

# Basic rules

- Two players: **Blue** and **Red**.
- Perfect information.
- Players move alternately.
- First player unable to move **loses**.
- The game *must* terminate.

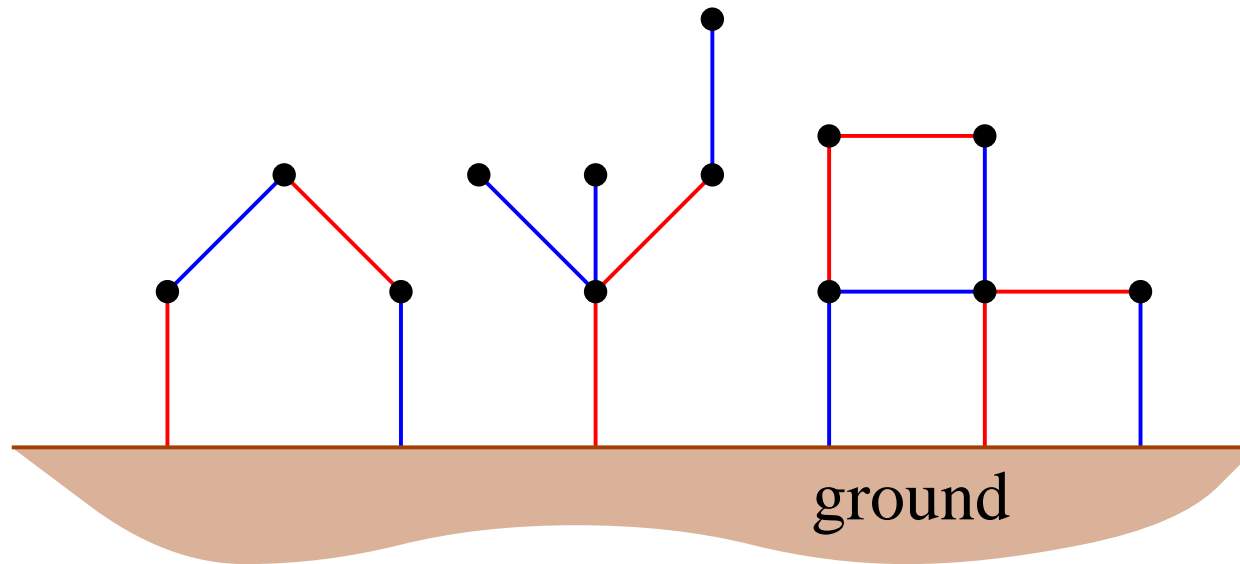
# Outcomes (assuming perfect play)

- **Blue** wins (whoever moves first):  $G > 0$
- **Red** wins (whoever moves first):  $G < 0$
- Mover loses:  $G = 0$
- Mover wins:  $G \parallel 0$

# Two elegant classes of games

- **number game**: always disadvantageous to move (so never  $G||0$ )
- **impartial game**: same moves always available to each player

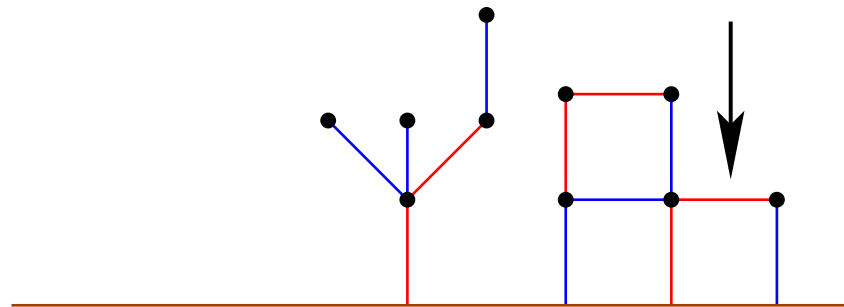
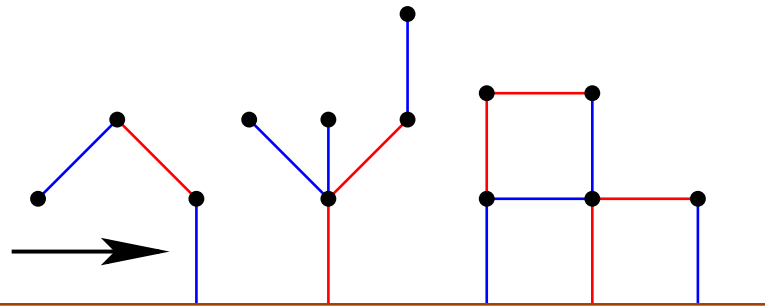
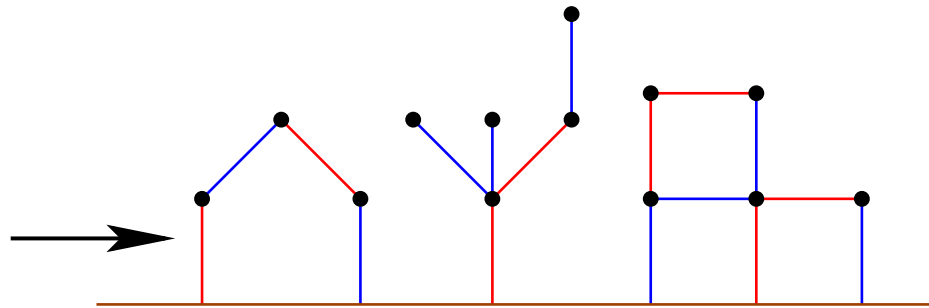
# Blue-Red Hackenbush



prototypical number game:

**Blue-Red Hackenbush:** A player removes one edge of his or her color. Any edges not connected to the ground are also removed. First person unable to move loses.

