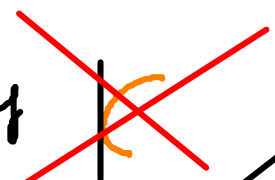
Def: A knot is Legendrian if its projection to the (x, z) -plane determines the knot by

$$z = -\frac{dz}{dx}$$

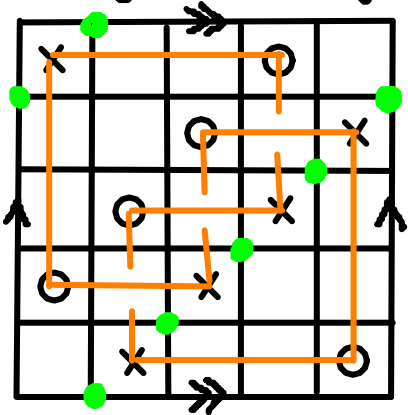
Note: every crossing in the projection looks like



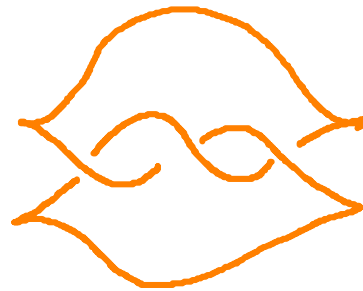
• there is no vertical tangency



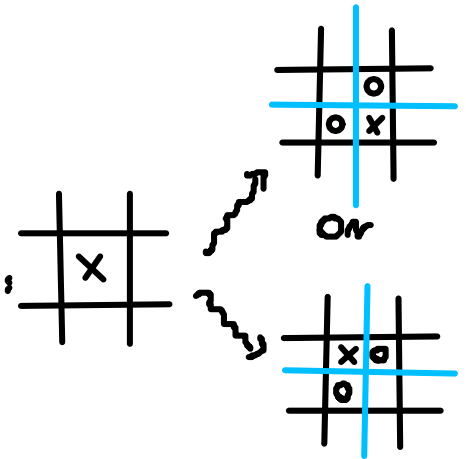
A grid diagram naturally determines a Legendrian knot:



rotate by
45° & smooth
some corners



a restricted set of grid moves give Legendrian isotopies:



Thm: (Ozsvath-Szabo-Thurston) $\lambda(L) = [\bullet] \in \widehat{HFK}(K)$
is an invariant for Legendrian knots.

APPLICATIONS OF \widehat{HFK}

Thm (Ozsváth - Szabó) Euler characteristic is the Alexander poly:
A

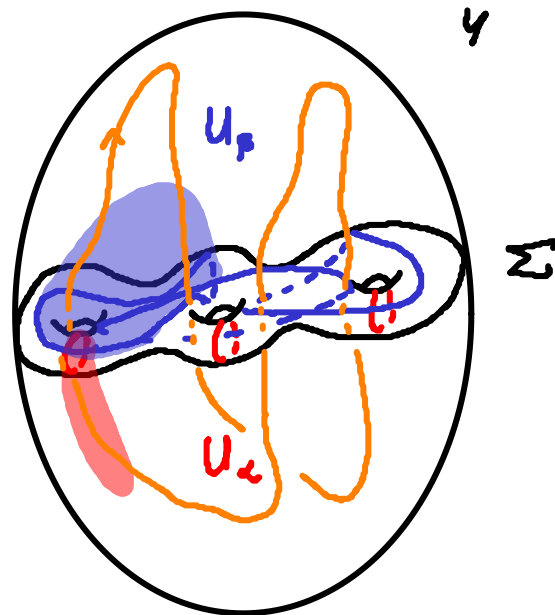
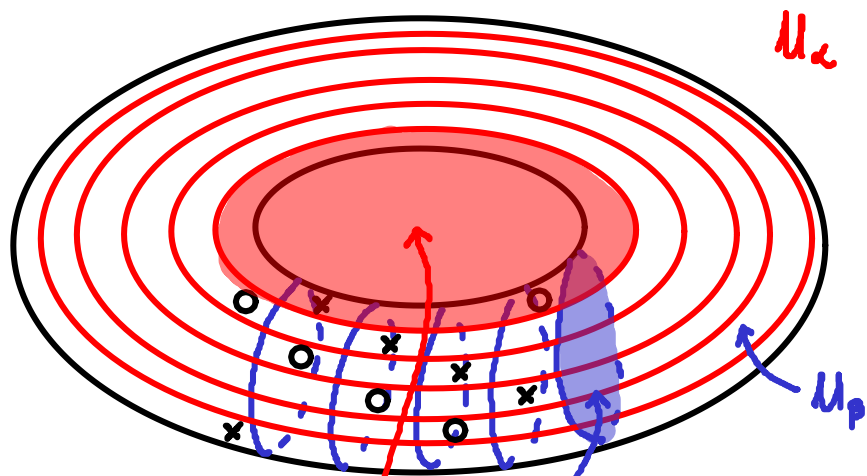
Thm (Ozsváth - Szabó) Max grading is the genus

Thm (Ghiggini, Ng) Detects fibered knots

(Ng - Ozsváth - Thurston, Lisca - Ozsváth - Stipsicz - Szabó)

Gives effective Legendrian / transverse knot invariants

GENERALIZATION



Heegaard decomposition of S^3

α -curve bound discs in U_α

β -curve bound disc in U_β

x \downarrow + intersection of K w/ T^2

o \uparrow + intersection of K w/ T^2

generators: N -tuples of α & β

curves; one on each

boundary map: rectangles

Thm (Ozsvath - Szabo): the homology of $\hat{HF}K(Y, K)$ gives an invariant $\hat{HF}K(Y, K)$

