LOCAL-GLOBAL COMPATIBILITY OVER FUNCTION FIELDS

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ABSTRACT. We prove that V. Lafforgue's global Langlands correspondence is compatible with Fargues–Scholze's semisimplified local Langlands correspondence. As a consequence, we canonically lift Fargues–Scholze's construction to a non-semisimplified local Langlands correspondence for positive characteristic local fields. We also deduce that Fargues–Scholze's construction agrees with that of Genestier–Lafforgue, answering a question of Fargues–Scholze, Hansen, Harris, and Kaletha. The proof relies on a uniformization morphism for moduli spaces of shtukas.

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INTRODUCTION

The Langlands program predicts a relationship between automorphic forms and Galois representations. More precisely, in the case of a connected reductive group **G** over a global function field **F** of characteristic p > 0, the Langlands program posits a canonical map

$$\operatorname{GLC}_{\mathbf{G}}: \left\{ \begin{array}{c} \operatorname{cuspidal} \mbox{ automorphic} \\ \operatorname{representations} \mbox{ of } \mathbf{G}(\mathbb{A}_{\mathbf{F}}) \end{array} \right\} \rightarrow \left\{ \begin{array}{c} L \mbox{ -parameters} \\ \mbox{ for } \mathbf{G} \mbox{ over } \mathbf{F} \end{array} \right\},$$

where $\mathbb{A}_{\mathbf{F}}$ denotes the adele ring of \mathbf{F} , and all representations are taken with $\overline{\mathbb{Q}}_{\ell}$ coefficients for some $\ell \neq p$. In a landmark result, such a map GLC_G was constructed
by V. Lafforgue [33].

In the case of a connected reductive group G over a nonarchimedean local field F, the Langlands program predicts a similar map

(†)
$$\operatorname{LLC}_G : \left\{ \begin{array}{c} \operatorname{irreducible smooth} \\ \operatorname{representations of } G(F) \end{array} \right\} \to \left\{ \begin{array}{c} L \text{-parameters} \\ \operatorname{for } G \text{ over } F \end{array} \right\}.$$

Recent breakthrough work of Fargues–Scholze [12] constructs such a map up to semisimplification; namely, they construct a map

$$(\ddagger) \quad \text{LLC}_G^{\text{ss}} : \left\{ \begin{array}{c} \text{irreducible smooth} \\ \text{representations of } G(F) \end{array} \right\} \rightarrow \left\{ \begin{array}{c} \text{semisimple } L\text{-parameters} \\ \text{for } G \text{ over } F \end{array} \right\}.$$

Our main result is that V. Lafforgue's global Langlands correspondence is compatible with Fargues–Scholze's semisimplified local Langlands correspondence.

Theorem A. Let v be a place of \mathbf{F} . Then the square

commutes.

Since $GLC_{\mathbf{G}}$ [33, Théorème 12.3] and LLC_{G}^{ss} [12, Theorem IX.0.5] are compatible with the Satake isomorphism at unramified places, for a given cuspidal automorphic representation this is already known at *unramified* places.

We actually prove a refinement of Theorem A on the level of excursion algebras; see Theorem 6.13.

Remarks.

- (1) V. Lafforgue [33, Théorème 13.2] and Fargues–Scholze [12, Proposition IX.4.1] prove a version of their results with $\overline{\mathbb{F}}_{\ell}$ -coefficients, and the analogous version of Theorem A also holds in this mod- ℓ context. See Theorem 6.15.
- (2) Once one constructs a non-semisimplified local Langlands correspondence as in Equation (†) (e.g. see Theorem B below), one can ask whether Theorem A holds before semisimplification. The answer is already negative when **G** is the units of a quaternion algebra [15, Remarque 0.3]. More generally, Arthur's conjecture [5] predicts that the answer is negative precisely for global A-packets where a local A-packet Π_{ψ_v} contains a representation whose L-parameter does not equal the L-parameter associated with Π_{ψ_v} . For instance, examples of Howe–Piatetski-Shapiro [26] show that the answer is also negative when **G** is Sp₄.

We now turn to some consequences of Theorem A. When char F > 0, Theorem A enables us to remove the "up to semisimplification" ambiguity in Fargues–Scholze's construction.

Theorem B. Assume that char F > 0. Then LLC_G^{ss} canonically lifts to a nonsemisimplified local Langlands correspondence LLC_G as in Equation (†).

The proof that Theorem A implies Theorem B is due to Gan–Harris–Sawin [13]; roughly, the idea is to maneuver into a situation where Theorem A holds even before semisimplification. This uses a globalization result of Beuzart-Plessis [13], work of Heinloth–Ngô–Yun [25] on ℓ -adic Kloosterman sheaves, results of Xu–Zhu [47] on their *p*-adic companions, and Deligne's purity theorem.

Our next result concerns previous work of Genestier–Lafforgue [15], who also constructed a map as in Equation (\ddagger) when char F > 0. Genestier–Lafforgue obtained a version of Theorem A for their construction, and since this property basically uniquely characterizes such maps, we deduce the following result.

Theorem C. The Genestier–Lafforgue correspondence agrees with the Fargues– Scholze correspondence.

This answers a question of Fargues–Scholze [12], Hansen, Harris, and Kaletha [29]. We also prove a refinement of Theorem C on the level of Bernstein centers; see Theorem 6.15.

Remark. Conversely, if we only had Theorem C, then work of Genestier–Lafforgue would imply Theorem A. However, our proof of Theorem A is independent of their results.

We conclude by showing that LLC_G^{ss} satisfies the expected compatibility with the local Jacquet–Langlands correspondence [6], which we denote by JL, when char F > 0 and G is the units of a central simple algebra over F.

Theorem D. Assume that char F > 0 and G is the units of a central simple algebra over F. For any irreducible essentially L^2 representation π of G(F), we have $LLC_G^{ss}(\pi) = LLC_{GL_n}^{ss}(JL(\pi))$.

When char F > 0, Theorem D was previously only known when the central simple algebra has Hasse invariant 0 or $\frac{1}{n}$ [12, Theorem IX.7.4]. The char F = 0 analogue of Theorem D is due to Hansen–Kaletha–Weinstein [20, Theorem 6.6.1] as a consequence of their work on the local Kottwitz conjecture.

Let us discuss our proof of Theorem A. Elements of our strategy go back to Deligne's letter to Piatetski-Shapiro [10], which proves local-global compatibility for modular forms. Their associated Galois representations are constructed via the cohomology of modular curves, and one of Deligne's key ideas was to restrict to the supersingular locus, using the uniformization of the latter by Lubin–Tate space to relate the local and global Langlands correspondences for GL₂.

Deligne's proof, as well as subsequent works on local-global compatibility using basic uniformization [9, 22, 40, 36], also crucially relies on arguments specific to the particular group \mathbf{G} in question. However, our proof of Theorem A is uniform in all groups \mathbf{G} .

We begin by observing that, since the correspondences of V. Lafforgue and Fargues–Scholze are constructed via *excursion operators*, it suffices to show that said operators are compatible. Let us recall their definition, which involves moduli spaces of shtukas. For simplicity, assume that **G** is split, and write $\hat{\mathbf{G}}$ for the dual group of **G** over $\overline{\mathbb{Q}}_{\ell}$. For any finite set *I* and representation *V* of $\hat{\mathbf{G}}^{I}$, write $\mathrm{Sht}_{\mathbf{G},V}^{I}$ for the associated moduli space of global **G**-shtukas,¹ which is a Deligne–Mumford stack. Work of Xue [48] naturally endows the compactly supported intersection cohomology H_{V}^{I} of its generic fiber with an action of $W_{\mathbf{F}}^{I}$, where $W_{\mathbf{F}}$ denotes the absolute Weil group. For any *x* and ξ in *V* and V^{\vee} , respectively, that are fixed by the image of $\Delta : \hat{\mathbf{G}} \hookrightarrow \hat{\mathbf{G}}^{I}$, and any γ_{\bullet} in $W_{\mathbf{F}}^{I}$, the associated global excursion operator is

$$(\heartsuit) \qquad \qquad H^*_{\mathbf{1}} \xrightarrow{x} H^*_{V|_{\Delta(\widehat{G})}} = H^I_V \xrightarrow{\gamma_{\mathbf{\bullet}}} H^I_V = H^*_{V|_{\Delta(\widehat{G})}} \xrightarrow{\xi} H^*_{\mathbf{1}},$$

where * denotes the singleton set, and 1 denotes the trivial representation.

¹In the introduction, we ignore convolution data and level structures in our notation.

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In the local setting, write $\mathcal{L}ocSht^{I}_{\mathbf{G},V}$ for the associated moduli space of *local* \mathbf{G} -shtukas, which is an analytic adic space. Work of Fargues–Scholze [12] naturally endows the intersection homology $H_{V}^{\mathrm{loc},I}$ of its generic fiber with an action of $W_{\mathbf{F}_{v}}^{I}$, so when γ_{\bullet} lies in $W_{\mathbf{F}_{v}}^{I}$, one can form local excursion operators using the same recipe as in Equation (\heartsuit).

We compare the local and global excursion operators using a uniformization morphism. To define it, first we construct a formal model $\mathfrak{LocSht}^{I}_{\mathbf{G},V}$ for $\mathcal{LocSht}^{I}_{\mathbf{G},V}$ at hyperspecial level. Stating the formal moduli problem is straightforward, although comparing it with our original definition of local **G**-shtukas requires an equicharacteristic version of Kedlaya–Liu's results [32] on relative *p*-adic Hodge theory, which we prove. Next, we use Beauville–Laszlo gluing to construct a formally étale morphism of formal stacks

$$\widehat{\Theta}:\mathfrak{Loc}\mathfrak{Sht}^{I}_{\mathbf{G},V}\!\rightarrow\!\widehat{\mathrm{Sht}}^{I}_{\mathbf{G},V}$$

when the level is hyperspecial at v, where $\widehat{\operatorname{Sht}}_{\mathbf{G},V}^{I}$ denotes the formal completion of $\operatorname{Sht}_{\mathbf{G},V}^{I}$ along v^{I} , and we assume that $\deg v = 1$ for simplicity. This generalizes results of Arasteh Rad–Hartl [3].

From here, we restrict to a Harder–Narasimhan truncation $\operatorname{Sht}_{\mathbf{G},V}^{I,\leq s}$ of $\operatorname{Sht}_{\mathbf{G},V}^{I}$ and enlarge the level away from v. This yields a scheme that is locally of finite type, so we can use Huber's analytification [27, (3.8)] to extend $\widehat{\Theta}$ to a morphism of analytic adic spaces

$$\Theta: \mathcal{L}oc\mathcal{S}ht^{I,\leq s}_{\mathbf{G},V} \to (Sht^{I,\leq s}_{\mathbf{G},V})_{(Spa\,\mathbf{F}_v)^I}$$

for deeper levels at v. To prove that Θ is étale, it suffices to consider the case of hyperspecial level. There, we prove that $\mathfrak{Loc}\mathfrak{Sht}^{I}_{\mathbf{G},V}$ is a formal scheme that is locally formally of finite type, generalizing results of Arasteh Rad–Hartl [2]. After restricting to a Harder–Narasimhan truncation, this lets us upgrade the formal étaleness of Θ to étaleness, as desired.

Since Θ is étale, we can form the !-pushforward map

$$\Theta_!: H_V^{\mathrm{loc}, I, \leq s} \to H_V^{I, \leq s}.$$

After restricting to a Harder–Narasimhan truncation, this induces a morphism from the composition diagram in Equation (\heartsuit) to the analogous composition diagram for $H_V^{\text{loc},I}$. We use this to prove that the global and local excursion operators are compatible, which concludes the proof of Theorem A.

With Theorem A in hand, let us return to the local context and sketch the proofs of Theorem B, Theorem C, and Theorem D. For Theorem B, compatibility with parabolic induction and the Langlands classification reduce us to the case of L^2 representations π . Then the Langlands program predicts $\text{LLC}_G(\pi)$ to be the unique pure *L*-parameter whose semisimplification is $\text{LLC}_G^{\text{ss}}(\pi)$, if it exists. To construct this *L*-parameter, we use a globalization result of Beuzart-Plessis [13] to obtain a cuspidal automorphic representation II that has the same cuspidal support as π at one place and is isomorphic to the cuspidal representation π' considered by Gross-Reeder [17] at another place. Combining Theorem A with work of Heinloth-Ngô-Yun [25] and Xu-Zhu [47] shows that the Fargues-Scholze parameter of π' is irreducible. From here, applying Deligne's purity theorem [11] and Theorem A to II yields the desired result. For Theorem C, we instead reduce to the case of cuspidal representations. Then a classical Poincaré series argument and Theorem A give the desired result. Finally, for Theorem D we use the simple trace formula to construct a cuspidal automorphic representation of GL_n that globalizes $JL(\pi)$ and transfers to a suitable central division algebra under the global Jacquet–Langlands correspondence [7]. Finally, the Chebotarev density theorem and Theorem A imply the desired result.

Outline. In §1, we recall some facts about loop groups and Beilinson–Drinfeld affine Grassmannians. In §2, we define the formal moduli problem and prove that it is a formal scheme that is locally formally of finite type. In §3, we prove the necessary results on z-adic Hodge theory. In §4, we define the analytic moduli problem, compare it with the formal moduli problem, and recall results of Fargues–Scholze [12] on its intersection homology. In §5, we recall the global moduli problem and construct the uniformization morphism. In §6, we use this to prove Theorem A. In §7, we use Theorem A to prove Theorem B, Theorem C, and Theorem D.

Notation. Unless otherwise specified, all products are taken over \mathbb{F}_q . The transition morphisms for our ind-schemes are required to be closed embeddings. We view all functors between derived categories as derived functors.

Starting in §3, we freely use definitions from perfectoid geometry as in [41] and [12]. When viewing an adic space X as a locally ringed space, we take \mathcal{O}_X for its structure sheaf. For any adic space X over \mathbb{Z}_p , write X^{\diamond} for the associated v-sheaf over \mathbb{F}_p as in [43, Lemma 18.1.1].

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1. Recollections on Affine Grassmannians

In this section, we begin by setting up our local context. We then establish some notation on loop groups, Beilinson–Drinfeld affine Grassmannians, and their affine Schubert varieties, as well as recall basic facts about these objects. Nothing in this section is new.

1.1. Let F be a local field of characteristic p > 0, and write \mathbb{F}_q for its residue field. Fix a separable closure \overline{F} of F, and write Γ_F for $\operatorname{Gal}(\overline{F}/F)$. Choose a uniformizer z of \mathcal{O}_F , which yields an identification $\mathcal{O}_F = \mathbb{F}_q[\![z]\!]$. Let G be a parahoric group scheme over \mathcal{O}_F as in [8, 5.2.6].

It will be convenient to use the following globalization of our local setup, although we will see that our constructions are independent of this globalization.

Lemma. There exists a geometrically connected smooth proper curve C over \mathbb{F}_q , a nonempty open subspace $U \subseteq C$, a parahoric group scheme G_C over C as in [39, Definition 2.18], a closed point v of C, and an isomorphism $\widehat{\mathcal{O}}_{C,v} \cong \mathcal{O}_F$ such that

a) $G_C|_U$ is reductive over U,

b) $G_C|_{\mathcal{O}_v}$ is identified with G as group schemes over $\widehat{\mathcal{O}}_{C,v} \cong \mathcal{O}_F$.

Moreover, there exists an SL_h -bundle \mathscr{V} on C and a closed embedding

 $\iota: G_C \to \underline{\operatorname{Aut}}(\mathscr{V})$

of group schemes over C such that $\underline{\operatorname{Aut}}(\mathscr{V})/G_C$ is quasi-affine over C.

Proof. By [39, Lemma 3.1], there exists a connected smooth curve \mathring{C} over \mathbb{F}_q , a smooth affine group scheme \mathring{G} over \mathring{C} with geometrically connected fibers, a closed point v of \check{C} , and an isomorphism $\widehat{\mathscr{O}}_{C,v} \cong \mathscr{O}_F$ such that $\check{G}|_{\check{C} \smallsetminus v}$ is reductive over $\mathring{C} \setminus v$ and $\mathring{G}|_{\widehat{\mathcal{O}}_{C,v}}$ is identified with G as group schemes over $\widehat{\mathcal{O}}_{C,v} \cong \mathcal{O}_F$. Because \mathring{C} has an \mathbb{F}_q -point v, it is geometrically connected. Write C for the associated smooth proper curve over \mathbb{F}_q . Fpqc descent and [8, 5.1.9] yield a parahoric group scheme G_C over C as in [39, Definition 2.18] that extends \tilde{G} , so we can take $U = \tilde{C} \setminus v$. Finally, the last claim follows from [3, Proposition 2.2(b)].

1.2. Let us recall some facts about loop groups and affine Grassmannians. Let I be a finite set, and let $S = \operatorname{Spec} R$ be an affine scheme over C^{I} . For all *i* in *I*, write Γ_i for the graph of its *i*-th projection $S \to C$, which is a relative effective Cartier divisor on $C \times S$.

Let I_1, \ldots, I_k be an ordered partition of I. Write $\widehat{\mathcal{O}}_C(S)$ for the ring of global sections of the completion of $\mathscr{O}_{C\times S}$ along $\sum_{i\in I}\Gamma_i$. For all $1\leq j\leq k$, write $\mathscr{O}_C^{j,\circ}(S)$ for the version that is punctured along $\sum_{i \in I_i} \Gamma_i$.

Definition.

- a) Write $L_I^n(G_C)$, $L_I^+(G_C)$, and $L_I^{j,\circ}(G_C)$ for the sheaves over C^I given by sending S to $G_C(\mathcal{O}_{n\sum_{i\in I}\Gamma_i})$, $G_C(\widehat{\mathcal{O}}_C(S))$, and $G_C(\widehat{\mathcal{O}}_C^{j,\circ}(S))$, respectively. b) Write $\operatorname{Gr}_{G_C}^{(I_1,\ldots,I_k)}$ for the sheaf over C^I whose S-points parametrize data con-
- sisting of

i) for all $1 \leq j \leq k$, a *G*-bundle \mathscr{G}_j on Spec $\widehat{\mathcal{O}}_C(S)$,

ii) for all $1 \le j \le k$, an isomorphism of G-bundles

$$\phi_j:\mathscr{G}_j|_{\operatorname{Spec}}\,\widehat{\mathcal{O}}_C^{j,\circ}(S)\xrightarrow{\to}\mathscr{G}_{j+1}|_{\operatorname{Spec}}\,\widehat{\mathcal{O}}_C^{j,\circ}(S),$$

where \mathscr{G}_{k+1} denotes the trivial *G*-bundle.

Write L_z^+G and L_zG for the fiber at v of $L_*^+(G_C)$ and $L_*^{1,\circ}(G_C)$, respectively, where * denotes the singleton set. Also, write $\operatorname{Gr}_{z,G}^k$ for the fiber at v^I of $\operatorname{Gr}_{G_C}^{(\{1\},\ldots,\{k\})}$.

The proof of [19, Lemma 3.2] shows that $L_I^n(G_C)$ is of finite type and affine over C^{I} , so $L^{+}_{I}(G_{C}) = \varprojlim_{n} L^{n}_{I}(G_{C})$ is affine over C^{I} . Recall that $L^{j,\circ}_{I}(G_{C})$ is ind-affine over C^{I} [19, Lemma 3.2(i)], and $\operatorname{Gr}_{G_{C}}^{(I_{1},\ldots,I_{k})}$ is ind-projective over C^{I} [3, Proposition 3.12]. Also, note that L_z^+G , L_zG , and $\operatorname{Gr}_{z,G}^k$ are independent of the globalization from Lemma 1.1.

1.3.The following lemmas give an alternative description of the Beilinson–Drinfeld affine Grassmannian after completing at a point. Write $\mathbb D$ for the formal scheme Spf \mathcal{O}_F , and recall that Spec yields an anti-equivalence from the category of $\mathbb{F}_q[\zeta_i]_{i \in I}$ algebras where the ζ_i are nilpotent to the category of affine schemes over \mathbb{D}^I . Let $S = \operatorname{Spec} R$ be an affine scheme over \mathbb{D}^{I} .

Lemma. The direct system $(n \sum_{i \in I} \Gamma_i)_{n \geq 0}$ of schemes over $C \times S$ is naturally isomorphic to $(nv \times S)_{n \ge 0}$. Consequently, $\widehat{\mathcal{O}}_C(S)$ is naturally isomorphic to $R[\![z]\!]$, and $\widehat{\mathcal{O}}_C^{j,\circ}(S) = \widehat{\mathcal{O}}_C(S)[\frac{1}{z-\zeta_i}]_{i \in I_j} = R[\![z]\!][\frac{1}{z-\zeta_i}]_{i \in I_j}$ is naturally isomorphic to $R(\!(z)\!)$. *Proof.* As nilpotent thickenings are étale-local and C is smooth at v, it suffices to replace C with $\mathbb{A}_{\mathbb{F}_q}^1 = \operatorname{Spec} \mathbb{F}_q[z]$ and v with the origin. Then $n \sum_{i \in I} \Gamma_i$ is the vanishing locus of $\prod_{i \in I} (z - \zeta_i)^n$ in $C \times S = \operatorname{Spec} R[z]$, and $nv \times S$ is the vanishing locus of z^n in $C \times S$. Choose positive integers n_i such that $\zeta_i^{n_i} = 0$ in R.

Set $N_1 := \sum_{i \in I} n + n_i - 1$ and $N_2 := n + \max_{i \in I} \{n_i\} - 1$. On $n \sum_{i \in I} \Gamma_i$, we have

$$z^{N_1} = \prod_{i \in I} ((z - \zeta_i) + \zeta_i)^{n+n_i-1} = \prod_{i \in I} \sum_{l=0}^{n+n_i-1} {n+n_i-1 \choose l} (z - \zeta_i)^l \zeta_i^{n+n_i-1-l} = 0,$$

so $n \sum_{i \in I} \Gamma_i$ lies in $N_1 v \times S$. Conversely, on $nv \times S$, we see that

$$\prod_{i \in I} (z - \zeta_i)^{N_2} = \prod_{i \in I} \sum_{l=0}^{N_2} {N_2 \choose l} z^l \zeta_i^{N_2 - l} = 0,$$

so $nv \times S$ lies in $N_2 \sum_{i \in I} \Gamma_i$.

1.4. Write $\widehat{\operatorname{Gr}}_{G}^{(I_1,\ldots,I_k)}$ for the formal completion of $\operatorname{Gr}_{G_C}^{(I_1,\ldots,I_k)}$ along v^I in C^I .

Lemma. Our $\widehat{\operatorname{Gr}}_{G}^{(I_1,\ldots,I_k)}$ is an ind-projective ind-scheme over \mathbb{D}^I , and it is naturally isomorphic to $\operatorname{Gr}_{z,G}^k|_{\mathbb{D}^I}$.

Thus $\widehat{\operatorname{Gr}}_G^{(I_1,\ldots,I_k)}$ is independent of the globalization from Lemma 1.1.

Proof. This follows immediately from Lemma 1.3.

1.5. We now introduce affine Schubert varieties. Write $\overline{\mathbb{F}_q(C)}$ for the separable closure of $\mathbb{F}_q(C)$ in \overline{F} , and write $\Gamma_{\mathbb{F}_q(C)}$ for $\operatorname{Gal}(\overline{\mathbb{F}_q(C)}/\mathbb{F}_q(C))$. Let T be a maximal subtorus of $G_C|_{\mathbb{F}_q(C)}$, and write $X^+_*(T)$ for the set of dominant cocharacters of $T_{\overline{\mathbb{F}_q(C)}}$ with respect to a fixed Borel subgroup $B \subseteq G_C|_{\overline{\mathbb{F}_q(C)}}$ containing $T_{\overline{\mathbb{F}_q(C)}}$. Identify $X^+_*(T)$ with the set of conjugacy classes of cocharacters of $G_C|_{\overline{\mathbb{F}_q(C)}}$.

Let $\mu_{\bullet} = (\mu_i)_{i \in I}$ be in $X^+_*(T)^I$. Identify the field of definition of μ_i with $\mathbb{F}_q(C_i)$ for some finite cover $C_i \to C$ that is étale over U, and write U_i for the preimage of U in C_i . Note that the closure F_i of $\mathbb{F}_q(C_i)$ in \overline{F} equals the completion of $\mathbb{F}_q(C_i)$ at the closed point v_i of C_i above v induced by $\overline{\mathbb{F}_q(C)} \to \overline{F}$. Write \mathbb{D}_i for $\mathrm{Spf} \mathcal{O}_{F_i}$.

Definition.

- a) Write $\operatorname{Gr}_{G_C,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}U_i} \subseteq \operatorname{Gr}_{G_C}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}U_i}$ for the associated closed affine Schubert variety, and write $\operatorname{Gr}_{G_C,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i}$ for its closure in $\operatorname{Gr}_{G_C}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i}$.
- b) Write $\widehat{\operatorname{Gr}}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ for the formal completion of $\operatorname{Gr}_{G_C,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i}$ along $\prod_{i\in I}v_i$ in $\prod_{i\in I}C_i$.
- c) When I = *, write $\operatorname{Gr}_{z,G,\mu}^1|_{v_*}$ for the fiber at v_* of $\operatorname{Gr}_{G_C,\mu}^{(*)}|_{C_*}$.

Recall that $\operatorname{Gr}_{G_C,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i}$ is a projective scheme over $\prod_{i\in I}C_i$, and the natural $L_I^+(G_C)$ -action on $\operatorname{Gr}_{G_C,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i}$ factors through $L_I^n(G_C)$ for large enough n [33, Proposition 1.10]². Therefore $\widehat{\operatorname{Gr}}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ is a formal scheme that is formally of finite type and adic over $\prod_{i\in I}\mathbb{D}_i$, and its special fiber is projective

²While [33, Proposition 1.10] only treats the case of split G, it extends to the general case. Indeed, this is already implicitly used in [33, (12.10)].

over $\prod_{i \in I} v_i$. Also, the proof of [50, Lemma 3.2] shows that $\widehat{\operatorname{Gr}}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i \in I} \mathbb{D}_i}$ is independent of the globalization from Lemma 1.1.

1.6. Recall that we have an isomorphism

$$\operatorname{Gr}_{z,G}^k \xrightarrow{\sim} (\operatorname{Gr}_{z,G}^1)^k$$

given by $((\mathscr{G}_j)_{j=1}^k, (\phi_j)_{j=1}^k) \mapsto ((\mathscr{G}_k, \phi_k), \dots, (\mathscr{G}_1, \phi_k \circ \dots \circ \phi_1)).$

Definition. Under this identification, write $\operatorname{Gr}_{z,\operatorname{SL}_h,m}^k$ for the closed subsheaf of $\operatorname{Gr}_{z,\operatorname{SL}_h}^k$ corresponding to $(\operatorname{Gr}_{z,\operatorname{SL}_h,m2\rho^{\vee}}^)^k \subseteq (\operatorname{Gr}_{z,\operatorname{SL}_h}^1)^k$, where $2\rho^{\vee}$ denotes the sum of positive coroots in SL_h .

By 1.5, we see that $\operatorname{Gr}_{z,\operatorname{SL}_{h,m}}^{k}$ is a projective scheme over \mathbb{F}_{q} .

1.7. We conclude by showing that, after pulling back to the loop group, affine Schubert varieties are affine. Write $L_I(G_C)_{\mu \bullet}|_{\prod_{i \in I} C_i}$ for the pullback of

$$\operatorname{Gr}_{G_C,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i}$$

under the natural morphism $\prod_{j=1}^{k} L_{I}^{j,\circ}(G_{C}) \to \operatorname{Gr}_{G_{C}}^{(I_{1},\ldots,I_{k})}$.

Lemma. Our $L_I(G_C)_{\mu_{\bullet}}|_{\prod_{i\in I} C_i}$ is affine over $\prod_{i\in I} C_i$.

 $\begin{array}{l} Proof. \text{ Because } \underline{\operatorname{Aut}}(\mathscr{V})/G_C \text{ is quasi-affine over } C, \text{ the proof of [51, Proposition 1.2.6] shows that } \iota_*: \operatorname{Gr}_{G_C}^{(I_1,...,I_k)} \to \operatorname{Gr}_{\operatorname{SL}_{h,C}}^{(I_1,...,I_k)} \text{ is a locally closed embedding. Now 1.5 indicates that } \operatorname{Gr}_{G_C,\mu_{\bullet}}^{(I_1,...,I_k)} |_{\prod_{i \in I} C_i} \text{ is a quasi-compact scheme, so [24, Lemma 5.4]} \\ \text{implies that its image under } \iota_* \text{ lies in } \operatorname{Gr}_{\operatorname{SL}_{h,C}}^{(I_1,...,I_k)} |_{\prod_{i \in I} C_i} \text{ for large enough } m. \\ \text{Since } \operatorname{Gr}_{G_C,\mu_{\bullet}}^{(I_1,...,I_k)} |_{\prod_{i \in I} C_i} \text{ is projective over } \prod_{i \in I} C_i \text{ by 1.5 and } \iota_* \text{ is a monomorphism, we see that } \iota_*: \operatorname{Gr}_{G_C,\mu_{\bullet}}^{(I_1,...,I_k)} |_{\prod_{i \in I} C_i} \to \operatorname{Gr}_{\operatorname{SL}_{h,C},(m2\rho^{\vee})_{i \in I}}^{(I_1,...,I_k)} |_{\prod_{i \in I} C_i} \text{ is a closed embedding. Combined with the fact that } L_I^+(G_C) \to L_I^+(\operatorname{SL}_{h,C}) \text{ is a closed embedding. Now the argument in the proof of [2, Lemma 4.23] shows that \\ L_I(\operatorname{SL}_{h,C})_{(m2\rho^{\vee})_{i \in I}} \text{ is affine over } C^I, \text{ so } L_I(G_C)_{\mu_{\bullet}} |_{\prod_{i \in I} C_i} \text{ is affine over } \prod_{i \in I} C_i \text{ is affine over } \prod_{i \in I} C_i. \end{bmatrix}$

2. Formal moduli of local shtukas

To define the uniformization morphism via Beauville–Laszlo gluing in §5, we need a formal variant of the moduli of local shtukas. Moreover, to show that the uniformization morphism is étale (after passing to generic fibers), we need some finitude properties of this formal moduli. Accomplishing these tasks is the goal of this section.

We start by defining local shtukas and their quasi-isogenies in the formal setting. After proving a rigidity property for quasi-isogenies, we define the formal moduli problem, and we dedicate the rest of this section to proving that it gives a formal scheme that is locally formally of finite type over \mathbb{D}^{I} .

Our strategy ultimately harks back to Rapoport–Zink's proof [37] of the analogous property for Rapoport–Zink spaces. The equicharacteristic incarnation of this argument is heavily based on work of Hartl–Viehmann [24] and Arasteh Rad–Hartl [2], although we generalize their results to the case of arbitrarily many legs. 2.1. Later, it will be useful to work in the following generality. Let R be a topological $\mathbb{F}_q[\![\zeta_i]\!]_{i\in I}$ -algebra that is adic with finitely generated ideal of definition, and write $S \coloneqq \operatorname{Spf} R$. Write $\tau: S \to S$ for the absolute q-Frobenius endomorphism. By abuse of notation, we also write $\tau: R[\![z]\!] \to R[\![z]\!]$ for the canonical lift of absolute q-Frobenius. We use $^{\tau}(-)$ to denote pullback by τ .

Write $R[z, \frac{1}{z})$ for the completion of R((z)) with respect to the topology induced from R. We now define *local G-shtukas*.

Definition.