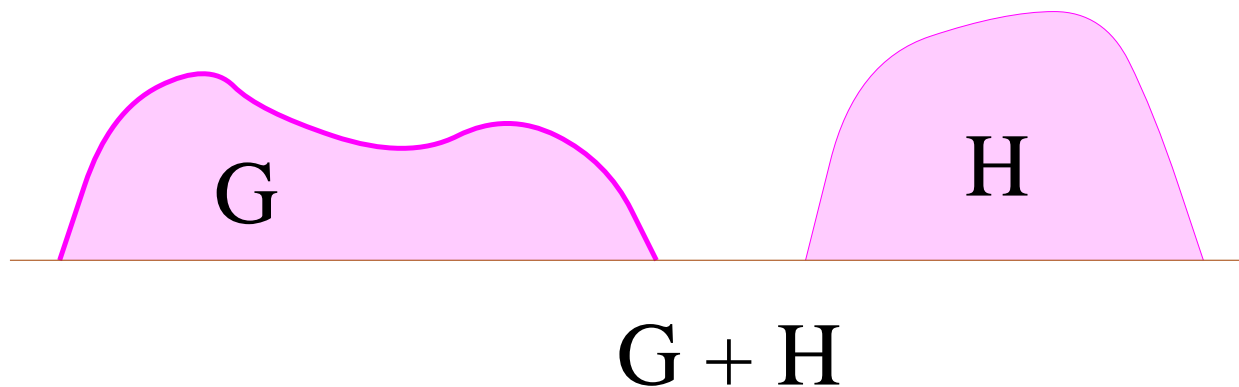
# An example



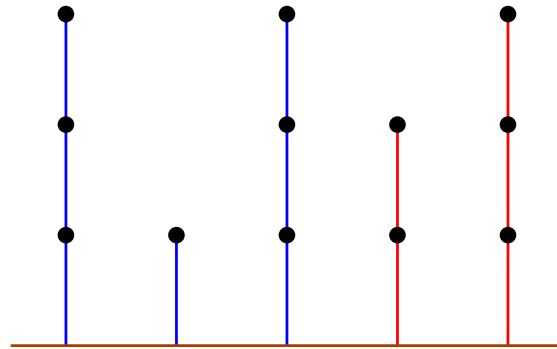
# A Hackenbush sum

Let  $G$  be a Blue-Red Hackenbush position (or any game). Recall:

- **Blue** wins:  $G > 0$
- **Red** wins:  $G < 0$
- Mover loses:  $G = 0$

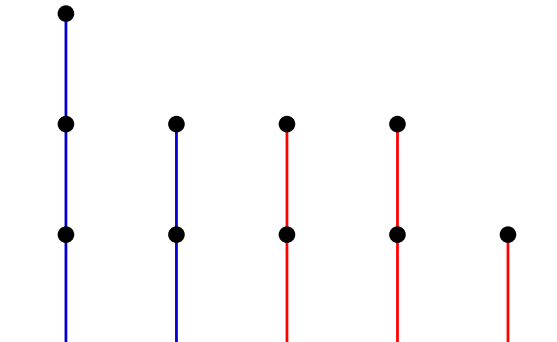


# A Hackenbush value



value (to Blue): 3 1 3 -2 -3

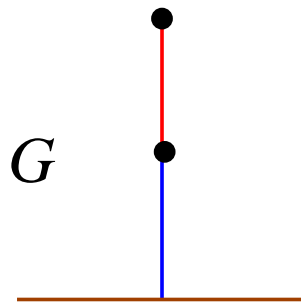
sum: 2 (Blue is two moves ahead),  $G > 0$



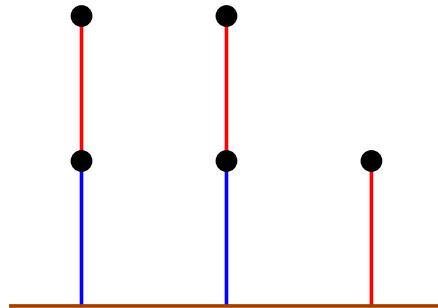
3 2 -2 -2 -1

sum: 0 (mover loses),  $G = 0$

1/2



value = ?  
clearly  $>0$ : Blue wins



mover loses!

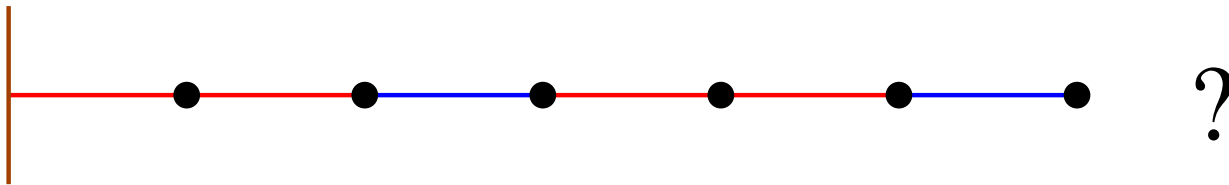
$$x + x - 1 = 0, \text{ so } x = 1/2$$

Blue is  $1/2$  move ahead in  $G$ .



