

Random groups, random matrices, and universality

Roger Van Peski (MIT)

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Based primarily on joint work

<https://arxiv.org/abs/2209.14957v1> with Hoi Nguyen, also
includes <https://arxiv.org/abs/2112.02147>,
<https://arxiv.org/abs/2011.09356>

Random groups and main result

Random groups and Cohen-Lenstra heuristics

1983: Cohen and Lenstra compute class groups of number fields $\mathbb{Q}(\sqrt{-d})$ for many d , which are finite abelian groups, and study their distribution.

Too hard, so write $\text{Cl}(\mathbb{Q}(\sqrt{-d})) = \bigoplus_{p \text{ prime}} \text{Cl}(\mathbb{Q}(\sqrt{-d}))_p$, study p -Sylow subgroup $\text{Cl}(\mathbb{Q}(\sqrt{-d}))_p$.

For odd p and any finite abelian p -group G , these seemed to obey

$$\lim_{D \rightarrow \infty} \frac{\#\{1 \leq d \leq D \text{ squarefree} : \text{Cl}(\mathbb{Q}(\sqrt{-d}))_p \cong G\}}{\#\{1 \leq d \leq D \text{ squarefree}\}} \stackrel{?}{=} \frac{\prod_{i \geq 1} (1 - 1/p^i)}{|\text{Aut}(G)|}$$

the *Cohen-Lenstra heuristic*.

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Random matrices and Cohen-Lenstra heuristics

Friedman and Washington 1987: large ‘uniform’ random matrices over p -adic integers \mathbb{Z}_p yield Cohen-Lenstra distribution.

$A^{(N)} \in \text{Mat}_N(\mathbb{Z})$ is a linear map $A^{(N)} : \mathbb{Z}^N \rightarrow \mathbb{Z}^N$,
 $\text{cok}(A^{(N)}) := \mathbb{Z}^N / A^{(N)}\mathbb{Z}^N$.

Theorem (Wood 2015)

If ξ is a random integer, $\xi \pmod{p}$ is nonconstant, and $A^{(N)} \in \text{Mat}_N(\mathbb{Z})$ has iid ξ entries, then

$$\lim_{N \rightarrow \infty} \Pr(\text{cok}(A^{(N)})_p \cong G) = \frac{\prod_{i \geq 1} (1 - 1/p^i)}{|\text{Aut}(G)|}.$$

Since $\text{Cl}(\mathbb{Q}(\sqrt{-d})) \cong \mathbb{Z}^\infty / M$ for some $M \subset \mathbb{Z}^\infty$ this explains Cohen-Lenstra’s observation if M ‘looks random’.

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Explaining Cohen-Lenstra heuristics

Subsequent works of Bhargava, Ellenberg, Poonen, Rains, Sawin, Venkatesh, Wood, ...: different random matrix classes (alternating, rectangular, etc.) yield heuristics for other number-theoretic objects. Extensions to non-abelian groups.

Universality results for random *symmetric* integer matrices [Wood 2017, Nguyen-Wood 2022] show that *sandpile groups of Erdős-Rényi graphs* have (different!) universal limiting distribution.

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Main result: cokernels of random matrix products

Theorem (Nguyen-VP 2022)

Let G_1, \dots, G_k be finite abelian p -groups, ξ be any integer r.v. which is nonconstant mod p , and $A_1^{(N)}, \dots, A_k^{(N)} \in \text{Mat}_N(\mathbb{Z})$ iid with iid ξ entries. Then

$$\begin{aligned} & \lim_{N \rightarrow \infty} \Pr(\text{cok}(A_1^{(N)})_p \cong G_1, \text{cok}(A_1^{(N)} A_2^{(N)})_p \cong G_2, \dots, \\ & \text{cok}(A_1^{(N)} \cdots A_k^{(N)})_p \cong G_k) \\ &= \prod_{j=1}^{\infty} (1 - 1/p^j)^k \prod_{i=1}^k \frac{\#\text{Sur}(G_i, G_{i-1})}{\#\text{Aut}(G_i)} \end{aligned}$$

(taking G_0 to be the trivial group).

Remark: for finite collections p_1, \dots, p_j , the p_i -parts are independent and given by product measure.