- a) A local G-shtuka over S consists of
 - i) for all $1 \le j \le k$, a *G*-bundle \mathscr{G}_j on Spec R[[z]],
 - ii) for all $1 \le j \le k$, an isomorphism of G-bundles

$$\phi_j:\mathscr{G}_j|_{\operatorname{Spec} R[\![z]\!][\frac{1}{z-\zeta_i}]_{i\in I_j}} \xrightarrow{\sim} \mathscr{G}_{j+1}|_{\operatorname{Spec} R[\![z]\!][\frac{1}{z-\zeta_i}]_{i\in I_j}},$$

where \mathscr{G}_{k+1} denotes the *G*-bundle ${}^{\tau}\mathscr{G}_1$.

b) Suppose that S lies over $\prod_{i \in I} \mathbb{D}_i$, and let $\mathscr{G} = ((\mathscr{G}_j)_{j=1}^k, (\phi_j)_{j=1}^k)$ be a local shtuka over S. We say that \mathscr{G} is bounded by μ_{\bullet} if, for any affine étale cover $\operatorname{Spf} \widetilde{R} \to S$ with ${}^{\tau}\mathscr{G}_1|_{\operatorname{Spec} \widetilde{R}[\![z]\!]}$ trivial and any trivialization $t : {}^{\tau}\mathscr{G}_1|_{\operatorname{Spec} \widetilde{R}[\![z]\!]} \xrightarrow{\sim} G$, the $\operatorname{Spf} \widetilde{R}$ -point of $\operatorname{Gr}_G^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \mathbb{D}_i}$ given by

lies in $\widehat{\operatorname{Gr}}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$, using the description of $\widehat{\operatorname{Gr}}_G^{(I_1,\ldots,I_k)}$ from Lemma 1.4.

It suffices to check Definition 2.1.b) for a single Spf $\widetilde{R} \to S$ and t.

2.2. For the rest of this section, assume that R is discrete, so that the ζ_i are nilpotent in R and $S = \operatorname{Spec} R$. In this setting, we use the following notion of quasi-isogenies.

Definition. Let \mathscr{G} and \mathscr{G}' be local *G*-shtukas over *S*.

a) A quasi-isogeny from \mathscr{G} to \mathscr{G}' consists of, for all $1 \leq j \leq k$, an isomorphism of G-bundles

$$\delta_j : \mathscr{G}_j|_{\operatorname{Spec} R((z))} \xrightarrow{\sim} \mathscr{G}'_j|_{\operatorname{Spec} R((z))}$$

such that the diagram

$$\begin{aligned} \mathscr{G}_{j}|_{\operatorname{Spec} R((z))} & \xrightarrow{\phi_{j}} \mathscr{G}_{j+1}|_{\operatorname{Spec} R((z))} \\ & \downarrow^{\delta_{j}} & \downarrow^{\delta_{j+1}} \\ \mathscr{G}'_{j}|_{\operatorname{Spec} R((z))} & \xrightarrow{\phi'_{j}} \mathscr{G}'_{j+1}|_{\operatorname{Spec} R((z))} \end{aligned}$$

commutes, where δ_{k+1} denotes the isomorphism ${}^{\tau}\delta_1$, and we use Lemma 1.3 to identify $R[\![z]\!][\frac{1}{z-\zeta_i}]_{i\in I_j}$ with $R(\!(z)\!)$.

b) Let *m* be a non-negative integer, and let δ be a quasi-isogeny from \mathscr{G} to \mathscr{G}' . We say that δ is *bounded by m* if, for all $1 \leq j \leq k$, the morphism $\iota_*(\delta_j)$ yields a point of $[L_z^+ \operatorname{SL}_h \backslash \operatorname{Gr}_{z,\operatorname{SL}_h, m2\rho^{\vee}}]$.

Since L_z^+G -bundles on Spec R are trivial after an étale cover, [24, Lemma 5.4] implies that any quasi-isogeny is bounded by m for large enough m.

2.3. We will need the following quantitative version of the rigidity of quasi-isogenies. Let J be an ideal of R satisfying $J^n = 0$, and write $\iota : \overline{S} \to S$ for the associated closed embedding.

Proposition. For all local G-shtukas \mathcal{G} and \mathcal{G}' over S, pullback yields a bijection

{quasi-isogenies from \mathscr{G} to \mathscr{G}' } $\xrightarrow{\sim}$ {quasi-isogenies from $1^*\mathscr{G}$ to $1^*\mathscr{G}'$ }.

Moreover, suppose that S lies over $\prod_{i \in I} \mathbb{D}_i$ and that \mathscr{G} and \mathscr{G}' are bounded by μ_{\bullet} . There exists a non-negative integer B such that, if $1^*\delta$ is bounded by m, then δ is bounded by $m + B\lceil \log_a n \rceil$.

Proof. By induction, it suffices to consider the n = q case. There $\tau : S \to S$ factors as $1 \circ j$ for a unique morphism $j : S \to \overline{S}$, so for any quasi-isogeny δ from \mathscr{G} to \mathscr{G}' , we get $\tau \delta_1 = j^* i^* \delta_1$. Hence the commutative square

$$\begin{aligned} \mathscr{G}_{k}|_{\operatorname{Spec} R((z))} & \stackrel{\varphi_{k}}{\longrightarrow} {}^{\tau}\mathscr{G}_{1}|_{\operatorname{Spec} R((z))} ,\\ & \downarrow^{\delta_{k}} & \downarrow^{\tau}\delta_{1} \\ \mathscr{G}'_{k}|_{\operatorname{Spec} R((z))} & \stackrel{\phi'_{k}}{\longrightarrow} {}^{\tau}\mathscr{G}'_{1}|_{\operatorname{Spec} R((z))} \end{aligned}$$

enables us to recover δ_k from $i^*\delta_1$, where we use Lemma 1.3 to identify $R[\![z]\!][\frac{1}{z-\zeta_i}]_{i\in I_k}$ with R((z)). From here, we similarly recover δ_j for $1 \leq j \leq k-1$, showing that pullback by i is injective on quasi-isogenies. Considering the same squares over \overline{S} also shows that pullback by i is surjective on quasi-isogenies.

Next, suppose that S lies over $\prod_{i \in I} \mathbb{D}_i$ and that \mathscr{G} and \mathscr{G}' are bounded by μ_{\bullet} . If $i^*\delta$ is bounded by m, then its pullback $j^*i^*\delta_1 = {}^{\tau}\delta_1$ is as well. Because ϕ_k and ϕ'_k are bounded by $(\mu_i)_{i \in I_k}$, where the relative position bound is taken with respect to the $(z - \zeta_i)$ for i in I_k , a quasi-compactness argument shows that there exists a non-negative integer B such that δ_k is bounded by m + B. For $1 \leq j \leq k - 1$, applying the same argument to δ_j indicates that, after increasing B by an amount depending only on μ_{\bullet} , our δ_j is also bounded by m + B.

2.4. We now define the formal moduli of local G-shtukas.

Definition. Write $\mathfrak{LocGht}_G^{(I_1,\ldots,I_k)}$ for the sheaf over \mathbb{D}^I whose S-points parametrize data consisting of

i) a local *G*-shtuka \mathscr{G} over *S*,

ii) a quasi-isogeny δ from \mathscr{G} to the trivial local *G*-shtuka $G = ((G)_{j=1}^k, (\mathrm{id})_{j=1}^k)$. Write $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ for the subsheaf of $\mathfrak{Loc}\mathfrak{Sht}_G^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ whose *S*-points consist of the (\mathscr{G}, δ) such that \mathscr{G} is bounded by μ_{\bullet} .

Write $f^{\mathfrak{L}} : \mathfrak{LocGht}_{G,\mu_{\bullet}}^{(I_1,\dots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i} \to \prod_{i\in I}\mathbb{D}_i$ for the structure morphism.

2.5. **Proposition.** Our $\mathfrak{Loc}\mathfrak{Sht}_{G}^{(I_1,\ldots,I_k)}$ is naturally isomorphic to $\operatorname{Gr}_{z,G}^k|_{\mathbb{D}^I}$ over \mathbb{D}^I , and $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ is a closed subsheaf of $\mathfrak{Loc}\mathfrak{Sht}_{G}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$.

Proof. In Definition 2.4, note that i) and ii) are uniquely determined by $(\mathscr{G}_j)_{j=1}^k$, $(\phi_j)_{j=1}^{k-1}$, and δ_k . This is precisely the data parametrized by $\operatorname{Gr}_{z,G}^k|_{\mathbb{D}^I}$, which proves the first claim. The second claim follows from the fact that $\widehat{\operatorname{Gr}}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ is a closed subsheaf of $\widehat{\operatorname{Gr}}_G^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$; see the proof of [2, Proposition 4.11].

2.6. First, we naively stratify $\mathfrak{LocGht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ by bounding the quasi-isogeny. Write $\mathfrak{LocGht}_{G,\mu_{\bullet},m}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ for the subsheaf of $\mathfrak{LocGht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ whose *S*-points consist of the (\mathscr{G},δ) such that δ is bounded by *m*.

Proposition. Our $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},m}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ is a formal scheme that is formally of finite type and adic over $\prod_{i\in I}\mathbb{D}_i$, its reduced subscheme is projective over $\prod_{i\in I}v_i$, and $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ equals the direct limit $\varinjlim_m \mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},m}^{(I_1,\ldots,I_k)}|_{\prod_{i\in D}_i}$.

Proof. Note that we have a Cartesian square



where we use Proposition 2.5 to identify $\mathfrak{Loc}\mathfrak{Sht}_{\mathrm{SL}_{h}}^{(I_{1},...,I_{k})}|_{\prod_{i\in I}\mathbb{D}_{i}}$ with $\operatorname{Gr}_{z,\mathrm{SL}_{h}}^{k}|_{\prod_{i\in I}\mathbb{D}_{i}}$. Because SL_{h}/G is quasi-affine over \mathcal{O}_{F} , Proposition 2.5 and [51, Proposition 1.2.6] show that $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_{1},...,I_{k})}|_{\prod_{i\in I}\mathbb{D}_{i}} \to \operatorname{Gr}_{z,\mathrm{SL}_{h}}^{k}|_{\prod_{i\in I}\mathbb{D}_{i}}$ is a closed embedding. Therefore its pullback $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},m}^{(I_{1},...,I_{k})}|_{\prod_{i\in I}\mathbb{D}_{i}} \to \operatorname{Gr}_{z,\mathrm{SL}_{h},m}^{k}|_{\prod_{i\in I}\mathbb{D}_{i}}$ is as well. Since

 $\operatorname{Gr}_{z,\operatorname{SL}_{h},m}^{k}|_{\prod_{i\in I}nv_{i}}$

is projective over $\prod_{i \in I} nv_i$ for any positive integer n by 1.6, the same holds for $\mathfrak{LocSht}_{G,\mu,\mathfrak{o},m}^{(I_1,\ldots,I_k)}|_{\prod_{i \in I} nv_i}$. Now the underlying topological space of $\operatorname{Gr}_{z,\operatorname{SL}_h,m}^k|_{\prod_{i \in I} nv_i}$ is independent of n, so the $\mathfrak{LocSht}_{G,\mu,\mathfrak{o},m}^{(I_1,\ldots,I_k)}|_{\prod_{i \in I} nv_i}$ have this property too. From here, [18, (1, 10.6.4)] indicates that $\mathfrak{LocSht}_{G,\mu,\mathfrak{o},m}^{(I_1,\ldots,I_k)}|_{\prod_{i \in I} \mathbb{D}_i}$ is a noetherian formal scheme that is adic over $\prod_{i \in I} \mathbb{D}_i$. Therefore its reduced subscheme equals that of $\mathfrak{LocSht}_{G,\mu,\mathfrak{o},m}^{(I_1,\ldots,I_k)}|_{\prod_{i \in I} v_i}$, which is projective over $\prod_{i \in I} v_i$, so $\mathfrak{LocSht}_{G,\mu,\mathfrak{o},m}^{(I_1,\ldots,I_k)}|_{\prod_{i \in I} \mathbb{D}_i}$ is formally of finite type over $\prod_{i \in I} \mathbb{D}_i$. Finally, last statement follows from $\operatorname{Gr}_{z,\operatorname{SL}_h}^k$ equaling the direct limit $\varinjlim_m \operatorname{Gr}_{z,\operatorname{SL}_h,m}^k$.

2.7. To obtain a better stratification of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$, we need the following algebraization lemma. Briefly, relax our assumption that R is discrete, since we will also use this lemma later. Let $(S_l)_{l\geq 0}$ be a direct system of affine schemes $S_l = \operatorname{Spec} R_l$ over $\prod_{i\in I}\mathbb{D}_i$ such that

i) the morphisms $S_l \to S_{l'}$ are closed embeddings,

ii) the associated ideals $\ker(R_{l'} \to R_l)$ are nilpotent.

Take R to be the ring $\lim_{l \to I} R_l$, and endow R with a topological ring structure such that $\mathbb{F}_q[\![\zeta_i]\!]_{i \in I} \to R$ is continuous, the $R \to R_l$ are continuous for the discrete topology on R_l , and R is adic with finitely generated ideal of definition.

Lemma. Pullback yields an equivalence of groupoids

$$\left\{\begin{array}{l} local \ G\text{-}shtukas \ over \\ S \ bounded \ by \ \mu_{\bullet}\end{array}\right\} \xrightarrow{\sim} \varprojlim_{l} \left\{\begin{array}{l} local \ G\text{-}shtukas \ over \\ S_{l} \ bounded \ by \ \mu_{\bullet}\end{array}\right\}.$$

Proof. Let $(\mathscr{G}^l)_{l\geq 0}$ be a compatible system of local *G*-shtukas over S_l bounded by μ_{\bullet} . We can form the *G*-bundles $\mathscr{G}_j := \varprojlim_l \mathscr{G}_j^l$ on Spec $R[\![z]\!]$, so now we just need to form the isomorphisms ϕ_j .

Let Spec $\widetilde{R}_0 \to S_0$ be an affine étale cover where $\mathscr{G}_j^0|_{\operatorname{Spec} \widetilde{R}_0[\![z]\!]}$ is trivial for all $1 \leq j \leq k$, and fix trivializations of the $\mathscr{G}_j^0|_{\operatorname{Spec} \widetilde{R}_0[\![z]\!]}$. By ii), there exists a unique affine étale cover Spec $\widetilde{R}_l \to S_l$ whose pullback to S_0 is Spec \widetilde{R}_0 , and there also exist compatible systems of trivializations of the $\mathscr{G}_j^l|_{\operatorname{Spec} \widetilde{R}_l[\![z]\!]}$ [24, Proposition 2.2(c)]³. Under these identifications, the $(\phi_j^l)_{\widetilde{R}_l((z))}$ correspond to compatible systems of b_j^l in $G(\widehat{\mathcal{O}}_C^{j,\circ}(\operatorname{Spec} \widetilde{R}_l))$, where we use Lemma 1.3 to identify $\widetilde{R}_l((z))$ with $\widehat{\mathcal{O}}_C^{j,\circ}(\operatorname{Spec} \widetilde{R}_l)$.

For all *i* in *I*, let V_i be an affine neighborhood of v_i in C_i . Because the \mathscr{G}^l are bounded by μ_{\bullet} , our $(b_j^l)_{j=1}^k$ yield \widetilde{R}_l -points of $L_I(G_C)_{\mu_{\bullet}}|_{\prod_{i\in I} V_i}$. The latter is affine by Lemma 1.7, so the compatible system of $(b_j^l)_{j=1}^k$ yields an $\widetilde{R} := \varprojlim_l \widetilde{R}_l$ point $(b_j)_{j=1}^k$ of $L_I(G_C)|_{\prod_{i\in I} V_i}$. By construction, the resulting local *G*-shtuka $\widetilde{\mathscr{G}} := ((\mathscr{G}_j|_{\text{Spec } \widetilde{R}[\![z]\!]})_{j=1}^k, (b_j)_{j=1}^k)$ over $\text{Spec } \widetilde{R}$ is bounded by μ_{\bullet} . Since the $(\phi_j^l)_{\widetilde{R}_l((z))}$ and thus b_j^l are compatible with the descent data of \mathscr{G}_j^l from $\text{Spec } \widetilde{R}_l$ to S_l , we see that the b_j are compatible with the descent data of \mathscr{G}_j from $\text{Spec } \widetilde{R}$ to S. Hence $\widetilde{\mathscr{G}}$ naturally descends to a local *G*-shtuka \mathscr{G} over *S* bounded by μ_{\bullet} , as desired. \Box

2.8. Resume our assumption that R is discrete. The following stratification of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ has the advantage of being closed under formal completion. Write $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},\widehat{m}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ for the formal completion of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ along the reduced subscheme of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},m}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$.

Lemma. Our $\mathfrak{LocGht}_{G,\mu_{\bullet},\widehat{m}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ is a formal scheme that is formally of finite type over $\prod_{i\in I}\mathbb{D}_i$.

Proof. Proposition 2.6 and [24, Lemma 5.4] imply that $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu\bullet,\widehat{m}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ equals the direct limit $\underline{\lim}_l \mathfrak{Loc}\mathfrak{Sht}_{G,\mu\bullet,\widehat{m},l}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$, where $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu\bullet,\widehat{m},l}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ denotes the formal completion of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu\bullet,m+l}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ along the reduced subscheme of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu\bullet,m}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$. The reduced subscheme of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu\bullet,m}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ is quasi-compact by Proposition 2.6, so it is covered by finitely many affine open subschemes U. Proposition 2.6 indicates that $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu\bullet,\widehat{m},l}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ is a noetherian formal scheme with the same reduced subscheme as $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu\bullet,m}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$, so Ucorresponds to an affine open formal subscheme $\mathfrak{U}_l = \mathfrak{Spt} A_l$ of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu\bullet,\widehat{m},l}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$.

The above shows that $\varinjlim_{l} \mathfrak{U}_{l}$ is an open subsheaf of $\mathfrak{LocGht}_{G,\mu_{\bullet},\widehat{m}}^{(I_{1},\ldots,I_{k})}|_{\prod_{i\in I}\mathbb{D}_{i}}$. Thus it suffices to prove that $\varinjlim_{l} \mathfrak{U}_{l}$ is an affine formal scheme that is formally of finite type over $\prod_{i\in I} \mathbb{D}_{i}$. Because the inclusion morphisms

$$\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},\widehat{\mathfrak{m}},l}^{(I_{1},\ldots,I_{k})}|_{\prod_{i\in I}\mathbb{D}_{i}}\to\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},\widehat{\mathfrak{m}},l'}^{(I_{1},\ldots,I_{k})}|_{\prod_{i\in I}\mathbb{D}_{i}}$$

are closed embeddings, the maps $A_{l'} \to A_l$ are surjective. Write $A := \varprojlim_l A_l$. Write J_0 for the largest ideal of definition of A_0 , and write J for its preimage in A.

³While [24] only treats split reductive G, the proof immediately adapts to any smooth G.

For any positive integer c, we claim that $A_{l'}/J^c \to A_l/J^c$ is an isomorphism for large enough l and l'. Note that $A_{l'}/J^c \to A_l/J^c$ is surjective with nilpotent kernel, and the Mittag-Leffler criterion implies that $A/J^c = \lim_{i \to l} A_l/J^c$. Endow A/J^c with the discrete topology. Because the ζ_i vanish in $A/J = A_0/J_0$, the ζ_i are nilpotent in A/J^c , so $\mathbb{F}_q[\zeta_i]_{i \in I} \to A/J^c$ is continuous. Altogether we can apply Lemma 2.7 to the local G-shtukas \mathscr{G}^l over Spec A_l/J^c obtained from the morphism

$$\operatorname{Spec} A_l/J^c \to \operatorname{Spf} A_l = \mathfrak{U}_l \subseteq \mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},\widehat{m},l}^{(I_1,\dots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$$

to get a local *G*-shtuka \mathscr{G} over $\operatorname{Spec} A/J^c$ bounded by μ_{\bullet} . Next, consider the quasi-isogeny δ^0 obtained from $\operatorname{Spec} A_0/J_0 \to \mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},\widehat{m},0}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \mathbb{D}_i}$. Proposition 2.3 uniquely lifts δ^0 to a quasi-isogeny δ from \mathscr{G} to *G*, which implies that the resulting A/J^c -point (\mathscr{G}, δ) of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \mathbb{D}_i}$ lies in $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},\widehat{m}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \mathbb{D}_i}$. Therefore [24, Lemma 5.4] indicates that (\mathscr{G}, δ) lies in $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},\widehat{m},l}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \mathbb{D}_i}$ for large enough l. Pulling back to $\operatorname{Spec} A_0/J_0$ shows that (\mathscr{G}, δ) even lies in $\operatorname{Spec} A_{l'}/J^c$ equals $(\mathscr{G}^l, \delta^l)$, so $A_{l'} \to A_l \to A/J^c \to A_{l'}/J^c$ equals the quotient map. Quotienting by the image of J^c in A_l shows that $A_{l'}/J^c \to A_l/J^c$ is an isomorphism, which concludes our proof of the claim.

Write $\mathfrak{a}_l := \ker(A \to A_l)$. The claim indicates that the ideals $\mathfrak{a}_l + J^c$ of A stabilize for any positive integer c, and because the A_l are noetherian, we see that the $\operatorname{im}(J/J^2 \to A_l/J^2) = J/(J^2 + \mathfrak{a}_l)$ are finite over A. Therefore [37, proposition (2.5)] shows that A with the inverse limit topology is noetherian and J-adic, which implies that $\lim_{i \in I} \mathfrak{U}_i = \operatorname{Spf} A$. Finally, the reduced subscheme of $\operatorname{Spf} A$ is of finite type over $\prod_{i \in I} v_i$ by Proposition 2.6, so $\operatorname{Spf} A$ is formally of finite type over \mathbb{D}_i . \Box

2.9. We can use the quasi-isogeny to define the following distance function.

Definition. Let K be a field over \mathbb{F}_q , and let $x = (\mathscr{G}, \delta)$ and $x' = (\mathscr{G}', \delta')$ be K-points of $\mathfrak{Loc}\mathfrak{Sht}_G^{(I_1,\ldots,I_k)}$. Write d(x, x') for the smallest non-negative integer m such that the quasi-isogeny $\delta^{-1} \circ \delta'$ of local G-shtukas over $\operatorname{Spec} K((z))$ is bounded by m.

2.10. Lemma. As K runs over all fields over \mathbb{F}_q , the maps d induce a metric on the underlying set $|\mathfrak{LocSht}_G^{(I_1,\ldots,I_k)}|$. For any x in $|\mathfrak{LocSht}_G^{(I_1,\ldots,I_k)}|$ and non-negative integer r, the associated closed ball $B_r(x)$ of radius r centered at x is closed with respect to the Zariski topology on $|\mathfrak{LocSht}_G^{(I_1,\ldots,I_k)}|$.

Proof. We immediately see that d is insensitive to field extensions, so d induces a map $|\mathfrak{LocGht}_{G}^{(I_1,\ldots,I_k)}| \times |\mathfrak{LocGht}_{G}^{(I_1,\ldots,I_k)}| \to \mathbb{Z}_{\geq 0}$. Since relative position bounds along the same divisor are sub-additive under composition, d satisfies the triangle inequality, and because $2\rho^{\vee}$ is fixed by the Chevalley involution, d is symmetric. Next, if d(x, x') = 0, then $\iota_*(\delta_j^{-1} \circ \delta'_j)$ extends to an isomorphism of SL_h -bundles on Spec $K[\![z]\!]$ for all $1 \leq j \leq k$. Since ι is a monomorphism, this implies that the $\delta_j^{-1} \circ \delta'_j$ extend to isomorphisms of G-bundles on Spec $K[\![z]\!]$, so x = x'. For the last statement, note that $B_r(x)$ equals, on the level of topological spaces, the preimage of the closed substack $[L_z^+ \mathrm{SL}_h \backslash \mathrm{Gr}_{z,\mathrm{SL}_h, r2\rho^{\vee}}^-]^k$ under the morphism

$$\mathfrak{Loc}\mathfrak{Sht}_{G}^{(I_{1},\ldots,I_{k})} \to [L_{z}^{+} \operatorname{SL}_{h} \backslash \operatorname{Gr}_{z,\operatorname{SL}_{h}}^{1}]^{k}$$
given by $(\mathscr{G}', \delta') \mapsto (\iota_{*}(\delta_{j}^{-1} \circ \delta_{j}'))_{j=1}^{k}$.

2.11. All points of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ are close enough to one defined over a fixed finite field in the following sense.

Lemma. There exists a finite extension $\mathbb{F}_{q'}$ of \mathbb{F}_q and a non-negative integer D such that, for every x in $|\operatorname{\mathfrak{LocSht}}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}|$, there exists an $\mathbb{F}_{q'}$ -point y of $\operatorname{\mathfrak{LocSht}}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ satisfying $d(x,y) \leq D$.

Proof. Suppose that x corresponds to a K-point (\mathscr{G}, δ) , where we can assume that K is an algebraically closed field over \mathbb{F}_q . Then \mathscr{G}_j is trivial for all $1 \leq j \leq k$, and after fixing trivializations of the \mathscr{G}_j , our δ_j correspond to g_j in G(K((z))). The commutativity of the diagram

implies that ${}^{\tau} \delta_1^{-1} \circ \delta_1 = \phi_k \circ \cdots \circ \phi_1$, so the image of $\tau(g_1)^{-1}g_1$ in $\operatorname{Gr}_{z,G}^1|_{v_*}$ lies in $\operatorname{Gr}_{z,G,\sum_{i\in I}\mu_i}^1|_{v_*}$. Now 1.5 indicates that $\operatorname{Gr}_{z,G,\sum_{i\in I}\mu_i}^1|_{v_*}$ is a quasi-compact scheme, so [24, Lemma 5.4] shows that its image under ι_* lies in $\operatorname{Gr}_{z,\operatorname{SL}_h,m}^1$ for large enough m. Therefore [34, 2.2.1 (ii)] and [38, (2.1)] yield a non-negative integer Dsuch that, for all such g_1 , there exists h_1 in $G(\mathbb{F}_q((z)))$ such that the image of $g_1h_1^{-1}$ in $\operatorname{Gr}_{z,\operatorname{SL}_h}^1$ lies in $\operatorname{Gr}_{z,\operatorname{SL}_h,D2\rho^{\vee}}$.

If $\sum_{i \in I} \mu_i$ is not a coroot, then $\operatorname{Loc}\operatorname{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i \in I} \mathbb{D}_i}$ is empty, and the result vacuously holds. So assume that $\sum_{i \in I} \mu_i$ is a coroot, which implies that $\operatorname{Gr}_{G,\sum_{i \in I} \mu_i}^{(I)}|_{\prod_{i \in I} v_i}$ contains the image of 1 in $\operatorname{Gr}_G^{(I)}|_{\prod_{i \in I} v_i}$. Since the convolution morphism $\operatorname{Gr}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i \in I} v_i} \to \operatorname{Gr}_{G,\sum_{i \in I} \mu_i}^{(I)}|_{\prod_{i \in I} v_i}$ is of finite type by 1.5 and surjective, its fiber at 1 has an $\mathbb{F}_{q'}$ -point *b* for some finite extension $\mathbb{F}_{q'}$ of \mathbb{F}_q . Next, identify $\operatorname{Gr}_G^{(I_1,\ldots,I_k)}|_{v^I}$ with $\operatorname{Gr}_{z,G}^k|_{v^I}$. Because the fiber of $(L_zG)^k \to \operatorname{Gr}_G^k|_{\prod_{i \in I} v_i}$ at *b* is an $(L_z^+G)^k$ -bundle on $\operatorname{Spec} \mathbb{F}_{q'}$, Lang's lemma indicates that it has an $\mathbb{F}_{q'}$ -point $(b_j)_{j=1}^k$. By construction, the local *G*-shtuka $\mathscr{H} \coloneqq ((G)_{j=1}^k, (b_j)_{j=1}^k)$ over $\operatorname{Spec} \mathbb{F}_{q'}$ is bounded by μ_{\bullet} , and $b_k \cdots b_1$ equals 1 up to right $G(\mathbb{F}_{q'}[[z]])$ -translation. After replacing b_1 with a right $G(\mathbb{F}_{q'}[[z]])$ -translate, we can assume that $b_k \cdots b_1 = 1$. Combined with the fact that $h_1 = \tau(h_1)$, we see that the diagram

$$\begin{array}{c} G \xrightarrow{b_1} \cdots \xrightarrow{b_{k-1}} G \xrightarrow{b_k} G \\ \vdots \\ \vdots \\ h_1 \\ \vdots \\ h_k \\ \vdots \\ f \\ \vdots \\ G \\ \hline \end{array} \xrightarrow{ \begin{array}{c} b_1 \\ \vdots \\ \vdots \\ \end{array}} G \xrightarrow{ \begin{array}{c} b_1 \\ \vdots \\ \vdots \\ \end{array}} G \xrightarrow{ \begin{array}{c} b_1 \\ \vdots \\ \vdots \\ \end{array}} G \xrightarrow{ \begin{array}{c} b_1 \\ \vdots \\ \vdots \\ \end{array}} G \xrightarrow{ \begin{array}{c} b_1 \\ } G \xrightarrow{ \end{array}} G \xrightarrow{ \begin{array}{c} b_1 \\ \end{array}} G \xrightarrow{ \begin{array}{c} b_1 \\ \end{array}} G \xrightarrow{ \end{array}} G \xrightarrow{ \end{array}} G \xrightarrow{ \begin{array}{c} b_1 \\ } G \xrightarrow{ \end{array}} G \xrightarrow{ \end{array}} G \xrightarrow{ \begin{array}{c} c} G \xrightarrow{ } G \xrightarrow{ }$$

commutes for uniquely determined h_2, \ldots, h_k in $G(\mathbb{F}_{q'}((z)))$. Since b_j and ϕ_j are bounded by $\sum_{i \in I_j} \mu_j$ for $1 \leq j \leq k-1$, where the relative position bound is taken with respect to z, a quasi-compactness argument shows that, after increasing D by an amount depending only on μ_{\bullet} , the image of $g_j h_j^{-1}$ in $\operatorname{Gr}_{z,\operatorname{SL}_h}^1$ lies in $\operatorname{Gr}_{z,\operatorname{SL}_h,D2\rho^{\vee}}^1$. Hence the quasi-isogeny $h \coloneqq (h_j)_{j=1}^k$ from \mathscr{H} to G yields an $\mathbb{F}_{q'}$ -point $y \coloneqq (\mathscr{H}, h)$ of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \mathbb{D}_i}$ with $d(x,y) \leq D$, as desired. \Box 2.12. The following theorem is the main result of this section. Write $B_r(x)_{\mu_{\bullet}}$ for the intersection of $B_r(x)$ and $|\mathfrak{LocSht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}|$, and write **1** for the \mathbb{F}_q -point $(G, (\mathrm{id})_{j=1}^k)$ of $\mathfrak{LocSht}_G^{(I_1,\ldots,I_k)}$. Note that $B_m(\mathbf{1})_{\mu_{\bullet}}$ equals $|\mathfrak{LocSht}_{G,\mu_{\bullet},m}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}|$.

Theorem. Our $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ is a formal scheme that is locally formally of finite type over $\prod_{i\in I}\mathbb{D}_i$.

Proof. Let $\mathbb{F}_{q'}$ and D be as in Lemma 2.11. Write Z_m^s for the union

$$\bigcup_{y} B_D(y)_{\mu_{\bullet}} \cap B_m(\mathbf{1})_{\mu_{\bullet}},$$

where y runs over $\mathbb{F}_{q'}$ -points of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ satisfying $d(\mathbf{1},y) \geq s$. The triangle inequality implies that it suffices to take y also satisfying $d(\mathbf{1},y) \leq m+D$. Because $B_{m+D}(\mathbf{1})_{\mu_{\bullet}}$ equals $|\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},m+D}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}|$, Proposition 2.6 implies that there are finitely many such y. Hence Lemma 2.10 indicates that Z_m^s is a a finite union of Zariski closed subsets of $|\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}|$.

Write \mathfrak{U}_m^s for the open formal subscheme of $\mathfrak{LocSht}_{G,\mu\bullet,\widehat{m}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ with underlying topological space given by the complement of Z_m^s . By Lemma 2.8, \mathfrak{U}_m^s is formally of finite type over $\prod_{i\in I}\mathbb{D}_i$. Note that $\mathfrak{LocSht}_{G,\mu\bullet,\widehat{m}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ equals the formal completion of $\mathfrak{LocSht}_{G,\mu\bullet,\widehat{m}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ along the reduced subscheme of $\mathfrak{LocSht}_{G,\mu\bullet,\widehat{m}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$, so \mathfrak{U}_{m+1}^s equals the formal completion of \mathfrak{U}_m^s along the reduced subscheme of \mathfrak{U}_m^s .

For any non-negative integer s, we claim that \mathfrak{U}_m^s stabilizes. The above indicates that it suffices to check this on underlying sets, so suppose that there exists xin $|\mathfrak{U}_{m+1}^s| > |\mathfrak{U}_m^s|$. Lemma 2.11 yields an $\mathbb{F}_{q'}$ -point y of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ satisfying $d(x,y) \leq D$. As x does not lie in \mathbb{Z}_{m+1}^s , we have $d(\mathbf{1},y) < s$, so the triangle inequality yields $m+1 = d(\mathbf{1},x) < s+D$. Hence \mathfrak{U}_m^s stabilizes for $m \geq s+D-1$, which concludes our proof of the claim.

