

SOME OPEN PROBLEMS IN ENUMERATIVE COMBINATORICS

Richard P. Stanley
Department of Mathematics
M.I.T. 2-375
Cambridge, MA 02139
rstan@math.mit.edu
<http://www-math.mit.edu/~rstan>

Transparencies available at:

<http://www-math.mit.edu/~rstan/trans.html>

Fantastically Original Approaches Toward Algebraic combinatorics

hyperplane arrangement \mathcal{A} : finitely many affine hyperplanes in \mathbb{R}^n

region of \mathcal{A} : connected component (cell) of $\mathbb{R}^n - \bigcup_{H \in \mathcal{A}} H$

$\mathcal{R}(\mathcal{A})$: set of regions of \mathcal{A}

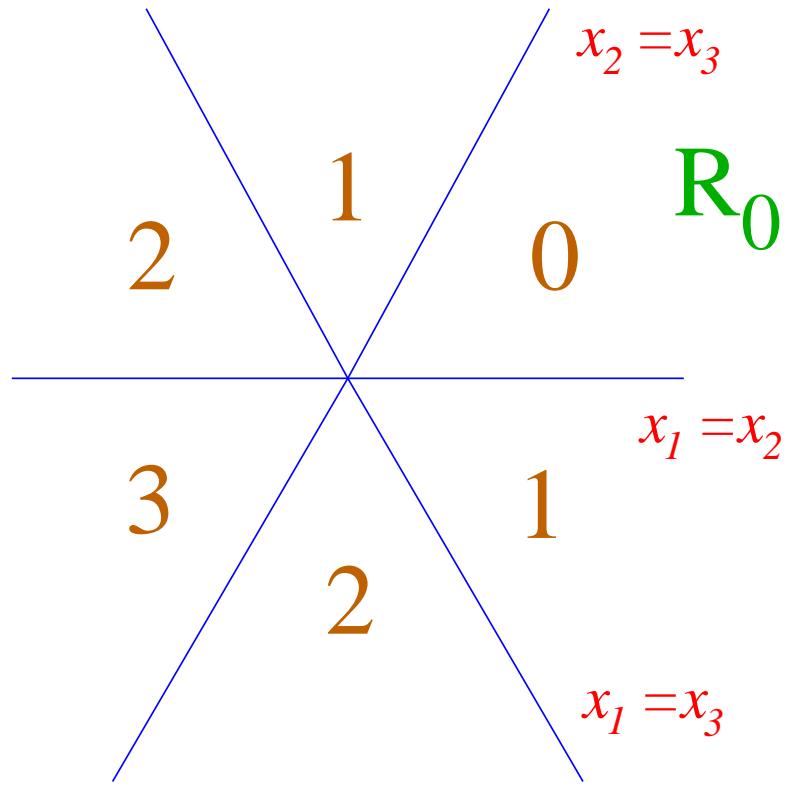
$d(R, R')$, where $R, R' \in \mathcal{R}(\mathcal{A})$:

of $H \in \mathcal{A}$ separating R and R'

R_0 : a fixed base region of \mathcal{A}

distance enumerator of \mathcal{A} (w.r.t. R_0):

$$D_{\mathcal{A}}(q) = \sum_{R \in \mathcal{R}(\mathcal{A})} q^{d(R_0, R)}$$



braid arrangement \mathcal{B}_3 :