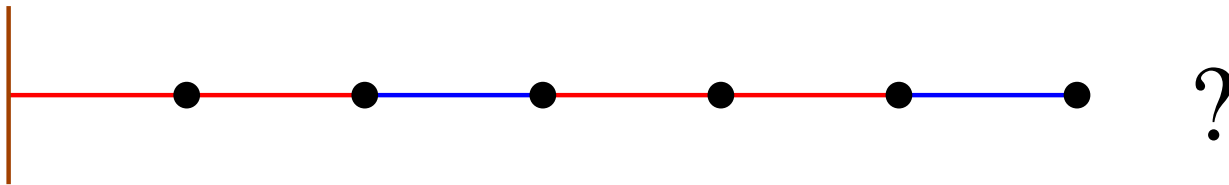
# Another position

What about



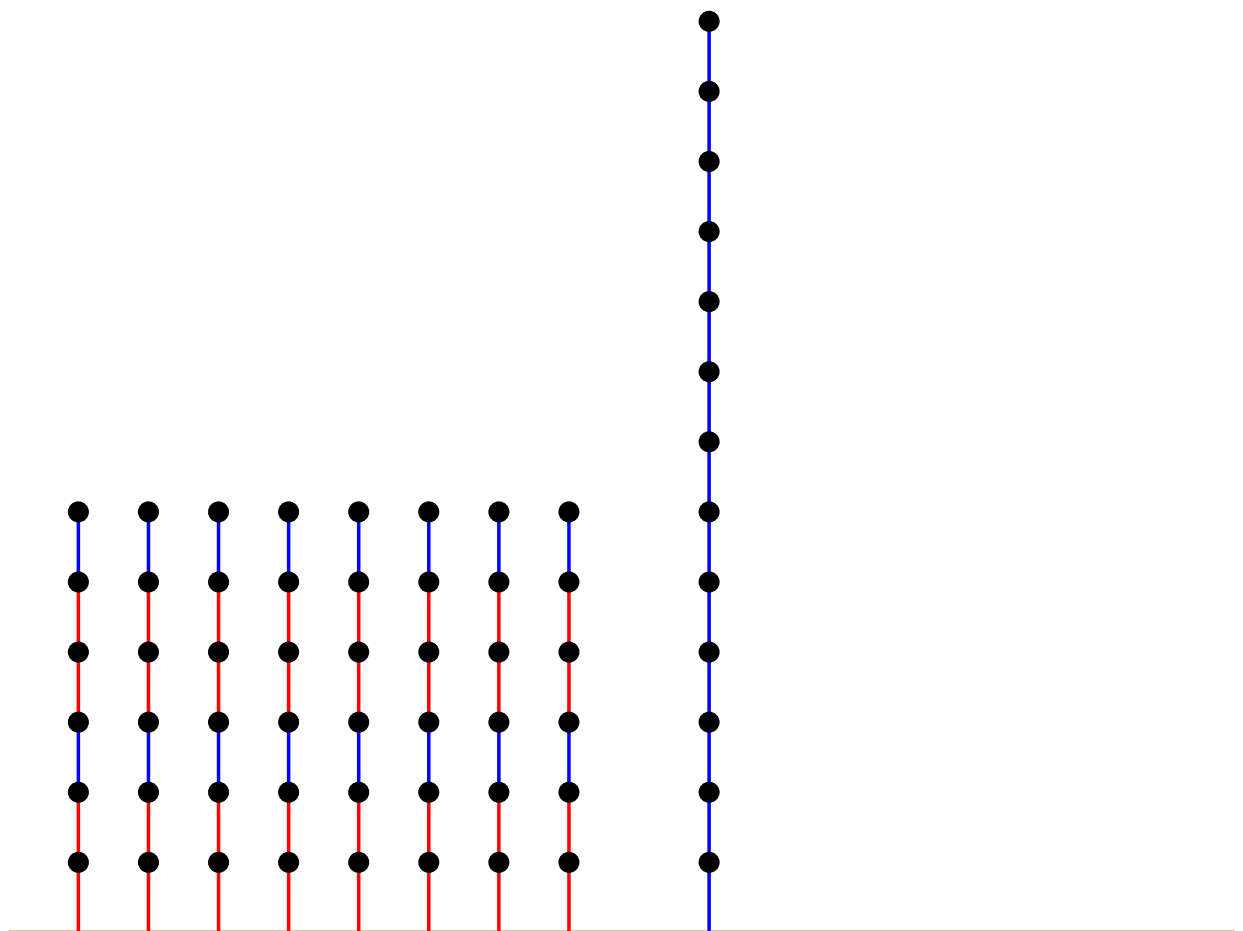
# Another position

What about



Clearly  $G < 0$ .

$-13/8$



$$8x + 13 = 0 \text{ (mover loses!)}$$

$$x = -13/8$$

# $b$ and $r$

How to compute the value  $v(G)$  of any Blue-Red Hackenbush position  $G$ ?

Let  $b$  be the **largest** value of any position to which **Blue** can move. Let  $r$  be the **smallest** value of any position to which **Red** can move. (We will always have  $b < r$ .)

# The simplicity rule

**The Simplicity Rule. (a)** *If there is an integer  $n$  satisfying  $b < n < r$ , then  $v(G)$  is the closest such integer to 0.*

**(b)** *Otherwise  $v(G)$  is the (unique) rational number  $x$  satisfying  $b < x < r$  whose denominator is the smallest possible power of 2.*

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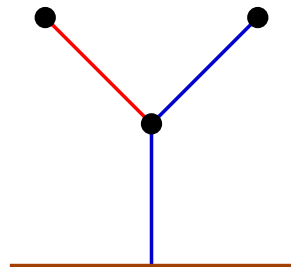
Moreover,  $v(G + H) = v(G) + v(H)$ .

# Some examples

## Examples.

$b$	$r$	$x$
$2\frac{3}{4}$	$6\frac{1}{2}$	$3$
$-5$	$2\frac{5}{8}$	$0$
$0$	$1$	$\frac{1}{2}$
$\frac{1}{4}$	$\frac{5}{16}$	$\frac{9}{32}$
$\frac{1}{4}$	$\frac{7}{16}$	$\frac{3}{8}$
$-2\frac{7}{8}$	$-2\frac{3}{32}$	$-2\frac{1}{2}$

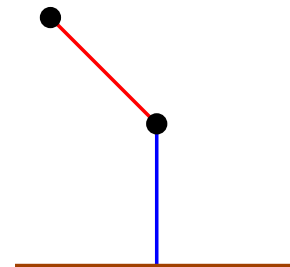
# A Hackenbush computation



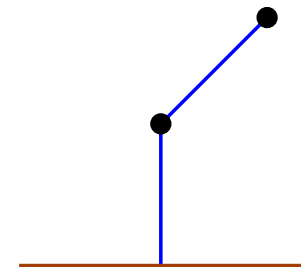
value  $x$



$0$

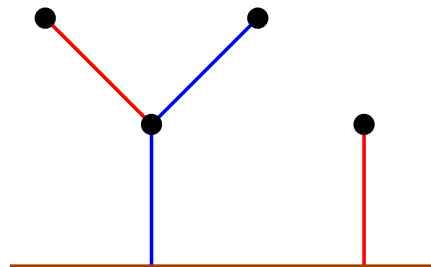


$1/2$



$2$

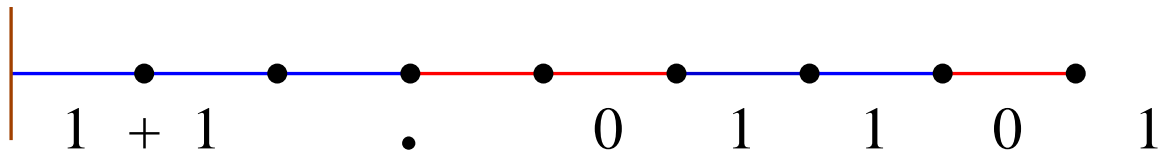
$$b = 1/2, r = 2, x = 1$$



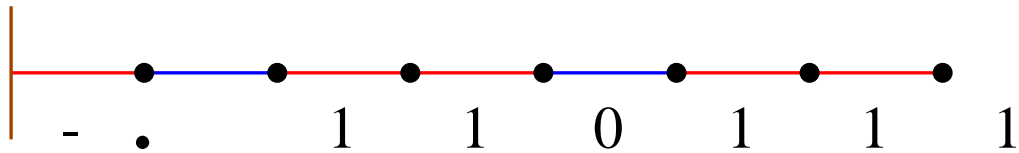
$$1 - 1 = 0 \text{ (mover loses)}$$



# Value of Blue-Red strings



$$= 2 + 1/4 + 1/8 + 1/32 = 2 \frac{13}{32}$$

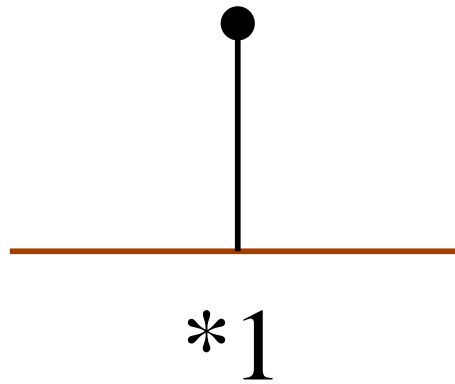


$$= - ( 1/2 + 1/4 + 1/16 + 1/32 + 1/64 ) = - 55/64$$

# Impartial Hackenbush

Now suppose there are also **black** edges, which either player can remove. A game with all **black** edges is called an **impartial** (Hackenbush) game. At any stage of such a game, the two players always have the same available moves.