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Corollaries, clarifications and stories

Parametrizing random groups and singular values

For nonsingular $A^{(N)} \in \text{Mat}_N(\mathbb{Z})$, cokernel decomposes as

$$\text{cok}(A^{(N)}) := \mathbb{Z}^N / A^{(N)}\mathbb{Z}^N \cong \bigoplus_{p \text{ prime}} \text{cok}(A^{(N)})_p$$

and $\text{cok}(A^{(N)})_p \cong \bigoplus_{i=1}^N \mathbb{Z}/p^{\lambda_i^{(p)}}\mathbb{Z}$ for some
 $\lambda_1^{(p)} \geq \lambda_2^{(p)} \geq \dots \geq \lambda_N^{(p)} \geq 0$.

Singular value decomposition:

For nonsingular $A \in \text{Mat}_N(\mathbb{C})$,
 $\exists U, V \in U(N)$ s.t.
 $UAV = \text{diag}(\mu_1, \dots, \mu_N)$, where
 $\mu_i \in \mathbb{R}_{>0}$.

Smith normal form:

For nonsingular $A \in \text{Mat}_N(\mathbb{Z})$,
 $\exists U, V \in \text{GL}_N(\mathbb{Z})$ s.t.
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Matrix products

Singular values of real/complex random matrix products: motivated by

- Chaotic dynamical systems (Furstenberg-Kesten 1960,...)
- Transfer matrices for disordered systems in statistical physics (Ruelle 1979, Akemann, Burda, Kieburg and others, 2000s)
- Deep neural networks (various, recent)

'Structured' (e.g. Gaussian) matrix products: beautiful algebraic theory from harmonic analysis on Lie groups and special functions (Ahn, Gorin, Strahov, Sun,... 2010s).

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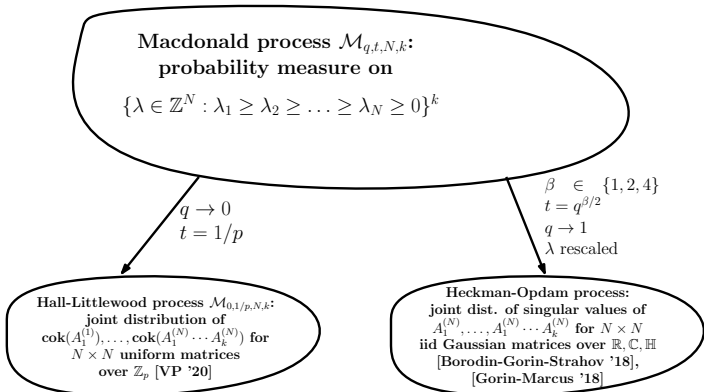
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Integrable probability and \mathbb{C} vs. \mathbb{Z}_p RMT analogies

Macdonald processes [Borodin-Corwin 2011]: class of discrete-time Markov processes on $\{\lambda \in \mathbb{Z}^N : \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_N \geq 0\}$ with many parameters; specialize to measures arising in random tilings, polymers, random matrices, interacting particle systems, ...



Matrix products

Theorem (VP 2021)

Let $G_1 = \bigoplus_j \mathbb{Z}/p^{\lambda(1)j}$, $G_2 = \bigoplus_j \mathbb{Z}/p^{\lambda(2)j}$, \dots , $G_k = \bigoplus_j \mathbb{Z}/p^{\lambda(k)j}$ be abelian p -groups, and $A_1^{(N)}, \dots, A_k^{(N)} \in \text{Mat}_N(\mathbb{Z})$ with iid entries uniform on $\{0, 1, 2, \dots, p^D\}$ for large enough^a D . Then

$$\lim_{N \rightarrow \infty} \Pr(\text{cok}(A_1^{(N)} \cdots A_\ell^{(N)})_p \cong G_\ell, 1 \leq \ell \leq k)$$

= (explicit rational function in p depending on $\lambda(1), \dots, \lambda(k)$)

^aIn terms of G_1, \dots, G_k

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$$\begin{aligned} & \lim_{N \rightarrow \infty} \Pr(\text{cok}(A_1^{(N)} \cdots A_\ell^{(N)})_p \cong G_\ell, 1 \leq \ell \leq k) \\ &= p^{-\sum_{i \geq 1} (i-1)\lambda(k)_i} \prod_{i \geq 1} (1 - 1/p^i)^k \\ & \times \prod_{1 \leq i \leq k} \prod_{x \geq 1} p^{-\binom{\lambda(i)'_x - \lambda(i-1)'_{x+1}}{2}} \left[\begin{array}{c} \lambda(i)'_x - \lambda(i-1)'_{x+1} \\ \lambda(i)'_x - \lambda(i)'_{x+1} \end{array} \right]_{p^{-1}} \end{aligned}$$

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[Nguyen-VP 2022]: above is universal. Interpretation of limit?