$$x_i = x_j, \quad 1 \leq i < j \leq 3$$

$$D_{\mathcal{B}_3}(q) = 1 + 2q + 2q^2 + q^3 = (1+q)(1+q+q^2)$$

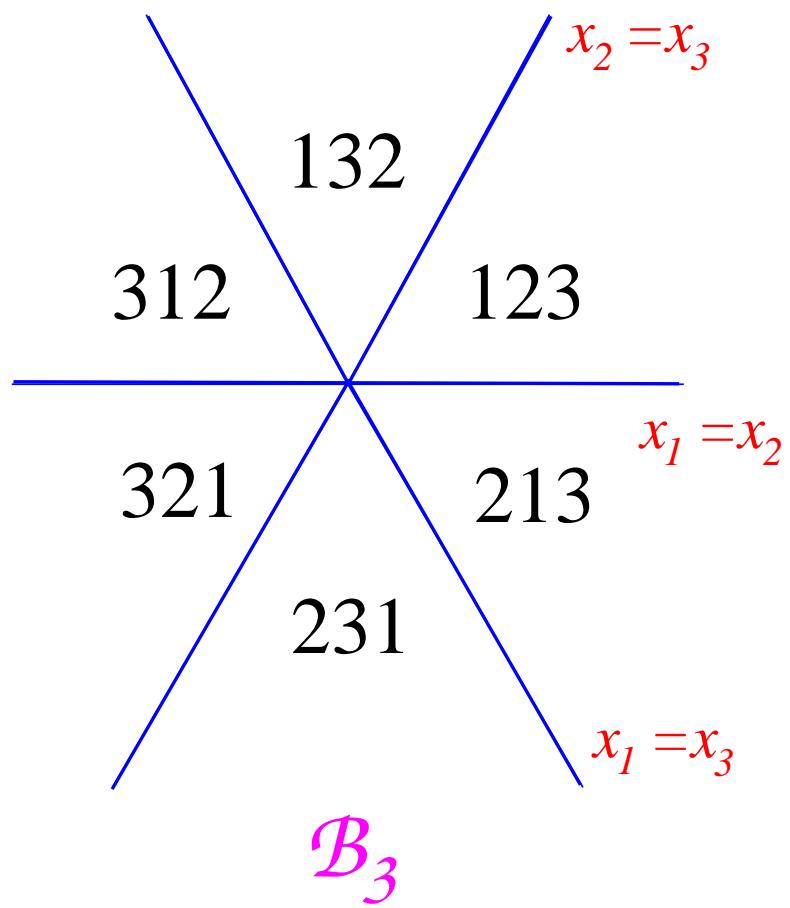
$$\mathcal{B}_{\textcolor{violet}{n}}: x_i = x_j, \quad 1 \leq i < j \leq n$$

regions: $R_w : x_{w(1)} > \cdots > x_{w(n)},$
 where $w \in \mathfrak{S}_n$

$$\textcolor{violet}{R}_0: x_1 > \cdots > x_n \text{ (so } w = 12 \cdots n)$$

$$\begin{aligned} d(R_0, R_w) &= \#\{(i, j) : i < j, w(i) > w(j)\} \\ &= \ell(w) = \text{INV}(w) \end{aligned}$$

$$\begin{aligned} D_{\mathcal{B}_n}(q) &= \sum_{w \in \mathfrak{S}_n} q^{\ell(w)} \\ &= (1 + q)(1 + q + q^2) \\ &\quad \cdots (1 + q + \cdots + q^{n-1}), \end{aligned}$$

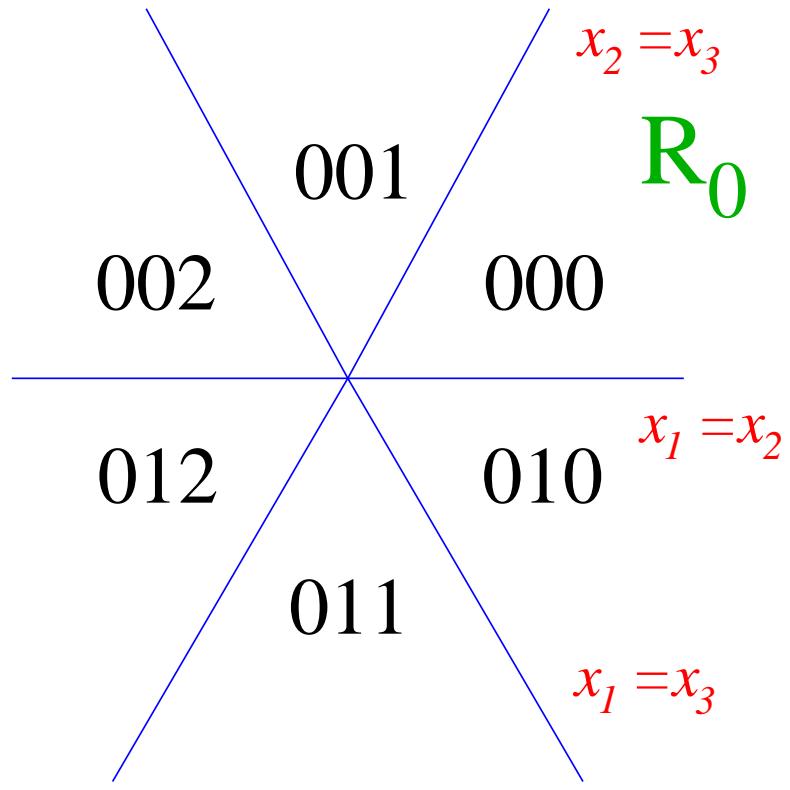


Alternative labeling rule: Let

$$\lambda(R_0) = 00 \cdots 0 \in \mathbb{Z}^n$$

$$e_i = 00 \cdots \overset{i}{1} \cdots 0 \in \mathbb{Z}^n$$

$$\begin{aligned} R & \quad \text{R}' \\ \lambda(R) & \quad \lambda(R') = \lambda(R) + e_j \\ & \quad x_i = x_j \\ & \quad i < j \end{aligned}$$