\*1



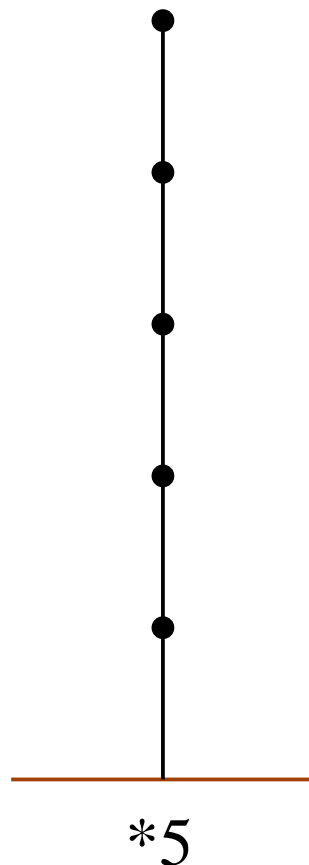
Mover wins! Not a number game.

Neither  $= 0$ ,  $< 0$ , or  $> 0$ .

**Two outcomes** of any impartial game: mover wins or mover loses.

$*n$

Denote by  $*n$  (star  $n$ ) the impartial game with one chain of length  $n$ .



# A nice fact

We can still assign a number with useful properties to an impartial game, based on the following fact.

**Fact.** Given any (finite) impartial game  $G$ , there is a unique integer  $n \geq 0$  such that mover loses in the sum of  $G$  and  $*n$ , i.e.,

$$G + *n = 0.$$

# The Sprague-Grundy number

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# The Sprague-Grundy number

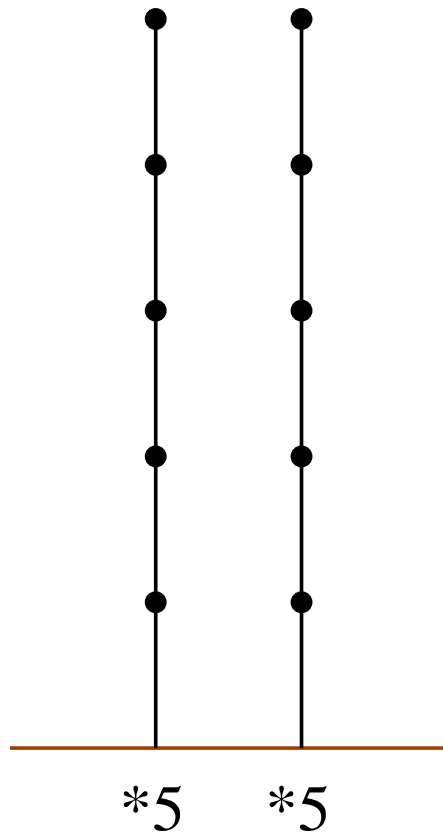
**Fact.** Given any (finite) impartial game  $G$ , there is a unique integer  $n \geq 0$  such that mover loses in the sum of  $G$  and  $*n$ , i.e.,

$$G + *n = 0.$$

Denote this integer by  $N(G)$ , the **Sprague-Grundy** number of  $G$ .

**NOTE:** Mover loses (i.e.,  $G = 0$ ) if and only if  $N(G) = 0$ .

# A simple example



Mover loses (second player copies first player).

$$N(*5) = 5$$

$$*5 + *5 = 0$$

In general,  $N(*n) = n$ .



# Nim addition

**Nim addition.** Define  $m \oplus n$  by writing  $m$  and  $n$  in binary, adding **without carrying** (mod 2 addition in each column), and reading result in binary.

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**Example.**  $13 \oplus 11 \oplus 7 \oplus 4 = 5$

$$\begin{array}{r} \phantom{13 = } \phantom{11 = } \phantom{7 = } \phantom{4 = } \\ \phantom{13 = } \phantom{11 = } \phantom{7 = } \phantom{4 = } \\ \phantom{13 = } \phantom{11 = } \phantom{7 = } \phantom{4 = } \\ \phantom{13 = } \phantom{11 = } \phantom{7 = } \phantom{4 = } \\ \phantom{13 = } \phantom{11 = } \phantom{7 = } \phantom{4 = } \\ \hline 5 = 0101 \end{array}$$

# The Nim-Sum Theorem

**Nim-sum Theorem.** Let  $G$  and  $H$  be impartial games. Then

$$N(G + H) = N(G) \oplus N(H).$$

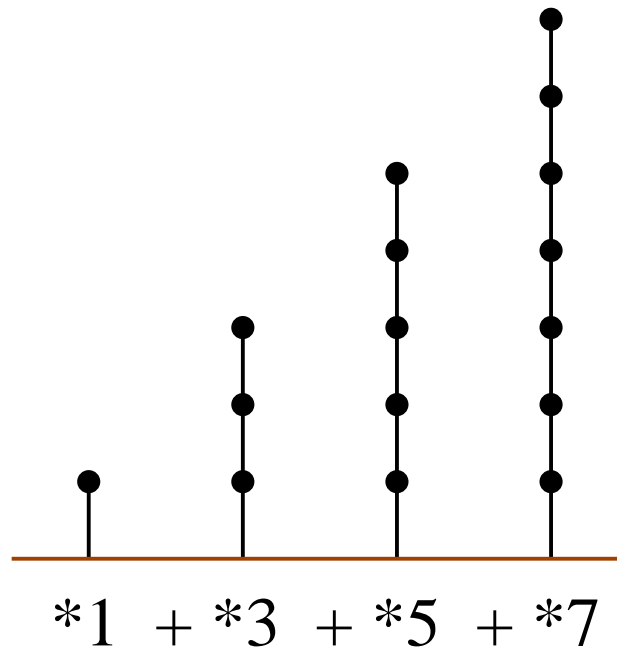
# Nim

**Nim:** sum of  $*n$ 's.

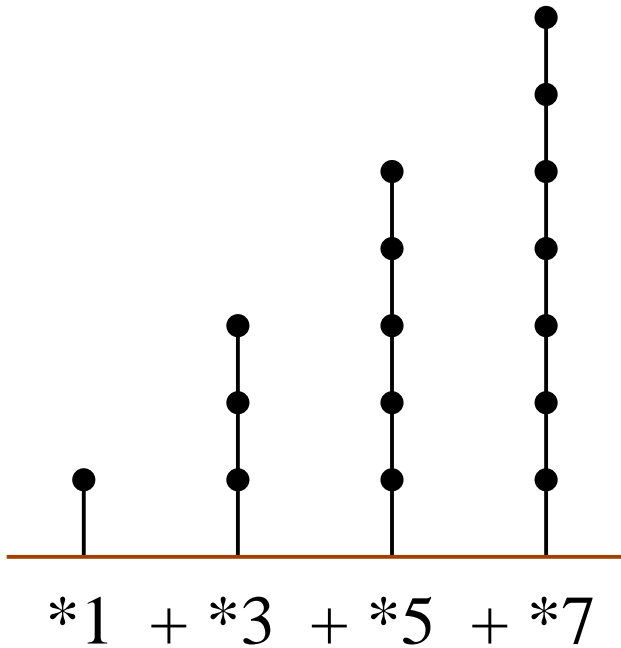
# Nim

Nim: sum of  $*n$ 's.