Heegaard decomposition of Y

α -curves on Σ

β -curves on Σ

one x and one o

in each comp of $\Sigma - \alpha$ & $\Sigma - \beta$

—————>

—————>

—————>

—————>

—————>

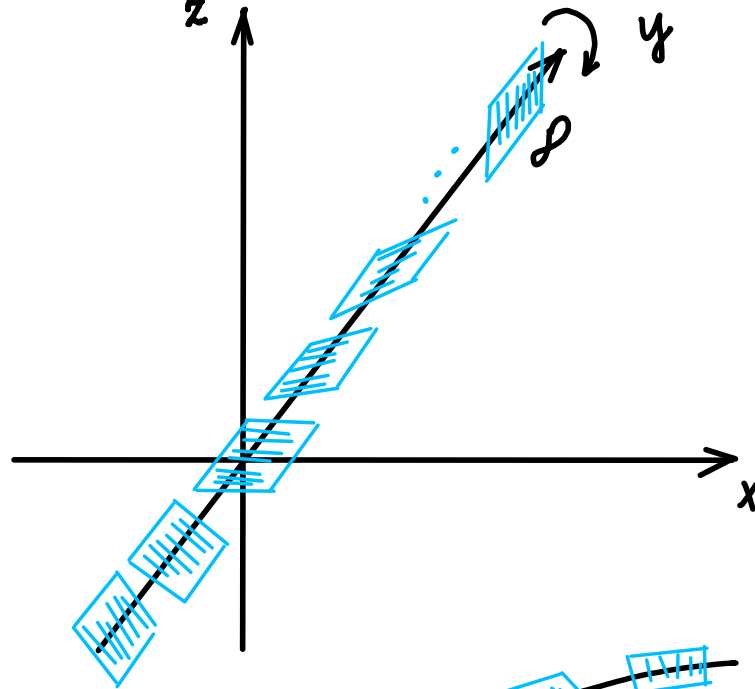
----->

holomorphic curves in $\Sigma \times \mathbb{D}^2$

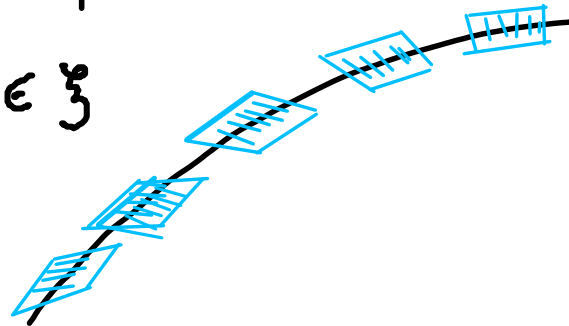
LEGENDRIAN KNOTS

Def: a contact structure is a totally nonintegrable plane field ξ

e.g. $(\mathbb{R}^3, \xi_{st} = \ker(dx - ydz))$



Def: a knot is Legendrian if $T\mathcal{K} \in \xi$



Note: in (\mathbb{R}^3, ξ_{st}) : $T\mathcal{K} \in \xi_{st} = \ker(dx - ydz) \iff y = \frac{dz}{dx}$

Thm (Lisca - Ozsváth - Stipsicz - Szabó): there is an invariant for Legendrian knots $\hat{\mathcal{L}}(L) \in \hat{HFK}(Y, K)$

PROPERTIES OF THESE INVARIANTS

Def L loose if its complement is OT

L is exceptional or non-loose otherwise

		$c(L)$	$\mathcal{L}(L)$	$\lambda(L)$
		in $\text{SHF}(-Y \setminus N(L), \mathbb{P})$	in $\text{HFK}(-Y, K)$	in $\text{HFK}(-S^3, K)$
L loose		0 HKM	0 LOSS	N.A.
complement of L contains Giroux torsion		0 HKM	0 Vela-Vick Stipsicz-V	N.A.
stabilisation	L^+	$\text{SHF}(-Y \setminus N(L), \mathbb{P})$	0	0
	L^-	↓ $\text{SHF}(-Y \setminus N(L^2), \mathbb{P})$	$\mathcal{L}(L)$ LOSS	$\lambda(L)$ 0-Sz-T

Cor: Both $\mathcal{L}(L)$ and $\lambda(L)$ defines a transverse invariant by:

$$\begin{aligned} \nu(T) &= \lambda(L) \\ \tau(T) &= \mathcal{L}(L) \end{aligned} \quad \text{if } T = T(L)$$

So Far...

Thm (V) $\lambda(L_1 \# L_2) = \lambda(L_1) \otimes \lambda(L_2)$

construction of infinitely many transversally nonsimple knots

Thm (Stipsicz - V)

there are maps: $SHF(-Y \setminus N(L), \Gamma) \begin{matrix} \xrightarrow{+} \\ \xrightarrow{-} \end{matrix} HFK(-Y, K)$

$$c(L) \begin{matrix} \xrightarrow{\quad} \mathcal{L}(L) \\ \xrightarrow{\quad} \mathcal{L}(\bar{L}) \end{matrix}$$

$\mathcal{L}(L)$ vanishes if its complement contains Giroux torsion

Thm (Baldwin - Vela-Vick - V)

$\lambda(L) = \mathcal{L}(L)$ for any Legendrian knot in (S^3, \mathcal{L}_{st})

Thm (Etnyre - Ng - V) Complete Legendrian classification of twist knots



PLANS

- define a gluable version of \widehat{HFK} for tangles
- Legendrian classification of positive braids, 3-braids
- understand Legendrian classification of braid satellites
-
-
-