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Interpreting the limit distribution

General philosophy: random algebraic structures S often follow marginals of distributions

$$\Pr(S) = \frac{1}{Z} \frac{1}{|\text{Aut}(S)|}.$$

We have extra structure: $A_k \mathbb{Z}^N \subset \mathbb{Z}^N$, so e.g.

$A_1 \cdots A_{k-1} A_k \mathbb{Z}^N \subset A_1 \cdots A_{k-1} \mathbb{Z}^N$, hence have maps

$$\text{cok}(A_1 \cdots A_k) \twoheadrightarrow \text{cok}(A_1 \cdots A_{k-1}) \twoheadrightarrow \cdots \twoheadrightarrow \text{cok}(A_1).$$

Related to our distribution?

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Interpreting the limit distribution: theorem and conjecture

Theorem (Nguyen-VP 2022)

For $k \geq 1, p$ prime,

$$\Pr([G_k \xrightarrow{\phi_k} \dots \xrightarrow{\phi_2} G_1]) = \frac{\prod_{j \geq 1} (1 - 1/p^j)^k}{\# \text{Aut}(G_k \xrightarrow{\phi_k} \dots \xrightarrow{\phi_2} G_1)}$$

defines a probability measure on isomorphism classes

$[G_k \xrightarrow{\phi_k} \dots \xrightarrow{\phi_2} G_1]$, and the marginal joint distribution of G_1, \dots, G_k (without maps ϕ_i) is our universal distribution.

Conjecture

For $A_\ell^{(N)}$ as before, limiting distribution of the isomorphism class $[\text{cok}(A_1^{(N)} \dots A_k^{(N)})_p \twoheadrightarrow \dots \twoheadrightarrow \text{cok}(A_1^{(N)})_p]$ is the above one.

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Universal limiting coranks over finite fields

Corollary

Take p prime and ξ a *nonconstant* random variable in \mathbb{F}_p ,
 $A_1^{(N)}, \dots, A_k^{(N)} \in \text{Mat}_N(\mathbb{F}_p)$ iid with iid ξ entries. Then

$$\begin{aligned} & \lim_{N \rightarrow \infty} \Pr \left(\text{rank}(A_1^{(N)} \cdots A_i^{(n)}) = N - (r_1 + \dots + r_i), 1 \leq i \leq k \right) \\ &= (p^{-1}; p^{-1})_{\infty}^k \prod_{i=1}^k \frac{p^{-r_i(r_i + \dots + r_1)}}{(p^{-1}; p^{-1})_{r_i} (p^{-1}; p^{-1})_{r_i + \dots + r_1}} \end{aligned}$$

for any $r_1, \dots, r_k \in \mathbb{Z}_{\geq 0}$ where $(q; q)_{\ell} := (1 - q) \cdots (1 - q^{\ell})$.

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Methods: (joint) moments of abelian p -groups

Usual strategy to show $G^{(N)} \rightarrow G$ in law (Wood 2010s):

- 1 Compute H -moments $\mathbb{E}[\# \text{Sur}(G, H)]$ for all H .
- 2 Compute $\lim_{N \rightarrow \infty} \mathbb{E}[\# \text{Sur}(G^{(N)}, H)]$ (should agree!).
- 3 Show implies $G^{(N)} \rightarrow G$ if G 's moments do not grow too fast.

We generalize to **joint** (H_1, \dots, H_k) -moment of (G_1, \dots, G_k) ,

$$\mathbb{E}[\# \text{Sur}(G_1, H_1) \cdots \# \text{Sur}(G_k, H_k)] :$$

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- 2 Additive combinatorics/linear algebra estimates.
- 3 New joint moment convergence theorem, bootstrapping single-group result of [Wood 2014].

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Conclusion

Recap: New **universal collection of random groups** from 4 angles.

Recent updates:

- [Lee 2022]: independently defined joint moments and proved similar moment convergence theorem for another application.
- [Sawin-Wood 2022]: moment convergence theorems in general category-theory setup which specializes to ours (but doesn't compute our moments, or Lee's).

Directions:

- Applications of matrix products to NT/random graphs/etc.?
- Joint distribution of cokernels of general polynomials in several matrices (Cheong, Kaplan, Lee)?
- Proving conjectured universality of sequence with maps?

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