*Last Year at Marienbad:*



# \*1 + \*3 + \*5 + \*7



$$\begin{array}{r}
 1 = \quad \quad \underline{421} \\
 3 = \quad \quad 11 \\
 5 = \quad 101 \\
 7 = \quad 111 \\
 \hline
 0 = 000,
 \end{array}$$

so mover loses!

# A Nim example

How to play Nim:

$$G = *23 + *18 + *13 + *7 + *5$$

$$23 = 10111$$

$$18 = 10010$$

$$13 = \mathbf{1101} \rightarrow 0111 = 7$$

$$7 = 111$$

$$5 = 101$$

# A Nim example

How to play Nim:

$$G = *23 + *18 + *13 + *7 + *5$$

$$23 = 10111$$

$$18 = 10010$$

$$13 = \mathbf{1101} \rightarrow 0111 = 7$$

$$7 = 111$$

$$5 = 101$$

Only winning move is to change  $*13$  to  $*7$ .



# The minimal excludant

**How to compute  $N(G)$  in general:** If  $S$  is a set of nonnegative integers, let  $\text{mex}(S)$  (the **minimal excludant** of  $S$ ) be the least nonnegative integer not in  $S$ .

$$\text{mex}\{0, 1, 2, 5, 6, 8\} = 3$$

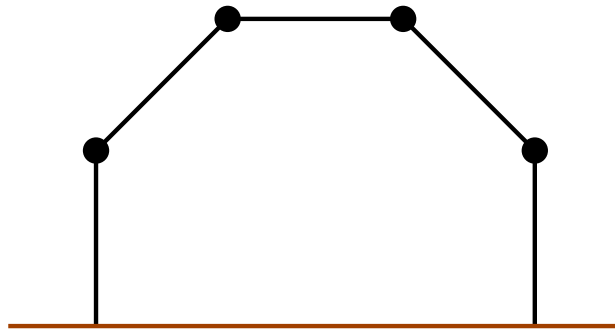
$$\text{mex}\{4, 7, 8, 12\} = 0.$$

# The Mex Rule

**Mex Rule** (analogue of Simplicity Rule). Let  $S$  be the set of all Sprague-Grundy numbers of positions that can be reached in one move from the impartial game  $G$ . Then

$$N(G) = \text{mex}(S).$$

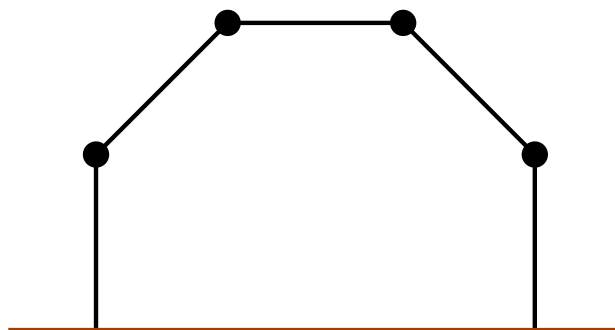
# A mex example



Can move to 4,  $1 \oplus 3 = 2$ , and  $2 \oplus 2 = 0$ . Thus

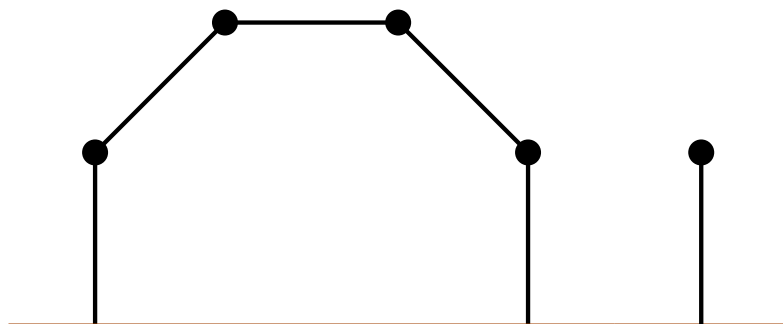
$$N(G) = \text{mex}\{0, 2, 4\} = 1.$$

# A mex example



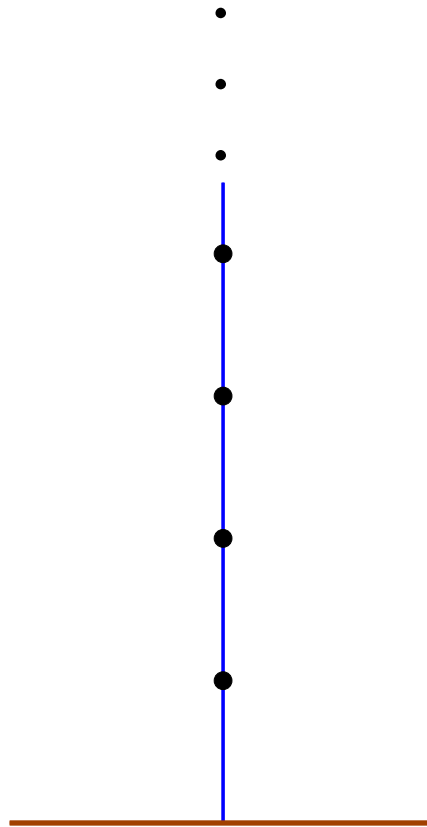
Can move to 4,  $1 \oplus 3 = 2$ , and  $2 \oplus 2 = 0$ . Thus

$$N(G) = \text{mex}\{0, 2, 4\} = 1.$$



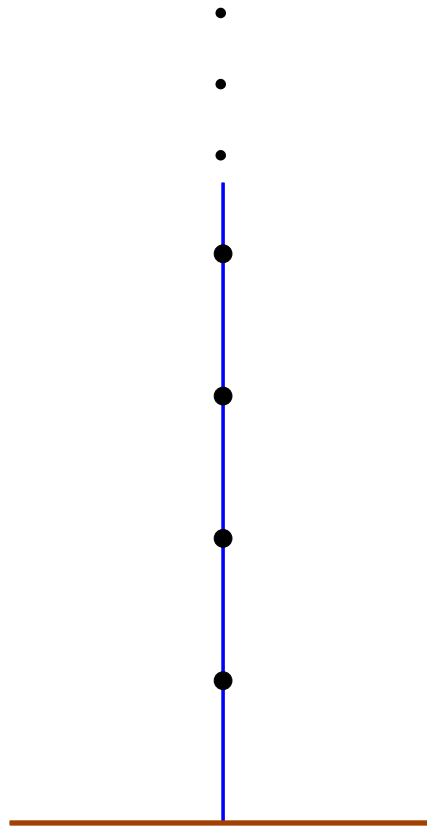
mover loses!

