

# Talk 1 : Review of modular forms

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## 1 . What is an automorphic form ?

### 1.1. Review of modular forms.

Fix  $\mathbb{H} = \{x + iy : y > 0\}$ .

*Modular forms* are :

- Holomorphic functions on  $\mathbb{H}$  with periodicity condition + asymptotics on the boundary.
- Generating functions/series for arithmetic functions.
- Holomorphic sections of holomorphic line bundles on 1-dimensional complex manifolds.
- Algebraic sections of algebraic line bundles on complex projective curves.
- Solutions to a PDE satisfying certain boundary conditions.
- Irreducible representations of  $\mathrm{GL}_2(\mathbb{R})$  acting on  $L^2(\Gamma \backslash \mathrm{GL}_2(\mathbb{R}))$ .
- Irreducible representations of  $\mathrm{GL}_2(\mathbb{A})$  acting on  $L^2(\mathrm{GL}_2(\mathbb{Q}) \backslash \mathrm{GL}_2(\mathbb{A}))$ .
- Representations  $\mathrm{Gal}(\overline{\mathbb{Q}} : \mathbb{Q}) \rightarrow \mathrm{GL}_2(\overline{\mathbb{Q}_\ell})$ .
- Euler products satisfying a particular functional equation.
- Cohomology classes.

**Definition.** Let  $g \in \mathrm{GL}_2(\mathbb{R})$  such that  $\det(g) > 0$ . Write  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ . For  $z \in \mathbb{H}$  set

$$g \cdot z = \frac{az + b}{cz + d},$$

(if  $\det(g) < 0$  then  $g \cdot z = \frac{a\bar{z} + b}{c\bar{z} + d}$ ).

For  $f : \mathbb{H} \rightarrow \mathbb{C}$  set  $(f|_k g)(z) = (\det(g))^{k/2} (cz + d)^{-k} f(g \cdot z)$ .

Fix  $\Gamma \subset \mathrm{SL}_2(\mathbb{R})$ , lattice, and let  $\chi : \Gamma \rightarrow S^1$  be a character,

A *modular forms* with respect to  $k$  for  $\Gamma, \chi$  is a function  $f : \mathbb{H} \rightarrow \mathbb{C}$  such that

- $f$  is holomorphic
- $f|_k \gamma = \chi(\gamma)$  for all  $\gamma \in \Gamma$ .
- at every cusp  $\xi$  of  $\Gamma$ ,  $|f(z)| \leq C e^{M y_\xi(z)}$

we say  $f$  is *holomorphic at cusps* if we can take  $M = 0$ . We say it is a *cusp form* if we can take  $M < 0$ .

**Examples.**  $\mathcal{O}(q) = \sum_{n \in \mathbb{Z}} q^{n^2}$ .

$\mathcal{O}^k(q) = \sum_m n_k(m) q^m$  where  $n_k(m)$  = number of representation of  $m$  as sum of  $k$  squares.

Set  $q = e^{2\pi z}$ , if  $\text{Re}(z) < 0$  then  $|q| < 1$ ,  $q(z+1) = q(z)$ .

$$\mathcal{O}(z) = \sqrt{\frac{1}{2\pi z}} \mathcal{O}\left(-\frac{1}{4z}\right).$$

The action of  $\Gamma$  on  $\mathbb{H} \times \mathbb{C}$  is  $\gamma \cdot (z, w) = (\gamma \cdot z, (cz + d)^k w)$ .

The map  $\Gamma \backslash \mathbb{H} \times \mathbb{C} \rightarrow \Gamma \backslash \mathbb{H}$  defines the line bundle that our holomorphic form is a section of.

The PDE it is solution of comes from the Cauchy-Riemann condition.

*Eisenstein series* :  $\Lambda \subset \mathbb{C}$  the corresponding Eisenstein series is  $G_k(\Lambda) = \sum_{\omega \in \Lambda \setminus \{0\}} \frac{1}{\omega^k}$ .  $G_k(r\Lambda) = r^{-k} G_k(\Lambda)$ .

### Representation-theoretic view

Let  $\Gamma$  be a lattice in  $\text{SL}_2(\mathbb{R})$ ,  $f \in H_k(\Gamma, \chi)$ . For  $g \in \text{SL}_2(\mathbb{R})$  set  $F(g) = (f|_k g)(i)$ ,  $F : \text{SL}_2(\mathbb{R}) \rightarrow \mathbb{C}$ .

- $F(\gamma g) = (f|_k \gamma g)(i) = ((f|_k \gamma)|_g)(i) = \chi(\gamma)(f|_k g)(i) = \chi(\gamma)F(g)$ .
- $F(gK_\theta) = ((f|_k g)|_k K_\theta)(i) = (f|_k g)(i)(-\sin(\theta)i + \cos(\theta))^{-k} = e^{ik\theta} F(g)$ ,

where  $K_\theta = \begin{pmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{pmatrix}$ .

Now we explore the equivalent condition to “ $f(z)$  harmonic” (same as holomorphic, since we just saw we can rotate, and real harmonic = real part of holomorphic function). Define

$$\mathfrak{sl}_2(\mathbb{R}) \cong \{X \in M_2(\mathbb{R}) : \text{tr}(X) = 0\} = \text{Span}_{\mathbb{R}}(H, X_-, X_+),$$

where  $H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ ,  $X_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ , and  $X_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ .

