

ABOUZAID–MCLEAN–SMITH

We will essentially follow Abouzaid–McLean–Smith’s article *Complex cobordisms, Hamiltonian loops, and global Kuranishi charts* and focus on the geometric aspects of their main result.

1. OVERVIEW AND MOTIVATIONS

Let us introduce some notations:

- (X, ω) : closed symplectic manifold of real dimension $2n$,
- $\text{Ham}(X, \omega)$: the group of Hamiltonian diffeomorphisms of (X, ω) ,
- $\text{Symp}_0(X, \omega)$: the group of symplectomorphisms of (X, ω) isotopic to the identity,
- $\text{Diff}_0(X)$: the group of diffeomorphisms of X isotopic to the identity.

We have the inclusions

$$\text{Ham}(X, \omega) \subseteq \text{Symp}_0(X, \omega) \subseteq \text{Diff}_0(X)$$

which are strict in general.

There is a classical *Flux* morphism

$$\text{Flux} : \text{Symp}_0(X, \omega) \longrightarrow H^1(X; \mathbb{R})$$

whose image Γ , the *flux group*, is known to be a discrete subgroup of $H^1(X; \mathbb{R})$ by the work of Ono. The discreteness of Γ is equivalent to the fact that $\text{Ham}(X, \omega)$ is dense in $\text{Symp}_0(X, \omega)$ for the C^1 topology. In some sense, the flux group measures the difference between $\text{Ham}(X, \omega)$ and $\text{Symp}_0(X, \omega)$. Another way to measure this difference is to investigate the map

$$(1) \quad \pi_1 \text{Ham}(X, \omega) \longrightarrow \pi_1 \text{Symp}_0(X, \omega)$$

induced by the inclusion.

To each $[\phi] \in \pi_1 \text{Symp}_0(X, \omega)$ one can associate a symplectic bundle

$$\begin{array}{ccc} X & \hookrightarrow & P_\phi \\ & & \downarrow \\ & & S^2 \end{array}$$

obtained by a clutching construction: glue two copies of $X \times D^2$ along $X \times S^1$ via the map

$$\begin{array}{ccc} S^1 \times X & \longrightarrow & S^1 \times X \\ (t, x) & \longmapsto & (-t, \phi_t(x)). \end{array}$$

Obviously, $P_\phi = X \times S^2$ if $[\phi] = [\text{id}]$. To measure the complexity of a class $[\phi]$, one can measure the complexity of the bundle P_ϕ . For instance, one can consider the (co)homology of P_ϕ with coefficients in \mathbb{Q} , \mathbb{Z} , or a more general ring (spectrum). For now, let us fix a ring \mathbb{k} . We will be interested in the maps

$$\begin{array}{ccc} \iota : H_*(X; \mathbb{k}) & \longrightarrow & H_*(P_\phi; \mathbb{k}), \\ \rho : H^*(P_\phi; \mathbb{k}) & \longrightarrow & H^*(X; \mathbb{k}) \end{array}$$

induced by the inclusion $X \hookrightarrow P_\phi$ as well as the *sweepout map*

$$\delta_\phi : H_*(X; \mathbb{k}) \longrightarrow H_{*+1}(X; \mathbb{k}).$$

The latter is defined as the composition

$$H_*(X; \mathbb{k}) \xrightarrow{\cong} H_1(S^1; \mathbb{k}) \otimes_{\mathbb{k}} H_*(X; \mathbb{k}) \longrightarrow H_{*+1}(S^1 \times X; \mathbb{k}) \longrightarrow H_{*+1}(X; \mathbb{k})$$

where the second map is induced by the Künneth morphism, and the last map is induced by $(t, x) \in S^1 \times X \mapsto \phi_t(x) \in X$.

One may ask: when is the map δ_ϕ trivial? Alternatively, does the cohomology of P_ϕ splits as $H^*(X; \mathbb{k}) \otimes_{\mathbb{k}} H^*(S^2; \mathbb{k})$? For a general class $[\phi] \in \pi_1 \text{Symp}_0(X, \omega)$, the answer is known to be negative. However, it holds for $[\phi] \in \pi_1 \text{Ham}(X, \omega)$ and for suitable coefficient rings \mathbb{k} .