$$\lambda(R_w) = (c_1, \dots, c_n),$$

where

$$c_j = \#\{i : i < j, w = \cdots j \cdots i \cdots\}$$

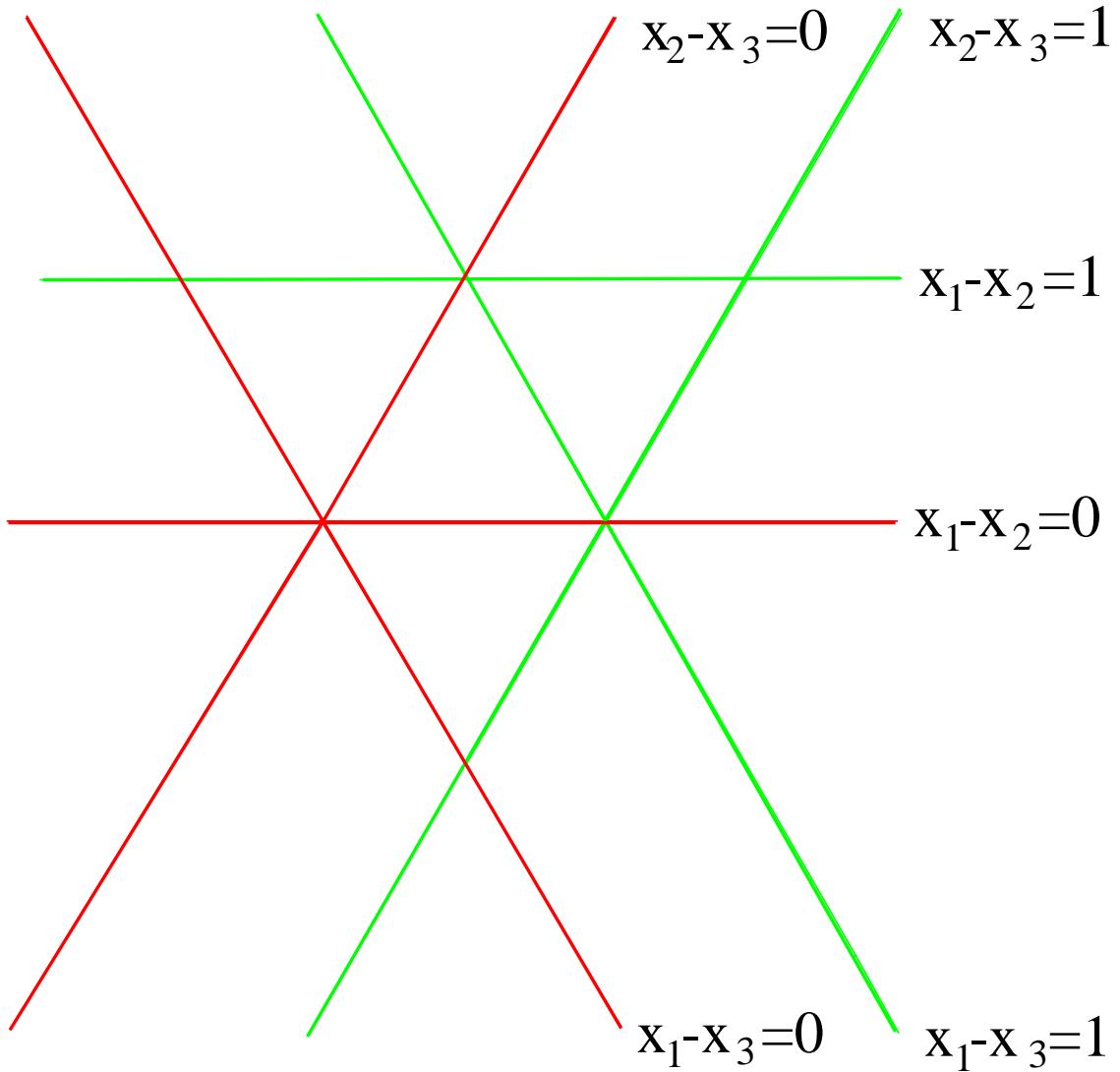
$$d(R_0, R_w) = c_1 + \cdots + c_n$$

$(c_1, \dots, c_n) = \text{code}$ of w

$$\begin{aligned} D_{\mathcal{B}_n}(q) &= \sum_{c_1=0}^0 \cdots \sum_{c_n=0}^{n-1} q^{c_1+\cdots+c_n} \\ &= (1+q)(1+q+q^2) \\ &\quad \cdots (1+q+\cdots+q^{n-1}) \end{aligned}$$