# The infinite



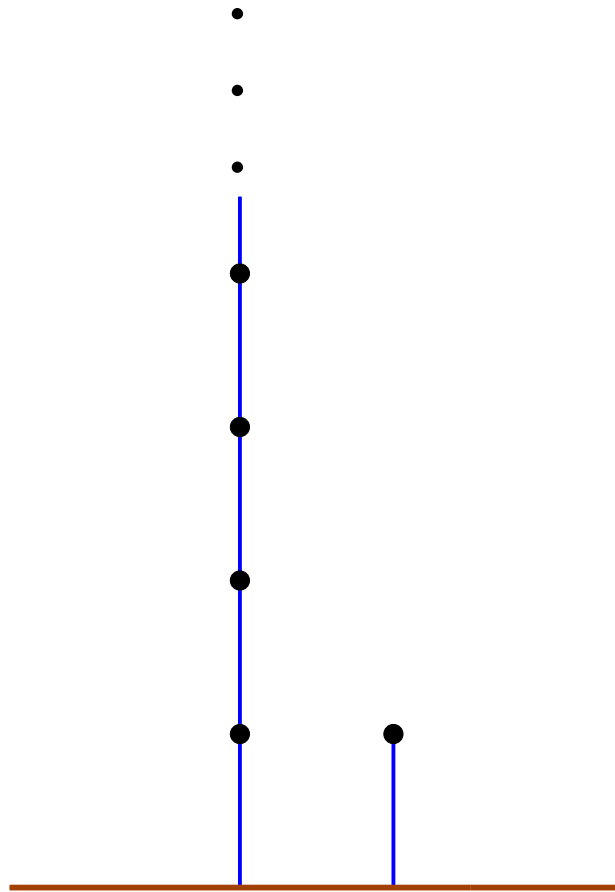
Clearly  $v(G) > n$  for all  $n$ , i.e.,  $G$  is **infinite**. Say  $v(G) = \omega$ .

# The infinite



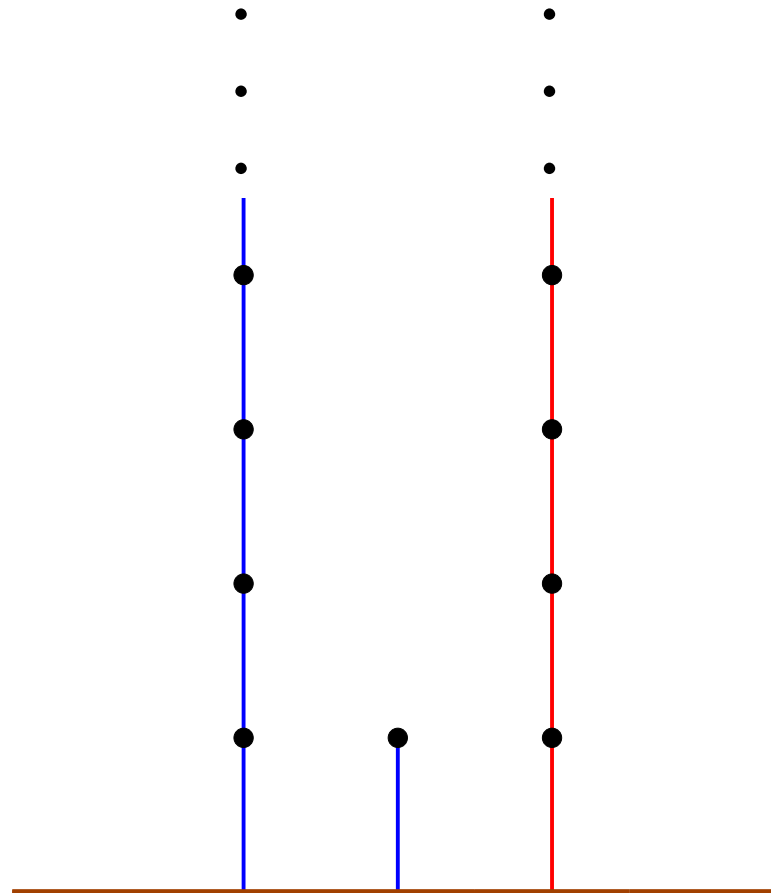
Clearly  $v(G) > n$  for all  $n$ , i.e.,  $G$  is **infinite**. Say  $v(G) = \omega$ . (Still ends in finitely many moves.)

# Even more infinite



Clearly  $G - \omega > 0$ , so  $G$  is more infinite than  $\omega$ .  
Call  $v(G) = \omega + 1$ .

# $\omega + 1$ versus $-\omega$



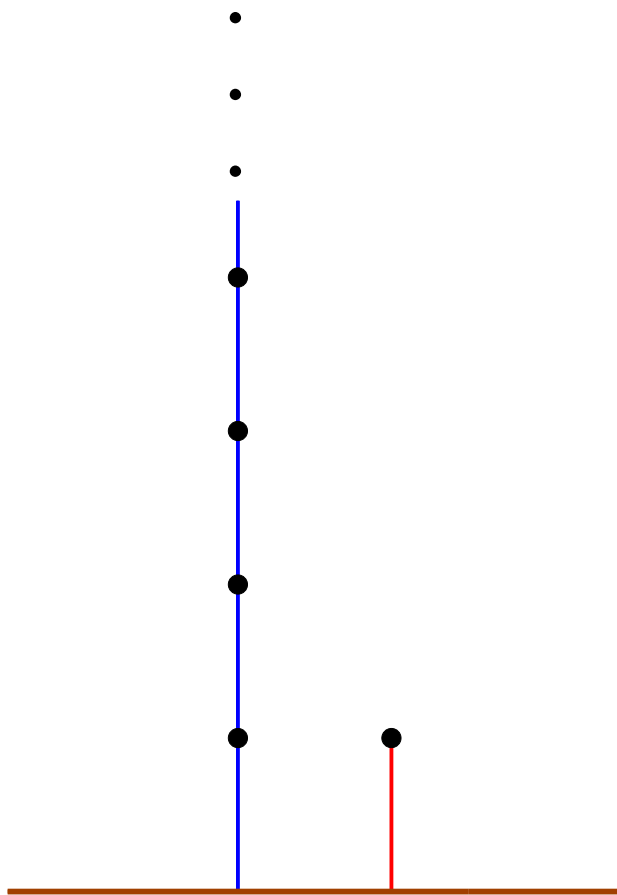
Blue takes the isolated edge to win.



# Ordinal games

Similarly, every **ordinal number** is the value of a game.

$\omega - 1$



We can do more!  $v(G) = \omega - 1$ .

# A big field

Can extend ordinal numbers to an **abelian**  
**group**  $\mathcal{N}$ .