Theorem 1 (Lalonde–McDuff–Polterovich for (X, ω) monotone, McDuff in general). *Let $\mathbb{k} = \mathbb{Q}$ and $[\phi] \in \pi_1 \text{Ham}(X, \omega)$. Then*

$$H^*(P_\phi; \mathbb{Q}) \cong H^*(X; \mathbb{Q}) \otimes H^*(S^2; \mathbb{Q})$$

as \mathbb{Q} -vector spaces (we do not consider the ring structure on cohomology).

Equivalently, still for $\mathbb{k} = \mathbb{Q}$,

- $\delta_\phi = 0$,
- $\iota : H_*(X; \mathbb{Q}) \rightarrow H_*(P_\phi; \mathbb{Q})$ is injective,
- The Serre spectral sequence of the fibration $X \hookrightarrow P_\phi \rightarrow S^2$ degenerates,
- $\rho : H^*(P_\phi; \mathbb{Q}) \rightarrow H^*(X; \mathbb{Q})$ is surjective.

Actually, the last four properties are always equivalent for any ring \mathbb{k} , and are implied by (but do not necessarily imply) the additive splitting $H^*(P_\phi; \mathbb{k}) \cong H^*(X; \mathbb{k}) \otimes H^*(S^2; \mathbb{k})$. This result can be interpreted as an obstruction to the surjectivity of the map (1).

The main result of AMS is strengthening of Theorem 1 for $\mathbb{k} = \mathbb{Z}$ coefficients:

Theorem 2 (Abouzaid–McLean–Smith). *Let $\mathbb{k} = \mathbb{Z}$ and $[\phi] \in \pi_1 \text{Ham}(X, \omega)$. Then*

$$(2) \quad H^*(P_\phi; \mathbb{Z}) \cong H^*(X; \mathbb{Z}) \otimes H^*(S^2; \mathbb{Z})$$

as \mathbb{Z} -modules. Therefore, the sweepout map $\delta_\phi : H_*(X; \mathbb{Z}) \rightarrow H_{*+1}(X; \mathbb{Z})$ vanishes.

They actually prove an additive splitting for any *complex oriented* generalized cohomology theory.

From now on, we fix a class $[\phi] \in \pi_1 \text{Ham}(X, \omega)$. To prove this result, we will show that ρ is a *split-epimorphism* : there exists a \mathbb{Z} -module map

$$s : H^*(X; \mathbb{Z}) \longrightarrow H^*(P_\phi; \mathbb{Z})$$

which is a section of ρ , in the sense that $\rho \circ s = \text{id}$. This is strictly stronger than ρ being surjective in general.

Lemma 3. *Assume that ρ is a split-epimorphism. Then (2) holds.*

Proof. We will omit the coefficients (assumed to be \mathbb{Z}) in the (co)homology groups, and we will use the notation $H^*(Y|A) = H^*(Y; Y \setminus A)$.

Notice that $P_\phi \setminus X$ is homotopy equivalent to X . We denote by $N \subset P_\phi$ a neighborhood of a fiber $X \subset P_\phi$ obtained as the preimage of a small disk under the fibration. Then by excision,

$$H^*(P_\phi|X) \cong H^*(N|X) \cong H^*(D^2 \times X|X) \cong H^*(D^2|\text{pt}) \otimes H^*(X) \cong H^{*+2}(X).$$

The cohomology long exact sequence for the pair $(P_\phi, P_\phi \setminus X)$ splits as a short exact sequence

$$0 \longrightarrow H^*(P_\phi|X) \longrightarrow H^*(P_\phi) \longrightarrow H^*(P_\phi \setminus X) \longrightarrow 0$$

which is isomorphic to a short exact sequence

$$0 \rightarrow H^{*+2}(X) \rightarrow H^*(P_\phi) \xrightarrow{\rho} H^*(X) \rightarrow 0,$$

and since ρ admits a section, there is a isomorphism (depending on s)

$$H^*(P_\phi) \cong H^*(X) \oplus H^{*+2}(X) \cong H^*(S^2) \otimes H^*(X).$$

□

To construct s , we will consider suitable Gromov–Witten invariants in a larger symplectic fibration.

2. THE GEOMETRIC DEGENERATION

Let \mathbb{S} denote the one-point blowup of $\mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1 = S^2 \times S^2$. Composing the blowdown map $\mathbb{S} \rightarrow \mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$ with the projection onto the second factor yields a singular fibration $\pi_B : \mathbb{S} \rightarrow B = \mathbb{C}\mathbb{P}^1$ which has one singular fiber over 0. This fiber is isomorphic to $\mathbb{C}\mathbb{P}^1 \vee \mathbb{C}\mathbb{P}^1$. For $t \in B$, we write $\mathbb{S}_t = \pi_B^{-1}(t)$.