Shi arrangement:

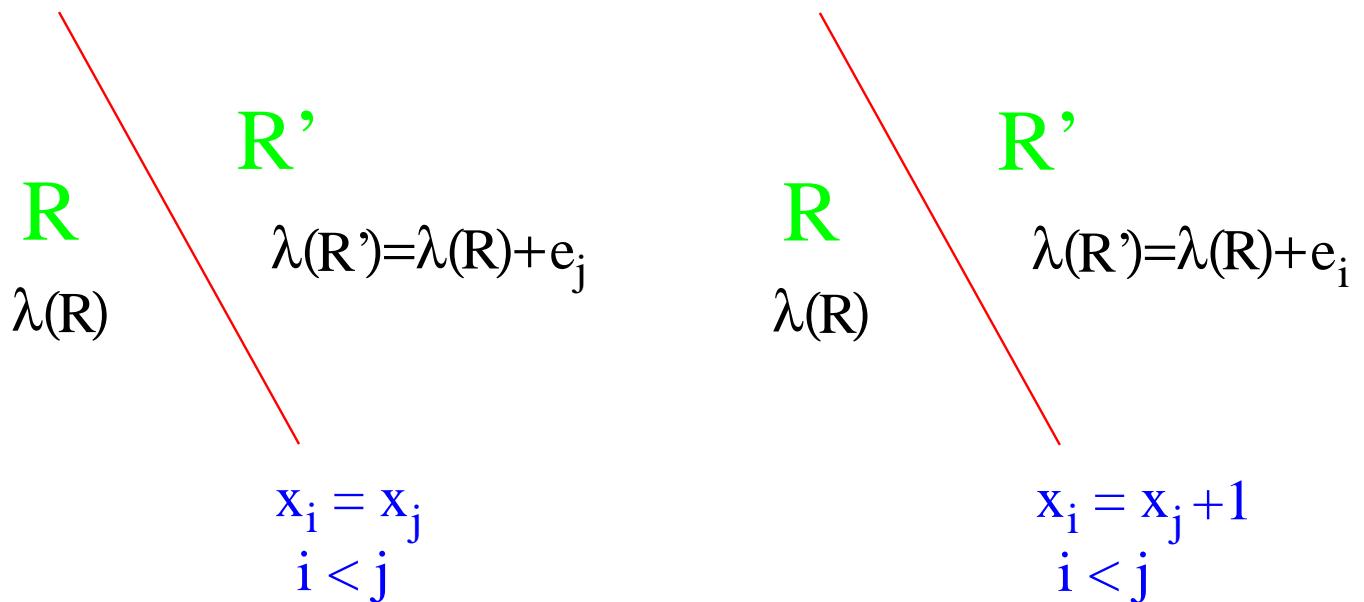
$$\mathcal{S}_n : \quad x_i - x_j = 0, 1, \quad 1 \leq i < j \leq n$$