Set $\mathfrak{U}^s \coloneqq \varinjlim_m \mathfrak{U}^s_m$. Proposition 2.6 implies that \mathfrak{U}^s is an open subsheaf of

$$\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$$

The claim shows that \mathfrak{U}^s equals \mathfrak{U}^s_m for large enough m, so \mathfrak{U}^s is formally of finite type over $\prod_{i\in I} \mathbb{D}_i$. Now we just need to prove $\varinjlim_{\mathfrak{U}^s} \mathfrak{U}^s = \mathfrak{LocSht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \mathbb{D}_i}$. It suffices to check this on underlying sets, so take x in $|\mathfrak{LocSht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \mathbb{D}_i}|$. Proposition 2.6 indicates that x lies in

$$|\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet},m}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}|$$

for large enough m, so for all y in $|\mathfrak{LocGht}_G^{(I_1,\ldots,I_k)}|$ such that x lies in $B_D(y)_{\mu_{\bullet}}$, the triangle inequality yields $d(\mathbf{1}, y) \leq m + D$. Therefore x lies in $|\mathfrak{U}^{m+D+1}|$. \Box

2.13. Using representations of the dual group, we can index relative position bounds as follows. Let \widetilde{F} be the finite Galois extension of F such that $\operatorname{Gal}(\widetilde{F}/F)$ equals the image of the Γ_F -action on $X^+_*(T)$. Write $\widetilde{\mathbb{D}}$ for $\operatorname{Spd}\mathcal{O}_{\widetilde{F}}$. Let E be a finite extension of $\mathbb{Q}_\ell(\sqrt{q})$, write \widehat{G} for the dual group of G_F over \mathcal{O}_E , and write LG for $\widehat{G} \rtimes \operatorname{Gal}(\widetilde{F}/F)$. Let V be an object of $\operatorname{Rep}_{E}({}^{L}G)^{I}$. Note that $\coprod_{\mu_{\bullet}} \mathfrak{LocSht}_{G,\mu_{\bullet}}^{(I_{1},\ldots,I_{k})}|_{\widetilde{\mathbb{D}}^{I}}$ naturally descends to a sheaf $\mathfrak{LocSht}_{G,V}^{(I_{1},\ldots,I_{k})}$ over \mathbb{D}^{I} , where μ_{\bullet} runs over highest weights appearing in $V_{\overline{\mathbb{Q}}_{\ell}}|_{\widehat{G}^{I}}$. Theorem 2.12 and descent imply that $\mathfrak{LocSht}_{G,V}^{(I_{1},\ldots,I_{k})}$ is a formal scheme that is locally formally of finite type over \mathbb{D}^{I} .

2.14. Finally, we define partial Frobenii for the formal moduli of local G-shtukas.

Definition. Write $\mathfrak{F}^{(I_1,\ldots,I_k)}$: $\mathfrak{LocSht}^{(I_1,\ldots,I_k)}_{G,V} \to \mathfrak{LocSht}^{(I_2,\ldots,I_k,I_1)}_{G,V}$ for the morphism given by sending

Note that $\mathfrak{F}^{(I_1,\ldots,I_k)}$ lies above the endomorphism of \mathbb{D}^I given by geometric q-Frobenius on the *i*-th factor for *i* in I_1 and the identity on all other factors.

3. Relative z-adic Hodge theory

The local shtukas defined in §2 are (formal) algebraic, while the local shtukas used by Fargues–Scholze [12] are (non-archimedean) analytic in nature. To compare them, we need an equicharacteristic version of Kedlaya–Liu's results [32] on relative *p*-adic Hodge theory. Our goal in this section is to prove the necessary results on *relative z-adic Hodge theory*, in the spirit of work of Hartl [23].

We begin by recalling the equicharacteristic version of Fontaine's period ring A_{inf} . Using a result of Anschütz [1], we prove an algebraization theorem for *G*-bundles on A_{inf} , at least pro-étale locally on the base. Finally, we relate $\underline{G(\mathcal{O}_F)}$ -local systems to *G*-bundles on the equicharacteristic version of the (relative integral) Robba ring equipped with a Frobenius automorphism.

Our arguments closely follow those of Kedlaya–Liu [32] and Scholze–Weinstein [43]. However, we have streamlined and simplified the presentation, both because we only prove what we need as well as because the arithmetic of formal power series is easier than that of Witt vectors.

3.1. Let $S = \operatorname{Spa}(R, R^+)$ be an affinoid perfectoid space over \mathbb{F}_q , and choose a pseudouniformizer ϖ of R. Write \mathcal{Y}_S for the complement of the vanishing locus of ϖ and z in $\operatorname{Spa} R^+[\![z]\!]$, and note that \mathcal{Y}_S is the analytic locus of the pre-adic space $\operatorname{Spa} R^+[\![z]\!]$. We have a continuous map rad : $|\mathcal{Y}_S| \to [0, \infty]$ given by

$$x \mapsto \frac{\log |\varpi(\widetilde{x})|}{\log |z(\widetilde{x})|}$$

where \tilde{x} denotes the unique rank-1 generalization of x in \mathcal{Y}_S . For any closed interval \mathcal{I} in $[0, \infty]$ with rational endpoints, write $\mathcal{Y}_{S,\mathcal{I}} = \operatorname{Spa}(B_{S,\mathcal{I}}, B_{S,\mathcal{I}}^+)$ for the associated rational open subspace of $\operatorname{Spa} R^+[\![z]\!]$, which lies in \mathcal{Y}_S . More generally, for any subset \mathcal{I} of $[0, \infty]$, write $\mathcal{Y}_{S,\mathcal{I}}$ for the open subspace $\bigcup_{\mathcal{I}'} \mathcal{Y}_{S,\mathcal{I}'}$ of \mathcal{Y}_S , where \mathcal{I}' runs over closed intervals in \mathcal{I} with rational endpoints. Note that $\mathcal{Y}_{S,\mathcal{I}} \subseteq \operatorname{rad}^{-1}(\mathcal{I})$. We see that $\mathcal{Y}_{S,[0,\infty)}$ and $\mathcal{Y}_{S,(0,\infty)}$ are naturally isomorphic to $\mathbb{D} \times S$ and $\operatorname{Spa} F \times S$, respectively.

Write $\tau : S \to S$ for the absolute q-Frobenius automorphism, and by abuse of notation, write $\tau : R[\![z]\!] \to R[\![z]\!]$ for the canonical lift of absolute q-Frobenius. Note that rad $\circ \tau = q \cdot \text{rad}$. Finally, write X_S for the quotient $\mathcal{Y}_{S,(0,\infty)}/\tau^{\mathbb{Z}}$.

3.2. When \mathcal{I} contains ∞ , we can describe $B_{S,\mathcal{I}}$ using the following lemma. For any positive r in $\mathbb{Z}[\frac{1}{p}]$, write $R^+[\![z, \frac{\varpi^r}{z}]\rangle$ for the ϖ -adic completion of $R^+[\![z]\!][\frac{\varpi^r}{z}]$.

Lemma. We can identify

$$R^{+}[[z, \frac{\varpi^{r}}{z}] \rangle = \left\{ \sum_{m=-\infty}^{\infty} a_{m} z^{m} \mid \begin{array}{c} \text{the } a_{m} \text{ lie in } R^{+}, \lim_{m \to -\infty} a_{m} \varpi^{rm} = 0, \\ and \text{ for } m \leq 0, a_{m} \varpi^{rm} \text{ lies in } R^{+} \end{array} \right\}.$$

If we give $R^+[\![z, \frac{\varpi^r}{z}\rangle]$ the (ϖ, z) -adic topology, then $B_{S,[1/r,\infty]}$ equals $R^+[\![z, \frac{\varpi^r}{z}\rangle]^{\frac{1}{2}}$.

Proof. The above description of $R^+[\![z, \frac{\varpi^r}{z}\rangle]$ follows immediately from the definition. This description shows that $R^+[\![z, \frac{\varpi^r}{z}\rangle]$ is z-adically complete as a ring, so $R^+[\![z, \frac{\varpi^r}{z}\rangle]$ equals the (ϖ, z) -adic completion of $R^+[\![z]\![\frac{\varpi^r}{z}]]$ as rings. Since $\mathcal{Y}_{S,[1/r,\infty]}$ equals the rational open subspace $\{|\varpi^r| \leq |z| \neq 0\}$ of Spa $R^+[\![z]\!]$, this identifies $B_{S,[1/r,\infty]}$ with $R^+[\![z, \frac{\varpi^r}{z}\rangle][\frac{1}{z}]$ if we give $R^+[\![z, \frac{\varpi^r}{z}\rangle]$ the (ϖ, z) -adic topology. \Box

3.3. Sometimes, it will be convenient to ignore the topology induced from R as follows. Write $A'(R^+)$ for $R^+[\![z]\!]$ with the z-adic topology.

Lemma. Our Spa $(A'(R^+)[\frac{1}{2}], A'(R^+))$ is a sousperfectoid adic space.

Proof. The natural map $A'(R^+)[\frac{1}{z}] \to R^+[\![z^{\pm 1/p^{\infty}}]\!]$ is a split injection of topological $A'(R^+)[\frac{1}{z}]$ -modules, where we give $R^+[\![z^{\pm 1/p^{\infty}}]\!]$ the z-adic topology. \Box

3.4. **Proposition.** Our \mathcal{Y}_S is a sousperfectoid adic space.

Proof. Note that \mathcal{Y}_S is covered by $\mathcal{Y}_{S,[0,\infty)}$ and $\mathcal{Y}_{S,[1,\infty]}$. Now $\mathcal{Y}_{S,[0,\infty)}$ is a sousperfectoid adic space by [12, Proposition II.1.1], so it suffices to prove that $\mathcal{Y}_{S,[1,\infty]}$ is a sousperfectoid adic space. By Proposition 3.2, $B_{S,[1,\infty]}$ equals $R^+[[z, \frac{\varpi}{z}\rangle [\frac{1}{z}]]$, where $R^+[[z, \frac{\varpi}{z}\rangle$ has the (ϖ, z) -adic topology.

Now z divides ϖ in $R^+[[z]][\frac{\varpi}{z}]$, so the (ϖ, z) -adic topology on $R^+[[z]][\frac{\varpi}{z}]$ equals the z-adic topology. This enables us to identify $\mathcal{Y}_{S,[1,\infty]}$ with the rational open subspace $\{|\varpi| \leq |z| \neq 0\}$ of $\operatorname{Spa}(A'(R^+)[\frac{1}{z}], A'(R^+))$. The latter is sousperfectoid by Lemma 3.3, so $\mathcal{Y}_{S,[1,\infty]}$ is as well. \Box

3.5. Since a power of ϖ divides a power of z in $R^+[\![z]\!][\frac{z}{\varpi^r}]$, the (ϖ, z) -adic topology on $R^+[\![z]\!][\frac{z}{\varpi^r}]$ equals the ϖ -adic topology. Therefore $B_{S,[0,1/r]}$ equals the Tate algebra $R\langle \frac{z}{\varpi^r} \rangle$. This argument similarly lets us identify

$$B_{S,[1,1]} = \left\{ \sum_{m=-\infty}^{\infty} a_m z^m \, \middle| \, \text{the } a_m \text{ lie in } R \text{ and } \lim_{m \to \pm \infty} a_m \varpi^m = 0 \right\}$$

We will use the following result with the Tannakian description of G-bundles.

Proposition. Pullback yields a fully faithful functor

{vector bundles on Spec $R^+[\![z]\!] \longrightarrow$ {vector bundles on \mathcal{Y}_S }.

Proof. Let $f: M \to M'$ be a map of finite projective $R^+[\![z]\!]$ -modules, and consider its pullback g to \mathcal{Y}_S . Now Proposition 3.4 and [32, Theorem 2.7.7] indicate that $g|_{\mathcal{Y}_{S,[0,1]}}, g|_{\mathcal{Y}_{S,[1,\infty]}}$, and $g|_{\mathcal{Y}_{S,[1,1]}}$ correspond to maps of finite projective modules over $B_{S,[0,1]}, B_{S,[1,\infty]}$, and $B_{S,[1,1]}$, respectively, which are given by tensoring with f over $R^+[\![z]\!]$. Lemma 3.2 indicates that $B_{S,[1,\infty]}$ equals $R^+[\![z, \frac{\varpi}{z}\rangle[\frac{1}{z}]$ as rings, so we see that $B_{S,[0,1]}$ and $B_{S,[1,\infty]}$ inject into $B_{S,[1,1]}$. Note that their intersection equals $R^+[\![z]\!]$. Therefore the flatness of M yields a Cartesian square

$$M \xrightarrow{} M \otimes_{R^+ \llbracket z \rrbracket} B_{S, [0, 1]}$$

$$M \otimes_{R^+ \llbracket z \rrbracket} B_{S, [1, \infty]} \xrightarrow{} M \otimes_{R^+ \llbracket z \rrbracket} B_{S, [1, 1]},$$

and the same holds for M'. In particular, we recover f as the restriction of $g|_{\mathcal{Y}_{S,[0,1]}}$ (or of $g|_{\mathcal{Y}_{S,[1,\infty]}}$) to the intersection of $M \otimes_{R^+[\![z]\!]} B_{S,[0,1]}$ and $M \otimes_{R^+[\![z]\!]} B_{S,[1,\infty]}$ in $M \otimes_{R^+[\![z]\!]} B_{S,[1,1]}$.

3.6. We turn to the first main result of this section, which algebraizes G-bundles on \mathcal{Y}_S when S is a product of points as in [16, Definition 1.2].

Recall that Spa yields an anti-equivalence from the category of perfectoid Huber pairs over $\mathbb{F}_q[\![\zeta_i]\!]_{i\in I}$ to the category of affinoid perfectoid spaces over \mathbb{D}^I . Let $S = \operatorname{Spa}(R, R^+)$ be an affinoid perfectoid space over \mathbb{D}^I , and for all i in I, write Γ_i for the graph of its *i*-th projection $S \to \mathbb{D}$, which is a closed effective Cartier divisor on \mathcal{Y}_S [12, Proposition VI.1.2 (i)].

Theorem. Suppose that S is a product of points as in [16, Definition 1.2], and let $1 \le j \le k$ be an integer. Then pullback yields an equivalence of groupoids

 $\{G\text{-bundles on Spec } R^+ \llbracket z \rrbracket\} \xrightarrow{\sim} \{G\text{-bundles on } \mathcal{Y}_S\},\$

where morphisms on the left-hand side are given by isomorphisms of their pullbacks to Spec $R^+[\![z]\!][\frac{1}{z-\zeta_i}]_{j\in I_j}$, and morphisms on the right-hand side are given by isomorphisms of their pullbacks to $\mathcal{Y}_S \setminus \sum_{i \in I_i} \Gamma_i$ that are meromorphic along $\sum_{i \in I_i} \Gamma_i$.

Proof. First, we tackle full faithfulness. Write $\mathscr{O}(\sum_{i \in I_j} \Gamma_i)$ for the line bundle on \mathcal{Y}_S associated with the closed effective Cartier divisor $\sum_{i \in I_j} \Gamma_i$, and let \mathscr{G} and \mathscr{G}' be *G*-bundles on \mathcal{Y}_S . The Tannakian description of *G*-bundles implies that an isomorphism $\mathscr{G}|_{\mathcal{Y}_S \setminus \sum_{i \in I_j} \Gamma_i} \xrightarrow{\sim} \mathscr{G}'|_{\mathcal{Y}_S \setminus \sum_{i \in I_j} \Gamma_i}$ that is meromorphic along $\sum_{i \in I_j} \Gamma_i$ corresponds to a family of morphisms of vector bundles over \mathcal{Y}_S

$$\mathscr{G}(V) \to \mathscr{G}'(V) \otimes \mathscr{O}(\sum_{i \in I_i} \Gamma_i)^{\otimes n(V)}$$

that is functorial in V, compatible with tensor products, and compatible with duals, where V runs over objects of $\operatorname{Rep}_{\mathcal{O}_F}(G)$ and n(V) is a large enough integer. Hence full faithfulness follows immediately from Proposition 3.5.

As for essential surjectivity, let \mathscr{G} be a *G*-bundle on \mathcal{Y}_S . By [32, Theorem 2.7.7], $\mathscr{G}|_{\mathcal{Y}_{S,[0,1]}}$ and $\mathscr{G}|_{\mathcal{Y}_{S,[1,\infty]}}$ correspond to *G*-bundles N_0 and N_∞ on Spec $B_{S,[0,1]}$ and Spec $B_{S,[1,\infty]}$, respectively. Note that the *z*-adic completion of $R^+[\![z]\!][\frac{z}{\varpi}]$ equals $R^+\langle \frac{z}{\varpi}\rangle$ as rings, so the global sections of the rational open subspace $\{|z| \leq |\varpi| \neq 0\}$ of Spa $(A'(R^+)[\frac{1}{z}], A'(R^+))$ equals $R\langle \frac{z}{\varpi}\rangle[\frac{1}{z}] = B_{S,[0,1]}[\frac{1}{z}]$ as rings. We have seen in the proof of Proposition 3.4 that the global sections of the rational open subspace $\{|\varpi| \leq |z| \neq 0\}$ of $\operatorname{Spa}(A'(R^+)[\frac{1}{z}], A'(R^+))$ equals $B_{S,[1,\infty]}$. Because these two rational open subspaces cover $\operatorname{Spa}(A'(R^+)[\frac{1}{z}], A'(R^+))$, Lemma 3.3 and [32, Theorem 2.7.7] enable us to glue $N_0[\frac{1}{z}]^4$ and N_∞ into a *G*-bundle $N_{\frac{1}{z}}$ on $\operatorname{Spec} A'(R^+)[\frac{1}{z}] = \operatorname{Spec} R^+((z))$.

Note that the z-adic completion of $R^+[\![z]\!][\frac{1}{\varpi}]$ equals $R[\![z]\!]$. Since

$$N_{\frac{1}{z}} \otimes_{R^+((z))} B_{S,[0,1]}[\frac{1}{z}] = N_0[\frac{1}{z}],$$

we see that $N_{\frac{1}{z}}[\frac{1}{\varpi}] \otimes_{R^+((z))[\frac{1}{\varpi}]} R((z)) = N_0 \otimes_{B_{S,[0,1]}} R((z))$. Therefore we can apply Beauville–Laszlo to the vanishing locus of z in Spec $R^+[\![z]\!][\frac{1}{\varpi}]$ to glue $N_{\frac{1}{z}}[\frac{1}{\varpi}]$ and $N_0 \otimes_{B_{S,[0,1]}} R[\![z]\!]$ into a G-bundle $N_{\frac{1}{\varpi}}$ on Spec $R^+[\![z]\!][\frac{1}{\varpi}]$. As $N_{\frac{1}{\varpi}}[\frac{1}{z}] = N_{\frac{1}{z}}[\frac{1}{\varpi}]$, we can glue $N_{\frac{1}{\varpi}}$ and $N_{\frac{1}{z}}$ into a G-bundle \mathring{N} on the complement of the vanishing locus of ϖ and z in Spec $R^+[\![z]\!]$. Finally, because S is a product of points, [1, Proposition 11.5] uniquely extends \mathring{N} to a G-bundle N on Spec $R^+[\![z]\!]$.

Let us verify that the pullback of N to \mathcal{Y}_S equals \mathscr{G} . Because $N[\frac{1}{z}] = \mathring{N}[\frac{1}{z}] = N_{\frac{1}{z}}$, we see that $N \otimes_{R^+[\![z]\!]} B_{S,[1,\infty]} = N_{\infty}$. Thus we just need to show $N \otimes_{R^+[\![z]\!]} B_{S,[0,1]} = N_0$. We have $N[\frac{1}{\varpi}] = \mathring{N}[\frac{1}{\varpi}] = N_{\frac{1}{2}}$, so

$$N \otimes_{R^+[[z]]} B_{S,[0,1]}[\frac{1}{z}] = N_{\frac{1}{z}} \otimes_{R^+((z))} B_{S,[0,1]}[\frac{1}{z}] = N_0[\frac{1}{z}].$$

Note that the z-adic completion of $B_{S,[0,1]} = R\langle \frac{z}{\omega} \rangle$ equals $R[\![z]\!]$, and

$$N \otimes_{R^+[\![z]\!]} R[\![z]\!] = N_{\frac{1}{\varpi}} \otimes_{R^+[\![z]\!][\frac{1}{\varpi}]} R[\![z]\!] = N_0 \otimes_{B_{S,[0,1]}} R[\![z]\!].$$

Hence the desired result follows from applying the uniqueness of Beauville–Laszlo gluing to the vanishing locus of z in Spec $B_{S,[0,1]}$.

3.7. We have the following version of non-abelian Artin–Schreier–Witt theory for \mathcal{O}_F . Recall the terminology of τ -modules as in [43, Definition 12.3.3], and let n be a positive integer. For any \mathcal{O}_F/z^n -local system \mathbb{L} on S, write $M(\mathbb{L})$ for the τ -module over Spec $R[\![z]\!]/z^n$ given by $\mathbb{L} \otimes_{\mathcal{O}_F/z^n} (\mathscr{O}_{\operatorname{Spec} R[\![z]\!]/z^n}, \operatorname{id})$. Conversely, for any τ -module (M, ϕ) over Spec $R[\![z]\!]/z^n$, write $\mathbb{L}(M, \phi)$ for the \mathcal{O}_F/z^n -sheaf over Spec R given by $\operatorname{Hom}_{\tau-\operatorname{mod}}((\mathscr{O}_{\operatorname{Spec} R[\![z]\!]/z^n}, \operatorname{id}), (M, \phi))$.

Proposition. Our M(-) yields an exact tensor equivalence of categories

 $\{\mathcal{O}_F/z^n \text{-local systems on } S\} \xrightarrow{\sim} \{\tau \text{-modules over } \operatorname{Spec} R[\![z]\!]/z^n\}.$

Consequently, $\mathbb{L} \mapsto \mathbb{L} \otimes_{\mathcal{O}_F} (\mathcal{O}_{\text{Spec } R[\![z]\!]}, \text{id})$ is an exact tensor equivalence of categories

 $\{\mathcal{O}_F \text{-local systems on } S\} \xrightarrow{\sim} \{\tau \text{-modules over } \operatorname{Spec} R[\![z]\!]\}.$

Proof. Note that M(-) is left adjoint to $\mathbb{L}(-)$, and the unit id $\to \mathbb{L}(M(-))$ is an isomorphism. So we just need to prove that M(-) is essentially surjective. Because \mathcal{O}_F/z^n -local systems are trivial after a finite étale cover, it suffices to prove that the same holds for τ -modules over Spec $R[[z]]/z^n$.

So let (M, ϕ) be a τ -module over Spec $R[\![z]\!]/z^n$ such that M has rank h. When n = 1, the desired result is [32, Lemma 3.2.7]. For $n \ge 2$, by induction there exists a finite étale cover Spec $R' \to \text{Spec } R$ such that the pullback of (M, ϕ) to $\text{Spec } R'[\![z]\!]/z^{n-1}$ has a basis fixed by $\phi_{R'[\![z]\!]/z^{n-1}}$. Nakayama's lemma shows that any lift of this basis to $R'[\![z]\!]/z^n$ yields a basis of $M \otimes_R R'$. In these coordinates,

 $^{^{4}}$ By abuse of notation, we apply notation for pullbacks of vector bundles to G-bundles.

we see that $\phi_{R'[[z]]/z^n}^{-1}$ acts by $A \circ \tau$, where A in $\operatorname{GL}_h(R'[[z]]/z^n)$ satisfies $A \equiv 1 \pmod{z^{n-1}}$.

Write Spec R for the vanishing locus in Spec $R'[u_{ab}]_{1 \le a,b \le h}$ of the matrix

$$\tau(U) - U - \frac{1}{z^{n-1}}(A-1),$$

where U denotes the matrix with entries u_{ab} . Examining entrywise shows that \tilde{R} is finite over R', the Jacobian criterion shows that \tilde{R} is étale over R', and checking on fibers shows that $\text{Spec } \tilde{R} \to \text{Spec } R'$ is surjective. Finally, on $\tilde{R}[\![z]\!]/z^n$ we have $(1 + z^{n-1}U)A\tau(1 + z^{n-1}U)^{-1} = (1 + z^{n-1}U)(1 + A - 1)(1 - z^{n-1}U - (A + 1)) = 1$, so the basis of $M \otimes_R \tilde{R}$ given by $1 + z^{n-1}U$ is fixed by $\phi_{\tilde{R}}^{-1}$. Therefore the pullback of (M, ϕ) to $\text{Spec } \tilde{R}[\![z]\!]/z^n$ is trivial, as desired.

3.8. We can upgrade Proposition 3.7 to *G*-bundles as follows. Briefly, let *X* be a scheme or a sousperfectoid adic space over \mathcal{O}_F , and let $\tau : X \to X$ be an endomorphism over \mathcal{O}_F . By a τ -*G*-bundle over *X*, we mean a *G*-bundle \mathscr{G} on *X* along with an isomorphism of *G*-bundles $\phi : \mathscr{G} \xrightarrow{\sim} {}^{\tau} \mathscr{G}$.

Let *n* be a positive integer or ∞ , and define z^{∞} to be 0. For any $\underline{G(\mathcal{O}_F/z^n)}$ bundle \mathbb{P} on *S*, by abuse of notation write $M(\mathbb{P})$ for the τ -*G*-bundle over $\underline{\operatorname{Spec}} R[\![z]\!]/z^n$ given by $\mathbb{P} \times \underline{G(\mathcal{O}_F/z^n)}(G, \operatorname{id})$.

Proposition. Our M(-) yields an equivalence of groupoids

 $\{G(\mathcal{O}_F/z^n)\text{-bundles on }S\} \xrightarrow{\sim} \{\tau\text{-}G\text{-bundles over }\operatorname{Spec} R[\![z]\!]/z^n\}.$

Proof. The assignment $\mathbb{P} \mapsto (V \mapsto \mathbb{P} \times \frac{G(\mathcal{O}_F/z^n)}{V(\mathcal{O}_F/z^n)})$ yields a functor

$$\{\underline{G(\mathcal{O}_F/z^n)}\text{-bundles on }S\} \longrightarrow \left\{ \begin{array}{c} \mathcal{O}_F\text{-linear exact tensor functors} \\ \operatorname{Rep}_{\mathcal{O}_F}(G) \to \{\underline{\mathcal{O}_F/z^n}\text{-local systems on }S\} \end{array} \right\}.$$

By Proposition 3.7 and the Tannakian description of G-bundles, the right-hand side is equivalent to the groupoid of τ -G-bundles over Spec $R[\![z]\!]/z^n$. Now we just need to prove that the above functor is an equivalence of groupoids. Because $\underline{G(\mathcal{O}_F/z^n)}$ bundles are trivial after a pro-étale cover, it suffices to prove that the same holds for objects of the right-hand side.

So let $\rho : \operatorname{Rep}_{\mathcal{O}_F}(G) \to \{ \underline{\mathcal{O}_F/z^n} \text{-local systems on } S \}$ be an $\mathcal{O}_F\text{-linear exact ten$ $sor functor, and let <math>\widetilde{S} \to S$ be a pro-étale cover such that \widetilde{S} is strictly totally disconnected. Then $\underline{\mathcal{O}_F/z^n}\text{-local systems on } \widetilde{S}$ are equivalent to finite projective $\operatorname{Cont}(|\widetilde{S}|, \mathcal{O}_F/z^n)\text{-modules, so } \rho|_{\widetilde{S}}$ corresponds to a *G*-bundle $\widetilde{\mathscr{G}}$ on

Spec Cont
$$(|\tilde{S}|, \mathcal{O}_F/z^n)$$

Note that $\operatorname{Cont}(|\widetilde{S}|, \mathcal{O}_F/z^n) = \operatorname{Cont}(\pi_0(\widetilde{S}), \mathcal{O}_F/z^n)$. For any s in $\pi_0(\widetilde{S})$, [32, Lemma 2.2.3] indicates that $\varinjlim_U \operatorname{Cont}(U, \mathcal{O}_F/z^n)$ is Henselian with respect to the kernel of evaluation at s, where U runs over neighborhoods of s in $\pi_0(\widetilde{S})$. Lang's lemma shows that the pullback of $\widetilde{\mathscr{G}}$ to $\operatorname{Spec} \operatorname{Cont}(s, \mathcal{O}_F/z^n) = \operatorname{Spec} \mathcal{O}_F/z^n$ is trivial, so Hensel lifting implies that the pullback of $\widetilde{\mathscr{G}}$ to $\operatorname{Spec} \operatorname{Cont}(U, \mathcal{O}_F/z^n)$ is trivial for some U. Therefore $\rho|_{\widetilde{U}}$ is isomorphic to the canonical fiber functor, where \widetilde{U} denotes the preimage of U in $|\widetilde{S}|$. As s varies, this yields a pro-étale cover of S where ρ is trivial, as desired.

3.9. Let us recall the equicharacteristic version of the *(relative integral) Robba* ring. Write $\|-\|$ for the spectral norm on R, normalized such that $\|\varpi\| = \frac{1}{q}$. For any positive rational b, we have a map $\|-\|_b : R[\![z]\!] \to [0,\infty]$ given by

$$\sum_{m=0}^{\infty} a_m z^m \mapsto \sup_{m \ge 0} \{ q^{-m} \| a_m \|^b \}.$$

Evidently $\|\tau(-)\|_b = \|-\|_{qb}$. When 1/b lies in $\mathbb{Z}[\frac{1}{p}]$, 3.5 shows that the restriction of $\|-\|_b$ to $B_{S,[0,b]} \subseteq R[\![z]\!]$ is a norm and induces the usual topology on $B_{S,[0,b]}$. Moreover, $\sum_{m=0}^{\infty} a_m z^m$ lies in $B_{S,[0,b]}$ if and only if $\|a_m z^m\|_b \to 0$.

Write $\widetilde{\mathcal{R}}_{R}^{\text{int}}$ for $\varinjlim_{b} B_{S,[0,b]}$, where *b* runs over positive rationals. Note that any multiple *f* of *z* in $\widetilde{\mathcal{R}}_{R}^{\text{int}}$ satisfies $||f||_{b} < 1$ for small enough *b*, so the completeness of $B_{S,[0,b]}$ implies that *z* lies in the Jacobson radical of $\widetilde{\mathcal{R}}_{R}^{\text{int}}$.

3.10. Just like $\underline{\mathcal{O}}_{F}$ -local systems, we show that τ -modules over the Robba ring are trivial after a pro-finite étale cover.

Lemma. Let $(\widetilde{M}, \widetilde{\phi})$ be a τ -module over $\operatorname{Spec} \widetilde{\mathcal{R}}_{R}^{\operatorname{int}}$ such that \widetilde{M} is free of rank h. Then there exists a pro-finite étale cover $\operatorname{Spa}(\widetilde{R}, \widetilde{R}^{+}) \to S$ such that the pullback of $(\widetilde{M}, \widetilde{\phi})$ to $\operatorname{Spec} \widetilde{\mathcal{R}}_{R}^{\operatorname{int}}$ is trivial.

Proof. Proposition 3.7 enables us to assume that the pullback of $(\tilde{M}, \tilde{\phi})$ to Spec R has a basis fixed by $\tilde{\phi}_R$. Now 3.9 and Nakayama's lemma show that any lift of this basis yields a basis of \widetilde{M} , and in these coordinates, we see that $\tilde{\phi}^{-1}$ acts by $A \circ \tau$, where A in $\operatorname{GL}_h(\widetilde{\mathcal{R}}_R^{\operatorname{int}})$ satisfies $A \equiv 1 \pmod{z}$. Proposition 3.7 yields a pro-finite étale cover $\operatorname{Spa}(\widetilde{R}, \widetilde{R}^+) \to \operatorname{Spa}(R, R^+)$ such that the pullback of $(\widetilde{M}, \widetilde{\phi})$ to $\operatorname{Spec} \widetilde{R}[\![z]\!]$ has a basis fixed by $(\widetilde{\phi})_{\widetilde{R}[\![z]\!]}$. Since the pullback of $(\widetilde{M}, \widetilde{\phi})$ to $\operatorname{Spec} R$ is already trivial, we can choose this basis of $\widetilde{M} \otimes_{\widetilde{\mathcal{R}}_R^{\operatorname{int}}} \widetilde{R}[\![z]\!]$ such that its matrix U in $\operatorname{GL}_h(\widetilde{\mathcal{R}}[\![z]\!])$ satisfies $U \equiv 1 \pmod{z}$. Now we just need to prove that U lies in $\operatorname{GL}_h(\widetilde{\mathcal{R}}_{\widetilde{R}}^{\operatorname{int}})$.