It is not hard to see that the connected sum $P_\phi \#_X P_{\phi^{-1}}$ along a fiber is diffeomorphic to $P_{\text{id}} = S^2 \times X$, and this space can be thought of as a resolution of the space $P_\phi \cup_X P_{\phi^{-1}}$. Therefore, there exists a smooth fibration $\pi_{\mathbb{S}} : \tilde{P} \rightarrow \mathbb{S}$ such that $\pi_{\mathbb{S}}^{-1}(\mathbb{S}_0) \cong P_\phi \cup_X P_{\phi^{-1}}$, and $\pi_{\mathbb{S}}^{-1}(\mathbb{S}_t) \cong S^2 \times X$ for $t \neq 0$. Using that ϕ is a loop of *Hamiltonian* diffeomorphisms, McDuff upgraded this construction to a *symplectic* fibration:

Proposition 4 (McDuff). *There exists a symplectic fibration $\pi_{\mathbb{S}} : \tilde{P} \rightarrow \mathbb{S}$ with fiber (X, ω) satisfying the following.*

- (1) (TRIVIALITY AT ∞) *There exists a neighborhood $W_\infty \subset B$ of $\infty \in B$ over which π_B is trivial, and such that the restriction of \tilde{P} to $\pi_B^{-1}(W_\infty)$ is isomorphic to the trivial symplectic fibration $(S^2 \times W_\infty \times X, \omega_{S^2} \oplus \omega_{S^2|W_\infty} \oplus \omega)$.*
- (2) (SINGULAR LOCUS) *$\pi_{\mathbb{S}}^{-1}(\mathbb{S}_0) \cong P_\phi \cup_X P_{\phi^{-1}}$, where each component is mapped to a reducible component of $\mathbb{S}_0 \cong \mathbb{C}\mathbb{P}^1 \vee \mathbb{C}\mathbb{P}^1$ and carries the canonical (deformation class of) symplectic structure.*

Let us introduce more notations:

- $\mathbb{S}_0 = \mathbb{S}_\phi \vee \mathbb{S}_{\phi^{-1}}$,
- S_h^2 is the image of a holomorphic section of $\pi_B : \mathbb{S} \rightarrow B$ passing through $\mathbb{S}_\phi \setminus \mathbb{S}_{\phi^{-1}}$,
- $(S^2 \times X)_h = \pi_{\mathbb{S}}^{-1}(S_h^2)$,
- For $t \in \mathbb{C}\mathbb{P}^1 \setminus \{0\}$, $P_t = \tilde{P}|_{\mathbb{S}_t}$.

Here, the subscript h means “horizontal”. See Figure 1 for an illustration of the previous Proposition and some of these notations.

3. MODULI SPACES OF PSEUDOHOLOMORPHIC CURVES

Let us choose a compatible almost complex structure J on \tilde{P} which satisfies

- $\pi_{\mathbb{S}} : \tilde{P} \rightarrow \mathbb{S}$ is pseudoholomorphic,
- J is trivial over $S^2 \times W_\infty \times W$, i.e., it splits as a direct sum $J = j_{S^2} \oplus j_{S^2|W_\infty} \oplus J_X$, where j denotes the canonical complex structure on S^2 and J_X is a compatible almost complex structure on X .