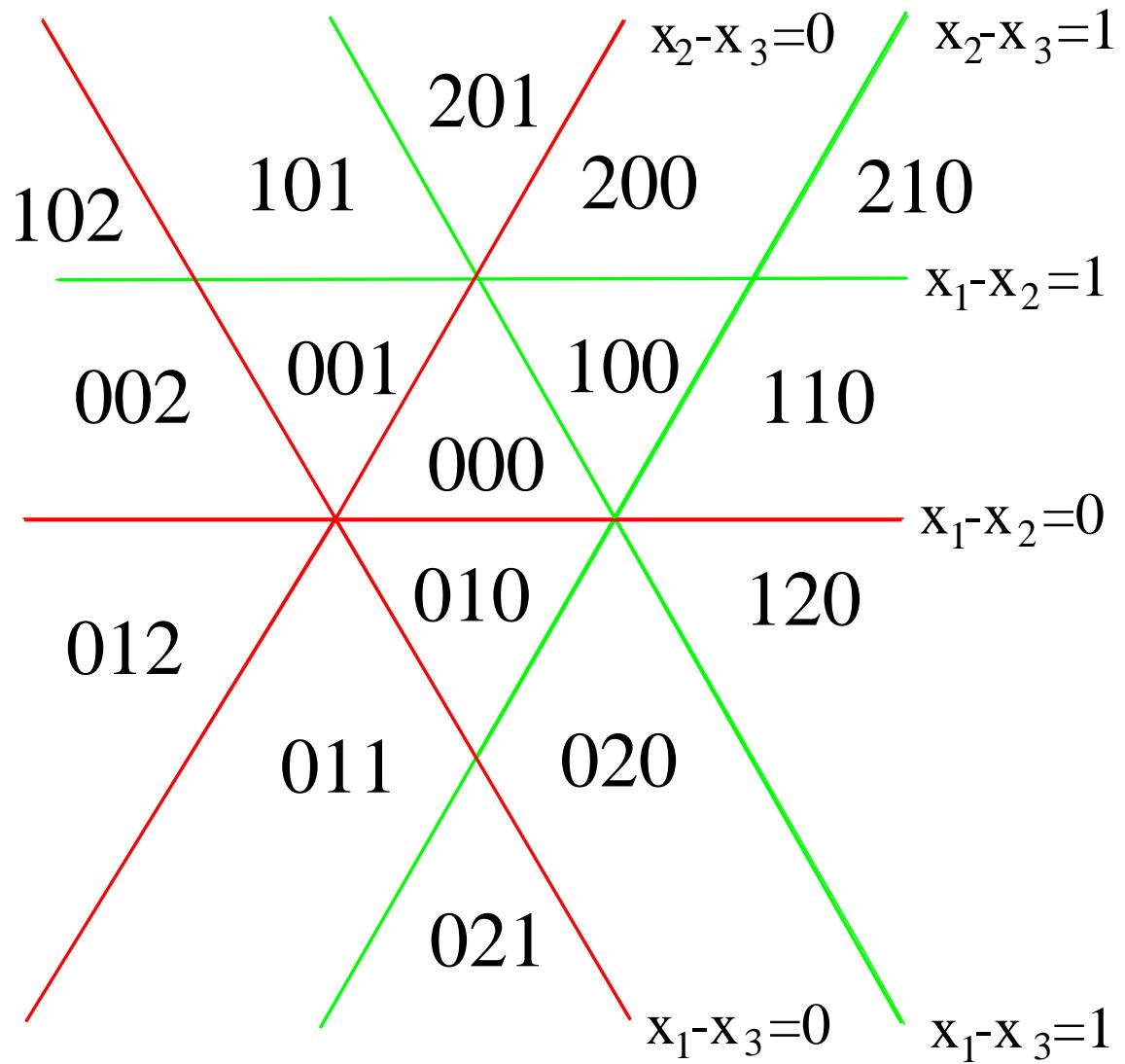


$$\textcolor{violet}{R}_0: x_1 > x_2 > \cdots > x_n > x_1 - 1$$

Labeling rule:

$$\lambda(R_0) = 00 \cdots 0 \in \mathbb{Z}^n$$





$$D_{\mathcal{S}_3}(q) = 1 + 3q + 6q^2 + 6q^3$$

Theorem. *Let*

$$\alpha = (a_1, \dots, a_n) \in \mathbb{N}^n.$$

TFAE:

- $\lambda(R) = \alpha$ for some (unique) $R \in \mathcal{R}(\mathcal{S}_n)$.
- If $b_1 \leq \dots \leq b_n$ is the increasing rearrangement of a_1, \dots, a_n , then $b_i \leq i - 1$.
- α is a permutation of the coordinates of some label of a region of \mathcal{B}_n .
- $(a_1 + 1, \dots, a_n + 1)$ is a **parking function**.

Corollary (of the theory of parking functions). *Let*

$$I_n(q) = \sum_F q^{\text{INV}(F)},$$

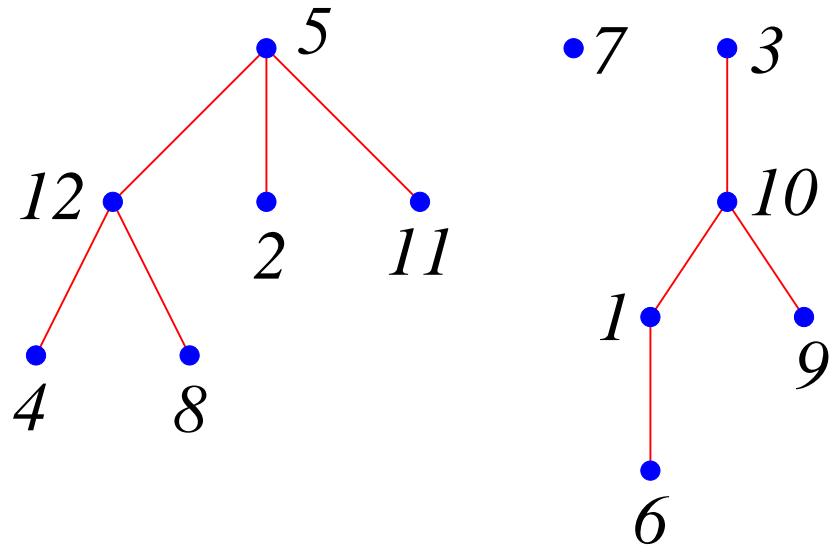
where F ranges over all rooted forests on the vertices $1, \dots, n$ and