If  $X \in \mathfrak{sl}_2(\mathbb{R})$  and  $F : \text{SL}_2(\mathbb{R}) \rightarrow \mathbb{C}$ , set  $(\mathcal{R}(H)F)(g) = \frac{d}{dt} \Big|_{t=0} F(g e^{tX})$ , then  $f$  holomorphic corresponds to  $R(H^2 - 2H + 4X_- X_+)F = \lambda F$ , where  $\lambda = \lambda(k)$ .

$W = X_+ - X_-$  generator of  $\text{Lie}(\text{SO}(2))$ .  $\mathcal{R}(W)f = ikf$ .

For  $g \in \mathrm{SL}_2(\mathbb{R})$   $F : \Gamma \backslash \mathrm{SL}_2(\mathbb{R}) \rightarrow \mathbb{C}$ ,  $x \in \Gamma \backslash \mathrm{SL}_2(\mathbb{R})$  set the right-translation action

$$(R(g)F)(x) = F(xg).$$

$R(g)$ = functions on  $g \in \Gamma \backslash \mathbb{C}$  is linear  $R(g_1g_2) = R(g_1)R(g_2)$

Check :  $\omega$  commutes with group  $g \in \mathrm{SL}_2(\mathbb{R})$ , i.e.  $\mathrm{Ad}_g \omega = \omega$  for all  $g \in \mathrm{SL}_2(\mathbb{R})$ .

Here  $\mathrm{Ad}$  is defined for  $g \in \mathrm{SL}_2(\mathbb{R})$ ,  $X \in \mathfrak{sl}_2(\mathbb{R})$  as  $\mathrm{Ad}_g X = gXg^{-1}$

We have a function  $F : \Gamma \backslash \mathrm{SL}_2(\mathbb{R}) \rightarrow \mathbb{C}$  where

- $F(xK_\theta) = e^{ik\theta} F(x)$
- $\mathcal{R}(\omega) \stackrel{\text{def}}{=} \mathcal{R}(H)\mathcal{R}(H)F - 2\mathcal{R}(H)F + 4\mathcal{R}(X_+)\mathcal{R}(X_-)F$ ,
- and  $R(\omega)R(g)F = R(g)R(\omega)F$

**Corollary.**  $\mathrm{span}_{\mathbb{C}} \{R(g)F\}_{g \in \mathrm{SL}_2(\mathbb{R})}$  consists of eigenfunctions of  $\omega$  with eigen-vector  $\lambda$ . **FACT :** the representation it defines is irreducible.

**Note :** Have here irreducible subrepresentations of regular representations of  $\mathrm{SL}_2(\mathbb{R})$  on  $\{\text{functions on } \Gamma \backslash \mathrm{SL}_2(\mathbb{R})\}$ .

**Definition.** Say  $F : \Gamma \backslash \mathrm{SL}_2(\mathbb{R}) \rightarrow \mathbb{C}$  has “weight  $k$ ” if  $F(X.K_\theta) = e^{ik\theta} F(x)$ .

**Fact :** If  $F$  has weight  $k$ , this subrepresentation contains vectors of weights  $k, k+2, k+4, \dots$ , each with multiplicity 1.

**Definition.** A *modular form of weight  $k$*  is the lowest weight vector in an irreducible representation as above,  $\lambda = \lambda(k)$ .

**Aside.** Let  $G$  be a group,  $K$  a (compact) subgroup.

$\sigma : K \rightarrow \mathrm{GL}(V)$  a finite-dimensional  $\mathbb{C}$ -representation.

Consider  $\epsilon = \Gamma \backslash G/K \times_K V = \{(\Gamma g, v) : g \in G, v \in V\}/K \rightarrow B = \Gamma \backslash G/K$  this define a line bundle. Our form arise as section of this type of line bundle.

$f$  is a cusp form  $\Rightarrow f$  decays exponentially at cusps.

$$y(\gamma z) = \frac{y(z)}{|cz+d|^2} \Rightarrow y^{k/2}(z)|f(z)| \text{ is } \Gamma\text{-invariant.}$$

$$\|f\|_{\text{peterson}}^2 = \int_{\Gamma \backslash \mathbb{H}} y^k |f(z)|^2 \frac{dx dy}{y^2} < \infty.$$

$$\|F\|_{L^2(\Gamma \backslash \mathrm{SL}_2(\mathbb{R}))} = \|f\|_{\text{peterson}}.$$

Suppose  $\Gamma(N) \subset \Gamma \subset \mathrm{SL}_2(\mathbb{Z})$ ,  $\Gamma(N) = \mathrm{Ker}(\mathrm{SL}_2(\mathbb{Z}) \rightarrow \mathrm{SL}_2(\mathbb{Z}/N\mathbb{Z}))$ .

$$T_p f(\Lambda) = \sum_{p\Lambda < \Lambda' < \Lambda} f(\Lambda')$$

**1.2. Automorphic forms on  $\Gamma \backslash \mathrm{SL}_2(\mathbb{R})$ .**

**1.3 Automorphic forms on  $\mathrm{SL}_2(\mathbb{Q}) \backslash \mathrm{SL}_2(\mathbb{A})$**