As $A^n - 1$ is divisible by z, we have $||A - 1||_b < 1$ for small enough positive rational b. Write $C := \max\{q^{-1}, ||A - 1||_b\} < 1$, write U_n for the mod- z^n truncation of U, and write X_n for the z^n -coefficient of U. For any positive integer n, we claim that

$$||z^n X_n||_{qb}, ||U_n - 1||_b, \text{ and } ||U_n - 1||_{qb} \le C.$$

When n = 1, the last two bounds hold because $U_1 = 1$. For general n, we have

$$U_n + z^n X_n \equiv U \equiv A\tau(U) \equiv A(\tau(U_n) + z^n \tau(X_n)) \pmod{z^{n+1}}$$

$$\implies z^n (X_n - A\tau(X_n)) \equiv (A - 1)\tau(U_n) + (\tau(U_n) - 1) - (U_n - 1) \pmod{z^{n+1}}$$

$$\implies X_n - \tau(X_n) \equiv \frac{1}{z^n} [(A - 1)\tau(U_n) + (\tau(U_n) - 1) - (U_n - 1)] \pmod{z}.$$

By evaluating this equation at rank-1 points of S and considering the Newton polygon of its entries, induction on n implies that

$$||X_n||_b \le \max\{1, (q^n || (A-1)\tau(U_n) + \tau(U_n-1) - (U_n-1) ||_b)^{1/q}\} \le \max\{1, (q^n C)^{1/q}\} \le (q^n C)^{1/q}.$$

Therefore $||z^n X_n||_{qb} \leq C$, so $||U_{n+1} - 1||_{qb} \leq C$. Since $C \geq q^{-n}$, we also get

$$||U_{n+1} - 1||_b \le \max\{||z^n X_n||_b, ||U_n - 1||_b\} \le \max\{q^{-n}(q^n C)^{1/q}, C\} \le C,$$

which concludes our proof of the claim.

By 3.9, the claim implies that U has coefficients in $B_{S,[0,b']}$ for any positive rational b' < qb such that 1/b' lies in $\mathbb{Z}[\frac{1}{p}]$. After decreasing b' such that b' < b, the claim also implies that U is invertible over $B_{S,[0,b']}$. Therefore U indeed lies in $\operatorname{GL}_h(\widetilde{\mathcal{R}}_{\widetilde{R}}^{\operatorname{int}})$, as desired.

3.11. Vector bundles on the Robba ring are local on S in the following sense. Let $(S_{\alpha})_{\alpha}$ be a finite cover of S by rational open subspaces, where $S_{\alpha} = \text{Spa}(R_{\alpha}, R_{\alpha}^{+})$. Write $S_{\alpha\beta} = \text{Spa}(R_{\alpha\beta}, R_{\alpha\beta}^{+})$ for their pairwise intersections, and write $S_{\alpha\beta\gamma} = \text{Spa}(R_{\alpha\beta\gamma}, R_{\alpha\beta\gamma}^{+})$ for their triple intersections.

Lemma. Pullback yields an equivalence from the category of vector bundles on Spec $\widetilde{\mathcal{R}}_{R}^{\text{int}}$ to the category of vector bundles on the Spec $\widetilde{\mathcal{R}}_{R_{\alpha}}^{\text{int}}$ with transition morphisms on the Spec $\widetilde{\mathcal{R}}_{R_{\alpha\beta}}^{\text{int}}$ whose pullbacks to Spec $\widetilde{\mathcal{R}}_{R_{\alpha\beta\gamma}}^{\text{int}}$ satisfy the cocycle condition. Moreover, for any vector bundle M on Spec $\widetilde{\mathcal{R}}_{R}^{\text{int}}$, there exists $(S_{\alpha})_{\alpha}$ as above such that $M|_{\text{Spec }\widetilde{\mathcal{R}}_{R_{\alpha}}^{\text{int}}}$ is trivial for all α .

Proof. Because $\widetilde{\mathcal{R}}_{R}^{\text{int}} = \lim_{h \to \infty} B_{S,[0,b]}$, we have an equivalence of categories

 $\lim_{k \to \infty} \{ \text{vector bundles on Spec } B_{S,[0,b]} \} \xrightarrow{\sim} \{ \text{vector bundles on Spec } \widetilde{\mathcal{R}}_R^{\text{int}} \}.$

When 1/b lies in $\mathbb{Z}[\frac{1}{p}]$, the $B_{S,[0,b]}$ are Tate algebras over R. Hence $S \mapsto B_{S,[0,b]}$ commutes with rational localization on S. Applying [32, Theorem 2.7.7] to the resulting open cover of $\mathcal{Y}_{S,[0,b]}$ by $(\mathcal{Y}_{S_{\alpha},[0,b]})_{\alpha}$ shows that vector bundles on Spec $B_{S,[0,b]}$ are equivalent to vector bundles on the Spec $B_{S_{\alpha},[0,b]}$ with transition morphisms on the Spec $B_{S_{\alpha\beta},[0,b]}$ whose pullbacks to Spec $B_{S_{\alpha\beta\gamma},[0,b]}$ satisfy the cocycle condition. Because there are finitely many α , taking the directed limit over b yields the first claim.

For the second claim, [32, Theorem 2.7.7] shows that there exists $(S_{\alpha})_{\alpha}$ as above such that the pullback of M to Spec R_{α} is trivial for all α . Since z lies in the Jacobson radical of $\widetilde{\mathcal{R}}_{R_{\alpha}}^{\text{int}}$, any trivialization lifts to $\widetilde{\mathcal{R}}_{R_{\alpha}}^{\text{int}}$ by Nakayama's lemma. \Box

3.12. We conclude by showing that τ -modules on R[[z]] uniquely descend to the Robba ring.

Theorem. Pullback yields an exact tensor equivalence of categories

 $\{\tau\text{-modules over Spec } \widetilde{\mathcal{R}}_{R}^{\text{int}}\} \xrightarrow{\sim} \{\tau\text{-modules over Spec } R[\![z]\!]\}.$

Consequently, pullback induces an equivalence of groupoids

 $\{\tau\text{-}G\text{-}bundles \text{ over } \operatorname{Spec} \widetilde{\mathcal{R}}_{R}^{\operatorname{int}}\} \xrightarrow{\sim} \{\tau\text{-}G\text{-}bundles \text{ over } \operatorname{Spec} R[[z]]\}.$

Proof. First, we tackle full faithfulness. By considering internal homs for τ -modules, it suffices to prove that, for any τ -module $(\widetilde{M}, \widetilde{\phi})$ over Spec $\widetilde{\mathcal{R}}_R^{\text{int}}$, any m in $\widetilde{M} \otimes_{\widetilde{\mathcal{R}}_R^{\text{int}}} \mathcal{R}[\![z]\!]$ that is fixed by $\widetilde{\phi}_{R[\![z]\!]}$ lies in \widetilde{M} . Lemma 3.11 implies that it suffices to prove this after passing to an open cover of S, so we can assume that \widetilde{M} is free of rank h. Then Lemma 3.10 yields a pro-finite étale cover $\operatorname{Spa}(\widetilde{R}, \widetilde{R}^+) \to S$ such that the pullback of $(\widetilde{M}, \widetilde{\phi})$ to $\operatorname{Spec} \widetilde{\mathcal{R}}_{\widetilde{R}}^{\text{int}}$ has a basis fixed by $\widetilde{\phi}_{\widetilde{\mathcal{R}}_{\widetilde{R}}^{\text{int}}}$. In these coordinates, the entries of m lie in $(\widetilde{R}^{\tau})[\![z]\!]$, which lies in $\widetilde{\mathcal{R}}_{\widetilde{R}}^{\text{int}}$ by 3.9. Note that the intersection of $\mathcal{R}[\![z]\!]$ and $\widetilde{\mathcal{R}}_{\widetilde{R}}^{\text{int}}$ equals $\widetilde{\mathcal{R}}_{R}^{\text{int}}$, so the flatness of \widetilde{M} shows that m lies in \widetilde{M} . As for essential surjectivity, let (M, ϕ) be a τ -module over Spec $R[\![z]\!]$. By passing to a clopen cover of S, we can assume that M has rank h. Proposition 3.7, full faithfulness, and finite étale descent enable us to assume that the pullback of (M, ϕ) to Spec R has a basis fixed by ϕ_R . Nakayama's lemma shows that any lift of this basis yields a basis of M, and in these coordinates, we see that ϕ^{-1} acts by $A \circ \tau$, where A in $\operatorname{GL}_h(R[\![z]\!])$ satisfies $A \equiv 1 \pmod{z}$.

Let *n* be a positive integer. We inductively construct certain C_n , B_n , and U_n in $\operatorname{GL}_h(R[\![z]\!])$ such that $C_n - B_n$ is divisible by z^n . First, set $C_1 := A$ and $B_1 := 1$. For general *n*, write X_n for the z^n -coefficient of $C_n - B_n$. There exists Y_n in $\operatorname{Mat}_h(R)$ satisfying $||X_n + Y_n - \tau(Y_n)||_1 < q^{n/2}$ [32, Lemma 8.5.2], which we use to define

$$U_n := 1 + z^n Y_n, C_{n+1} := U_n C_n \tau (U_n)^{-1}, \text{ and } B_{n+1} := B_n + z^n (X_n + Y_n - \tau (Y_n)).$$

By induction, we have

$$C_{n+1} \equiv (1 + z^n Y_n) C_n (1 - z^n \tau(Y_n))$$

$$\equiv B_n + z^n (X_n + Y_n - \tau(Y_n)) \equiv B_{n+1} \pmod{z^{n+1}},$$

as desired.

We see from 3.9 that the B_n converge to a matrix B in $\operatorname{GL}_h(B_{S,[0,1]})$. Now the C_n converge to a matrix C in $\operatorname{GL}_h(R[\![z]\!])$, and because $C_n - B_n$ is divisible by z^n , we have C = B. Moreover, the infinite product $U \coloneqq U_1 U_2 \cdots$ converges to a matrix U in $\operatorname{GL}_h(R[\![z]\!])$, and the above shows that $UA\tau(U)^{-1} = C = B$. Thus the basis of M given by U descends (M, ϕ) to a τ -module over Spec $\widetilde{\mathcal{R}}_R^{\text{int}}$, as desired.

Finally, we show that pullback has an exact tensor quasi-inverse. Note that we have a commutative triangle



Every arrow is an exact tensor functor, and M(-) is an exact tensor equivalence by Proposition 3.7. Hence its quasi-inverse $\mathbb{L}(-)$ postcomposed with the left arrow yields an exact tensor quasi-inverse to pullback.

4. Analytic moduli of local shtukas

In this section, we define local shtukas in the analytic setting and compare them with the formal variant from §2. We start by giving an algebraic version of local shtukas over a perfectoid space, which is the equicharacteristic analogue of Breuil–Kisin–Fargues modules. This mediates between the formal variant and more analytic variants. Next, we define an analytic version of local shtukas, as well as the corresponding moduli problem. Using results from §3, we show that the analytic moduli problem agrees with the formal moduli problem from §2.

From here, we define the covering tower for our analytic moduli problem. We conclude by recalling the moduli of local shtukas appearing in Fargues–Scholze [12], which is defined purely in terms of the Fargues–Fontaine curve. While this subtly differs from our analytic moduli problem, their intersection homology complexes are naturally isomorphic, which is all we need.

4.1. Let $S = \text{Spa}(R, R^+)$ be an affinoid perfectoid space over \mathbb{D}^I . For any *i* in *I*, if ζ_i is an $R^{\circ\circ}$ -multiple of ϖ^r , then

$$\frac{1}{z-\zeta_i} = \frac{1}{z}\sum_{n=0}^{\infty} \left(\frac{\zeta_i}{z}\right)^n$$

lies in $R^+[z, \frac{\omega^r}{z})[\frac{1}{z}]$. As ζ_i is topologically nilpotent, this always holds for small enough r.

Recall the μ_i and \mathbb{D}_i from 1.5, and recall Definition 2.1. We use Definition 2.1 to define an algebraic version of local *G*-shtukas over *S*.

Definition.

- a) An algebraic local G-shtuka over S is a local G-shtuka over $\operatorname{Spf} R^+$.
- b) Suppose that S lies over $\prod_{i \in I} \mathbb{D}_i$, and let \mathscr{G} be an algebraic local shtuka over S. We say that \mathscr{G} is bounded by μ_{\bullet} if the corresponding local G-shtuka over Spec R^+ is bounded by μ_{\bullet} .
- c) Let \mathscr{G} and \mathscr{G}' be algebraic local *G*-shtukas over *S*. A *quasi-isogeny* from \mathscr{G} to \mathscr{G}' consists of, for some small enough positive *r* in $\mathbb{Z}[\frac{1}{p}]$ and all $1 \leq j \leq k$, an isomorphism of *G*-bundles

$$\delta_j: \mathscr{G}_j|_{\operatorname{Spec} R^+[\![z,\frac{\varpi^r}{z}\rangle[\frac{1}{z}]]} \xrightarrow{\sim} \mathscr{G}'_j|_{\operatorname{Spec} R^+[\![z,\frac{\varpi^r}{z}\rangle[\frac{1}{z}]]}$$

such that the diagram

$$\begin{aligned} \mathscr{G}_{j}|_{\operatorname{Spec} R^{+}[\![z,\frac{\varpi^{r}}{z}\rangle[\frac{1}{z}]]} & \xrightarrow{(\phi_{j})_{R^{+}[\![z,\frac{\varpi^{r}}{z}\rangle[\frac{1}{z}]]}} \mathscr{G}_{j+1}|_{\operatorname{Spec} R^{+}[\![z,\frac{\varpi^{r}}{z}\rangle[\frac{1}{z}]]} \\ & \downarrow^{\delta_{j}} & \downarrow^{\delta_{j+1}} \\ \mathscr{G}_{j}'|_{\operatorname{Spec} R^{+}[\![z,\frac{\varpi^{r}}{z}\rangle[\frac{1}{z}]]} & \xrightarrow{(\phi_{j}')_{R^{+}[\![z,\frac{\varpi^{r}}{z}\rangle[\frac{1}{z}]]}} \mathscr{G}_{j+1}'|_{\operatorname{Spec} R^{+}[\![z,\frac{\varpi^{r}}{z}\rangle[\frac{1}{z}]]} \end{aligned}$$

commutes, where δ_{k+1} denotes the isomorphism ${}^{\tau}\delta_1$.

4.2. Let *n* be a non-negative integer, and note that R^+/ϖ^n is a discrete $\mathbb{F}_q[\![\zeta_i]\!]_{i\in I^-}$ algebra. For any algebraic local shtuka \mathscr{G} over *S*, write \mathscr{G}^n for the local shtuka over $S_n \coloneqq \operatorname{Spec} R^+/\varpi^n$ given by pullback. Since $R^+[\![z, \frac{\varpi^r}{z}\rangle[\frac{1}{z}]/\varpi^n$ equals $(R^+/\varpi^n)((z))$, quasi-isogenies of algebraic local *G*-shtukas over *S* pull back to quasi-isogenies of local *G*-shtukas over S_n .

Lemma 2.7 shows that bounded algebraic local G-shtukas are all captured by this limit process. The following lemma shows that quasi-isogenies between them are also all captured by this limit process.

Lemma. Suppose that S lies over $\prod_{i \in I} \mathbb{D}_i$, and let \mathscr{G} and \mathscr{G}' be algebraic local G-shtukas over S bounded by μ_{\bullet} . Then pullback yields a bijection

 $\{quasi-isogenies from \mathscr{G} to \mathscr{G}'\} \xrightarrow{\sim} \underline{\lim}_{n} \{quasi-isogenies from \mathscr{G}^n to \mathscr{G}'^n\}.$

Proof. Let $(\delta^n)_{n\geq 0}$ be a compatible system of quasi-isogenies from \mathscr{G}^n to \mathscr{G}'^n . Because $\varprojlim_n (R^+/\varpi^n)((z))$ equals $R^+[\![z,\frac{1}{z}\rangle\!]$, we see that $\delta_j := \varprojlim_n \delta_j^n$ yields an isomorphism of *G*-bundles $\mathscr{G}_j|_{\operatorname{Spec} R^+[\![z,\frac{1}{z}\rangle\!]} \xrightarrow{\sim} \mathscr{G}'_j|_{\operatorname{Spec} R^+[\![z,\frac{1}{z}\rangle\!]}$ for all $1 \leq j \leq k$. Now δ^0 is bounded by *m* for some non-negative integer *m* as in Definition 2.2.b), so Proposition 2.3 yields a non-negative integer *B* such that δ^n is bounded by m + $B[\log_q n]$. From here, the Tannakian description of G-bundles implies that δ_j naturally descends to an isomorphism of G-bundles

$$\mathscr{G}_{j}|_{\operatorname{Spec} R^{+}[\![z,\frac{\varpi^{r}}{z}\rangle[\frac{1}{z}]]} \xrightarrow{\sim} \mathscr{G}'_{j}|_{\operatorname{Spec} R^{+}[\![z,\frac{\varpi^{r}}{z}\rangle[\frac{1}{z}]]}$$

for any positive r in $\mathbb{Z}[\frac{1}{p}]$. By taking r small enough such that $\frac{1}{z-\zeta_i}$ lies in $R^+[\![z,\frac{\varpi^r}{z}\rangle[\frac{1}{z}]\!]$ for all i in I, the commutativity of the square in Definition 4.1.c) follows from the commutativity of the analogous square in Definition 2.2.a).

4.3. Before introducing the analytic version of local *G*-shtukas, we need some notation on the B_{dR} -affine Grassmannian. Write $B^+_{dR}(S)$ for the ring of global sections of the completion of $\mathscr{O}_{\mathcal{Y}_S}$ along $\sum_{i \in I} \Gamma_i$, and write $B^j_{dR}(S)$ for the version that is punctured along $\sum_{i \in I_i} \Gamma_i$.

Definition.

- a) Write $\mathcal{L}_{I}^{n}G$ and $\mathcal{L}_{I}^{+}G$ for the small v-sheaves over $(\mathbb{D}^{I})^{\Diamond}$ given by sending S to $G(\mathscr{O}_{n\sum_{i\in I}\Gamma_{i}})$ and $G(B_{\mathrm{dR}}^{+}(S))$, respectively.
- b) Write $\mathcal{G}r_G^{(I_1,\ldots,I_k)}$ for the small v-sheaf over $(\mathbb{D}^I)^{\Diamond}$ whose S-points parametrize data consisting of
 - i) for all $1 \le j \le k$, a *G*-bundle \mathscr{G}_j on Spec $B^+_{dB}(S)$,
 - ii) for all $1 \le j \le k$, an isomorphism of G-bundles

$$\phi_j: \mathscr{G}_j|_{\operatorname{Spec} B^j_{\mathrm{dR}}(S)} \xrightarrow{\sim} \mathscr{G}_{j+1}|_{\operatorname{Spec} B^j_{\mathrm{dR}}(S)},$$

where \mathscr{G}_{k+1} denotes the trivial *G*-bundle.

4.4. In certain cases, we can describe the functor of points of (generalized) analytifications without analytically sheafifying. Briefly, let A be a noetherian ring, and let X be a scheme locally of finite type over Z := Spec A. Let $J \subseteq A$ be an ideal, write \hat{A} for the completion of A with respect to J, and write \hat{Z} for the adic space $\text{Spa} \hat{A}$. Write $X_{\hat{Z}}$ for the fiber product as in [27, (3.8)].

Lemma. Suppose that X is quasi-projective over Z. For any analytic affinoid adic space $S = \text{Spa}(R, R^+)$, the S-points of $X_{\widehat{Z}}$ consist of the R-points of X such that the resulting ring homomorphism $A \to R$ is continuous for the J-adic topology on A.

Proof. The universal property of $X_{\widehat{Z}}$ [27, (3.8)] indicates that an S-point of $X_{\widehat{Z}}$ is equivalent to a morphism $S \to \widehat{Z}$ of adic spaces along with a morphism $S \to X$ of locally ringed spaces such that, in the category of locally ringed spaces, the square



commutes. The Spec-global sections adjunction shows that $S \to X \to Z$ yields a ring homomorphism $A \to R$, and note that the commutativity of this square is equivalent to $A \to R$ being continuous for the *J*-adic topology on *A*.

Now assume that $X = \mathbb{P}_Z^N$. Since Z is affine, the Spec-global sections adjunction implies that $S \to X$ is equivalent to the data of a line bundle \mathscr{L} on S along with sections s_0, \ldots, s_N that generate \mathscr{L} . By [31, Theorem 1.4.2], this is equivalent

to a finite projective *R*-module *M* of rank 1 along with elements r_0, \ldots, r_N that generate *M*, which is precisely the data of an *R*-point of *X*.

In general, X is a locally closed subscheme of \mathbb{P}_Z^N . Because Z is noetherian, there exist finitely many homogeneous polynomials f_1, \ldots, f_l and g_1, \ldots, g_m in $A[t_0, \ldots, t_N]$ such that $X \subseteq \mathbb{P}_Z^N$ is the locus where $f_a(s_0, \ldots, s_N)$ vanishes for all $1 \leq a \leq l$ and $g_b(s_0, \ldots, s_N)$ does not vanish for all $1 \leq b \leq m$. These properties are preserved by [31, Theorem 1.4.2], so we see that $S \to X$ is equivalent to an R-point of X. \Box

4.5. We check that the B_{dR} -affine Grassmannian and its affine Schubert varieties are the analytifications of their algebraic counterparts. Write S^{alg} for the *R*-point of C^{I} given by Spec $R \to \text{Spec } \mathbb{F}_{q}[\zeta_{i}]_{i \in I} \to C^{I}$, and write Γ_{i}^{alg} for the resulting relative effective Cartier divisor on $C \times S$ as in 1.2. Recall the F_{i} from 1.5.

Lemma. We have a natural isomorphism of rings $\mathscr{O}_{n\sum_{i\in I}\Gamma_i^{\operatorname{alg}}}\cong \mathscr{O}_{n\sum_{i\in I}\Gamma_i}$. Consequently, we obtain natural isomorphisms from $(L_I^n(G_C))_{\mathbb{D}^I}^{\Diamond}$ and $(L_I^+(G_C))_{\mathbb{D}^I}^{\Diamond}$ to $\mathcal{L}_I^n(G)$ and $\mathcal{L}_I^+(G)$, respectively, and we may view $(\widehat{\operatorname{Gr}}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i})^{\Diamond}$ as a closed subsheaf

$$\mathcal{G}\mathbf{r}_{G,\mu_{\bullet}}^{(I_1,...,I_k)}|_{\prod_{i\in I}\mathbb{D}_i^{\Diamond}}\subseteq \mathcal{G}\mathbf{r}_G^{(I_1,...,I_k)}|_{\prod_{i\in I}\mathbb{D}_i^{\Diamond}}$$

Finally, the S-points of $\mathcal{G}r_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \operatorname{Spd} F_i}$ consist of the $((\mathscr{G}_j)_{j=1}^k, (\phi_j)_{j=1}^k)$ such that, for all geometric points \overline{s} of S and $1 \leq j \leq k$, the relative position of $\phi_{j,\overline{s}}$ at $\Gamma_{i,\overline{s}}$ is bounded by $\sum_{i'} \mu_{i'}$, where i' runs over elements of I satisfying $\Gamma_{i',\overline{s}} = \Gamma_{i,\overline{s}}$.

Proof. The first claim is immediate, which identifies $(L_I^n(G_C))_{\mathbb{D}^I}^{\Diamond}$ with $\mathcal{L}_I^n(G)$. The first claim also induces isomorphisms $\widehat{\mathcal{O}}_C(S^{\mathrm{alg}}) \cong B^+_{\mathrm{dR}}(S)$ and $\widehat{\mathcal{O}}_C^{j,\circ}(S^{\mathrm{alg}}) \cong B_{\mathrm{dR}}(S)$, which identifies $(L_I^+(G_C))_{\mathbb{D}^I}^{\Diamond}$ with $\mathcal{L}_I^+(G)$. This also shows that, for any presentation of $\mathrm{Gr}_{G_C}^{(I_1,\ldots,I_k)}$ as a directed limit $\varinjlim_I X_I$ of projective schemes X_I over C^I , we have

$$\mathcal{G}r_G^{(I_1,\dots,I_k)}(S) = \mathrm{Gr}_{G_C}^{(I_1,\dots,I_k)}(S^{\mathrm{alg}}) = (\varinjlim_l X_l)(S^{\mathrm{alg}}) = \varinjlim_l X_l(S^{\mathrm{alg}}) = \varinjlim_l (X_l)^{\diamondsuit}_{\mathbb{D}^I}(S)$$

where the last two equalities follow from [24, Lemma 5.4] and Lemma 4.4, respectively. Now 1.5 indicates that $\operatorname{Gr}_{G_C,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i}$ is a closed subscheme of $X_l|_{\prod_{i\in I}C_i}$ for large enough l. Since $\operatorname{Gr}_{G_C,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i}$ is projective over $\prod_{i\in I}C_i$, the natural morphism of adic spaces $\widehat{\operatorname{Gr}}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i} \to (\operatorname{Gr}_{G_C,\mu_{\bullet}}^{(I_1,\ldots,I_k)})_{\prod_{i\in I}\mathbb{D}_i}$ is an isomorphism [27, (4.6.iv.d)]. Hence taking $(-)^{\diamond}$ yields the desired closed subsheaf

$$\mathcal{G}\mathbf{r}_{G,\mu_{\bullet}}^{(I_{1},...,I_{k})}|_{\prod_{i\in I}\mathbb{D}_{i}^{\Diamond}} \subseteq \mathcal{G}\mathbf{r}_{G}^{(I_{1},...,I_{k})}|_{\prod_{i\in I}\mathbb{D}_{i}^{\Diamond}}.$$

Finally, the description of $\mathcal{G}\mathbf{r}_{G,\mu_{\bullet}}^{(I_{1},...,I_{k})}|_{\prod_{i\in I}\operatorname{Spd} F_{i}}$ follows from 1.5. \Box

4.6. Now, we can define an analytic version of local *G*-shtukas over *S*. Let *a* in $\mathbb{Z}[\frac{1}{p}]$ be non-negative. For any *i* in *I*, if ζ_i^a is an $R^{\circ\circ}$ -multiple of ϖ , then rad (Γ_i) lie

in [0, a). As ζ_i is topologically nilpotent, this always holds for large enough a.

Definition.

- a) An analytic local G-shtuka over S consists of
 - i) for all $1 \leq j \leq k$, a *G*-bundle \mathscr{G}_j on $\mathcal{Y}_{S,[0,\infty)}$,

ii) for all $1 \le j \le k$, an isomorphism of G-bundles

 $\phi_j:\mathscr{G}_j|_{\mathcal{Y}_{S,[0,\infty)}\smallsetminus\sum_{i\in I_j}\Gamma_i}\stackrel{\sim}{\to}\mathscr{G}_{j+1}|_{\mathcal{Y}_{S,[0,\infty)}\smallsetminus\sum_{i\in I_j}\Gamma_i},$

that is meromorphic along $\sum_{i \in I_j} \Gamma_i$, where \mathscr{G}_{k+1} denotes the *G*-bundle ${}^{\tau}\mathscr{G}_1$.

b) Suppose that S lies over $\prod_{i \in I} \mathbb{D}_i$, and let \mathscr{G} be an analytic local G-shtuka over S. We say that \mathscr{G} is bounded by μ_{\bullet} if, for any affinoid perfectoid étale cover $\operatorname{Spa}(\widetilde{R}, \widetilde{R}^+) \to S$ where ${}^{\tau}\mathscr{G}_1|_{\mathcal{Y}_{\operatorname{Spa}(\widetilde{R}, \widetilde{R}^+), [0, \infty)}}$ is trivial and any trivialization $t: {}^{\tau}\mathscr{G}_1|_{\mathcal{Y}_{\operatorname{Spa}(\widetilde{R}, \widetilde{R}^+), [0, \infty)}} \xrightarrow{\sim} G$, the $\operatorname{Spa}(\widetilde{R}, \widetilde{R}^+)$ -point of $\operatorname{Gr}_G^{(I_1, \dots, I_k)}|_{\prod_{i \in I} \mathbb{D}_i^{\diamond}}$ given by

$$\mathscr{G}_1|_{\operatorname{Spec}B^+_{\operatorname{dR}}(\widetilde{R})} \xrightarrow{(\phi_1)_{B^+_{\operatorname{dR}}(\widetilde{R})}} \xrightarrow{(\phi_{k-1})_{B^+_{\operatorname{dR}}(\widetilde{R})}} \mathscr{G}_k|_{B^+_{\operatorname{dR}}(\widetilde{R})} \xrightarrow{(t\circ\phi_k)_{B^+_{\operatorname{dR}}(\widetilde{R})}} G$$

lies in $\mathcal{G}\mathbf{r}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i^{\Diamond}}$.

c) Let \mathscr{G} and \mathscr{G}' be analytic local *G*-shtukas over *S*. A *quasi-isogeny* from \mathscr{G} to \mathscr{G}' consists of, for some large enough rational *a* and all $1 \leq j \leq k$, an isomorphism of *G*-bundles

$$\delta_j:\mathscr{G}_j|_{\mathcal{Y}_{S,[a,\infty)}} \xrightarrow{\sim} \mathscr{G}'_j|_{\mathcal{Y}_{S,[a,\infty)}}$$

such that the diagram

$$\begin{array}{c} \mathscr{G}_{j}|_{\mathcal{Y}_{S,[a,\infty)}} \xrightarrow{(\phi_{j})_{\mathcal{Y}_{S,[a,\infty)}}} \mathscr{G}_{j+1}|_{\mathcal{Y}_{S,[a,\infty)}} \\ \downarrow \\ \delta_{j} & \downarrow \\ \mathscr{G}'_{j}|_{\mathcal{Y}_{S,[a,\infty)}} \xrightarrow{(\phi'_{j})_{\mathcal{Y}_{S,[a,\infty)}}} \mathscr{G}'_{j+1}|_{\mathcal{Y}_{S,[a,\infty)}} \end{array}$$

commutes, where δ_{k+1} denotes the isomorphism ${}^{\tau}\delta_1$.

It suffices to check Definition 4.6.b) for a single $\operatorname{Spa}(\widetilde{R}, \widetilde{R}^+) \to S$ and t.

4.7. We now define the analytic moduli of local G-shtukas.

Definition. Write $\mathcal{L}ocSht_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i^{\Diamond}}$ for the small v-sheaf over $\prod_{i\in I}\mathbb{D}_i^{\Diamond}$ whose S-points parametrize data consisting of

i) an analytic local G-shtuka over S bounded by μ_{\bullet} ,

ii) a quasi-isogeny δ from \mathscr{G} to the trivial analytic local G-shtuka G.

Write $f^{\mathcal{L}} : \mathcal{L}oc\mathcal{S}ht_{G,\mu\bullet}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i^{\Diamond}} \to \prod_{i\in I}\mathbb{D}_i^{\Diamond}$ for the structure morphism.

4.8. Let us compare the formal and analytic moduli of local *G*-shtukas. Recall $\mathfrak{LocSht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ from Definition 2.4.

Proposition. Our $(\mathfrak{LocSht}_{G,\mu\bullet}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i})^{\Diamond}$ is the analytic sheafification of the presheaf over $\prod_{i\in I}\mathbb{D}_i^{\Diamond}$ whose S-points parametrize data consisting of

- i) an algebraic local G-shtuka \mathscr{G} over S bounded by μ_{\bullet} .
- ii) a quasi-isogeny δ from \mathscr{G} to the trivial algebraic local G-shtuka G.