$$\begin{aligned} \text{INV}(F) = \#\{(i, j) : i > j, i \text{ lies on the} \\ \text{path from } j \text{ to the root}\} \end{aligned}$$

Then

$$D_{\mathcal{S}_n}(q) = q^{\binom{n}{2}} I_n(1/q)$$

$$(\text{so } \#\mathcal{R}(\mathcal{S}_n) = (n+1)^{n-1}).$$



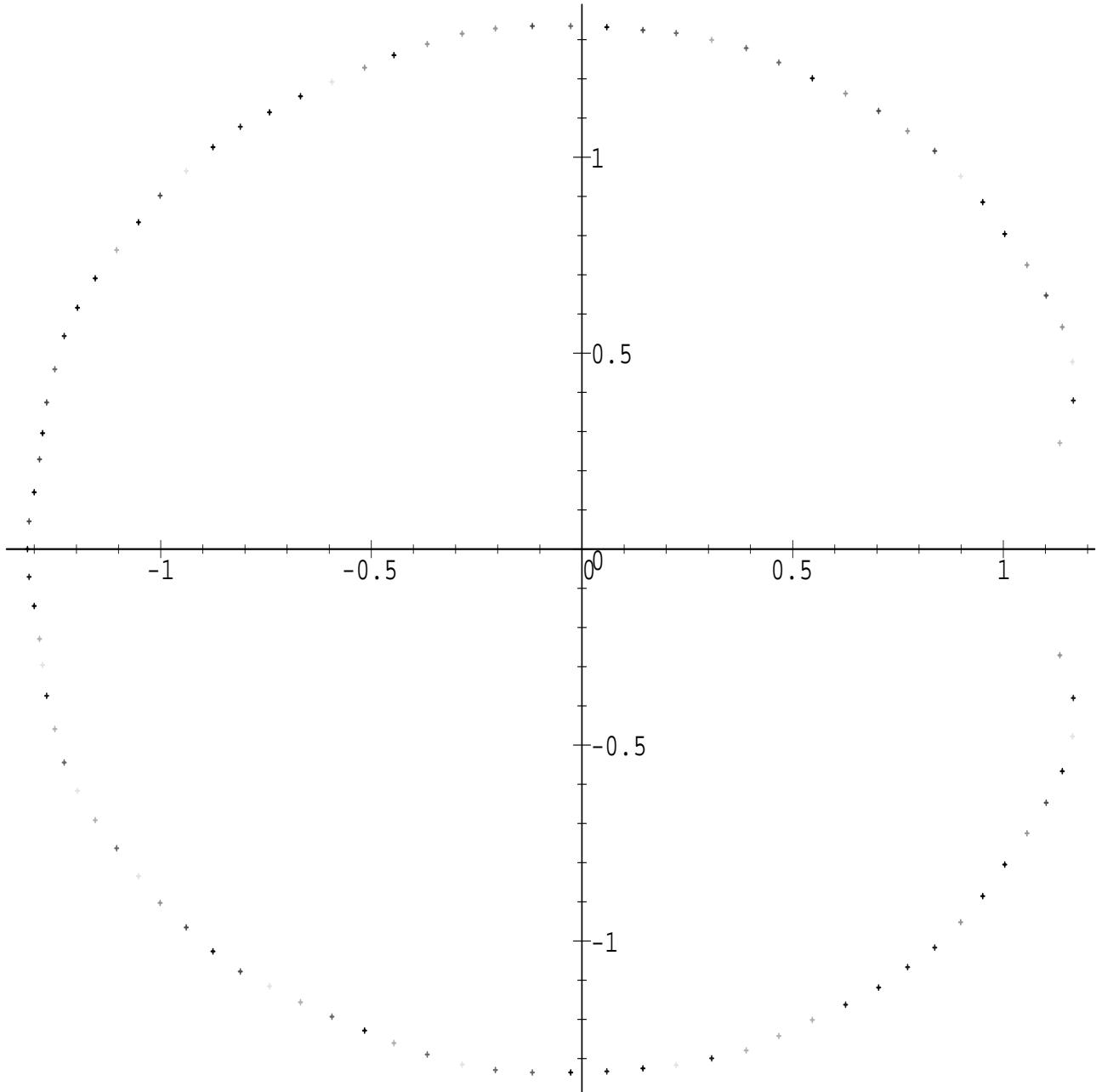
Inversions: (5, 4), (5, 2), (12, 4), (12, 8)

