

Talk 2 : Review of $\mathrm{Sp}_{2n}(\mathbb{R})$, root systems and Cartan involution.

Ashvni Narayanan

February 6th, 2019

0. Definition of Sp_{2n} over \mathbb{C} and \mathbb{R} .

Definition. The *symplectic group*

$$\mathrm{Sp}_{2n}(\mathbb{K}) = \{X \in \mathrm{SL}_{2n}(\mathbb{K}) : X^T J X = J\},$$

where $J = \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix}$. A block matrix $X = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \in \mathrm{Sp}_{2n}(\mathbb{K})$ if and only if $A^T C = C^T A$, $B^T D = D^T B$, and $A^T D - C^T B = 1$.

Note that this is equivalent to the orthogonal group, seen as automorphism group of the standard quadratic form, where this is the automorphism group of the standard symplectic form on \mathbb{K}^{2n} where $[(q, p), (q', p')] \mapsto p \cdot q' - q \cdot p'$, which has Gram matrix J .

We can also define the group of *similitudes*

$$\mathrm{GSp}_{2n}(\mathbb{K}) = \{X \in \mathrm{SL}_{2n}(\mathbb{K}) : JX^T JX \in \mathbb{K}\},$$

so $X \in \mathrm{GSp}_{2n}(\mathbb{K})$ if $X^T J X = t(X)J$ where $t(X) \in \mathbb{K}$. The map $X \mapsto t(X)$ is the *multiplier*, it is a character : $\mathrm{GSp}_{2n} \rightarrow \mathrm{GL}_1 = \mathbb{K}$.

We get the corresponding Lie algebra :

$$\mathfrak{sp}(\mathbb{K}) = \{x \in \mathfrak{sl}_{2n}(\mathbb{K}) : X^T J + JX = 0\}.$$

Note that the assumption $x \in \mathfrak{sl}_{2n}(\mathbb{K})$ is equivalent to taking $x \in \mathfrak{gl}_{2n}(\mathbb{K})$ when $\mathrm{char}(\mathbb{K}) \neq 2$.

Recall that

$$\mathfrak{sl}_{2n}(\mathbb{K}) = \{X \in \mathfrak{gl}_{2n}(\mathbb{K}) : \mathrm{Tr}(X) = 0\}.$$

The *unitary group* is

$$U(n) = \{X \in \mathrm{GL}_n(\mathbb{C}) : U^* U = I_n\},$$

where $U^* = \overline{U}^T$ denotes the conjugate transpose. Again, the corresponding lie algebra is

$$\mathfrak{u}(n) = \{X \in \mathfrak{gl}_n(\mathbb{C}) : X^* + X = 0\}.$$

The special orthogonal group is

$$\mathrm{SO}(n) = \{X \in \mathrm{GL}_n(\mathbb{R}) : X^T X = I_n\},$$

and its Lie algebra

$$\mathfrak{so}(n) = \{X \in \mathfrak{gl}_n(\mathbb{R}) : X^T + X = 0\}.$$

One can define $\mathrm{Sp}(n) = \mathrm{Sp}_{2n}(\mathbb{C}) \cap U(2n)$ the *compact symplectic group*, and $\mathfrak{sp}_{2n} = \mathfrak{sp}_{2n}(\mathbb{C}) \cap \mathfrak{u}(n)$.

We are interested in studying semisimple Lie algebras, i.e. algebras with no nontrivial bilateral ideal.

1. Root systems.

Let $G = \mathrm{Sp}_{2n}(\mathbb{C})$ and $\mathfrak{g} = \mathfrak{sp}_{2n}(\mathbb{C})$.

Let

$$\mathfrak{h} = \{H \in \mathfrak{g} : H = \mathrm{diag}(h_1, \dots, h_n, -h_1, \dots, -h_n)\},$$

it is an abelian subalgebra of \mathfrak{g} .

Let $E_{i,j}$ denote the canonical basis element of \mathfrak{gl}_{2n} . and $e_i \in \mathfrak{h}^*$ as $e_i(H) = h_i$.

For $H \in \mathfrak{h}$ we have a corresponding adjoint map $\mathrm{ad}H : \mathfrak{g} \rightarrow \mathfrak{g} : X \mapsto [H, X]$ where $[,]$ denotes the usual Lie bracket $[A, B] = AB - BA$.

For $1 \leq i, j \leq n$ we have $\mathrm{ad}H(E_{i,j}) = [H, E_{i,j}] = (e_j(H) - e_i(H))E_{i,j}$ so $E_{i,j}$ is a simultaneous eigenvector for all $\mathrm{ad}H$, $H \in \mathfrak{h}$, with eigenvalues $(e_i - e_j)(H)$.

In general, if we let $1 \leq i, j \leq 2n$ we get $\mathrm{ad}H(E_{ij}) = (\pm e_i(H) \pm e_j(H))E_{ij}$.

Define the set of *roots* $\Delta = \{e_i - e_j : 1 \leq i \neq j \leq n\} \cup \{2e_i : 1 \leq i \leq n\}$.