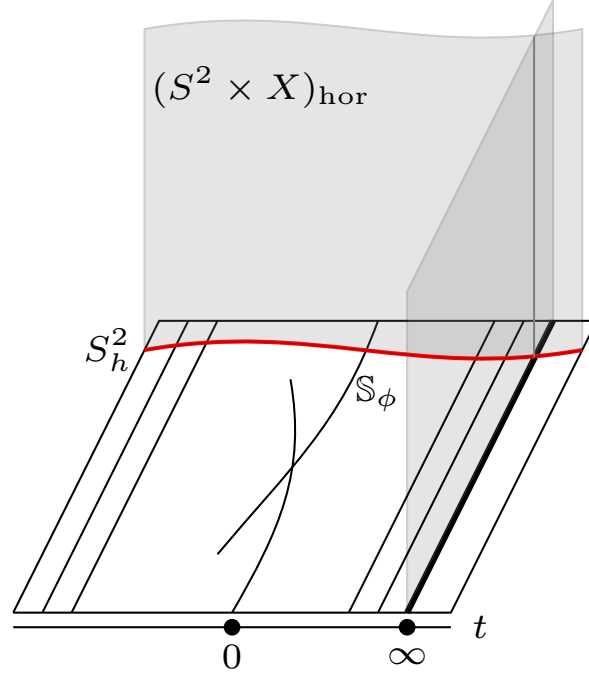


FIGURE 1. The fibration $\pi_{\mathbb{S}} : \tilde{P} \rightarrow \mathbb{S}$ over $\pi_B : \mathbb{S} \rightarrow B$. Figure obtained from Bai–Xu’s *An integral Euler cycle in normally complex orbifolds and \mathbb{Z} -valued Gromov–Witten type invariants* with the permission of the authors.

Let $A \in H_2(\tilde{P}; \mathbb{Z})$ denote the homology class represented by $S^2 \times \{\infty\} \times \{\text{pt}\} \subset S^2 \times W_\infty \times X$. We denote by $\overline{\mathcal{M}} = \overline{\mathcal{M}}_{0,2}(X, J; A)$ the moduli space of genus 0 stable J -holomorphic maps in the class A with two marked points. It comes with two evaluation maps

$$\overline{\mathcal{M}} \begin{array}{c} \xrightarrow{ev_1} \\ \xrightarrow{ev_2} \end{array} \tilde{P}.$$

We define

$$\overline{\mathcal{M}}_h := ev_1^{-1}((S^2 \times X)_h) \subset \overline{\mathcal{M}}$$

and for $\heartsuit \in \{\phi, \infty\}$,

$$\overline{\mathcal{M}}_{\heartsuit} := \overline{\mathcal{M}}_h \cap ev_2^{-1}(P_{\heartsuit}) \subset \overline{\mathcal{M}}_h.$$

Notice that because of our choice of J and A , the curves in $\overline{\mathcal{M}}_\phi$ are contained in $P_\phi \cup_X P_{\phi^{-1}}$ (not necessarily in P_ϕ !), and the curves in $\overline{\mathcal{M}}_\infty$ are contained in $P_\infty \cong S^2 \times X$. We obtain two correspondences

$$\begin{array}{ccc} & \overline{\mathcal{M}}_{\heartsuit} & \\ ev_1 \swarrow & & \searrow ev_2 \\ (S^2 \times X)_h & & P_{\heartsuit} \end{array}$$

which will induce two maps

$$H^*(S^2 \times X; \mathbb{Z}) \longrightarrow H^*(P_{\heartsuit}; \mathbb{Z}).$$

This implies that $\rho \circ s = \rho_\phi \circ s_\phi = \rho_\infty \circ s_\infty$. However, the map s_∞ is easy to compute since the curves in $\overline{\mathcal{M}}_\infty$ can be described explicitly. In fact, any curve in $\overline{\mathcal{M}}_\infty$ is of the form

$$\begin{aligned} u : S^2 &\longrightarrow P_\infty = S^2 \times \{\infty\} \times X \\ z &\longmapsto (\psi(z), \infty, \text{pt}), \end{aligned}$$

where $\psi : S^2 \rightarrow S^2$ is a biholomorphism. Therefore, $\overline{\mathcal{M}}_\infty \cong P_\infty = S^2 \times \{\infty\} \times X$ and the evaluation map $ev_2 : \overline{\mathcal{M}}_\infty \rightarrow P_\infty$ is a diffeomorphism, which implies that $s_\infty = pr_2^*$ and $\rho_\infty \circ s_\infty = \text{id}$, as desired. \square

4. REMOVING THE TRANSVERSALITY ASSUMPTION

We now explain how to make the previous argument work without the transversality assumption on the moduli spaces. There are (at least) two approaches.

- One strategy consists of defining a \mathbb{Z} -valued fundamental class for the Gromov–Witten moduli spaces using suitable global Kuranishi charts/derived orbifold charts. This is carried out in Bai–Xu’s *An integral Euler cycle in normally complex orbifolds and \mathbb{Z} -valued Gromov–Witten type invariants*, using their *FOP perturbations*.
- Another perhaps less direct strategy is to work over *Morava K -theory* to show that ρ is a split-epimorphism for $\mathbb{Z}/p^k\mathbb{Z}$ coefficients, for every prime p and every integer $k \geq 1$. This is the approach of Abouzaid–McLean–Smith. To that extent, they construct a *global Kuranishi chart* for the moduli spaces of interest, which satisfy suitable orientation properties with respect to Morava K -theory.