In particular, we have a canonical morphism of v-sheaves over $\prod_{i \in I} \mathbb{D}_i^{\Diamond}$

$$\underline{\mathrm{an}}:(\mathfrak{LocSht}_{G,\mu_{\bullet}}^{(I_{1},\ldots,I_{k})}|_{\prod_{i\in I}\mathbb{D}_{i}})^{\Diamond}\to\mathcal{LocSht}_{G,\mu_{\bullet}}^{(I_{1},\ldots,I_{k})}|_{\prod_{i\in I}\mathbb{D}_{i}^{\Diamond}}$$

given by pulling back (\mathscr{G}, δ) to $\mathcal{Y}_{S,[0,\infty)}$.

Proof. Theorem 2.12 shows that $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ is a locally noetherian formal scheme, so as an adic space it is the analytic sheafification of the presheaf

$$\operatorname{Spa}(A, A^+) \mapsto \operatorname{Hom}(\operatorname{Spf} A^+, \mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1, \dots, I_k)}|_{\prod_{i \in I} \mathbb{D}_i})$$

Because R^+ is adic with ideal of definition generated by ϖ , we have

$$\operatorname{Hom}(\operatorname{Spf} R^+, \mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\dots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i})$$
$$= \varprojlim_n \operatorname{Hom}(\operatorname{Spec} R^+/\varpi^n, \mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\dots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i})$$

From here, Lemma 2.7 and Lemma 4.2 yield the first claim. The second claim follows from the fact that $\mathcal{LocSht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \mathbb{D}_i^{\diamond}}$ is already a sheaf in the analytic topology, so pulling back (\mathscr{G}, δ) to $\mathcal{Y}_{S,[0,\infty)}$ induces a morphism <u>an</u> as desired. \Box

4.9. **Theorem.** Our an is an isomorphism. Consequently, $\operatorname{LocSht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \operatorname{Spd} F_i}$ is a locally spatial diamond.

Proof. First, we prove that an is an isomorphism. Because products of points as in [16, Definition 1.2] form a basis for the v-topology [16, Example 1.1] and both $(\mathfrak{Loc}\mathfrak{Sht}_{G,\mu\bullet}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i})^{\Diamond} \text{ and } \mathcal{Loc}\mathfrak{Sht}_{G,\mu\bullet}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i^{\Diamond}}^{i} \text{ are v-sheaves, it suffices to}$ check this on S-points when S is a product of points. Products of points are totally disconnected [16, Proposition 1.6], so we do not need to analytically sheafify when evaluating $\mathfrak{LocGht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ on them. So assume that S is a product of points, and let (\mathscr{G},δ) be an S-point of

$$\mathcal{L}oc\mathcal{S}ht_{G,\mu\bullet}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i^{\Diamond}}$$

For large enough rational a and all $1 \leq j \leq k$, we can use $\delta_j|_{\mathcal{Y}_{S,[a,a]}}$ to glue $\mathscr{G}_j|_{\mathcal{Y}_{S,[0,a]}}$ and $G|_{\mathcal{Y}_{S,[a,\infty]}}$ into a G-bundle $\overline{\mathscr{G}}_j$ on \mathcal{Y}_S . The commutativity of the square in Definition 4.6.c) imply that ϕ_i and id glue into an isomorphism of G-bundles

$$\overline{\phi}_j:\mathscr{G}_j|_{\mathcal{Y}_S\smallsetminus\sum_{i\in I_j}\Gamma_i}\xrightarrow{\sim}\mathscr{G}_{j+1}|_{\mathcal{Y}_S\smallsetminus\sum_{i\in I_j}\Gamma_i},$$

where $\overline{\mathscr{G}}_{k+1}$ denotes the *G*-bundle ${}^{\tau}\overline{\mathscr{G}}_1$. Then Theorem 3.6 indicates that $\overline{\mathscr{G}}_j$ and $\overline{\phi}_j$ are uniquely pulled back from a *G*-bundle $\mathscr{G}_{j}^{\text{alg}}$ on Spec $R^{+}[\![z]\!]$ and an isomorphism of *G*-bundles $\phi_{j}^{\text{alg}} : \mathscr{G}_{j}^{\text{alg}}|_{\text{Spec } R^{+}[\![z]\!][\frac{1}{z-\zeta_{i}}]_{i\in I_{j}}} \xrightarrow{\sim} \mathscr{G}_{j+1}^{\text{alg}}|_{\text{Spec } R^{+}[\![z]\!][\frac{1}{z-\zeta_{i}}]_{i\in I_{j}}}$, where $\mathscr{G}_{k+1}^{\text{alg}}$ denotes the *G*-bundle ${}^{\tau}\mathscr{G}_{1}^{\mathrm{alg}}$

Altogether $\mathscr{G}^{\text{alg}} \coloneqq ((\mathscr{G}_{i}^{\text{alg}})_{i=1}^{k}, (\phi_{i}^{\text{alg}})_{i=1}^{k})$ is an algebraic local *G*-shtuka over *S*. Since \mathscr{G} is bounded by μ_{\bullet} , Lemma 4.5 shows that \mathscr{G}^{alg} is too. Finally, take a for which r := 1/a lies in $\mathbb{Z}[\frac{1}{p}]$. Applying Lemma 3.2, Proposition 3.4, and [32, Theorem 2.7.7] to the canonical isomorphism $\mathscr{G}_j|_{\mathcal{Y}_{S,[a,\infty]}} \xrightarrow{\sim} G$ yields an isomorphism of *G*-bundles $\delta_j^{\text{alg}} : \mathscr{G}_j^{\text{alg}}|_{\text{Spec } R^+[\![z, \frac{\varpi^r}{z})[\frac{1}{z}]} \xrightarrow{\sim} G$, and we see that $\delta^{\text{alg}} := (\delta_j^{\text{alg}})_{j=1}^k$ is a quasi-isogeny from \mathscr{G}^{alg} to G. The uniqueness of Theorem 3.6 and [32, Theorem 2.7.7] imply that (\mathscr{G}, δ) is uniquely the image of $(\mathscr{G}^{\mathrm{alg}}, \delta^{\mathrm{alg}})$ under <u>an</u>. Hence an is bijective on S-points, as desired. Finally, the last statement follows from $\mathfrak{LocSht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \operatorname{Spa} F_i}$ being an analytic adic space and [41, Lemma 15.6]. \Box 4.10. Next, we turn to level structures. Let n be a non-negative integer.

Definition. Suppose that S lies over $(\operatorname{Spa} F)^I$, and let \mathscr{G} be an analytic local G-shtuka over S. A *level-n structure* on \mathscr{G} consists of, for all $1 \leq j \leq k$, an isomorphism of G-bundles

$$\psi_j:\mathscr{G}_j|_{\operatorname{Spec} R[\![z]\!]/z^n} \xrightarrow{\sim} G$$

such that the diagram

commutes, where \mathscr{G}_{k+1} denotes ${}^{\tau}\mathscr{G}_1$, and ψ_{k+1} denotes ${}^{\tau}\psi_1$.

Since S lies over $(\operatorname{Spa} F)^I$, the $(\phi_j)_{R[\![z]\!]/z^n}$ are isomorphisms. Therefore ψ_1 uniquely determines ψ_j for $2 \leq j \leq k$.

4.11. We now define the covering tower of the generic fiber of
$$\mathcal{LocSht}_{G.u_{\bullet}}^{(I_1,\ldots,I_k)}|_{\Pi_{i=\bullet}\mathbb{D}^{\diamond}}$$

Definition. Write $\mathcal{L}ocSht_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \operatorname{Spd} F_i}$ for the small v-sheaf over $\prod_{i\in I} \operatorname{Spd} F_i$ whose S-points parametrize data consisting of

- i) an analytic local G-shtuka \mathscr{G} over S bounded by μ_{\bullet} ,
- ii) a quasi-isogeny δ from \mathscr{G} to the trivial analytic local G-shtuka,
- iii) a level-*n* structure $\psi = (\psi_j)_{j=1}^k$ on \mathscr{G} .

Write $f^{\mathcal{L}} : \mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \operatorname{Spd} F_i} \to \prod_{i\in I} \operatorname{Spd} F_i$ for the structure morphism.

4.12. For $n' \ge n$, we have morphisms

$$\mathcal{L}oc\mathcal{S}ht_{G,\mu\bullet,n'v}^{(I_1,\dots,I_k)}|_{\prod_{i\in I}\operatorname{Spd} F_i}\to \mathcal{L}oc\mathcal{S}ht_{G,\mu\bullet,nv}^{(I_1,\dots,I_k)}|_{\prod_{i\in I}\operatorname{Spd} F_i}$$

given by pulling back ψ_j to Spec $R[\![z]\!]/z^n$ for all $1 \leq j \leq k$. Write $K_{n',n}$ for the kernel of $G(\mathcal{O}_F/z^{n'}) \to G(\mathcal{O}_F/z^n)$, and note that $K_{n',n}$ acts on $\mathcal{LocSht}_{G,\mu\bullet,n'v}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \operatorname{Spd} F_i}$ over $\mathcal{LocSht}_{G,\mu\bullet,nv}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \operatorname{Spd} F_i}$ via postcomposition with ψ_j for all $1 \leq j \leq k$.

Proposition. The morphism

$$\mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},n'v}^{(I_{1},...,I_{k})}|_{\prod_{i\in I}\operatorname{Spd} F_{i}}\to\mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},nv}^{(I_{1},...,I_{k})}|_{\prod_{i\in I}\operatorname{Spd} F}$$

is finite Galois, where the Galois action is given by that of $K_{n',n}$. Consequently, $\mathcal{LocSht}_{G,\mu\bullet,nv}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \operatorname{Spd} F_i}$ is a locally spatial diamond.

Proof. First, take n = 0, so that

$$\mathcal{L}ocSht_{G,\mu\bullet,nv}^{(I_1,\dots,I_k)}|_{\prod_{i\in I} \operatorname{Spd} F_i} = \mathcal{L}ocSht_{G,\mu\bullet}^{(I_1,\dots,I_k)}|_{\prod_{i\in I} \operatorname{Spd} F_i}$$

For any S-point (\mathscr{G}, δ) of $\mathcal{LocSht}_{G, \mu_{\bullet}}^{(I_1, \dots, I_k)}|_{\prod_{i \in I} \operatorname{Spd} F_i}$, form the Cartesian square



Then S' parametrizes level-n' structures ψ on \mathscr{G} . Because ψ_1 uniquely determines ψ_j for $2 \leq j \leq k$, we see that level-n' structures on \mathscr{G} are equivalent to trivializations of the τ -G-bundle $(\mathscr{G}_1|_{\operatorname{Spec} R[\![z]\!]/z^{n'}}, (\phi_k \circ \cdots \circ \phi_1)_{R[\![z]\!]/z^{n'}})$ over $\operatorname{Spec} R[\![z]\!]/z^{n'}$. Thus Proposition 3.8 and [41, Proposition 9.7] imply that $S' \to S$ is finite Galois with the desired Galois action.

For general n, the result follows from the commutative triangle



and compatibility of the $K_{n',n}$ -action with changing n' and n. Finally, the last statement follows from Theorem 4.9 and [41, Lemma 11.21].

4.13. The covering tower enjoys the following Hecke correspondences. Write

$$\mathcal{L}oc\mathcal{S}ht^{(I_1,\ldots,I_k)}_{G,\mu_{\bullet},\infty v} \coloneqq \varprojlim_n \mathcal{L}oc\mathcal{S}ht^{(I_1,\ldots,I_k)}_{G,\mu_{\bullet},nv}|_{\prod_{i\in I} \operatorname{Spd} F_v},$$

and write K_n for the kernel of $G(\mathcal{O}_F) \to G(\mathcal{O}_F/z^n)$.

Proposition. We have a canonical G(F)-action on $\operatorname{LocSht}_{G,\mu_{\bullet},\infty v}^{(I_1,\ldots,I_k)}$ over $\prod_{i\in I} \operatorname{Spd} F_i$ that extends the $G(\mathcal{O}_F)$ -action from 4.12. Consequently, for any g in G(F), we have a canonical finite étale correspondence $\mathbf{1}_{K_ngK_n}$ from $\operatorname{LocSht}_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \operatorname{Spd} F_i}$ to itself.

Proof. Let (\mathscr{G}, δ) be an S-point of $\mathcal{LocSht}_{G,\mu\bullet}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \operatorname{Spd} F_i}$, and let $(\psi^n)_{n\geq 0}$ be a compatible system of level-*n* structures ψ^n on \mathscr{G} . For all $1 \leq j \leq k$, we see that $\psi_j := \varprojlim_n \psi_j^n$ yields an isomorphism of G-bundles $\mathscr{G}_j|_{\operatorname{Spec} R[\![z]\!]} \xrightarrow{\sim} G$. For any g in G(F), we get an isomorphism of G-bundles $g \circ (\psi_j)_{R((z))} : \mathscr{G}_j|_{\operatorname{Spec} R((z))} \xrightarrow{\sim} G$, which we use with Beauville–Laszlo to glue $G|_{\operatorname{Spec} R[\![z]\!]}$ and $\mathscr{G}_j|_{\mathcal{Y}_{S,(0,\infty)}}$ into a G-bundle $g \cdot \mathscr{G}_j$ on $\mathcal{Y}_{S,[0,\infty)}$.

 $\begin{array}{l} g \cdot \mathscr{G}_{j} \text{ on } \mathcal{Y}_{S,[0,\infty)}.\\ \text{Since } (g \cdot \mathscr{G}_{j})|_{\mathcal{Y}_{S,(0,\infty)} \smallsetminus \sum_{i \in I_{j}} \Gamma_{i}} \text{ is canonically isomorphic to } \mathscr{G}_{j}|_{\mathcal{Y}_{S,(0,\infty)} \smallsetminus \sum_{i \in I_{j}} \Gamma_{i}},\\ \text{the commutativity of the square in Definition 4.10 and Beauville–Laszlo let us glue id and } (\phi_{j})_{\mathcal{Y}_{S,(0,\infty)} \smallsetminus \sum_{i \in I_{i}} \Gamma_{i}} \text{ into an isomorphism of } G\text{-bundles} \end{array}$

$$g \cdot \phi_j : (g \cdot \mathscr{G}_j)|_{\mathcal{Y}_{S,[0,\infty)} \smallsetminus \sum_{i \in I_j} \Gamma_i} \xrightarrow{\sim} (g \cdot \mathscr{G}_{j+1})|_{\mathcal{Y}_{S,[0,\infty)} \smallsetminus \sum_{i \in I_j} \Gamma_i},$$

where $g \cdot \mathscr{G}_{k+1}$ denotes ${}^{\tau}(g \cdot \mathscr{G}_1)$. As \mathscr{G} is bounded by μ_{\bullet} , the analytic local G-shtuka $g \cdot \mathscr{G} := ((g \cdot \mathscr{G}_j)_{j=1}^k, (g \cdot \phi_j)_{j=1}^k)$ is too. Because $(g \cdot \mathscr{G}_j)|_{\mathcal{Y}_{S,[a,\infty)}}$ is canonically isomorphic to $\mathscr{G}_j|_{\mathcal{Y}_{S,[a,\infty)}}$, our δ induces a quasi-isogeny from $g \cdot \mathscr{G}$ to G. Since $(g \cdot \mathscr{G}_j)|_{\text{Spec } R[[z]]}$ is canonically trivial, we have the trivial level-n structure id $= (\mathrm{id})_{i=1}^k$ on $g \cdot \mathscr{G}$.

Altogether, we define the image of $(\mathscr{G}, \delta, (\psi^n)_{n \geq 0})$ under g to be $(g \cdot \mathscr{G}, \delta, (\mathrm{id})_{n \geq 0})$. When g lies in $G(\mathcal{O}_F)$, our $g \circ (\psi_j)_{R((z))}$ above extends to an isomorphism of Gbundles $g \circ \psi_j : \mathscr{G}_j|_{\mathrm{Spec} R[\![z]\!]} \xrightarrow{\sim} G$, and tracing through our identifications shows that this indeed recovers the action from 4.12. Finally, $\mathbf{1}_{K_n q K_n}$ is given by

and identifying $\mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},\infty v}^{(I_1,\ldots,I_k)}/K_n$ with $\mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \operatorname{Spd} F_i}$.

4.14. Recall the following variant of the moduli of local shtukas, which is defined purely in terms of the Fargues–Fontaine curve. Let K be a compact open subgroup of G(F).

Definition. Write $\mathcal{M}_{G,\mu_{\bullet},K}^{I}|_{\prod_{i\in I} \operatorname{Spd} F_{i}}$ for the small v-sheaf over $\prod_{i\in I} \operatorname{Spd} F_{i}$ whose S-points parametrize data consisting of

- i) a G-bundle \mathscr{E} on X_S such that, for all geometric points \overline{s} of S, its pullback $\mathscr{E}_{\overline{s}}$ to $X_{\overline{s}}$ is trivial,
- ii) an isomorphism of G-bundles

$$\alpha: \mathscr{E}|_{X_S \smallsetminus \sum_{i \in I} \Gamma_i} \xrightarrow{\sim} G$$

that is meromorphic along $\sum_{i \in I} \Gamma_i$ such that, for all geometric points \overline{s} of S, the relative position of $\alpha_{\overline{s}}$ at $\Gamma_{i,\overline{s}}$ is bounded by $\sum_{i'} \mu_{i'}$, where i' runs over elements of I satisfying $\Gamma_{i',\overline{s}} = \Gamma_{i,\overline{s}}$,

iii) a <u>K</u>-bundle \mathbb{P} on S whose pushforward along <u>K</u> \rightarrow G(F) equals the <u>G(F)</u>bundle on S corresponding to \mathscr{E} via [12, Theorem III.2.4].

Write $f^{\mathcal{M}}: \mathcal{M}^{I}_{G,\mu_{\bullet},K}|_{\prod_{i\in I} \operatorname{Spd} F_{i}} \to \prod_{i\in I} \operatorname{Spd} F_{i}$ for the structure morphism.

Recall that $\mathcal{M}_{G,\mu_{\bullet},K}^{I}|_{\prod_{i\in I}\operatorname{Spd} F_{i}}$ is a locally spatial diamond.

4.15. The analytic moduli of local G-shtukas is related to the Fargues–Fontaine variant as follows.

Proposition. We have a canonical morphism

$$c: \mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},nv}^{(I)}|_{\prod_{i\in I}\operatorname{Spd} F_i} \to \mathcal{M}_{G,\mu_{\bullet},K_n}^{I}|_{\prod_{i\in I}\operatorname{Spd} F_i}$$

of locally spatial diamonds over $\prod_{i \in I} \operatorname{Spd} F_i$.

Proof. Let $(\mathscr{G}, \delta, \psi)$ be an S-point of $\mathcal{LocSht}_{G,\mu_{\bullet},nv}^{(I)}$. Theorem 3.12 and Proposition 3.8 show that $(\mathscr{G}_1|_{\operatorname{Spec}\widetilde{\mathcal{R}}_R^{\operatorname{int}}}, (\phi_1)_{\widetilde{\mathcal{R}}_R^{\operatorname{int}}})$ corresponds to a $\underline{G(\mathcal{O}_F)}$ -bundle on S, and Proposition 3.8 implies that ψ_1 corresponds to a reduction \mathbb{P} of this $\underline{G(\mathcal{O}_F)}$ -bundle to a $\underline{K_n}$ -bundle. Via continuation by Frobenius, $(\mathscr{G}_1|_{\operatorname{Spec}\widetilde{\mathcal{R}}_R^{\operatorname{int}}}, (\phi_1)_{\widetilde{\mathcal{R}}_R^{\operatorname{int}}})$ also induces a τ -G-bundle (\mathscr{F}, v) over $\mathcal{Y}_{S,[0,\infty)}$ such that $(\mathscr{F}|_{\mathcal{Y}_{S,(0,\infty)}}, (v)_{\mathcal{Y}_{S,(0,\infty)}})$ corresponds to the pushforward of \mathbb{P} along $\underline{K_n} \to \underline{G(F)}$. Therefore the pullback of the G-bundle $\mathscr{E} \coloneqq (\mathscr{F}|_{\mathcal{Y}_{S,(0,\infty)}})/(v)_{\mathcal{Y}_{S,(0,\infty)}}^{\mathbb{Z}}$ from $\overline{X_S}$ to $X_{\overline{s}}$ is trivial for all geometric points \overline{s} of S, and the corresponding $\underline{G(F)}$ -bundle on S via [12, Theorem III.2.4] equals the pushforward of \mathbb{P} along $\underline{K_n} \to \underline{G(F)}$. Finally, continuation by Frobenius and Lemma 4.5 indicate that δ_1 induces an isomorphism of G-bundles $\alpha : \mathscr{E}|_{X_S \smallsetminus \sum_{i \in I} \Gamma_i} \xrightarrow{\sim} G$ with the desired relative position bound, so altogether ($\mathscr{E}, \alpha, \mathbb{P}$) yields an S-point of $\mathcal{M}_{G,\mu_{\bullet},K_n}^I$.

4.16. We will need the following results of Fargues–Scholze [12] on the intersection homology of the moduli of local shtukas. Recall the notation of 2.13, and let V be an object of $\operatorname{Rep}_E({}^LG)^I$. Note that

$$\coprod_{\mu_{\bullet}} \mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},nv}^{(I_1,\dots,I_k)}|_{(\operatorname{Spd}\widetilde{F})^I} \text{ and } \coprod_{\mu_{\bullet}} \mathcal{M}_{G,\mu_{\bullet},K}^I|_{(\operatorname{Spd}\widetilde{F})^I}$$

naturally descend to small v-sheaves $\mathcal{LocSht}_{G,V,nv}^{(I_1,\ldots,I_k)}$ and $\mathcal{M}_{G,V,K}^I$ over $(\operatorname{Spd} F)^I$, respectively, where μ_{\bullet} runs over highest weights appearing in $V_{\overline{\mathbb{Q}}_{\ell}}|_{\widehat{G}^I}$. Proposition 4.12 and [41, Proposition 13.4 (iv)] imply that $\mathcal{LocSht}_{G,V,nv}^{(I_1,\ldots,I_k)}$ is a locally spatial diamond, and we see that $\mathcal{M}_{G,V,K}^I$ is also a locally spatial diamond.

Let Λ be \mathcal{O}_E or E, and now let V be an object of $\operatorname{Rep}_{\mathcal{O}_E}({}^LG)^I$. If $\Lambda = \mathcal{O}_E$, then by abuse of notation write V for V_E . Write $(\operatorname{Spd} \check{F})^I$ for the *I*-th power of $\operatorname{Spd} \check{F}$ over $\overline{\mathbb{F}}_q$, and write ${}^{\prime}\mathcal{F}_{V,K,\Lambda}^I$ for the object of $\mathcal{D}_{\bullet}(\mathcal{M}_{G,V,K}^I|_{(\operatorname{Spd}\check{F})^I},\Lambda)$ obtained from [12, Theorem VI.11.1] and V by first applying the double-dual embedding as in [12, p. 264] and then pulling back to $\mathcal{M}_{G,V,K}^I|_{(\operatorname{Spd}\check{F})^I}$. Write ${}^{\prime}\mathcal{F}_{V,nv,\Lambda}^{(I_1,\ldots,I_k)}$ for the pullback of ${}^{\prime}\mathcal{F}_{V,K_n,\Lambda}^I$ under the composition

$$\mathcal{L}oc\mathcal{S}ht_{G,V,nv}^{(I_1,\ldots,I_k)}|_{(\mathrm{Spd}\,\check{F})^I} \to \mathcal{L}oc\mathcal{S}ht_{G,V,nv}^{(I)}|_{(\mathrm{Spd}\,\check{F})^I} \xrightarrow{c} \mathcal{M}_{G,V,K_n}^{I}|_{(\mathrm{Spd}\,\check{F})^I}.$$

Write W_F for the absolute Weil group of F.

Theorem. Our c induces an isomorphism $f_{\natural}^{\mathcal{L}}({}^{\prime}\mathcal{F}_{V,nv,\Lambda}^{(I)}) \xrightarrow{\sim} f_{\natural}^{\mathcal{M}}({}^{\prime}\mathcal{F}_{V,K_{n},\Lambda}^{I})$. Consequently, the object $f_{\natural}^{\mathcal{L}}({}^{\prime}\mathcal{F}_{V,nv,\Lambda}^{(I)})$ of $D_{\blacksquare}((\operatorname{Spd} \breve{F})^{I},\Lambda)$ naturally arises via pullback from $D(W_{F}^{I},\Lambda)$.

Proof. Using Theorem 3.12 and Proposition 3.8, the argument in the proof of [12, Proposition IX.3.2] yields the first claim. For the second claim, [12, Proposition VII.3.1 (iii)] enables us to identify $f_{\natural}^{\mathcal{M}}('\mathcal{F}_{V,K_n,\Lambda}^{I})$ with $i_1^*T_V(i_{1!}(\operatorname{c-Ind}_{K_n}^{G(F)}\Lambda))$ as objects of $D(\Lambda)$, where $i_1 : [*/\underline{G(F)}] \to \operatorname{Bun}_G$ is the canonical open embedding, and T_V is the geometric Hecke operator associated with V. Therefore [12, Corollary IX.2.3] yields the desired result.

4.17. Finally, we define partial Frobenii for the analytic moduli of local G-shtukas and relate them to partial Frobenii on the Fargues–Fontaine variant as follows. Write $\mathcal{F}r^{(I_1,\ldots,I_k)}: \mathcal{L}oc\mathcal{S}ht^{(I_1,\ldots,I_k)}_{G,V,nv} \to \mathcal{L}oc\mathcal{S}ht^{(I_2,\ldots,I_k,I_1)}_{G,V,nv}$ for the morphism that sends

Note that $\mathcal{M}_{G,V,K}^{I}$ naturally descends to a v-sheaf over $(\operatorname{Div}_{F}^{1})^{I}$, where $\operatorname{Div}_{F}^{1}$ denotes the small v-sheaf over $\operatorname{Spd}\mathbb{F}_{q}$ whose S-points parametrize degree-1 relative effective Cartier divisors of X_{S} . Write $\varphi_{I_{1}}: \mathcal{M}_{G,V,K}^{I} \to \mathcal{M}_{G,V,K}^{I}$ for the resulting endomorphism given by geometric q-Frobenius on the *i*-th factor of $(\operatorname{Spd} F)^{I}$ for *i* in I_{1} and the identity on all other factors.

Lemma. We have a commutative diagram

Proof. This follows immediately from the proof of Proposition 4.15.

5. Uniformizing the moduli spaces of global shtukas

At this point, we shift focus from local to global considerations. Our goal in this section is to define the uniformization morphism, which is essential for our main results. First, we recall some facts about global shtukas and their moduli spaces. We then take formal completions at a fixed place and define the uniformization morphism on the level of formal stacks. By restricting to a Harder–Narasimhan truncation on the global moduli and using results from §2 on the local moduli, we can pass from formal stacks to formal schemes that are locally formally of finite type over \mathbb{D}^I . This lets us avoid questions about analytifying stacks, as well as upgrade the formal étaleness of our uniformization morphism to étaleness (after passing to generic fibers). Finally, we extend the uniformization morphism to the covering tower on generic fibers.

5.1. We start by switching our notation to a global context. Let C be a geometrically connected smooth proper curve over a finite field \mathbb{F}_q , and write F for $\mathbb{F}_q(C)$. Fix a separable closure \overline{F} of F, and write Γ_F for $\operatorname{Gal}(\overline{F}/F)$. Write \mathbb{A} for the adele ring of C, and write \mathbb{O} for its subring of integral adeles.

Let G be a parahoric group scheme over C as in [39, Definition 2.18], and write Z for the center of G. By [3, Proposition 2.2(b)], there exists an SL_h -bundle \mathscr{V} on C and a closed embedding $\iota : G^{ad} \to \underline{Aut}(\mathscr{V})$ of group schemes over C such that $\underline{Aut}(\mathscr{V})/G^{ad}$ satisfies [3, (2.1)].

Let T be a maximal subtorus of G_F , and write $X^+_*(T)$ for the set of dominant cocharacters of $T_{\overline{F}}$ with respect to a fixed Borel subgroup $B \subseteq G_{\overline{F}}$ containing $T_{\overline{F}}$. Identify $X^+_*(T)$ with the set of conjugacy classes of cocharacters of $G_{\overline{F}}$. Let $\mu_{\bullet} = (\mu_i)_{i \in I}$ be in $X^+_*(T)^I$, and identify the field of definition of μ_i with $\mathbb{F}_q(C_i)$ for some finite generically étale cover $C_i \to C$. Write $\operatorname{Gr}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i \in I} C_i}$ for the closed affine Schubert variety as in 1.5.

5.2. Let us recall the definition of global G-shtukas. Let S be an affine scheme over C^{I} , and adopt the notation of 1.2. Write $\tau : S \to S$ for the absolute q-Frobenius endomorphism, and by abuse of notation, write $\tau : C \times S \to C \times S$ for the identity times τ .

Definition.

a) A global G-shtuka over S consists of

- i) for all $1 \leq j \leq k$, a *G*-bundle \mathscr{G}_j on $C \times S$,
- ii) for all $1 \le j \le k$, an isomorphism of G-bundles

 $\phi_j:\mathscr{G}_j|_{C\times S\smallsetminus \sum_{i\in I_j}\Gamma_i}\xrightarrow{\sim} \mathscr{G}_{j+1}|_{C\times S\smallsetminus \sum_{i\in I_j}\Gamma_i},$

where \mathscr{G}_{k+1} denotes the *G*-bundle ${}^{\tau}\mathscr{G}_1$.

b) Suppose that S lies over $\prod_{i \in I} C_i$, and let $\mathscr{G} = ((\mathscr{G}_j)_{j=1}^k, (\phi_j)_{j=1}^k)$ be a global G-shtuka over S. We say that \mathscr{G} is bounded by μ_{\bullet} if the S-point of

 $[L_I^+(G)\backslash \operatorname{Gr}_G^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i}]$

given by $((\mathscr{G}_j|_{\operatorname{Spec}\widehat{\mathcal{O}}_C(S)})_{j=1}^k, ((\phi_j)_{\widehat{\mathcal{O}}_C^{j,\circ}(S)})_{j=1}^k)$ lies in $[L_I^+(G)\backslash\operatorname{Gr}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i}]$. c) Let \mathscr{G} be a global *G*-shtuka over *S*. We say that \mathscr{G} has *Harder–Narasimhan*

- c) Let \mathscr{G} be a global *G*-shtuka over *S*. We say that \mathscr{G} has *Harder–Narasimhan* polygon bounded by *s* if the SL_h-bundle $\iota_*(\mathscr{G}_1^{\mathrm{ad}})$ has Harder–Narasimhan polygon bounded by $s2\rho^{\vee}$, where $2\rho^{\vee}$ denotes the sum of positive coroots in SL_h.
- 5.3. Next, we turn to level structures. Let N be a finite closed subscheme of C.

Definition. Suppose that S lies over $(C \setminus N)^I$, and let \mathscr{G} be a global G-shtuka over S. A *level-N structure* on \mathscr{G} consists of, for all $1 \leq j \leq k$, an isomorphism of G-bundles

$$\psi_j:\mathscr{G}_j|_{N\times S}\stackrel{\sim}{\to} G$$

such that the diagram

$$\begin{array}{c} \mathscr{G}_{j}|_{N\times S} \xrightarrow{(\phi_{j})_{N}} \mathscr{G}_{j+1}|_{N\times S} \\ \downarrow^{\psi_{j}} \qquad \qquad \qquad \downarrow^{\psi_{j+1}} \\ G = \overline{\qquad} G \end{array}$$

commutes, where \mathscr{G}_{k+1} denotes ${}^{\tau}\mathscr{G}_1$, and ψ_{k+1} denotes ${}^{\tau}\psi_1$.

Since S lies over $(C \setminus N)^I$, the $(\phi_j)_N$ are isomorphisms. Therefore ψ_1 uniquely determines ψ_j for $2 \le j \le k$.

5.4. We now recall the moduli of global G-shtukas and its associated structures. Write N_i for the preimage of N in C_i .

Definition. Write $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i\smallsetminus N_i}$ for the stack over $\prod_{i\in I}C_i\smallsetminus N_i$ whose S-points parametrize data consisting of

- i) a global G-shtuka \mathscr{G} over S bounded by μ_{\bullet} ,
- ii) a level-N structure $\psi = (\psi_j)_{j=1}^k$ on \mathscr{G} .

Write $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}C_i\smallsetminus N_i}$ for the open substack of $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i\smallsetminus N_i}$ whose S-points consist of the (\mathscr{G},ψ) such that \mathscr{G} has Harder–Narasimhan polygon bounded by s.