(3, 1), (10, 1), (10, 6), (10, 9)

$$\text{inv}(F) = 8$$

$$\sum_{n \geq 0} I_n(q) (q-1)^n \frac{x^n}{n!} = \frac{\sum_{n \geq 0} q^{\binom{n+1}{2}} \frac{x^n}{n!}}{\sum_{n \geq 0} q^{\binom{n}{2}} \frac{x^n}{n!}}$$

- asymptotics of coefficients of $I_n(q)$?
- unimodality or log-concavity of coefficients? (log-concave for $n \leq 50$)
- zeros of $I_n(q)$



Let $R, R' \in \mathcal{R}(\mathcal{S}_n)$. Define

$$\begin{aligned}\mathbf{d}_1(R, R') &= \#\{H \in \mathcal{S}_n : \\ &\quad H \text{ separates } R \text{ and } R', \\ &\quad \text{and } H \text{ has the form } x_i = x_j\} \\ \mathbf{d}_2(R, R') &= \#\{H \in \mathcal{S}_n : \\ &\quad H \text{ separates } R \text{ and } R', \\ &\quad \text{and } H \text{ has the form } x_i = x_j + 1\}.\end{aligned}$$

$a \setminus b$	0	1	2	3	4	5	6
$a \setminus b$	0	1	2	3			
0	1	1	2	1			
1	2	2	2				
2	2	2					
3	1						

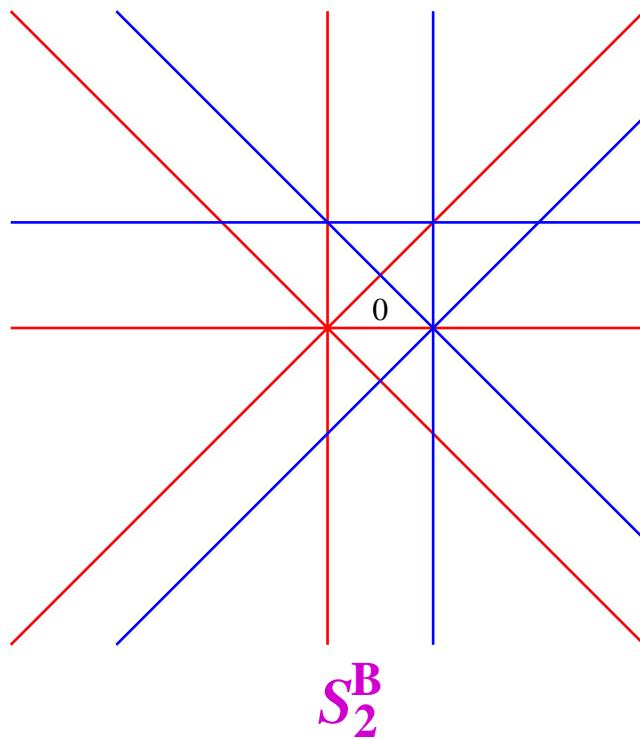
Problem 1. “Determine”

$$D_{\mathcal{S}_n}(q, t) = \sum_{R \in \mathcal{R}(\mathcal{S}_n)} q^{d_1(R_0, R)} t^{d_2(R_0, R)}.$$

Now define the **B_n -Shi arrangement** by

$$\mathcal{S}_n^B : \quad x_i \pm x_j = 0, 1$$

$$x_i = 0, 1.$$



$$D_{\mathcal{S}_2^B}(q) = 1 + 3q + 5q^2 + 8q^3 + 8q^4$$

Theorem (Shi, Headley).

$$\#\mathcal{R}(\mathcal{S}_n^B) = (2n+1)^n$$

Problem 2. “Determine” $D_{\mathcal{S}_n^B}(q)$
(and similarly for other root systems).
Is there a nice labeling of the regions?