# A big field

Can extend ordinal numbers to an **abelian group**  $\mathcal{N}$ .

Conway defined the product of  $G \cdot H$  of any two games. This turns  $\mathcal{N}$  into a field. We can extend this to a real closed field, each element of which is a number game . . . .

# The field $\mathcal{I}$

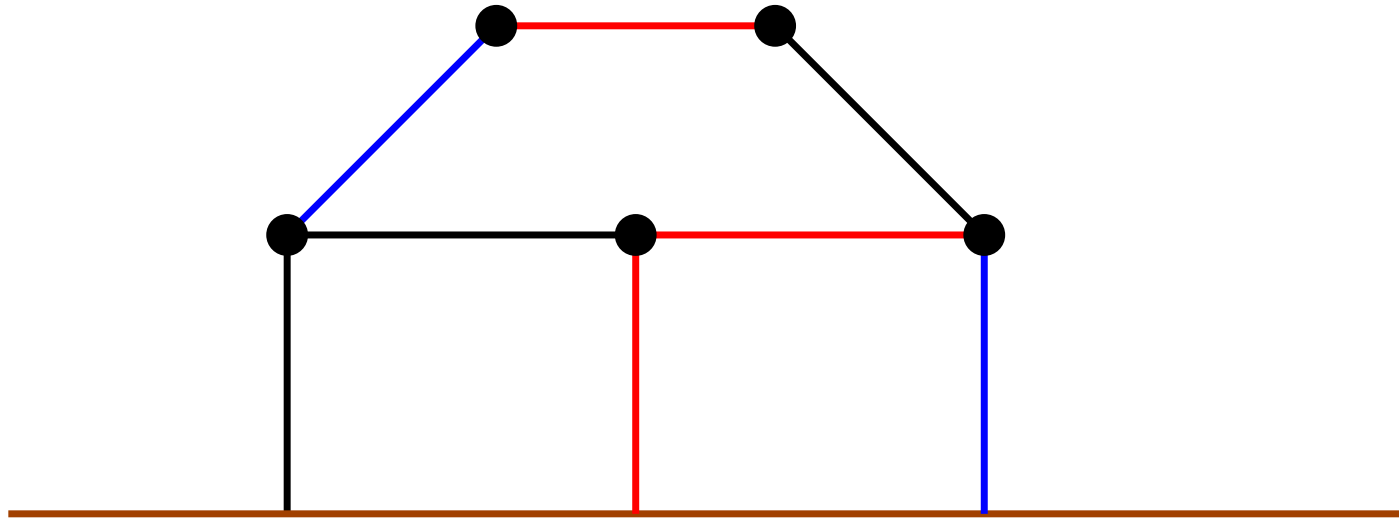
The product  $G \cdot H$  turns the set  $\{ *0, *1, *2, \dots \}$  into a field  $\mathcal{I}$ ! Since  $*n + *n = 0$ ,  $\text{char}(\mathcal{I}) = 2$ .

# The field $\mathcal{I}$

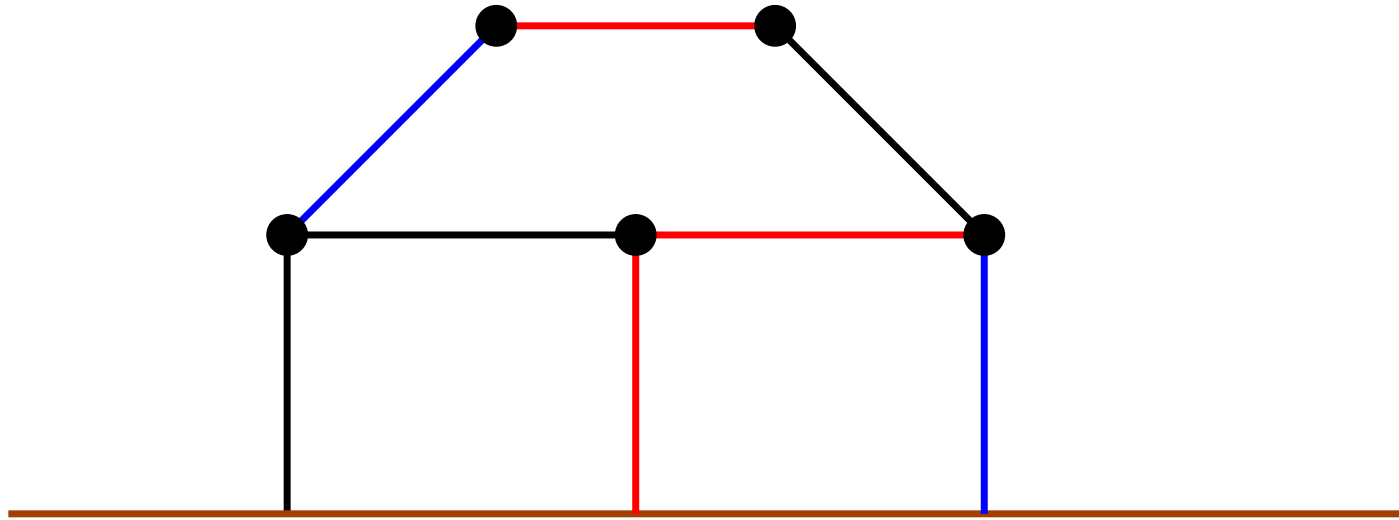
The product  $G \cdot H$  turns the set  $\{*_0, *_1, *_2, \dots\}$  into a field  $\mathcal{I}$ ! Since  $*n + *n = 0$ ,  $\text{char}(\mathcal{I}) = 2$ .

In fact,  $\mathcal{I}$  is the quadratic closure of  $\mathbb{F}_2$ .

# Mixed games



# Mixed games

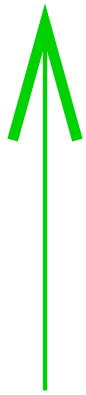


Much more complicated!