Write $f^{\mathrm{S}}: \operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i\smallsetminus N_i} \to \prod_{i\in I}C_i\smallsetminus N_i$ for the structure morphism.

Our $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} C_i \smallsetminus N_i}$ has an action of $Z(F) \setminus Z(\mathbb{A})$ by twisting. Since the image of Z in $\operatorname{\underline{Aut}}(\mathscr{V})$ is trivial, $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I} C_i \smallsetminus N_i}$ is preserved by the $Z(F) \setminus Z(\mathbb{A})$ -action. Finally, note that $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} C_i \smallsetminus N_i}$ is the increasing union of the $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I} C_i \smallsetminus N_i}$.

5.5. For finite closed subschemes $N' \supseteq N$ of C, we have morphisms

$$\operatorname{Sht}_{G,\mu_{\bullet},N'}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i\smallsetminus N'_i}\to \operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i\smallsetminus N'_i}$$

given by pulling back ψ_j to $N \times S$ for all $1 \leq j \leq k$. Write $K_{N',N}$ for the kernel of $G(\mathcal{O}_{N'}) \to G(\mathcal{O}_N)$, and note that $K_{N',N}$ acts on $\operatorname{Sht}_{G,\mu_{\bullet},N'}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} C_i \smallsetminus N'_i}$ over $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} C_i \smallsetminus N'_i}$ via postcomposition with ψ_j for all $1 \leq j \leq k$.

Proposition. The morphism $\operatorname{Sht}_{G,\mu\bullet,N'}^{(I_1,\dots,I_k)}|_{\prod_{i\in I}C_i\smallsetminus N'_i} \to \operatorname{Sht}_{G,\mu\bullet,N}^{(I_1,\dots,I_k)}|_{\prod_{i\in I}C_i\smallsetminus N'_i}$ is finite Galois, where the Galois action is given by that of $K_{N',N}$.

Proof. When $N = \emptyset$, the result follows from the proof of [45, Proposition 2.16 b)]. For general N, the result follows from the commutative triangle



and compatibility of the $K_{N',N}$ -action with changing N' and N.

5.6. **Proposition.** Our $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\dots,I_k)}|_{\prod_{i\in I}C_i\smallsetminus N_i}$ is a Deligne–Mumford stack that is separated and locally of finite type over $\prod_{i\in I}C_i\smallsetminus N_i$. Moreover, for large enough deg N, our $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\dots,I_k),\leq s}|_{\prod_{i\in I}C_i\smallsetminus N_i}$ is a scheme that is separated and locally of finite type over $\prod_{i\in I}C_i\smallsetminus N_i$.

Proof. The second claim follows from the proof of [33, Lemme 12.19]. Using Proposition 5.5, the first claim follows from the argument in [49, $\S5.1.5$].

5.7. Let \widetilde{F} be the finite Galois extension of F such that $\operatorname{Gal}(\widetilde{F}/F)$ equals the image of the Γ_F -action on $X^+_*(T)$, and identify \widetilde{F} with $\mathbb{F}_q(\widetilde{C})$ for some finite generically étale cover $\widetilde{C} \to C$. Write \widetilde{N} for the preimage of N in \widetilde{C} . Write \widehat{G} for the dual group of G_F over \mathcal{O}_E , and write LG for $\widehat{G} \rtimes \operatorname{Gal}(\widetilde{F}/F)$.

Let V be an object of $\operatorname{Rep}_E({}^LG)^I$. Note that $\coprod_{\mu_{\bullet}} \operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{(\widetilde{C}\smallsetminus\widetilde{N})^I}$ and $\coprod_{\mu_{\bullet}} \operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{(\widetilde{C}\smallsetminus\widetilde{N})^I}$ naturally descend to stacks

$$\operatorname{Sht}_{G,V,N}^{(I_1,\ldots,I_k)}$$
 and $\operatorname{Sht}_{G,V,N}^{(I_1,\ldots,I_k),\leq s}$

over $(C \smallsetminus N)^I$, respectively, where μ_{\bullet} runs over highest weights appearing in $V_{\overline{\mathbb{Q}}_{\ell}}|_{\widehat{G}^I}$. Proposition 5.6 and descent imply that $\operatorname{Sht}_{G,V,N}^{(I_1,\ldots,I_k)}$ is a Deligne–Mumford stack that is separated and locally of finite type over $(C \smallsetminus N)^I$, and for large enough deg N, our $\operatorname{Sht}_{G,V,N}^{(I_1,\ldots,I_k),\leq s}$ is a scheme that is separated and locally of finite type over $(C \smallsetminus N)^I$.

5.8. Write K_N for the kernel of $G(\mathbb{O}) \to G(\mathcal{O}_N)$. For any g in $G(\mathbb{A})$, recall that we have a canonical finite étale correspondence $\mathbf{1}_{K_NgK_N}$ from $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} \mathbb{F}_q(C_i)}$ to itself [33, Construction 2.20]⁵. Note that $\mathbf{1}_{K_NgK_N}$ commutes with the $Z(F) \setminus Z(\mathbb{A})$ -action.

⁵Although [33, Construction 2.20] only addresses the case when G is split, it extends to the general case. Indeed, this is already implicitly used in [33, (12.16)].

5.9. **Definition.** Write $\operatorname{Fr}^{(I_1,\ldots,I_k)} : \operatorname{Sht}^{(I_1,\ldots,I_k)}_{G,V,N} \to \operatorname{Sht}^{(I_2,\ldots,I_k,I_1)}_{G,V,N}$ for the morphism given by

$$\left(\mathscr{G}_1 \xrightarrow{\phi_1} \xrightarrow{\phi_1} \cdots \xrightarrow{\phi_{k-1}} \mathscr{G}_k \xrightarrow{\phi_k} \xrightarrow{\tau} \mathscr{G}_1\right) \longmapsto \left(\mathscr{G}_2 \xrightarrow{\phi_2} \xrightarrow{\phi_2} \cdots \xrightarrow{\phi_k} \xrightarrow{\tau} \mathscr{G}_1 \xrightarrow{\tau} \xrightarrow{\tau} \mathscr{G}_2\right).$$

Note that $\operatorname{Fr}^{(I_1,\ldots,I_k)}$ lies above the endomorphism of $(C \smallsetminus N)^I$ given by geometric q-Frobenius on the *i*-th factor for *i* in I_1 and the identity on all other factors.

By [33, Lemme 3.1]⁶, there exists a non-negative integer $\kappa(V)$ such that

$$(\mathrm{Fr}^{(I_1,\dots,I_k)})^{-1}(\mathrm{Sht}^{(I_2,\dots,I_k,I_1),\leq s}_{G,V,N}) \subseteq \mathrm{Sht}^{(I_1,\dots,I_k),\leq s+\kappa(V)}_{G,V,N} \text{ and } \\ \mathrm{Fr}^{(I_1,\dots,I_k)}(\mathrm{Sht}^{(I_1,\dots,I_k),\leq s}_{G,V,N}) \subseteq \mathrm{Sht}^{(I_2,\dots,I_k,I_1),\leq s+\kappa(V)}_{G,V,N}.$$

5.10. At this point, we fix a place of F and begin exploring the interplay between the local and global situations. Let v be a closed point of C, write r for the degree of v, and write \mathcal{O}_v for $\widehat{\mathcal{O}}_{C,v}$. Choose a uniformizer z of \mathcal{O}_v , which yields an identification $\mathcal{O}_v = \mathbb{F}_{q^r}[\![z]\!]$. Write F_v for the fraction field of \mathcal{O}_v , and write \mathbb{D} for the formal scheme Spf \mathcal{O}_v .

Fix a separable closure \overline{F}_v of F_v , and fix an embedding $\overline{F} \to \overline{F}_v$. By abuse of notation, write G for the pullback of G to \mathcal{O}_v . Using T_{F_v} for our maximal subtorus of G_{F_v} and $B_{\overline{F}_v}$ for our Borel subgroup of $G_{\overline{F}_v}$, we can identify F_i from 1.5 with the closure of $\mathbb{F}_q(C_i)$ in \overline{F}_v as well as identify \mathbb{D}_i from 1.5 with the formal completion of C_i at the closed point v_i of C_i above v induced by $\overline{F} \to \overline{F}_v$.

5.11. The following two lemmas explain how to resolve the clash between our local and global base fields. Write \mathbb{D}^{I} for the *I*-th power of \mathbb{D} over $\mathbb{F}_{q^{r}}$. Adopt the notation of 1.3, and let $S = \operatorname{Spec} R$ be an affine scheme over \mathbb{D}^{I} .

Lemma. We have a natural isomorphism of affine formal schemes

$$\coprod_{d} \mathbb{D} \times_{\mathbb{F}_{q^{r}}, d} S \xrightarrow{\sim} \mathbb{D} \times S,$$

where $\mathbb{D} \times_{\mathbb{F}_{q^r}, d} S$ denotes the product of $S \to \operatorname{Spec} \mathbb{F}_{q^r} \xrightarrow{\tau^a} \operatorname{Spec} \mathbb{F}_{q^r}$ and \mathbb{D} over \mathbb{F}_{q^r} , and d runs over \mathbb{Z}/r . Under this identification, $\tau : \mathbb{D} \times S \to \mathbb{D} \times S$ on the righthand side corresponds to the disjoint union of $\tau : \mathbb{D} \times_{\mathbb{F}_{q^r, d}} S \to \mathbb{D} \times_{\mathbb{F}_{q^r, d-1}} S$ on the left-hand side.

Proof. Take $\mathbb{D} \times_{\mathbb{F}_{q^r}, d} S \to \mathbb{D} \times S$ to be the natural morphism. Since \mathbb{F}_{q^r} is finite Galois over \mathbb{F}_q with $\operatorname{Gal}(\mathbb{F}_{q^r}/\mathbb{F}_q) = \tau^{\mathbb{Z}/r}$, the induced morphism above is an isomorphism. The last statement follows immediately.

5.12. Lemma. A local G-shtuka over S is equivalent to data consisting of

- i) for all $1 \leq j \leq k$, a *G*-bundle \mathscr{H}_j on $\mathbb{D} \times S$,
- ii) for all $1 \le j \le k$, an isomorphism of G-bundles

 $\chi_j:\mathscr{H}_j|_{\mathbb{D}\times S\smallsetminus\sum_{i\in I_i}\Gamma_i}\xrightarrow{\sim}\mathscr{H}_{j+1}|_{\mathbb{D}\times S\smallsetminus\sum_{i\in I_i}\Gamma_i},$

where \mathscr{H}_{k+1} denotes the *G*-bundle ${}^{\tau}\mathscr{H}_k$.

⁶While [33, Lemme 3.1] only treats the case of split G, it extends to the general case. Indeed, this is already implicitly used in [33, (12.15)].

Proof. Let \mathscr{G} be a local *G*-shtuka over *S*, and for all $1 \leq j \leq k$, view \mathscr{G}_j as a *G*-bundle on $\mathbb{D} \times_{\mathbb{F}_{q^r}} S$. Using Lemma 5.11, we can form \mathscr{H}_j by taking $\tau^d \mathscr{G}_1$ on $\mathbb{D} \times_{\mathbb{F}_{q^r}, d} S$ for $1 \leq d \leq r-1$ and \mathscr{G}_j on $\mathbb{D} \times_{\mathbb{F}_{q^r}} S$. Note that $\tau \mathscr{H}_1$ is given by $\tau^d \mathscr{G}_1$ on $\mathbb{D} \times_{\mathbb{F}_{q^r}, d} S$ for all $1 \leq d \leq r$. Therefore we can form χ_j by taking id on $\mathbb{D} \times_{\mathbb{F}_{q^r}, d} S$ for $1 \leq d \leq r-1$ and ϕ_j on $\mathbb{D} \times_{\mathbb{F}_{q^r}} S$.

Conversely, let $\mathscr{H} := ((\mathscr{H}_j)_{j=1}^k, (\chi_j)_{j=1}^k)$ be as above. Write $(-)|_d$ for restrictions to $\mathbb{D} \times_{\mathbb{F}_{q^r}, d} S$. Since Γ_i lies in $\mathbb{D} \times_{\mathbb{F}_{q^r}} S$ for all i in I, our $\chi_j|_d$ is an isomorphism for all $1 \le j \le k$ and $1 \le d \le r-1$. By repeatedly using Lemma 5.11, this identifies $\mathscr{H}_j|_d$ with $\tau^d \mathscr{H}_1|_r$. Hence this also identifies $\mathscr{H}_{k+1}|_r$ with $\tau^r \mathscr{H}_1|_r$, so altogether we see that $\mathscr{H}|_r$ yields a local G-shtuka over S.

5.13. In our study of the uniformization morphism, we start by defining it on the level of formal stacks. Write $\prod_{i \in I} \mathbb{D}_i$ for the product of the \mathbb{D}_i over \mathbb{F}_{q^r} , and write $\prod_{i \in I} v_i$ for the product of the v_i over \mathbb{F}_{q^r} . Assume that N and v are disjoint, and write $\widehat{\operatorname{Sht}}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i \in I} \mathbb{D}_i}$ for the formal completion of $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i \in I} C_i \smallsetminus N_i}$ along $\prod_{i \in I} v_i$ in $\prod_{i \in I} C_i \smallsetminus N_i$.

Proposition. We have a canonical morphism

$$\widehat{\Theta}: \mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i} \to \widehat{\mathrm{Sht}}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$$

of stacks over $\prod_{i \in I} \mathbb{D}_i$ that is formally étale.

This result generalizes cases of [3, Theorem 5.3].

Proof. First, we define $\widehat{\Theta}$. Let (\mathscr{G}, δ) be an S-point of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$, and let $((\mathscr{H}_j)_{j=1}^k, (\chi_j)_{j=1}^k)$ be the data corresponding to \mathscr{G} as in Lemma 5.12. For all $1 \leq j \leq k$, Lemma 5.11 shows that taking δ_j on $\mathbb{D} \times_{\mathbb{F}_{q^r}} S$ and $\tau^d \delta_1$ on $\mathbb{D} \times_{\mathbb{F}_{q^r},d} S$ for $1 \leq d \leq r-1$ yields an isomorphism of G-bundles

$$\epsilon_j: \mathscr{H}_j|_{\mathbb{D}\times S\smallsetminus v\times S} \xrightarrow{\sim} G.$$

Beauville–Laszlo lets us use ϵ_j to glue \mathscr{H}_j and $G|_{C \times S \setminus v \times S}$ into a *G*-bundle \mathscr{G}_j^{Θ} on $C \times S$. Because the square in Definition 2.2.b) commutes, Beauville–Laszlo also lets us glue χ_j and id into an isomorphism of *G*-bundles

$$\phi_j^{\Theta}:\mathscr{G}_j^{\Theta}|_{C\times S\smallsetminus \sum_{i\in I_j}\Gamma_i}\xrightarrow{\sim}\mathscr{G}_{j+1}^{\Theta}|_{C\times S\smallsetminus \sum_{i\in I_j}\Gamma_i},$$

where we use Lemma 1.3 to identify $R[\![z]\!]$ with $\widehat{\mathcal{O}}_C(S)$, and $\mathscr{G}_{k+1}^{\Theta}$ denotes the *G*bundle ${}^{\tau}\mathscr{G}_1^{\Theta}$. As \mathscr{G} is bounded by μ_{\bullet} , the global *G*-shtuka $\mathscr{G}^{\Theta} \coloneqq ((\mathscr{G}_j^{\Theta})_{j=1}^k, (\phi_j^{\Theta})_{j=1}^k)$ is too. Because *N* and *v* are disjoint, $\mathscr{G}_j^{\Theta}|_{N\times S}$ and $\phi_j^{\Theta}|_{N\times S}$ are canonically trivial, so we have the trivial level-*N* structure id = $(\mathrm{id})_{j=1}^k$ on \mathscr{G}^{Θ} . Altogether, we define $\widehat{\Theta}(\mathscr{G}, \delta)$ to be the *S*-point $(\mathscr{G}^{\Theta}, \mathrm{id})$ of $\widehat{\mathrm{Sht}}_{G, \mu_{\bullet}, N}^{(I_1, \ldots, I_k)}|_{\prod_{i \in I} \mathbb{D}_i}$. To see that $\widehat{\Theta}$ is formally étale, let J be an ideal of R satisfying $J^n = 0$, and write $\overline{S} \to S$ for the associated closed embedding. For any commutative square



write $(\overline{\mathscr{G}}, \overline{\delta})$ for the \overline{S} -point of $\mathfrak{LocGht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$, and write (\mathscr{F}, ψ) for the *S*-point of $\widehat{\operatorname{Sht}}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$. The restriction of \mathscr{F} to $\mathbb{D} \times S$ yields data as in Lemma 5.12, which corresponds to a local *G*-shtuka \mathscr{G} over *S*. As \mathscr{F} is bounded by μ_{\bullet} , our \mathscr{G} is too. Because the pullback of \mathscr{F} to \overline{S} is $\widehat{\Theta}(\overline{\mathscr{G}},\overline{\delta})$, we see that the pullback of \mathscr{G} to \overline{S} is $\overline{\mathscr{G}}$. Therefore Proposition 2.3 yields a unique quasi-isogeny δ from \mathscr{G} to *G* whose pullback to \overline{S} is $\overline{\delta}$.

Consider the S-point of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu\bullet}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$ given by (\mathscr{G},δ) . The top triangle commutes by construction, and the bottom triangle commutes by the uniqueness of Beauville–Laszlo gluing. Finally, the uniqueness of Proposition 2.3 and Beauville–Laszlo gluing also imply that (\mathscr{G},δ) is the unique such morphism, as desired. \Box

5.14. By restricting to a Harder–Narasimhan truncation and letting the (tame) level be large enough, we can pass from formal stacks to formal schemes. Maintain the assumptions of 5.13, Write $\widehat{\operatorname{Sht}}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\mathbb{D}_i}$ for the formal completion of

$$\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s} |_{\prod_{i\in I} C_i \smallsetminus N_i}$$

along $\prod_{i \in I} v_i$ in $\prod_{i \in I} C_i \smallsetminus N_i$, and write $\mathfrak{LocSht}_{G,\mu\bullet}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i \in I} \mathbb{D}_i}$ for the preimage of $\widehat{\mathrm{Sht}}_{G,\mu\bullet,N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i \in I} \mathbb{D}_i}$ under $\widehat{\Theta}$.

Proposition. For large enough $\deg N$, the restriction

$$\widehat{\Theta}: \mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\dots,I_k),\leq s}|_{\prod_{i\in I}\mathbb{D}_i} \to \widehat{\mathrm{Sht}}_{G,\mu_{\bullet},N}^{(I_1,\dots,I_k),\leq s}|_{\prod_{i\in I}\mathbb{D}_i}$$

is a morphism of formal schemes that is formally étale and locally formally of finite type.

Proof. Proposition 5.13 shows that the restriction

$$\widehat{\Theta}: \mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\dots,I_k),\leq s}|_{\prod_{i\in I}\mathbb{D}_i} \to \widehat{\mathrm{Sht}}_{G,\mu_{\bullet},N}^{(I_1,\dots,I_k),\leq s}|_{\prod_{i\in I}\mathbb{D}_i}$$

is formally étale. Because $\widehat{\mathrm{Sht}}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\mathbb{D}_i}$ is an open substack of

$$\widehat{\mathrm{Sht}}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$$

we see that $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\mathbb{D}_i}$ is an open subsheaf of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i}$, so Theorem 2.12 implies that $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\mathbb{D}_i}$ is a formal scheme that is locally formally of finite type over $\prod_{i\in I}\mathbb{D}_i$. For large enough deg N, Proposition 5.6 implies that $\widehat{\mathfrak{Sht}}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\mathbb{D}_i}$ is a formal scheme that is formally of finite type over $\prod_{i\in I}\mathbb{D}_i$. Hence $\widehat{\Theta}$ is locally formally of finite type, as desired. \Box 5.15. To add level at v, we need to pass to generic fibers as follows. Maintain the assumptions of 5.14, and assume that $\deg N$ is large enough as in Proposition 5.14. Proposition 5.6 shows that $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}C_i\smallsetminus N_i}$ is separated over $\prod_{i\in I}C_i\smallsetminus N_i$, so the natural morphism of adic spaces

$$\widehat{\mathrm{Sht}}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\mathbb{D}_i}\to (\mathrm{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s})_{\prod_{i\in I}\mathbb{D}_i}$$

is an open embedding [27, (4.6.iv.c)]. Write $\prod_{i \in I} \operatorname{Spa} F_i$ for the product of the Spa F_i over \mathbb{F}_{q^r} . For any non-negative integer n, write $\widehat{\operatorname{Sht}}_{G,\mu_{\bullet},nv+N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\operatorname{Spa} F_i}$ for the preimage of $\widehat{\operatorname{Sht}}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\operatorname{Spa} F_i}$ in $(\operatorname{Sht}_{G,\mu_{\bullet},nv+N}^{(I_1,\ldots,I_k),\leq s})_{\prod_{i\in I}\operatorname{Spa} F_i}$. Write $\prod_{i\in I}\operatorname{Spd} F_i$ for the product of the Spd F_i over \mathbb{F}_{q^r} . Write

$$\mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},nv}^{(I_1,\dots,I_k),\leq s}|_{\prod_{i\in I}\operatorname{Spd} H}$$

for the preimage of $(\mathfrak{LocSht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\operatorname{Spa} F_i})^{\Diamond}$ in $\mathcal{LocSht}_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\operatorname{Spd} F_i}$, where we use Theorem 4.9 to identify $(\mathfrak{LocSht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i})^{\Diamond}$ with

$$\mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathbb{D}_i^{\Diamond}}.$$

5.16. We can now define the uniformization morphism on generic fibers. Maintain the assumptions of 5.15, and let $S = \text{Spa}(R, R^+)$ be an affinoid perfectoid space over $\prod_{i \in I} \operatorname{Spa} F_i$.

Theorem. We have a canonical morphism

$$\Theta_n: \mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\operatorname{Spd} F_i} \to (\widehat{\operatorname{Sht}}_{G,\mu_{\bullet},nv+N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\operatorname{Spa} F_i})^{\Diamond}$$

of locally spatial diamonds over $\prod_{i \in I} \operatorname{Spd} F_i$ that is étale.

Proof. First, we define Θ_n . By Theorem 4.9, an S-point of

$$\mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\operatorname{Spd} F_i}$$

corresponds to a cover $(S_{\alpha})_{\alpha}$ of S by rational open subspaces $S_{\alpha} = \text{Spa}(R_{\alpha}, R_{\alpha}^{+})$ with pairwise intersections $S_{\alpha\beta} = \operatorname{Spa}(R_{\alpha\beta}, R_{\alpha\beta}^+)$, a family $(\mathscr{G}^{\alpha}, \delta^{\alpha})$ of $\operatorname{Spf} R_{\alpha}^+$ points of $\mathfrak{Loc}\mathfrak{Sht}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\mathbb{D}_i}$ that agree on $\operatorname{Spf} R^+_{\alpha\beta}$, and a level-*n* structure ψ on the analytic local G-shtuka over S obtained from gluing the $(\mathscr{G}^{\alpha})^{\mathrm{an}}$.

Proposition 5.6 indicates that $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}C_i\smallsetminus N_i}$ is locally of finite type over $\prod_{i\in I}C_i\smallsetminus N_i$, so for all α , our $\Theta(\mathscr{G}^{\alpha},\delta^{\alpha})$ yields an R^+_{α} -point of

$$\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}C_i\smallsetminus N_i}.$$

Write $\mathscr{G}^{\alpha,\Theta}$ for the resulting global *G*-shtuka over Spec R_{α} , which is bounded by μ_{\bullet} and has Harder–Narasimhan polygon bounded by m. Note that the pullback ψ^{α} of ψ to S_{α} is precisely a level-nv structure on $\mathscr{G}^{\alpha,\Theta}$, so we can form a level-(nv+N)structure $\psi^{\alpha,\Theta}$ on $\mathscr{G}^{\alpha,\Theta}$ by taking ψ^{α} on nv and id on N. Then $(\mathscr{G}^{\alpha,\Theta},\psi^{\alpha,\Theta})$ induces an S_{α} -point of $\widehat{\operatorname{Sht}}_{G,\mu_{\bullet},nv+N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\operatorname{Spa} F_i}$, and because the $\mathscr{G}^{\alpha,\Theta}$ and $\psi^{\alpha,\Theta}$ agree on Spec $R_{\alpha\beta}$, the resulting family glues into an S-point. We define this S-point to be the value of Θ_n .

To see that Θ_n is étale, note that we have a commutative square

Theorem 4.9 and Proposition 4.12 show that the top arrow is étale, and Proposition 5.5 and [41, Lemma 15.6] imply that the bottom arrow is étale. Proposition 5.14 and [41, Lemma 15.6] imply that $\widehat{\Theta}^{\diamond}$ is étale, so the 2-out-of-3 property [41, Proposition 10.4 (ii)] concludes that Θ_n is étale.

5.17. As before, we reindex everything in terms of representations of the dual group. Maintain the assumptions of 5.15. Let \widetilde{F}_v be the extension of F_v as in 2.13, and identify \widetilde{F}_v with the completion of \widetilde{F} at the place \widetilde{v} of \widetilde{F} above v induced by $\overline{F} \to \overline{F}_v$. Identify \widehat{G} with the dual group of G_{F_v} over \mathcal{O}_E , and write LG_v for $\widehat{G} \rtimes \operatorname{Gal}(\widetilde{F}_v/F_v)$. Note that we have a natural inclusion ${}^LG_v \to {}^LG$.

 $\widehat{G} \rtimes \operatorname{Gal}(\widetilde{F}_v/F_v)$. Note that we have a natural inclusion ${}^LG_v \to {}^LG$. Let V be an object of $\operatorname{Rep}_E({}^LG_v)^I$. Write $\widehat{\operatorname{Sht}}_{G,V,N}^{(I_1,\ldots,I_k)}$ and $\widehat{\operatorname{Sht}}_{G,V,N}^{(I_1,\ldots,I_k),\leq s}$ for the formal completions of $\operatorname{Sht}_{G,V,N}^{(I_1,\ldots,I_k)}$ and $\operatorname{Sht}_{G,V,N}^{(I_1,\ldots,I_k),\leq s}$, respectively, along v^I in $(C \smallsetminus N)^I$. Proposition 5.13 and descent yield a canonical morphism

$$\widehat{\Theta}:\mathfrak{Loc}\mathfrak{Sht}_{G,V}^{(I_1,\ldots,I_k)}\to\widehat{\mathrm{Sht}}_{G,V,N}^{(I_1,\ldots,I_k)}$$

that is formally étale. Write $\mathfrak{Loc}\mathfrak{Sht}_{G,V}^{(I_1,\ldots,I_k),\leq s}$ for the preimage of $\widehat{\mathrm{Sht}}_{G,V,N}^{(I_1,\ldots,I_k),\leq s}$ under Θ .

under Θ . Write $\widehat{\operatorname{Sht}}_{G,V,nv+N}^{(I_1,\ldots,I_k),\leq s}$ for the preimage of $\widehat{\operatorname{Sht}}_{G,V,N}^{(I_1,\ldots,I_k),\leq s}$ in $(\operatorname{Sht}_{G,V,nv+N}^{(I_1,\ldots,I_k)})_{(\operatorname{Spa} F_v)^I}$, and write $\operatorname{LocSht}_{G,V,nv}^{(I_1,\ldots,I_k),\leq s}$ for the preimage of $(\operatorname{LocSht}_{G,V}^{(I_1,\ldots,I_k),\leq s})_{(\operatorname{Spa} F_v)^I}^{\diamond}$ in $\operatorname{LocSht}_{G,V,nv}^{(I_1,\ldots,I_k)}$, where we use Theorem 4.9 to identify $(\operatorname{LocSht}_{G,V}^{(I_1,\ldots,I_k),\leq s})_{(\operatorname{Spa} F_v)^I}^{\diamond}$ with $\operatorname{LocSht}_{G,V,0v}^{(I_1,\ldots,I_k)}$. Theorem 5.16 and Galois descent yield a canonical morphism

$$\Theta_n: \mathcal{L}oc\mathcal{S}ht_{G,V,nv}^{(I_1,\dots,I_k),\leq s} \to (\widehat{\operatorname{Sht}}_{G,V,nv+N}^{(I_1,\dots,I_k),\leq s})^{\Diamond}$$

of locally spatial diamonds over $(\operatorname{Spd} F_v)^I$ that is étale.

5.18. We conclude by showing that the uniformization morphism is compatible with partial Frobenii. Maintain the assumptions of 5.15.

Lemma. Our $\mathcal{F}r^{(I_1,...,I_k)}$ restricts to a morphism

$$\mathcal{F}\mathbf{r}^{(I_1,\ldots,I_k)}:\mathcal{L}\mathrm{ocSht}_{G,V,nv}^{(I_1,\ldots,I_k),\leq s}\to\mathcal{L}\mathrm{ocSht}_{G,V,nv}^{(I_1,\ldots,I_k),\leq s+r\kappa(V)}.$$

After enlarging $\deg N$, we can also form the r-fold composition

$$(\mathrm{Fr}^{(I_1,\ldots,I_k)})_{\tau^{r-1}}(\mathrm{Spa}\,F_v)^{I_1}\times(\mathrm{Spa}\,F_v)^{I\smallsetminus I_1}\circ\cdots\circ(\mathrm{Fr}^{(I_1,\ldots,I_k)})(\mathrm{Spa}\,F_v)^I,$$

which yields a morphism

$$(\operatorname{Fr}^{(I_1,\ldots,I_k)})_{(\operatorname{Spa} F_v)^I}^r : (\operatorname{Sht}_{G,V,nv+N}^{(I_1,\ldots,I_k),\leq s})_{(\operatorname{Spa} F_v)^I} \to (\operatorname{Sht}_{G,V,nv+N}^{(I_1,\ldots,I_k),\leq s+r\kappa(V)})_{(\operatorname{Spa} F_v)^I}.$$

Finally, we have $\Theta_n \circ \mathcal{F}r^{(I_1,\ldots,I_k)} = (\operatorname{Fr}^{(I_1,\ldots,I_k)})_{(\operatorname{Spa} F_v)^I}^{r,\diamond} \circ \Theta_n.$

Proof. Write $\widehat{\operatorname{Sht}}_{G,V,N}^{(I_1,\ldots,I_k)}|_{\tau \mathbb{D}^{I_1} \times \mathbb{D}^{I \smallsetminus I_1}}$ for the formal completion of $\operatorname{Sht}_{G,V,N}^{(I_1,\ldots,I_k)}$ along $\tau(v)^{I_1} \times v^{I \smallsetminus I_1}$ in $(C \smallsetminus N)^I$. We see from 5.9 that $\operatorname{Fr}^{(I_1,\ldots,I_k)}$ induces a morphism

$$\widehat{\mathrm{Fr}}^{(I_1,\ldots,I_k)}: \widehat{\mathrm{Sht}}^{(I_1,\ldots,I_k)}_{G,V,N} \to \widehat{\mathrm{Sht}}^{(I_2,\ldots,I_k,I_1)}_{G,V,N} |_{\tau \mathbb{D}^{I_1} \times \mathbb{D}^{I_{\sim}I_1}}.$$

If r = 1, then stop here. Otherwise, the relative effective Cartier divisors on $C \times S$ corresponding to S-points of ${}^{\tau}\mathbb{D}$ and \mathbb{D} are disjoint, so the right-hand side is naturally isomorphic to $\widehat{\operatorname{Sht}}_{G,V,N}^{(I_1,\ldots,I_k)}|_{{}^{\tau}\mathbb{D}^{I_1}\times\mathbb{D}^{I_1}\times\mathbb{D}^{I_1}\times\mathbb{I}}$. By forming $\widehat{\operatorname{Fr}}^{(I_1,\ldots,I_k)}|_{{}^{\tau}\mathbb{D}^{I_1}\times\mathbb{D}^{I_1}\times\mathbb{I}}$ and repeating this r-1 more times, we obtain a morphism

$$\widehat{\mathrm{Fr}}^{(I_1,\ldots,I_k)}|_{\tau^{r-1}\mathbb{D}^{I_1}\times\mathbb{D}^{I\smallsetminus I_1}}\circ\cdots\circ\widehat{\mathrm{Fr}}^{(I_1,\ldots,I_k)}:\widehat{\mathrm{Sht}}^{(I_1,\ldots,I_k)}_{G,V,N}\to\widehat{\mathrm{Sht}}^{(I_2,\ldots,I_k,I_1)}_{G,V,N}.$$

Tracing through our identifications shows that

$$\widehat{\Theta} \circ \mathfrak{Fr}^{(I_1,\ldots,I_k)} = \widehat{\mathrm{Fr}}^{(I_1,\ldots,I_k)}|_{\tau^{r-1} \mathbb{D}^{I_1} \times \mathbb{D}^{I \setminus I_1}} \circ \cdots \circ \widehat{\mathrm{Fr}}^{(I_1,\ldots,I_k)} \circ \widehat{\Theta},$$

so 5.9 implies that $\mathfrak{F}^{(I_1,\ldots,I_k)}$ restricts to a morphism

$$\mathfrak{Loc}\mathfrak{Sht}_{GV}^{(I_1,\ldots,I_k),\leq s} \to \mathfrak{Loc}\mathfrak{Sht}_{GV}^{(I_1,\ldots,I_k),\leq s+r\kappa(V)}.$$

Pulling back to $\operatorname{Spa} F_v$ and using Theorem 4.9 yields the desired result.