We have a *root space decomposition*

$$\mathfrak{g} = \mathfrak{h} \oplus \left(\bigoplus_{\alpha \in \Delta} \mathfrak{g}_\alpha \right),$$

where $\mathfrak{g} = \{X \in \mathfrak{g} : (\mathrm{ad}H)(X) = \alpha(X)X \ \forall H \in \mathfrak{h}\}$.

One has

$$[g_\alpha, g_\beta] = \begin{cases} \mathfrak{g}_{\alpha+\beta} & \text{if } \alpha + \beta \text{ is a root} \\ 0 & \text{if } \alpha + \beta \text{ is not a root or } 0 \\ \subseteq \mathfrak{h} & \text{if } \alpha + \beta = 0 \end{cases}$$

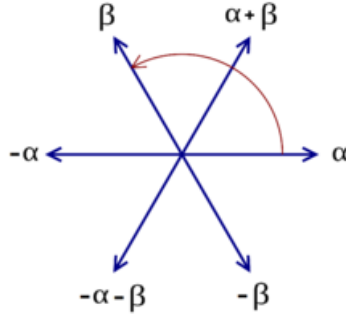


Figure 1: Root system for $\mathfrak{sl}(2)$

2. Cartan involution.

Definition. A linear connected *reductive* group is a closed connected subgroup of real/complex matrices that are stable under conjugate transpose. This is equivalent to the triviality of the unipotent radical (not trivial).

Definition. A linear connected *semisimple* group is a linear connected reductive group with finite centre.

Examples. SL_n, SO_n, Sp_{2n} are all connected semisimple linear groups.

Cartan involution. Let G be a linear connected semisimple group, define

$$\Theta : \begin{matrix} G \rightarrow G \\ X \mapsto (X^*)^{-1} \end{matrix}$$

Note that we have $\Theta^2 = \text{id}$.

The differential of Θ at the identity is denoted by θ and it gives an automorphism of \mathfrak{g} , given by $\theta(X) = -X^*$. This θ is the *Cartan involution*, and is indeed an involution since $\theta(XY) = \theta(Y)\theta(X)$, $\theta(\lambda X) = \bar{\lambda}\theta(X)$ and $\theta^2 = \text{id}$.

Clearly the polynomial $t^2 - 1$ kills θ so the eigenvalues of θ are ± 1 , let $\mathfrak{k}, \mathfrak{p}$ denote the eigenspaces of 1 and -1 respectively. We have the descriptions :

\mathfrak{k} = Skew-Hermitian matrices and \mathfrak{p} = Hermitian matrices.

This gives us the *Cartan decomposition*

$$\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}.$$

We have $[\mathfrak{k}, \mathfrak{k}] \subset \mathfrak{k}$, $[\mathfrak{k}, \mathfrak{p}] \subset \mathfrak{p}$ and $[\mathfrak{p}, \mathfrak{p}] \subset \mathfrak{k}$. In particular, \mathfrak{k} is a Lie subalgebra of \mathfrak{g} .

Proposition 1. If G is a linear connected semisimple group, then \mathfrak{g} is semisimple. If G is a linear connected reductive group then

$$\mathfrak{g} = Z(\mathfrak{g}) \oplus [\mathfrak{g}, \mathfrak{g}],$$

where $Z(\mathfrak{g})$ denotes the centre of \mathfrak{g} .

Proposition 2. If G is a real linear connected reductive group then K is compact and connected and it is a maximal compact subgroup of G . Its Lie algebra is \mathfrak{k} . The map

$$\begin{aligned} K \times \mathfrak{p} &\rightarrow G \\ (k, x) &\mapsto k \exp(X) \end{aligned}$$

is a diffeomorphism onto G .

Idea of the proof of connectedness of SL_n : It acts transitively on the column vectors of $\mathbb{C}^n \setminus \{0\}$. The subgroup fixing the first standard basis vector is $\begin{bmatrix} SL_{n-1}(\mathbb{C}) & 0 \\ \mathbb{C}^{n-1} & 1 \end{bmatrix} \cong SL_{n-1}(\mathbb{C}) \times \mathbb{C}^{n-1}$.

We have

$$SL_n(\mathbb{C}) / (SL_{n-1}(\mathbb{C}) \times \mathbb{C}^{n-1}) \cong \mathbb{C}^n \setminus \{0\}.$$

Then use induction.

3. Examples.

We can consider two involutions on $\mathfrak{sl}_{2n}(\mathbb{C})$, the fixed points under the involution $X \mapsto \bar{X}$ is simply $\mathfrak{sl}_{2n}(\mathbb{R})$.

The fixed vectors under the Cartan involution θ are just $\mathfrak{k} = \mathfrak{so}_{2n}(\mathbb{R})$.

Both $\mathfrak{sl}_2(\mathbb{R})$ and $\mathfrak{su}(2) \cong \mathfrak{so}_3(\mathbb{R})$ “complexify” to $\mathfrak{sl}_2(\mathbb{C})$, we say that the former is *split*.