We will focus on the AMS approach and briefly overview the main ingredients. Let us first discuss Morava K -theory.

For a prime p and an integer $n \geq 1$, $\mathbb{k} = K_p(n)$ is a generalized cohomology theory which satisfies the following:

- (1) The underlying coefficient ring is $\mathbb{k}_* = H^*(\text{pt}, \mathbb{k}) = \mathbb{F}_p[v^\pm]$ where $|v^\pm| = 2(p^n - 1)$,
- (2) If X and Y are CW-complexes, there is a Künneth isomorphism

$$H^*(X \times Y; \mathbb{k}) \cong H^*(X; \mathbb{k}) \otimes_{\mathbb{k}_*} H^*(Y; \mathbb{k}),$$

- (3) Every vector bundle with a stable complex structure is \mathbb{k} -oriented, hence every stably complex manifold is \mathbb{k} -oriented,
- (4) If $p > 2$, then any oriented vector bundle is \mathbb{k} -oriented, hence every oriented manifold is \mathbb{k} -oriented.

For $k \geq 1$, there is an extension of $K_p(n)$ denoted $K_{p^k}(n)$ with coefficient ring $\mathbb{Z}/p^k\mathbb{Z}(n)[v^\pm]$, $|v| = 2(p^n - 1)$, which satisfies (3) and (4).

The upshot of AMS’s proof is that $K_{p^k}(n)$ behaves a bit like a coefficient field, and their global Kuranishi charts are $K_{p^k}(n)$ -oriented, allowing them to construct virtual fundamental classes with coefficients in $K_{p^k}(n)$. One crucial aspect is that Morava K -theories satisfy a version of equivariant Poincaré duality, or rather Atiyah duality, which is used to define suitable pushforward maps in cohomology (those are relevant for the definition of s as in the previous section). This equivariant duality statement was proved by Cheng.

The virtual fundamental class then takes the form of a map $H^*(M; \mathbb{k}) \rightarrow \mathbb{k}_*$. More generally, for a space M with a suitable global Kuranishi chart $\mathcal{K} = (G, \mathcal{T}, E, s)$ and a map $f : M \rightarrow X$ to a \mathbb{k} -oriented smooth manifold, AMS construct a pushforward map

$$f_*^{\mathcal{K}} : H^*(M; \mathbb{k}) \longrightarrow H^{*-v\dim(M)+\dim(X)}(X; \mathbb{k})$$

which behaves naturally with respect to restricting to subspaces of the form $f^{-1}(S)$ for a \mathbb{k} -oriented submanifold $S \subseteq X$ (for a suitable induced Kuranishi chart on $f^{-1}(S)$). Therefore, one can make sense of the diagrams in the previous section for cohomology groups with $K_{p^k}(n)$ -coefficients. This implies:

Lemma 7. *The map $\rho : H^*(P_\phi; K_{p^k}(n)) \rightarrow H^*(X; K_{p^k}(n))$ is a split-epimorphism.*

Now since P_ϕ and X are finite dimensional manifolds, for n large enough ($2(p^n - 1) > 2n + 4$), the Atiyah-Hirzebruch spectral sequence for $K_{p^k}(n)$ degenerates and

$$\begin{aligned} H^*(X; K_{p^k}(n)) &\cong H^*(X; \mathbb{Z}/p^k\mathbb{Z}) \otimes_{\mathbb{Z}/p^k\mathbb{Z}} \mathbb{Z}/p^k\mathbb{Z}[v^\pm], \\ H^*(P_\phi; K_{p^k}(n)) &\cong H^*(P_\phi; \mathbb{Z}/p^k\mathbb{Z}) \otimes_{\mathbb{Z}/p^k\mathbb{Z}} \mathbb{Z}/p^k\mathbb{Z}[v^\pm], \end{aligned}$$

which implies that $H^*(P_\phi; \mathbb{Z}/p^k\mathbb{Z}) \rightarrow H^*(X; \mathbb{Z}/p^k\mathbb{Z})$ is a split-epimorphism. Since this holds for every prime p and every integer k , $\rho : H^*(P_\phi; \mathbb{Z}/m\mathbb{Z}) \rightarrow H^*(X; \mathbb{Z}/m\mathbb{Z})$ is also a split-epimorphism for every integer $m \geq 1$, which implies that $\rho : H^*(P_\phi; \mathbb{Z}) \rightarrow H^*(X; \mathbb{Z})$ is a split-epimorphism.