6. Local-global compatibility

Our goal in this section is to prove Theorem A. First, we recall the coefficient sheaves used for the cohomology of the global and local moduli problems. We show that they are compatible under the uniformization morphism from §5. Next, we recall smoothness theorems for our cohomology sheaves, which are due to Xue [48] in the global case and Fargues–Scholze [12] in the local case.

These smoothness theorems yield global and local excursion operators. Using the uniformization morphism, we prove that the global and local excursion operators are compatible. From this, we deduce that the Bernstein center elements constructed by Genestier–Lafforgue [15] agree with those constructed by Fargues–Scholze [12], and we also deduce Theorem A.

6.1. For the cohomology of the moduli of global *G*-shtukas, we use the following sheaves obtained via geometric Satake. For large enough *e*, recall from 1.5 that the natural $L_I^+(G)$ -action on $\operatorname{Gr}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} C_i}$ factors through $L_I^e(G)$. Write $A_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}$ for the $L_I^e(G)$ -bundle on $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} C_i \smallsetminus N_i}$ whose fiber over (\mathscr{G},ψ) parametrizes trivializations of the *G*-bundle ${}^{\mathcal{G}}\mathcal{G}_{I|e}\sum_{i\in I}\Gamma_i$. Note that we have a natural $L_I^e(G)$ -equivariant morphism $A_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)} \to \operatorname{Gr}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} C_i}$, which is smooth by [33, p. 867]. Write $A_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}$ for the restriction of $A_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}$ to

$$\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}C_i\smallsetminus N_i}.$$

Write $V_{\mu_{\bullet}}$ for the highest weight representation of \widehat{G}^{I} corresponding to μ_{\bullet} , and write $\mathcal{S}_{\mu_{\bullet},E}^{(I_{1},...,I_{k})}$ for the corresponding object of $D(\operatorname{Gr}_{G,\mu_{\bullet}}^{(I_{1},...,I_{k})}|_{\prod_{i\in I}C_{i}}, E)$ under geometric Satake. Write $\mathcal{F}_{\mu_{\bullet},N,E}^{(I_{1},...,I_{k})}$ for the object of $D(\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_{1},...,I_{k})}|_{\prod_{i\in I}C_{i}\smallsetminus N_{i}}, E)$ obtained by first pulling back $\mathcal{S}_{\mu_{\bullet},E}^{(I_{1},...,I_{k})}$ to $\operatorname{A}_{G,\mu_{\bullet},N}^{(I_{1},...,I_{k})}$ and then using $L_{I}^{e}(G)$ -equivariance to descend along $A_{G,\mu_{\bullet},N}^{(I_1,\dots,I_k)} \to \operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\dots,I_k)} |_{\prod_{i \in I} C_i \smallsetminus N_i}$. Finally, write $\mathcal{F}_{\mu_{\bullet},N,E}^{(I_1,\dots,I_k),\leq s}$ for the restriction of $\mathcal{F}_{\mu_{\bullet},N,E}^{(I_1,\dots,I_k)}$ to $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\dots,I_k),\leq s} |_{\prod_{i \in I} C_i \smallsetminus N_i}$.

6.2. We will also take cohomology after quotienting by a lattice Ξ of $Z(F) \setminus Z(\mathbb{A})$, where a *lattice* means a discrete torsionfree cocompact subgroup. We proceed as follows. Note that $L_I^+(Z)$ acts trivially on $\operatorname{Gr}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I} C_i}$, so the natural $L_I^+(G)$ action factors through $L_I^+(G^{\operatorname{ad}})$. For large enough e, 1.5 indicates that this factors through $L_I^e(G^{\operatorname{ad}})$. Now $L_I^e(Z)$ acts trivially on the objects of

$$D(\operatorname{Gr}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i},E)$$

obtained from geometric Satake [33, Théorème 12.16], so these objects are $L_I^e(G^{ad})$ equivariant. Adapting the construction in 6.1 yields an object $\mathcal{F}_{\Xi,u\bullet,N,E}^{(I_1,\ldots,I_k)}$ of

$$D(\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}/\Xi|_{\prod_{i\in I}C_i\smallsetminus N_i},E),$$

and we see that the pullback of $\mathcal{F}_{\Xi,\mu_{\bullet},N,E}^{(I_1,\ldots,I_k)}$ to $\operatorname{Sht}_{G,\mu_{\bullet},N}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}C_i\smallsetminus N_i}$ equals $\mathcal{F}_{\mu_{\bullet},N,E}^{(I_1,\ldots,I_k)}$.

6.3. Next, we describe the sheaves used for the homology of the moduli of local G-shtukas. Recall $\mathcal{L}_{I}^{e}(G)$ and $\mathcal{L}_{I}^{+}(G)$ from Definition 4.3. For large enough e, 1.5 and Lemma 4.5 indicate that the natural $\mathcal{L}_{I}^{+}(G)$ -action on $\mathcal{Gr}_{G,\mu\bullet}^{(I_{1},\ldots,I_{k})}|_{\prod_{i\in I}\mathbb{D}_{i}^{\circ}}$ factors through $\mathcal{L}_{I}^{e}(G)$. Write $\mathcal{A}_{G,\mu\bullet,nv}^{(I_{1},\ldots,I_{k})}$ for the $\mathcal{L}_{I}^{e}(G)$ -bundle on $\mathcal{L}ocSht_{G,\mu\bullet,nv}^{(I_{1},\ldots,I_{k})}|_{\prod_{i\in I}Spd F_{i}}$ whose fiber over $(\mathscr{G}, \delta, \psi)$ parametrizes trivializations of the G-bundle $\tau^{r} \mathscr{G}_{1}|_{e\sum_{i\in I}\Gamma_{i}}$. Note that we have a natural $\mathcal{L}_{I}^{e}(G)$ -equivariant morphism

$$\mathcal{A}_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k)} \to \mathcal{G}\mathrm{r}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\mathrm{Spd}\,F_i}$$

Recall Λ from 4.16, and write $\prod_{i \in I} \operatorname{Spd} \check{F}_i$ for the product of the $\operatorname{Spd} \check{F}_i$ over $\overline{\mathbb{F}}_q$. Write $\mathcal{F}_{\mu \bullet, nv, \Lambda}^{(I_1, \dots, I_k)}$ for the object of $D_{\blacksquare}(\mathcal{LocSht}_{G, \mu \bullet, nv}^{(I_1, \dots, I_k)}|_{\prod_{i \in I} \operatorname{Spd} \check{F}_i}, \Lambda)$ obtained from [12, Theorem VI.11.1] and $V_{\mu \bullet}$ by first applying the double-dual embedding as in [12, p. 264], then pulling back to $\mathcal{A}_{G, \mu \bullet, nv}^{(I_1, \dots, I_k)}|_{\prod_{i \in I} \operatorname{Spd} \check{F}_i}$, and finally using $\mathcal{L}_I^e(G)$ -equivariance and [41, Proposition 17.3] to descend along

$$\mathcal{A}_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k)} \to \mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k)} \big|_{\prod_{i\in I} \operatorname{Spd} \breve{F}_i}.$$

Write $\mathcal{A}_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k),\leq s}$ for the restriction of $\mathcal{A}_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k)}$ to $\mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I} \operatorname{Spd} F_i}$, and write $\mathcal{F}_{\mu_{\bullet},nv,\Lambda}^{(I_1,\ldots,I_k),\leq s}$ for the restriction of $\mathcal{F}_{\mu_{\bullet},nv,\Lambda}^{(I_1,\ldots,I_k)}$ to

$$\mathcal{L}oc\mathcal{S}ht_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k),\leq s}|_{\prod_{i\in I}\operatorname{Spd}\check{F}_i}$$

6.4. Our local and global coefficient sheaves are compatible under Θ_n in the following sense. Adopt the assumptions of 5.15, and write $\prod_{i \in I} \operatorname{Spa} \check{F}_i$ for the product of the Spa \check{F}_i over $\overline{\mathbb{F}}_q$. Write $(\mathcal{F}_{\mu_{\bullet},nv+N,E}^{(I_1,\ldots,I_k),\leq s})_{\prod_{i \in I} \operatorname{Spa} \check{F}_i}$ for the object of

$$D((\operatorname{Sht}_{G,\mu\bullet,nv+N}^{(I_1,\ldots,I_k),\leq s})_{\prod_{i\in I}\operatorname{Spa}\breve{F}_i},E)$$

obtained by analytifying $\mathcal{F}_{\mu_{\bullet},nv+N,E}^{(I_1,\ldots,I_k),\leq s}$ as in [28, (3.2.8)]. Because

$$(\operatorname{Sht}_{G,\mu_{\bullet},nv+N}^{(I_1,\ldots,I_k),\leq s})_{\prod_{i\in I}\operatorname{Spa}\breve{F}_i}$$

is an analytic adic space, [41, Lemma 15.6] and [41, Remark 14.14] indicate that $(\mathcal{F}_{\mu_{\bullet},nv+N,E}^{(I_1,\ldots,I_k),\leq s})_{\prod_{i\in I}\operatorname{Spa}\check{F}_i}$ yields an object $(\mathcal{F}_{\mu_{\bullet},nv+N,E}^{(I_1,\ldots,I_k),\leq s})_{\prod_{i\in I}\operatorname{Spa}\check{F}_i}^{\Diamond}$ of

$$D_{\text{\'et}}((\operatorname{Sht}_{G,\mu_{\bullet},nv+N}^{(I_1,\ldots,I_k),\leq s})^{\Diamond}_{\prod_{i\in I}\operatorname{Spa}\breve{F}_i}, E$$

Lemma. $(\mathcal{F}_{\mu\bullet,nv+N,E}^{(I_1,...,I_k),\leq s})_{\prod_{i\in I}\operatorname{Spa}\check{F}_i}^{\Diamond}$ is universally locally acyclic over $\prod_{i\in I}\operatorname{Spd}\check{F}_i$. Moreover, its image $'(\mathcal{F}_{\mu\bullet,nv+N,E}^{(I_1,...,I_k),\leq s})_{\prod_{i\in I}\operatorname{Spa}\check{F}_i}^{\Diamond}$ in $D_{\blacksquare}((\operatorname{Sht}_{G,\mu\bullet,nv+N}^{(I_1,...,I_k),\leq s})_{\prod_{i\in I}\operatorname{Spa}\check{F}_i}^{\Diamond}, E)$ under the double-dual embedding as in [12, p. 264] satisfies

$$\Theta_n^* \left[\left(\mathcal{F}_{\mu_{\bullet}, nv+N, E}^{(I_1, \dots, I_k), \leq s} \right)_{\prod_{i \in I} \operatorname{Spa} \check{F}_i} \right] = \left(\mathcal{F}_{\mu_{\bullet}, nv, E}^{(I_1, \dots, I_k), \leq s} \right)$$

Proof. We start by rewriting $(\mathcal{F}_{\mu_{\bullet},nv+N,E}^{(I_1,\ldots,I_k),\leq s})_{\prod_{i\in I} \operatorname{Spa} \check{F}_i}^{\Diamond}$ as follows. Since

$$(\operatorname{Gr}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)})_{\prod_{i\in I}\operatorname{Spa}\breve{F}_i}$$

is an analytic adic space, [41, Lemma 15.6] and [41, Remark 14.14] indicate that $(\mathcal{S}_{\mu\bullet,E}^{(I_1,...,I_k)})_{\prod_{i\in I}\operatorname{Spa}\check{F}_i}^{\diamond}$ yields an object of $D_{\operatorname{\acute{e}t}}((\operatorname{Gr}_{G,\mu\bullet}^{(I_1,...,I_k)})_{\prod_{i\in I}\operatorname{Spa}\check{F}_i}^{\diamond}, E)$. By first pulling back $(\mathcal{S}_{\mu\bullet,E}^{(I_1,...,I_k)})_{\prod_{i\in I}\operatorname{Spa}\check{F}_i}^{\diamond}$ to $(A_{G,\mu\bullet,nv+N}^{(I_1,...,I_k),\leq s})_{\prod_{i\in I}\operatorname{Spa}\check{F}_i}^{\diamond}$ and then using $\mathcal{L}_I^e(G)$ -equivariance and [41, Proposition 17.3] to descend along

$$(\mathbf{A}_{G,\mu_{\bullet},nv+N}^{(I_1,\ldots,I_k),\leq s})_{\prod_{i\in I}\operatorname{Spa}\check{F}_i}^{\Diamond} \to (\operatorname{Sht}_{G,\mu_{\bullet},nv+N}^{(I_1,\ldots,I_k),\leq s})_{\prod_{i\in I}\operatorname{Spa}\check{F}_i}^{\Diamond}$$

where we use Lemma 4.5 to identify $(L_{I}^{e}(G))_{\mathbb{D}^{I}}^{\Diamond}$ with $\mathcal{L}_{I}^{e}(G)$, we see that the resulting object of $D_{\text{\acute{e}t}}((\operatorname{Sht}_{G,\mu_{\bullet},nv+N}^{(I_{1},...,I_{k}),\leq s})_{\prod_{i\in I}\operatorname{Spa}\check{F}_{i}}^{\Diamond}, E)$ equals $(\mathcal{F}_{\mu_{\bullet},nv+N,E}^{(I_{1},...,I_{k}),\leq s})_{\prod_{i\in I}\operatorname{Spa}\check{F}_{i}}^{\Diamond}.$

Let us prove the first claim. By using the explicit description in [12, Proposition VI.7.9] and the fiberwise criterion for perversity [12, Corollary VI.7.6], we see that $(S_{\mu \bullet, E}^{(I_1, \dots, I_k)})_{\prod_{i \in I} \text{Spa} \check{F}_i}^{\diamond}$ equals the object obtained from [12, Theorem VI.11.1] and $V_{\mu \bullet}$, where we use Lemma 4.5 to identify

$$(\mathrm{Gr}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)})_{\prod_{i\in I}\operatorname{Spa}\check{F}_i}^{\Diamond}=\mathcal{G}\mathrm{r}_{G,\mu_{\bullet}}^{(I_1,\ldots,I_k)}|_{\prod_{i\in I}\operatorname{Spd}\check{F}_i}.$$

Hence $(\mathcal{S}_{\mu\bullet,E}^{(I_1,\ldots,I_k)})^{\diamond}_{\prod_{i\in I} \operatorname{Spa} \check{F}_i}$ is universally locally acyclic over $\prod_{i\in I} \operatorname{Spd} \check{F}_i$. Now 6.1 and [41, Proposition 24.4] show that $(A_{G,\mu\bullet,nv+N}^{(I_1,\ldots,I_k),\leq s})^{\diamond}_{\prod_{i\in I} \operatorname{Spa} \check{F}_i} \to (\operatorname{Gr}_{G,\mu\bullet}^{(I_1,\ldots,I_k)})^{\diamond}_{\prod_{i\in I} \operatorname{Spa} \check{F}_i}$ is ℓ -cohomologically smooth, so [12, Proposition IV.2.13 (i)] implies that the pullback of $(\mathcal{S}_{\mu\bullet,E}^{(I_1,\ldots,I_k)})^{\diamond}_{\prod_{i\in I} \operatorname{Spa} \check{F}_i}$ to $(A_{G,\mu\bullet,nv+N}^{(I_1,\ldots,I_k),\leq s})^{\diamond}_{\prod_{i\in I} \operatorname{Spa} \check{F}_i}$ remains universally locally acyclic over $\prod_{i\in I} \operatorname{Spd} \check{F}_i$. Applying [41, Proposition 24.4] again shows that

$$(\mathcal{A}_{G,\mu_{\bullet},nv+N}^{(I_{1},\dots,I_{k}),\leq s})^{\Diamond}_{\prod_{i\in I}\operatorname{Spa}\check{F}_{i}} \to (\operatorname{Sht}_{G,\mu_{\bullet},nv+N}^{(I_{1},\dots,I_{k}),\leq s})^{\Diamond}_{\prod_{i\in I}\operatorname{Spa}\check{F}_{i}}$$

is ℓ -cohomologically smooth, so [12, Proposition IV.2.13 (ii)] implies that

$$(\mathcal{F}^{(I_1,\ldots,I_k),\leq s}_{\mu_{\bullet},nv+N,E})^{\diamondsuit}_{\prod_{i\in I}\operatorname{Spa}\breve{F}_i}$$

is universally locally acyclic over $\prod_{i \in I} \operatorname{Spd} \check{F}_i$, as desired.

For the second claim, note that Θ_n naturally induces a morphism

$$\mathcal{A}_{G,\mu_{\bullet},nv}^{(I_1,\ldots,I_k),\leq s} \to (\mathbf{A}_{G,\mu_{\bullet},nv+N}^{(I_1,\ldots,I_k),\leq s})_{\prod_{i\in I} \operatorname{Spa} F_i}^{\Diamond}$$

such that the diagram



commutes. Therefore the above discussion yields the desired result.

6.5. We now consider the cohomology of the moduli of global *G*-shtukas. Let *V* be an object of $\operatorname{Rep}_E({}^LG)^I$. Note that the $\mathcal{F}_{\mu_{\bullet},N,E}^{(I_1,\ldots,I_k)}$ and $\mathcal{F}_{\mu_{\bullet},N,E}^{(I_1,\ldots,I_k),\leq s}$ naturally descend to objects $\mathcal{F}_{V,N,E}^{(I_1,\ldots,I_k)}$ and $\mathcal{F}_{V,N,E}^{(I_1,\ldots,I_k),\leq s}$ of $D(\operatorname{Sht}_{G,V,N}^{(I_1,\ldots,I_k)}, E)$ and $D(\operatorname{Sht}_{G,V,N}^{(I_1,\ldots,I_k),\leq s}, E)$, respectively, where μ_{\bullet} runs over highest weights appearing in $V_{\overline{\mathbb{Q}}_k}|_{\widehat{G}^I}$ with multiplicity.

Recall that $f_!^{\mathrm{S}} \mathcal{F}_{V,N,E}^{(I_1,\ldots,I_k),\leq s}$ is independent of the ordered partition I_1,\ldots,I_k [33, p. 868], so we write it as $\mathcal{H}_{V,N,E}^{I,\leq s}$. The same holds for $f_!^{\mathrm{S}} \mathcal{F}_{V,N,E}^{(I_1,\ldots,I_k)}$, so we write it as $\mathcal{H}_{V,N,E}^{I}$. Because $\mathrm{Sht}_{G,V,N}^{(I_1,\ldots,I_k)}$ is the increasing union of the $\mathrm{Sht}_{G,V,N}^{(I_1,\ldots,I_k),\leq s}$, we have $\mathcal{H}_{V,N,E}^{I} = \varinjlim_{s} \mathcal{H}_{V,N,E}^{I,\leq s}$. Note that 5.8 yields an action of $C_c(K_N \setminus G(\mathbb{A})/K_N, E)$ on $\mathcal{H}_{V,N,E}^{I}$.

6.6. Recall the following smoothness result of Xue [48]. Write $\overline{\eta}$ for Spec \overline{F} , and write Δ for diagonal morphisms. Write W_F for the absolute Weil group of F, and write $\operatorname{val}_F : W_F \to \mathbb{Z}$ for the homomorphism that sends geometric q-Frobenii to 1. Write $U \subseteq C$ for the largest open subspace where G_U is reductive.

Theorem. The cohomology sheaves of $\mathcal{H}_{V,N,E}^{I}|_{(U \smallsetminus N)^{I}}$ are ind-smooth, and the cohomology sheaves of $\mathcal{H}_{V,N,E}^{I}|_{\Delta(\overline{\eta})}$ have a natural action of W_{F}^{I} . For any $\gamma_{\bullet} = (\gamma_{i})_{i \in I}$ in W_{F}^{I} , the γ_{\bullet} -action sends the image of the cohomology groups of $\mathcal{H}_{V,N,E}^{I,\leq s}|_{\Delta(\overline{\eta})}$ to the image of the cohomology groups of $\mathcal{H}_{V,N,E}^{I,\leq s'}|_{\Delta(\overline{\eta})}$ for $s' \ge s + \sum_{i \in I} \max\{0, \operatorname{val}_{F}(\gamma_{i})\}$.

Proof. The first claim follows from the proof of [48, Theorem 6.0.12], and the W_{F}^{I} -action follows from the proof of [48, Proposition 6.0.10]. The last claim follows from 5.9.

6.7. Let us record the analogous results after quotienting by Ξ . Let V be an object of $\operatorname{Rep}_E({}^LG)^I$, and note that the $\mathcal{F}_{\Xi,\mu_{\bullet},N,E}^{(I_1,\ldots,I_k)}$ naturally descend to an object $\mathcal{F}_{\Xi,V,N,E}^{(I_1,\ldots,I_k)}$ of $D(\operatorname{Sht}_{G,V,N}^{(I_1,\ldots,I_k)}/\Xi, E)$, where μ_{\bullet} runs over highest weights appearing in $V_{\overline{\mathbb{Q}}_\ell}|_{\widehat{G}^I}$ with multiplicity.

Recall that $f_! \mathcal{F}_{\Xi,V,N,E}^{(I_1,\ldots,I_k)}$ is independent of the ordered partition I_1,\ldots,I_k [33, p. 868], so we write it as $\mathcal{H}_{\Xi,V,N,E}^I$. Note that 5.8 yields an action of

$$C_c(K_N \setminus G(\mathbb{A})/K_N, E)$$

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on $\mathcal{H}^{I}_{\Xi,V,N,E}$. Recall that the cohomology sheaves of $\mathcal{H}^{I}_{\Xi,V,N,E}|_{(U \smallsetminus N)^{I}}$ are indsmooth [48, Theorem 6.0.12], and the cohomology sheaves of $\mathcal{H}^{I}_{\Xi,V,N,E}|_{\Delta(\overline{\eta})}$ have a natural action of W_F^I [48, Proposition 6.0.10].

6.8. Next, we consider the homology of the moduli of local G-shtukas. Let V be an object of $\operatorname{Rep}_{\mathcal{O}_E}({}^LG_v)^I$. Note that the ${}'\mathcal{F}_{\mu_{\bullet},nv,\Lambda}^{(I_1,\ldots,I_k)}$ and ${}'\mathcal{F}_{\mu_{\bullet},nv,\Lambda}^{(I_1,\ldots,I_k),\leq s}$ naturally descend to objects ${}'\mathcal{F}_{V,nv,\Lambda}^{(I_1,\ldots,I_k)}$ and ${}'\mathcal{F}_{V,nv,\Lambda}^{(I_1,\ldots,I_k),\leq s}$ of $D_{\blacksquare}(\mathcal{LocSht}_{G,V,nv}^{(I_1,\ldots,I_k),\leq s}|_{(\operatorname{Spd}\check{F}_v)^I},\Lambda)$, respectively, where μ_{\bullet} runs over highest weights appearing in $V_{\bullet}^{(I_1,\ldots,I_k),\leq s}$ weights appearing in $V_{\overline{\mathbb{Q}}_{\ell}}|_{\widehat{G}^{I}}$ with multiplicity.

Recall the notation of 4.16. Since the square

commutes, where $\mathcal{G}r_{G,V}^{(I)}$ denotes the natural descent of $\coprod_{\mu_{\bullet}} \mathcal{G}r_{G,\mu_{\bullet}}^{(I)}|_{(\widetilde{\mathbb{D}}^{I})^{\Diamond}}$ to $(\mathbb{D}^{I})^{\Diamond}$,

the $\mathcal{F}_{V,nv,\Lambda}^{(I_1,\ldots,I_k)}$ defined in 4.16 agrees with the $\mathcal{F}_{V,nv,\Lambda}^{(I_1,\ldots,I_k)}$ defined here. The smallness of convolution implies that $f_{\natural}^{\mathcal{M}}(\mathcal{F}_{V,nv,\Lambda}^{(I_1,\ldots,I_k),\leq s})$ is independent of the ordered partition I_1,\ldots,I_k , so we write it as $\mathcal{H}_{V,nv,\Lambda}^{\mathrm{loc},I,\leq s}$. The same holds for $f_{\natural}^{\mathcal{M}}(\mathcal{F}_{V,nv,\Lambda}^{(I_1,\ldots,I_k)})$, so we write it as $\mathcal{H}_{V,nv,\Lambda}^{\mathrm{loc},I}$. Because $\mathcal{L}\mathrm{ocSht}_{G,V,nv}^{(I_1,\ldots,I_k)}$ is the increasing union of the $\mathcal{L}\mathrm{ocSht}_{G,V,nv}^{(I_1,\ldots,I_k),\leq s}$, we have $\mathcal{H}_{V,nv,\Lambda}^{\mathrm{loc},I} = \varinjlim_{s} \mathcal{H}_{V,nv,\Lambda}^{\mathrm{loc},I,\leq s}$. Note that Proposition 4.13 yields an action of $C_c(K_n \setminus G(F_v)/K_n, E)$ on $\mathcal{H}_{V,nv,\Lambda}^{\mathrm{loc},I}$.

Write \mathbb{C}_v for the completion of \overline{F}_v , and write $\overline{\eta}_v$ for $\operatorname{Spd} \mathbb{C}_v$. Theorem 4.16 yields a natural action of $W_{F_v}^I$ on the cohomology groups of $\mathcal{H}_{V,nv,\Lambda}^{\mathrm{pluc},I}|_{\Delta(\bar{\eta}_v)}$. For any γ_{\bullet} in W_{F_v} , Lemma 5.18 and Lemma 4.17 imply that the γ_{\bullet} -action sends the image of the cohomology groups of $\mathcal{H}_{V,nv,\Lambda}^{\mathrm{loc},I,\leq s}$ to the image of the cohomology groups of $\mathcal{H}_{V,nv,\Lambda}^{\mathrm{loc},I,\leq s'}$ for $s' \geq s + \sum_{v \in V} (s + v)$ for $s' \ge s + \sum_{i \in I} \max\{0, \operatorname{val}_F(\gamma_i)\}.$

6.9. Let us recall some facts about excursion algebras. For any abstract group W, finite group Q with a pinned action on G, and group homomorphism $W \to Q$, write $\operatorname{Exc}(W,\widehat{G})$ for the excursion algebra over \mathcal{O}_E as in [12, Definition VIII.3.4]. Recall that $\operatorname{Exc}(W,\widehat{G})$ is flat over \mathcal{O}_E and has canonical generators $S_{I,V,x,\xi,\gamma_{\bullet}}$, where I runs over finite sets, V runs over objects of $\operatorname{Rep}_{\mathcal{O}_E}((\widehat{G} \rtimes Q)^I)$, x runs over morphisms $\mathbf{1} \to V|_{\Delta(\widehat{G})}, \xi$ runs over morphisms $V|_{\Delta(\widehat{G})} \to \mathbf{1}$, and γ_{\bullet} runs through W^{I} .

Proposition. Let L be an algebraically closed field over \mathcal{O}_E . We have a unique bijection

 $\left\{ \begin{array}{c} \mathcal{O}_E\text{-algebra homomorphisms} \\ \chi: \operatorname{Exc}(W, \widehat{G}) \to L \end{array} \right\} \xrightarrow{\sim} \left\{ \begin{array}{c} semisimple \ homomorphisms \\ \rho: W \to \widehat{G}(L) \rtimes Q \ over \ Q \end{array} \right\} \Big/ \widehat{G}(L)\text{-conj.}$ such that $\chi(S_{I,V,x,\xi,\gamma_{\bullet}})$ equals the composition

$$L \xrightarrow{x} V(L) \xrightarrow{(\rho(\gamma_i))_{i \in I}} V(L) \xrightarrow{\xi} L.$$

Proof. This follows from [12, Proposition VIII.3.8] and [12, Corollary VII.4.3]. \Box

6.10. The following theorem summarizes the work of V. Lafforgue [33] and Xue [48] on global excursion operators. Write $\operatorname{Bun}_{G,N}(\mathbb{F}_q)$ for the groupoid of *G*-bundles on *C* equipped with a trivialization along *N*.

Theorem. There exists a unique E-algebra homomorphism

$$\operatorname{Exc}(W_F, G)_E \to \operatorname{End}_{C_c(K_N \setminus G(\mathbb{A})/K_N, E)}(C_c(\operatorname{Bun}_{G,N}(\mathbb{F}_q), E))$$

that sends $S_{I,V,x,\xi,\gamma_{\bullet}}$ to the composition

$$C_{c}(\operatorname{Bun}_{G,N}(\mathbb{F}_{q}), E) = \mathcal{H}_{\mathbf{1},N,E}^{*,0} |_{\overline{\eta}} \xrightarrow{x} \mathcal{H}_{V|_{\Delta(\widehat{G})},N,E}^{*,0} |_{\overline{\eta}} = \mathcal{H}_{V,N,E}^{I,0} |_{\Delta(\overline{\eta})}$$

$$\downarrow^{\gamma_{\bullet}}$$

$$C_{c}(\operatorname{Bun}_{G,N}(\mathbb{F}_{q}), E) = \mathcal{H}_{\mathbf{1},N,E}^{*,0} |_{\overline{\eta}} \xleftarrow{\xi} \mathcal{H}_{V|_{\Delta(\widehat{G})},N,E}^{*,0} |_{\overline{\eta}} = \mathcal{H}_{V,N,E}^{I,0} |_{\Delta(\overline{\eta})}.$$

Moreover, the image of $\operatorname{Exc}(W_F, \widehat{G})_E$ in $\operatorname{End}_{C_c(K_N \setminus G(\mathbb{A})/K_N, E)}(C_c(\operatorname{Bun}_{G,N}(\mathbb{F}_q), E))$ preserves the kernel of the surjective $C_c(K_N \setminus G(\mathbb{A})/K_N, E)$ -equivariant map

 $C_c(\operatorname{Bun}_{G,N}(\mathbb{F}_q), E) \to C_c(\operatorname{Bun}_{G,N}(\mathbb{F}_q)/\Xi, E),$

so we obtain an E-algebra homomorphism

$$\operatorname{Exc}(W_F, G)_E \to \operatorname{End}_{C_c(K_N \setminus G(\mathbb{A})/K_N, E)}(C_c(\operatorname{Bun}_{G,N}(\mathbb{F}_q)/\Xi, E)).$$

Proof. Arguing as in [33, p. 870] shows that the images of the $S_{I,V,x,\xi,\gamma_{\bullet}}$ satisfy the necessary relations, so we get the desired *E*-algebra homomorphism

$$\operatorname{Exc}(W_F,\widehat{G})_E \to \operatorname{End}_{C_c(K_N \setminus G(\mathbb{A})/K_N,E)}(C_c(\operatorname{Bun}_{G,N}(\mathbb{F}_q),E)).$$

Next, because $\operatorname{Sht}_{G,V,N}^{(I_1,\ldots,I_k)} \to \operatorname{Sht}_{G,V,N}^{(I_1,\ldots,I_k)}/\Xi$ is étale, 6.2 yields a natural !-pushforward morphism $\mathcal{H}_{V,N,E}^I \to \mathcal{H}_{\Xi,V,N,E}^I$, which induces a morphism from the composition diagram above to the analogous composition diagram for $\mathcal{H}_{\Xi,V,N,E}^I$. Note that, when I = * and $V = \mathbf{1}$, the natural !-pushforward morphism recovers

$$C_c(\operatorname{Bun}_{G,N}(\mathbb{F}_q), E) \to C_c(\operatorname{Bun}_{G,N}(\mathbb{F}_q)/\Xi, E)$$

on fibers. Thus the image of $S_{I,V,x,\xi,\gamma_{\bullet}}$ in $\operatorname{End}_{C_c(K_N \setminus G(\mathbb{A})/K_N,E)}(C_c(\operatorname{Bun}_{G,N}(\mathbb{F}_q),E))$ satisfies the desired property. \Box

6.11. We now elaborate on variants of Theorem 6.10. Recall that

$$\operatorname{Bun}_{G,N}(\mathbb{F}_q) \cong \coprod_{\alpha} G_{\alpha}(F) \backslash G_{\alpha}(\mathbb{A}) / K_N$$

as groupoids [33, Remarque 12.2], where α runs over *G*-bundles on Spec *F* whose pullback to Spec F_c is trivial for all closed points *c* of *C*, and G_{α} denotes the inner twist of G_F over *F* associated with α . Hence $C_c(G(F)\backslash G(\mathbb{A})/K_N, E)$ and $C_c(G(F)\Xi\backslash G(\mathbb{A})/K_N, E)$ are $C_c(K_N\backslash G(\mathbb{A})/K_N, E)$ -stable direct summands of

$$C_c(\operatorname{Bun}_{G,N}(\mathbb{F}_q), E)$$
 and $C_c(\operatorname{Bun}_{G,N}(\mathbb{F}_q)/\Xi, E)$

respectively, so Theorem 6.10 induces *E*-algebra homomorphisms

$$\operatorname{Exc}(W_F, G)_E \to \operatorname{End}_{C_c(K_N \setminus G(\mathbb{A})/K_N, E)}(C_c(G(F) \setminus G(\mathbb{A})/K_N, E)),$$
$$\operatorname{Exc}(W_F, \widehat{G})_E \to \operatorname{End}_{C_c(K_N \setminus G(\mathbb{A})/K_N, E)}(C_c(G(F) \Xi \setminus G(\mathbb{A})/K_N, E)).$$

6.12. For us, the most convenient interpretation of Fargues–Scholze [12] is the following theorem. Write $\mathfrak{z}_{K_n}(G(F_v),\Lambda)$ for the center of $C_c(K_n \setminus G(F_v)/K_n,\Lambda)$.