In $\mathfrak{sp}_{2n}(\mathbb{R})$, the maximum compact subalgebra is isomorphic to $\mathfrak{u}(n)$.

In $\mathfrak{sp}_{2n}(\mathbb{R})$, take $X = \begin{bmatrix} A & B \\ C & D \end{bmatrix}$, the condition

$$\begin{bmatrix} A^T & C^T \\ B^T & D^T \end{bmatrix} \begin{bmatrix} 0 & I \\ -I & 0 \end{bmatrix} = \begin{bmatrix} 0 & -I \\ I & 0 \end{bmatrix} \begin{bmatrix} A & B \\ C & D \end{bmatrix}$$

becomes

$$\begin{bmatrix} -C^T & A^T \\ D^T & B^T \end{bmatrix} = \begin{bmatrix} -C & -D \\ A & B \end{bmatrix},$$

so we get $C = C^T$, $B = B^T$ and $A^T = -D$.

And we have

$$\begin{bmatrix} A & B \\ C & -A^T \end{bmatrix} = \begin{bmatrix} -A^T & -C^T \\ B^T & A \end{bmatrix},$$

so the matrix is of the form $\begin{bmatrix} A & B \\ -B & A \end{bmatrix}$, A, B being real matrices, which by considering the embedding \mathbb{C} into $\mathfrak{gl}_2(\mathbb{R})$, we identify $\begin{bmatrix} A & B \\ -B & A \end{bmatrix} = A + iB$ which identifies our subalgebra of fixed points with $\mathfrak{u}(n)$.

.

5. Follow up.

Let $G \rightarrow \mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ defined by $(X, Y) = \text{Tr}_{\mathfrak{g}}(\text{ad}_X \text{ad}_Y)$.

Restriction to $\mathfrak{k}, \mathfrak{p}$ is definite (so $K \subset \mathcal{O}_{(j \text{ or } k)}$).

Restriction to \mathfrak{p} is K -invariant so \mathfrak{p} can be identified with the tangent space $T_e(G/K)$ with K -invariant inner product. So G/K has a G -invariant metric. $G = K \exp(\mathfrak{p})$ so $G/K \cong \exp(\mathfrak{p})$.

We have $\theta|_{\mathfrak{p}} = -\text{id}_{\mathfrak{p}}$.

The map $\Theta : G/K \rightarrow G/K$ is an isometry, it reverses geodesics through eK .

G/K is the space of Cartan involutions of G , it is a ‘‘symmetric space’’.

$L \subset G$ is compact then L contains a fixed point gK so $L \subset gKg^{-1}$, hence K is a maximal compact subgroup and all maximal compact subgroups are conjugate.

$$P_n = \{Q \in M_n(\mathbb{R}) : Q \text{ symmetric positive definite with } \det(Q) = 1\}.$$

The space $\text{SL}_n(\mathbb{R})$ acts on P_n via $g \cdot Q = gQg^T$. This action is transitive, the stabilizer of I_n is $\text{SO}(n)$ hence $P_n \cong \text{SL}_n(\mathbb{R})/\text{SO}(n)$. With a Q we define $g_Q(X, Y) = \text{Tr}(Q^{-1}XQ^{-1}Y)$.

$\text{SL}_2(\mathbb{R})$ acts on $\{x + iy > 0\}$ via $g\dot{z} = \frac{ax+b}{cz+d}$. We have $y\left(\frac{az+b}{cz+d}\right) = \frac{y(z)}{|cz+d|^2} > 0$ where $y(z) = \text{Im}(z)$ and $\text{Stab}_{\text{SL}_2(\mathbb{R})}(i) = \text{SO}(2)$.

More generally, we can define this upper-half plane as

$$\mathbb{H}_n = \{Z \in M_n(\mathbb{C}) : Z \text{ symmetric and } y(Z) = \text{Im}(Z) \text{ is positive definite}\}.$$

Let $g = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \in \mathrm{GL}_{2n}(\mathbb{R})$ try setting $g \cdot Z = (AZ + B)(CZ + D)^{-1}$

Check : This is \mathbb{H}_n if and only if $g \in \mathrm{Sp}_{2n}(\mathbb{R})$. We get a transitive action of $\mathrm{Sp}_{2n}(\mathbb{R})$ on \mathbb{H}_n , the stabilizer of iI_n is $U(n)$.

In the 2-dimensional case, we know that we can send the upper-half plane to a circle via the Cayley transform $z \mapsto \frac{1+z}{1-z}$. Here again we have a corresponding bounded symmetric domain : Open bounded subset $D \subset \mathbb{C}^n$ where the group of biholomorphism is “large enough”. In the general case, it’s also probably the map $Z \mapsto (I + Z)(I - Z)^{-1}$.

On is there is a canonical metric called Bargmann metric, invariant by $\mathrm{Aut}(D)$. This with Cauchy formula lets us link purely geometric volumes to algebraic quantities.