Up

Not a Hackenbush game.



up



0

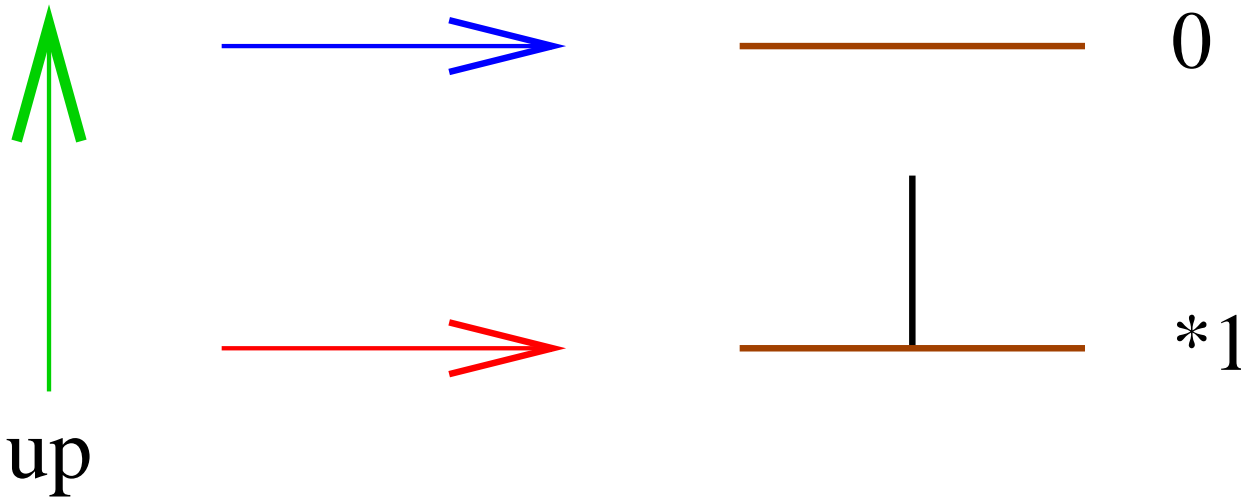


\*1

Outcome?

# Up

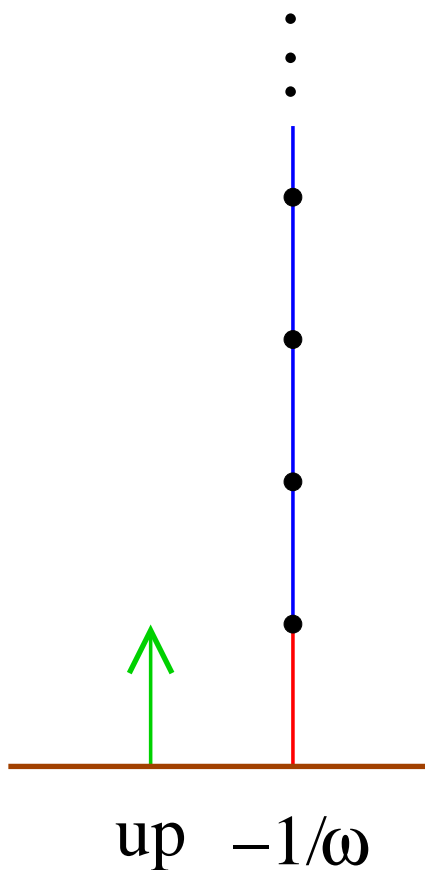
Not a Hackenbush game.



Outcome?

**Blue** wins, so  $\uparrow > 0$ .

$\uparrow$  is very tiny



**Red** wins, so  $0 < \uparrow < 1/\omega$ . In fact, for **any** number game  $G > 0$ , we have  $0 < \uparrow < G$ .

# Caveat

**Caveat.**  $\uparrow$  is not a number game. **Red** can move to  $*1$ , where it is **not** disadvantageous to move.

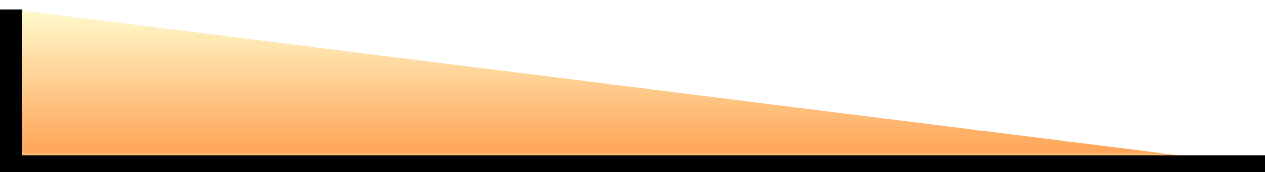
**Darn!**

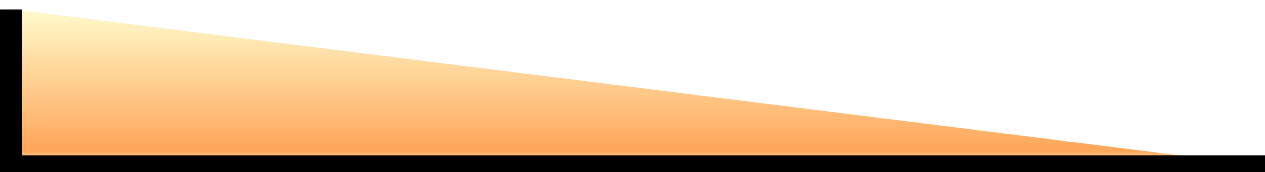
That's  
the  
end...



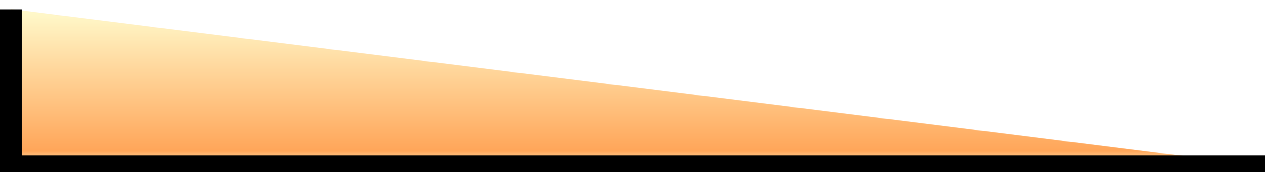
# References

1. E. R. Berlekamp, *The Dots and Boxes Game*, A K Peters, Natick, Massachusetts, 2000. The classic children's game Dots and Boxes is actually quite sophisticated and uses many deep aspects of the theory of impartial games.
2. E. R. Berlekamp, J. H. Conway, and R. K. Guy, *Winning Ways*, Academic Press, New York, 1982. The Bible of the theory of mathematical games, with many general principles and interesting examples.

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3. E. R. Berlekamp and D. Wolfe, *Mathematical Go*, A K Peters, Wellesley, MA, 1994.  
Applications of the theory to the game of Go.
  4. J. H. Conway, *On Numbers and Games*, Academic Press, London/New York, 1976.  
The first development of a unified theory of mathematical games.

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5. D. E. Knuth, *Surreal Numbers*, Addison-Wesley, Reading, MA, 1974. An exposition of the numerical aspects of Conway's theory of mathematical games and the resulting theory of infinitesimal and infinite numbers, in the form of a two-person dialogue.





6. R. J. Nowakowski, ed., *Games of No Chance*, MSRI Publications **29**, Cambridge University Press, New York/Cambridge, 1996. Many articles on mathematical games, including some applications to “real” games such as chess and Go. There are two sequels.

# How can I get these slides?

Slides available at:

[www-math.mit.edu/~rstan/transparencies/games.pdf](http://www-math.mit.edu/~rstan/ transparencies/games.pdf)