Theorem. There exists a unique Λ -algebra homomorphism

$$\operatorname{Exc}(W_{F_v},\widehat{G})_{\Lambda} \to \mathfrak{z}_{K_n}(G(F_v),\Lambda)$$

that sends $S_{I,V,x,\xi,\gamma_{\bullet}}$ to the composition

$$C_{c}(G(F_{v})/K_{n},\Lambda) = \mathcal{H}_{1,nv,\Lambda}^{\mathrm{loc},*,0}|_{\overline{\eta}_{v}} \xrightarrow{x} \mathcal{H}_{V|_{\Delta(\widehat{G})},nv,\Lambda}^{\mathrm{loc},*,0}|_{\overline{\eta}_{v}} = \mathcal{H}_{V,nv,\Lambda}^{\mathrm{loc},I,0}|_{\Delta(\overline{\eta}_{v})}$$

$$\downarrow^{\gamma_{\bullet}}$$

$$C_{c}(G(F_{v})/K_{n},\Lambda) = \mathcal{H}_{1,nv,\Lambda}^{\mathrm{loc},*,0}|_{\overline{\eta}_{v}} \xleftarrow{\xi} \mathcal{H}_{V|_{\Delta(\widehat{G})},nv,\Lambda}^{\mathrm{loc},*,0}|_{\overline{\eta}_{v}} = \mathcal{H}_{V,nv,\Lambda}^{\mathrm{loc},I,0}|_{\Delta(\overline{\eta}_{v})}.$$

Proof. This follows from [12, Corollary IX.2.4] and [12, Theorem VIII.4.1]. \Box

6.13. We now prove local-global compatibility on the level of algebras over E. Write \mathbb{A}^v for the away-from-v adeles, write K_N^v for $\mathbb{A}^v \cap K_N$, and let n be the multiplicity of v in N. So $K_N = K_n K_N^v$.

Theorem. The square

$$\begin{array}{c} \operatorname{Exc}(W_{F_v}, \widehat{G})_E & \longrightarrow \mathfrak{z}_{K_n}(G(F_v), E) \\ & \downarrow \\ & \downarrow \\ \operatorname{Exc}(W_F, \widehat{G})_E & \longrightarrow \operatorname{End}_{C_c(K_N \setminus G(\mathbb{A})/K_N, E)}(C_c(G(F) \setminus G(\mathbb{A})/K_N, E)) \end{array}$$

commutes.

Proof. It suffices to check commutativity on the canonical generators $S_{I,V,x,\xi,\gamma\bullet}$ of $\operatorname{Exc}(W_{F_v},\widehat{G})_E$, where I is a finite set, V is an object of $\operatorname{Rep}_E((\widehat{G} \rtimes \operatorname{Gal}(\widetilde{F}/F))^I)$, x is a morphism $\mathbf{1} \to V|_{\Delta(\widehat{G})}$, ξ is a morphism $V|_{\Delta(\widehat{G})} \to \mathbf{1}$, and $\gamma\bullet$ is in $W_{F_v}^I$. This amounts to computing certain E-linear actions on $C_c(G(F)\backslash G(\mathbb{A})/K_N, E)$, which we check on the E-spanning set given by $\mathbf{1}_{G(F)gK_N}$ for g in $G(\mathbb{A})$. Since the $C_c(K_n\backslash G(F_v)/K_n, E)$ -action commutes with the $G(\mathbb{A}^v)$ -action, we can assume that the away-from-v components of g equal 1.

Then $\mathbf{1}_{G(F)gK_N}$ equals the image of $\mathbf{1}_{g_vK_n}$ under the natural pushforward map

$$C_c(G(F_v)/K_n, E) \to C_c(G(F)\backslash G(\mathbb{A})/K_N, E).$$

Because this map commutes with the $C_c(K_n \setminus G(F_v)/K_n, E)$ -action, it also commutes with the action of the image of $S_{I,V,x,\xi,\gamma_{\bullet}}$ in $\mathfrak{z}_{K_n}(G(F_v), E)$. Hence we can compute the latter for $\mathbf{1}_{G(F)gK_N}$ by computing it for $\mathbf{1}_{g_vK_n}$.

Fix s such that $\mathbf{1}_{g_v K_n}$ lies in the image of $\mathcal{H}_{\mathbf{1},nv,E}^{\mathrm{loc},*,\leq s,0}|_{\overline{\eta}_v}$ in

$$\mathcal{H}^{\mathrm{loc},*,0}_{\mathbf{1},nv,E}|_{\overline{\eta}_v} = C_c(G(F_v)/K_n,E).$$

By Theorem 6.12 and 6.8, the image of $S_{I,V,x,\xi,\gamma_{\bullet}}$ in $\mathfrak{z}_{K_n}(G(F_v), E)$ acts on $\mathbf{1}_{gK_n}$ via the composition

$$\mathcal{H}_{\mathbf{1},nv,E}^{\mathrm{loc},*,\leq s,0}|_{\overline{\eta}_{v}} \xrightarrow{x} \mathcal{H}_{V|_{\Delta(\widehat{G})},nv,E}^{\mathrm{loc},*,\leq s,0}|_{\overline{\eta}_{v}} = \mathcal{H}_{V,nv,E}^{\mathrm{loc},I,\leq s,0}|_{\Delta(\overline{\eta}_{v})}$$

$$(\star) \qquad \xrightarrow{\gamma_{\bullet}} \mathcal{H}_{V,nv,E}^{\mathrm{loc},I,\leq s',0}|_{\Delta(\overline{\eta}_{v})} = \mathcal{H}_{V|_{\Delta(\widehat{G})},nv,E}^{\mathrm{loc},*,\leq s',0}|_{\overline{\eta}_{v}} \xrightarrow{\xi} \mathcal{H}_{\mathbf{1},nv,E}^{\mathrm{loc},*,\leq s',0}|_{\overline{\eta}_{v}}$$

for large enough s'. By enlarging the away-from-v part of N and using the action of $G(\mathbb{A}^v)$ as before, we can assume that deg N is large enough. Then Lemma 6.4 shows that Θ_n yields a natural \natural -pushforward morphism

$$\mathcal{H}^{\mathrm{loc},I,\leq s}_{V,nv,E}|_{\Delta(\overline{\eta}_v)} \to \mathcal{H}^{I,\leq s}_{V,N,E}|_{\Delta(\overline{\eta})},$$

where we use Lemma 6.4, [12, Proposition VII.5.2], and [28, (5.7.2)] to identify

$$(f^{\mathrm{S}})^{\diamond}_{\Delta(\overline{\eta}_v)\natural} \Big[('\mathcal{F}^{(I_1,\ldots,I_k),\leq s}_{V,N,E})^{\diamond}_{\Delta(\overline{\eta}_v)} \Big] = \mathcal{H}^{I,\leq s}_{V,N,E}|_{\Delta(\overline{\eta})}.$$

Lemma 5.18 and Lemma 4.17 imply that $\mathcal{H}_{V,nv,E}^{\mathrm{loc},I,\leq s}|_{\Delta(\overline{\eta}_v)} \to \mathcal{H}_{V,N,E}^{I,\leq s}|_{\Delta(\overline{\eta})}$ induces a morphism from the composition diagram in Equation (*) to the composition diagram

$$(\star\star) \qquad \begin{array}{c} \mathcal{H}_{\mathbf{1},N,E}^{*,\leq s,0}|_{\overline{\eta}} \xrightarrow{x} \mathcal{H}_{V|_{\Delta(\widehat{G})},N,E}^{*,\leq s,0}|_{\overline{\eta}} = \mathcal{H}_{V,N,E}^{I,\leq s,0}|_{\Delta(\overline{\eta})} \\ \xrightarrow{\gamma_{\bullet}} \mathcal{H}_{V,N,E}^{I,\leq s',0}|_{\Delta(\overline{\eta})} = \mathcal{H}_{V|_{\Delta(\widehat{G})},N,E}^{*,\leq s',0}|_{\overline{\eta}} \xrightarrow{\xi} \mathcal{H}_{\mathbf{1},N,E}^{*,\leq s',0}|_{\overline{\eta}}. \end{array}$$

When I = * and V = 1, the natural \natural -pushforward morphism recovers

 $C_c(G(F_v)/K_n, E) \rightarrow C_c(G(F)\backslash G(\mathbb{A})/K_N, E)$

on fibers, so we see that the image of $S_{I,V,x,\xi,\gamma_{\bullet}}$ in $\mathfrak{z}_{K_n}(G(F_v), E)$ acts on $\mathbf{1}_{G(F)gK_N}$ via Equation (**). But Theorem 6.10 and 6.5 indicate that this is precisely how the image of $S_{I,V,x,\xi,\gamma_{\bullet}}$ in $\operatorname{Exc}(W_F, \widehat{G})_E$ acts on $\mathbf{1}_{G(F)gK_N}$, as desired. \Box

6.14. Let us recall the elements of the Bernstein center constructed by Genestier– Lafforgue [15]. Write \mathfrak{m}_E for the maximal ideal of \mathcal{O}_E , and let c be a non-negative integer. Write $\mathfrak{z}_{K_n}(G(F_v), \mathcal{O}_E/\mathfrak{m}_E^c)$ for the center of $C_c(K_n \setminus G(F_v)/K_n, \mathcal{O}_E/\mathfrak{m}_E^c)$. For any finite set I, algebraic function f on $\widehat{G} \setminus ({}^LG)^I/\widehat{G}$, element γ_{\bullet} of $W_{F_v}^I$, and positive integer n, write $\mathfrak{z}_{n,c,I,f,\gamma_{\bullet}}^{\mathrm{GL}}$ for the element of $\mathfrak{z}_{K_n}(G(F_v), \mathcal{O}_E/\mathfrak{m}_E^c)$ constructed in [15, Théorème 1.1]⁷.

6.15. We prove that the elements of the Bernstein center constructed by Fargues– Scholze coincide with those constructed by Genestier–Lafforgue. Recall that the image of $\operatorname{Exc}(W_F, \widehat{G})$ in $\operatorname{End}_{C_c(K_N \setminus G(\mathbb{A})/K_N, E)}(C_{\operatorname{cusp}}(G(F) \Xi \setminus G(\mathbb{A})/K_N, E))$ preserves $C_{\operatorname{cusp}}(G(F) \Xi \setminus G(\mathbb{A})/K_N, \mathcal{O}_E)$ [33, Proposition 13.1], so 6.11 induces an \mathcal{O}_E -algebra homomorphism

$$\operatorname{Exc}(W_F, G) \to \operatorname{End}_{C_c(K_N \setminus G(\mathbb{A})/K_N, \mathcal{O}_E)}(C_{\operatorname{cusp}}(G(F) \Xi \setminus G(\mathbb{A})/K_N, \mathcal{O}_E)).$$

For any object V of $\operatorname{Rep}_{\mathcal{O}_E}({}^LG)^I$, morphism $x : \mathbf{1} \to V|_{\Delta(\widehat{G})}$, and morphism $\xi : V|_{\Delta(\widehat{G})} \to \mathbf{1}$, write f for the algebraic function on $\widehat{G} \setminus ({}^LG)^I / \widehat{G}$ given by $g_{\bullet} \mapsto \xi(g_{\bullet} \cdot x)$.

Theorem. The square

⁷While [15, Théorème 1.1] is stated for split G, the proof adapts for all G. Indeed, this is implicitly used in [15, Théorème 8.1].

commutes. Consequently, the image of $S_{I,V,x,\xi,\gamma_{\bullet}}$ in $\mathfrak{z}_{K_n}(G(F_v), \mathcal{O}_E/\mathfrak{m}_E^c)$ equals $\mathfrak{z}_{n,c,I,f,\gamma_{\bullet}}^{\mathrm{GL}}$.

Proof. Since Theorem 6.12 is compatible with changing Λ , the first claim follows immediately from Theorem 6.13 and the flatness of the above objects over \mathcal{O}_E . From here, tensoring with $\mathcal{O}_E/\mathfrak{m}_E^c$ shows that the image of $S_{I,V,x,\xi,\gamma_{\bullet}}$ in $\mathfrak{z}_{K_n}(G(F_v), \mathcal{O}_E/\mathfrak{m}_E^c)$ has the same action on

$$C_{\text{cusp}}(G(F)\Xi\backslash G(\mathbb{A})/K_N, \mathcal{O}_E/\mathfrak{m}_E^c)$$

as the image of $S_{I,V,x,\xi,\gamma_{\bullet}}$ in $\operatorname{Exc}(W_F,\widehat{G})$ does. Now $\mathfrak{z}_{n,c,I,f,\gamma_{\bullet}}^{\operatorname{GL}}$ enjoys the same property by [15, Proposition 1.3], so they must be equal by [15, Lemme 1.4]. \Box

6.16. We conclude this section by proving Theorem A. For us, cuspidal automorphic representations of $G(\mathbb{A})$ are irreducible summands of $C^{\infty}_{\text{cusp}}(G(F)\Xi\backslash G(\mathbb{A}),\overline{\mathbb{Q}}_{\ell})$ lying in a single generalized eigenspace for $\text{Exc}(W_F,\widehat{G})_{\overline{\mathbb{Q}}_{\ell}}$, where Ξ is some lattice of $Z(F)\backslash Z(\mathbb{A})$.

Theorem. The square

commutes.

Proof. Let Π be a cuspidal automorphic representation of $G(\mathbb{A})$, and let N be large enough such that Π^{K_N} is nonzero. Adopt the notation of 6.13, and write $\chi_{\Pi_v}: \mathfrak{z}_{K_n}(G(F_v), \overline{\mathbb{Q}}_{\ell}) \to \overline{\mathbb{Q}}_{\ell}$ for the $\overline{\mathbb{Q}}_{\ell}$ -algebra homomorphism induced by $\Pi_v^{K_n}$. By Theorem 6.15, the square

commutes. The action of $\operatorname{Exc}(W_F, \widehat{G})_{\overline{\mathbb{Q}}_\ell}$ on Π^{K_N} corresponds to $\operatorname{GLC}_G(\Pi)$ under Proposition 6.9, and composition with the left arrow corresponds to $\operatorname{GLC}_G(\Pi)|_{W_{F_v}}^{\mathrm{ss}}$ under Proposition 6.9. On the other hand, the action of $\mathfrak{z}_{K_n}(G(F_v), \overline{\mathbb{Q}}_\ell)$ on Π^{K_N} corresponds to χ_{Π_v} , and the composition with the top arrow corresponds to to $\operatorname{LLC}_{G_{F_v}}^{\mathrm{ss}}(\Pi_v)$ under Proposition 6.9. Hence commutativity of the square yields the desired result.

7. Applications

We revert our notation to the local context: let F be a local field of characteristic p > 0, let G be a connected reductive group over F, and write C for its radical. Our goal in this section is to prove Theorem B, Theorem C, and Theorem D. The proofs all proceed by carefully embedding local representations into global ones.

7.1. First, we need some notation about purity.

Definition. Let $\rho = (\rho^{ss}, N)$ be an *L*-parameter for *G* over *F*, where *N* lies in $(\text{Lie} \widehat{G})(\overline{\mathbb{Q}}_{\ell})$. We say that ρ is *pure* if $(\text{ad} \circ \rho^{ss}, \text{ad}(N))$ is pure as in [44, p. 471].

7.2. Lemma. Let ρ be an L-parameter for G over F, and write r_{ρ} for the associated homomorphism $W_F \times \operatorname{SL}_2(\overline{\mathbb{Q}}_{\ell}) \to {}^L G(\overline{\mathbb{Q}}_{\ell})$ as in [17, Proposition 2.2].

- a) Our ρ is pure if and only if, for all isomorphisms $\overline{\mathbb{Q}}_{\ell} \cong \mathbb{C}$, the restriction of r_{ρ} to $W_F \times \mathrm{SU}_2(\mathbb{R})$ has bounded image after projecting to $\widehat{G}^{\mathrm{ad}}(\mathbb{C})$.
- b) If ρ is semisimple, then there exists at most one pure L-parameter for G over F whose semisimplification equals ρ .

Proof. By postcomposing with ad : ${}^{L}G \to \operatorname{GL}(\operatorname{Lie}\widehat{G})$, part a) reduces to showing that an *L*-parameter ρ for GL_{h} over *F* is pure as in [44, p. 471] if and only if, for all isomorphisms $\overline{\mathbb{Q}}_{\ell} \cong \mathbb{C}$, the restriction of r_{ρ} to $W_{F} \times \operatorname{SU}_{2}(\mathbb{R})$ has bounded image in $\operatorname{PGL}_{h}(\mathbb{C})$. This follows from [46, (4.3.7) (2)].

Finally, part b) follows from part a) and the proof of [4, Proposition 1.3.1]. \Box

7.3. With the above preparations, we now prove Theorem B. Fix an isomorphism $\overline{\mathbb{Q}}_{\ell} \cong \mathbb{C}$, which we use to define essentially L^2 representations.⁸

Theorem. The family of maps LLC_G^{ss} uniquely lifts to a family of maps

$$LLC_G: \left\{ \begin{array}{c} irreducible \ smooth \\ representations \ of \ G(F) \end{array} \right\} \rightarrow \left\{ \begin{array}{c} L\text{-parameters} \\ for \ G \ over \ F \end{array} \right\}$$

where G runs over connected reductive groups over F, that is compatible with twisting by characters, compatible with parabolic induction for essentially L^2 representations as in [30, Conjecture 6.1 (7)], and whose value on L^2 representations with finite order central character is pure.

Proof. By compatibility with parabolic induction for essentially L^2 representations, LLC_G is determined by its values on essentially L^2 representations π . By compatibility with twisting by characters, we can assume that π also has finite order central character $\omega_{\pi} : C(F) \to \overline{\mathbb{Q}}_{\ell}^{\times}$. There exists at most one pure *L*-parameter for *G* over *F* whose semisimplification equals $LLC_G^{ss}(\pi)$ by Lemma 7.2.b), so we just need to construct it.

By [14, Lemma 3.2], there exists a global field \mathbf{F} of characteristic p, a place v of \mathbf{F} , a connected reductive group \mathbf{G} over \mathbf{F} , and an isomorphism $\mathbf{F}_v \cong F$ such that

- $\mathbf{G}_{\mathbf{F}_v}$ is identified with G as group schemes over $\mathbf{F}_v \cong F$,
- the radical \mathbf{C} of \mathbf{G} has \mathbf{F} -split rank equal to the F-split rank of C.

Write $\mathbb{A}_{\mathbf{F}}$ for the adele ring of \mathbf{F} . By the Chebotarev density theorem, there exists a place $v' \neq v$ of \mathbf{F} where $\mathbf{G}_{\mathbf{F}_{v'}}$ is split. Write $\mathbb{F}_{q'}$ for the residue field of $\mathbf{F}_{v'}$, identify $\mathbf{F}_{v'}$ with $\mathbb{F}_{q'}((\frac{1}{z}))$, and write $\mathbf{G}_{\mathbf{F}_{v'}}$ as the pullback of a split connected reductive group \mathbf{H} over $\mathbb{F}_{q'}$.

Let ϕ be a generic character for **H** as in [25, Section 1.3]. Write Π' for the cuspidal automorphic representation of $\mathbf{H}(\mathbb{A}_{\mathbb{F}_{q'}(z)})$ associated with the automorphic sheaf A_{ϕ} as in [25, Definition 2.4], and write R for the L-parameter for **H** over $\mathbb{F}_{q'}(z)$ associated with the $\widehat{\mathbf{H}}$ -local system $\mathrm{Kl}_{\widehat{\mathbf{H}}}(\phi)$ as in [25, Theorem 1(1)]. Since A_{ϕ} is a

⁸By [42, Corollary 6.2], LLC^{ss}_G arises from a map of algebras over $\mathbb{Q}(\sqrt{q})$, so everything works canonically using representations with \mathbb{C} -coefficients instead.

Hecke eigensheaf with eigenvalue $\operatorname{Kl}_{\widehat{\mathbf{H}}}(\phi)$, we see that Π' and R are associated via the Satake isomorphism at cofinitely many places of $\mathbb{F}_{q'}(z)$. Hence the Chebotarev density theorem shows that, for all V in $\operatorname{Rep}_{\overline{\mathbb{Q}}_{\ell}} \widehat{\mathbf{H}}$, the representations $V \circ R$ and $V \circ \operatorname{GLC}_{\mathbf{H}}(\Pi')$ are isomorphic. In particular, for all irreducible summands V of ad, a) $V \circ \operatorname{GLC}_{\mathbf{H}}(\Pi')$ is pure of weight 0 by [25, p. 292],

b) $V \circ \operatorname{GLC}_{\mathbf{H}}(\Pi')|_{W_{\mathbb{F}_{q'}((\frac{1}{q}))}}$ is irreducible by [47, Corollary 4.5.8].

Now a) and [11, (1.8.5)] imply that $\operatorname{ad} \circ \operatorname{GLC}_{\mathbf{H}}(\Pi')|_{W_{\mathbb{F}_{q'}}((\frac{1}{z}))}$ is pure of weight 0 as in [44, p. 471]. Then b) implies that $\operatorname{GLC}_{\mathbf{H}}(\Pi')|_{W_{\mathbb{F}_{q'}}((\frac{1}{z}))}$ is already semisimple, so Theorem 6.16 indicates that $\operatorname{LLC}_{\mathbf{H}_{\mathbb{F}_{q'}}((\frac{1}{z}))}^{\mathrm{ss}}(\Pi'_{\infty}) = \operatorname{GLC}_{\mathbf{H}}(\Pi')|_{W_{\mathbb{F}_{q'}}((\frac{1}{z}))}.$

By [14, p. 2829], there exists a finite order character $\omega : \mathbf{C}(\mathbf{F}) \setminus \mathbf{C}(\mathbb{A}_{\mathbf{F}}) \to \overline{\mathbb{Q}}_{\ell}^{\times}$ such that ω_v is identified with ω_{π} and $\omega_{v'}$ is identified with an unramified twist of $\omega_{\Pi'_{\infty}}$. Note that ker ω contains a lattice Ξ of $\mathbf{C}(\mathbf{F}) \setminus \mathbf{C}(\mathbb{A}_{\mathbf{F}})$. Then [13, Lemma A.1] and [14, Lemma 8.1] yield an irreducible summand Π of $C^{\infty}_{\text{cusp}}(\mathbf{G}(\mathbf{F})\Xi \setminus \mathbf{G}(\mathbb{A}_{\mathbf{F}}), \overline{\mathbb{Q}}_{\ell})$ such that

• Π_v has the same cuspidal support as π ,

• $\Pi_{v'}$ is isomorphic to an unramified twist of Π'_{∞} via $\mathbf{F}_{v'} \cong \mathbb{F}_{q'}((\frac{1}{z}))$.

Now [33, Lemme 16.2]⁹ indicates that $\mathrm{ad} \circ \mathrm{GLC}_{\mathbf{G}}(\Pi)$ is mixed. Because $\mathrm{ad} \circ \mathrm{GLC}_{\mathbf{G}}(\Pi)$ is also semisimple, its irreducible summands are pure by [11, (3.4.1) (ii)]. Theorem 6.16 and [12, p. 331] show that $\mathrm{GLC}_{\mathbf{G}}(\Pi)|_{W_{\mathbf{F}_{v'}}}^{\mathrm{ss}}$ is an unramified twist of $\mathrm{LLC}_{\mathbf{H}_{\mathbb{F}_{q'}}((\frac{1}{z}))}^{\mathrm{ss}}(\Pi'_{\infty})$, so $\mathrm{ad} \circ \mathrm{GLC}_{\mathbf{G}}(\Pi)|_{W_{\mathbf{F}_{v'}}}^{\mathrm{ss}} = \mathrm{ad} \circ \mathrm{LLC}_{\mathbf{H}_{\mathbb{F}_{q'}}((\frac{1}{z}))}^{\mathrm{ss}}(\Pi'_{\infty})$, which is pure of weight 0 as in [44, p. 471] by the above discussion. Therefore [11, (1.8.5)] implies that the irreducible summands of $\mathrm{ad} \circ \mathrm{GLC}_{\mathbf{G}}(\Pi)$ (and hence $\mathrm{ad} \circ \mathrm{GLC}_{\mathbf{G}}(\Pi)$ itself) are pure of weight 0.

Finally, [11, (1.8.5)] shows that $\operatorname{ad} \circ \operatorname{GLC}_{\mathbf{G}}(\Pi)|_{W_{\mathbf{F}_{v}}}$ is pure of weight 0 as in [44, p. 471]. Hence Theorem 6.16 indicates that $\operatorname{GLC}_{\mathbf{G}}(\Pi)|_{W_{\mathbf{F}_{v}}}$ is the unique pure *L*-parameter for *G* over *F* whose semisimplification equals $\operatorname{LLC}_{G}^{\operatorname{ss}}(\pi)$.

7.4. We give the following abstract proof of Theorem C.

Theorem. There exists at most one family of maps

$$\mathcal{LLC}_G^{\mathrm{ss}}: \left\{ \begin{array}{c} irreducible \ smooth \\ representations \ of \ G(F) \end{array} \right\} \rightarrow \left\{ \begin{array}{c} semisimple \ L\text{-}parameters \\ for \ G \ over \ F \end{array} \right\},$$

where G runs over connected reductive groups over F, that is compatible with twisting by characters as in [21, Property 2.8], compatible with parabolic induction as in [21, Property 2.13], and satisfies the conclusion of Theorem 6.16.

Consequently, the Genestier–Lafforgue correspondence agrees with the Fargues– Scholze correspondence.

Proof. By compatibility with parabolic induction, \mathcal{LLC}_G^{ss} is determined by its values on cuspidal representations π . By compatibility with twisting by characters, we can assume that π also has finite order central character $\omega_{\pi} : C(F) \to \overline{\mathbb{Q}}_{\ell}^{\times}$.

Let **F**, v, **G**, and **C** be as in the proof of Theorem 7.3. By [14, Lemma 3.3], there exists a finite order character $\omega : \mathbf{C}(\mathbf{F}) \setminus \mathbf{C}(\mathbb{A}_{\mathbf{F}}) \to \overline{\mathbb{Q}}_{\ell}^{\times}$ such that ω_v is identified with ω_{π} . Note that ker ω contains a lattice Ξ of $\mathbf{C}(\mathbf{F}) \setminus \mathbf{C}(\mathbb{A}_{\mathbf{F}})$. Poincaré series yield

⁹Although [33, Lemme 16.2] only addresses the case of split G, it extends to the general case.

an irreducible summand Π of $C^{\infty}_{\text{cusp}}(\mathbf{G}(\mathbf{F})\Xi \setminus \mathbf{G}(\mathbb{A}), \overline{\mathbb{Q}}_{\ell})$ such that Π_{v} is identified with π [15, Theorem 1.1], so the conclusion of Theorem 6.16 uniquely determines $\mathcal{LLC}^{ss}_{G}(\pi)$ as $\text{GLC}_{\mathbf{G}}(\Pi)|_{W_{\mathbf{F}_{u}}}^{ss}$.

The Fargues–Scholze correspondence satisfies the aforementioned properties by [12, p. 331], [12, Corollary IX.7.3], and Theorem 6.16. The Genestier–Lafforgue correspondence also satisfies these properties by [15, Théorème 8.1], so the above shows that it agrees with the Fargues–Scholze correspondence.

7.5. Finally, we prove Theorem D. Let D be a central simple algebra over F of degree n.

Theorem. *The triangle*



commutes, where JL denotes the local Jacquet–Langlands correspondence as in [6, (th. 1.1)].

Proof. Because both JL [6, (th. 1.1)] and LLC^{ss}_G [12, p. 331] are compatible with twisting by characters, it suffices to check commutativity on L^2 representations π with finite order central character $\omega_{\pi}: F^{\times} \to \overline{\mathbb{Q}}_{\ell}^{\times}$.

Let **F** be a global field of characteristic p along with a place v of **F** and an isomorphism $\mathbf{F}_{v} \cong F$, and let **D** be a central division algebra over **F** such that $\mathbf{D}_{\mathbf{F}_{v}}$ is identified with D as central simple algebras over $\mathbf{F}_{v} \cong F$. Using the pseudo-coefficient for $\mathrm{JL}(\pi)$ constructed in [7, Section 5], the proof of [35, (15.10)] yields a lattice Ξ of $\mathbf{F}^{\times} \setminus \mathbb{A}_{\mathbf{F}}^{\times}$ and an irreducible summand $\widetilde{\Pi}$ of $C_{\mathrm{cusp}}^{\infty}(\mathrm{GL}_{n}(\mathbf{F})\Xi \setminus \mathrm{GL}_{n}(\mathbb{A}_{\mathbf{F}}), \overline{\mathbb{Q}}_{\ell})$ such that

• Π_v is isomorphic to $JL(\pi)$,

• for all places $v' \neq v$ of **F** where $\mathbf{D}_{\mathbf{F}_{v'}}$ is ramified, $\Pi_{v'}$ is cuspidal.

Therefore we can apply the global Jacquet–Langlands correspondence [7, Theorem 3.2] to $\widetilde{\Pi}$, which yields an irreducible summand Π of $C^{\infty}_{\text{cusp}}(\mathbf{D}^{\times}\Xi \setminus (\mathbf{D} \otimes_{\mathbf{F}} \mathbb{A}_{\mathbf{F}})^{\times}, \overline{\mathbb{Q}}_{\ell})$ such that

- Π_v is isomorphic to π ,
- for all places w of \mathbf{F} where $\mathbf{D}_{\mathbf{F}_w}$ is split, Π_w is isomorphic to Π_w .

Then [33, Théorème 12.3] and the Chebotarev density theorem imply that

$$\operatorname{GLC}_{\mathbf{D}^{\times}}(\Pi) = \operatorname{GLC}_{\operatorname{GL}_n}(\Pi),$$

so Theorem 6.16 enables us to conclude that

$$\mathrm{LLC}_{D^{\times}}^{\mathrm{ss}}(\pi) = \mathrm{GLC}_{\mathbf{D}^{\times}}(\Pi)|_{W_{\mathbf{F}_{v}}}^{\mathrm{ss}} = \mathrm{GLC}_{\mathrm{GL}_{n}}(\widetilde{\Pi})|_{W_{\mathbf{F}_{v}}}^{\mathrm{ss}} = \mathrm{LLC}_{\mathrm{GL}_{n}}^{\mathrm{ss}}(\mathrm{JL}(\pi)). \qquad \Box$$

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