Combinatorics of real zeros

Let

$$\mathbf{P}(\mathbf{x}) = a_0 + a_1x + \cdots + a_nx^n \in \mathbb{R}[x].$$

Set $a_{-k} = 0$ for $k < 0$ or $k > n$. Define

$$\mathbf{A}_{\mathbf{P}} = [a_{j-i}]_{i,j \geq 1},$$

an infinite **Toeplitz matrix**.

Theorem (Aissen-Schoenberg-Whitney, 1952) *TFAE:*

- Every minor of A_P is ≥ 0 , i.e.,
 A_P is **totally nonnegative**.
- Every zero of $P(x)$ is real and ≤ 0 .

Culture: Edrei-Thoma generalization (conjectured by Schoenberg). Let $P(x) = 1 + a_1x + \dots \in \mathbb{R}[[x]]$. TFAE:

- Every minor of A_P is nonnegative.

- $P(x) = e^{\gamma x} \prod_i \frac{1 + r_i x}{1 - s_i x}$, where

$$\gamma, r_i, s_i \geq 0, \quad \sum r_i + \sum s_i < \infty.$$

Note.

- A_P easily seen to be t.n. for

$$P(x) = 1 + ax, \quad a \geq 0, \quad \text{or} \quad P(x) = \frac{1}{1 - bx}, \quad b \geq 0.$$

- $A_{PQ} = A_P A_Q$

- $e^{\gamma x} = \lim_{n \rightarrow \infty} \left(1 + \frac{\gamma x}{n}\right)^n$

Note. Total nonnegativity of A_P involves **infinitely** many inequalities, even for $P(x) = ax^2 + bx + c$. There is also a system of $n - 1$ inequalities equivalent to every zero of $P(x) = a_nx^n + \cdots + a_1x + a_0$ ($a_n \neq 0$) being real.

Example. Every zero of $x^3 + bx^2 + cx + d$ is real \iff

$$\begin{aligned} -27d^2 + 18bcd - 4c^3 - 4b^3d + b^2c^2 &\geq 0 \\ b^2 - 3c &\geq 0 \end{aligned}$$

Rephrasing of A-S-W theorem.

Let $P(x) \in \mathbb{R}[x]$, $P(0) = 1$. Define

$$F_P(\mathbf{x}) = P(x_1)P(x_2)\cdots,$$

a symmetric formal series in $\mathbf{x} = (x_1, x_2, \dots)$.

TFAE:

- Every zero of $P(x)$ is real and < 0 .
- $F_P(\mathbf{x})$ is **s-positive**, i.e., a nonnegative linear combination of Schur functions s_λ .
- $F_P(\mathbf{x})$ is **e-positive**, i.e., a nonnegative linear combination of elementary symmetric functions e_λ .

Example.

$$P(x) = \frac{A_5(x)}{x} = 1 + 26x + 66x^2 + 26x^3 + x^4$$

$$\begin{aligned} F_P &= 1 + 26s_1 + (66s_2 + 610s_{11}) \\ &\quad + (26s_3 + 1690s_{21} + 14170s_{111}) + \dots \\ &= 1 + 26e_1 + (544e_2 + 66e_{11}) \\ &\quad + (12506e_3 + 1638e_{21} + 26e_{111}) + \dots \end{aligned}$$

Eulerian polynomial:

$$A_n(x) = \sum_{w \in \mathfrak{S}_n} x^{\text{des}(w)+1},$$

where

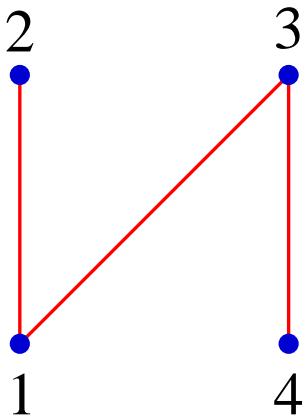
$$\text{des}(w) = \#\{i : w(i) > w(i+1)\}.$$

- Problem 3.** (a) Let $P(x) = A_n(x)/x$. Find a combinatorial interpretation for the coefficients of the expansion of $F_P(\mathbf{x})$ in terms of s_λ 's or e_λ 's, thereby showing they are nonnegative.
- (b) Generalize to other polynomials $P(x)$.

Let P be a partial ordering of $1, \dots, n$.
Let

$$\begin{aligned}\mathcal{L}_P = \{w = w_1 \cdots w_n \in \mathfrak{S}_n : \\ i \stackrel{P}{<} j \Rightarrow w^{-1}(i) < w^{-1}(j)\}.\end{aligned}$$

$$W_P(x) = \sum_{w \in \mathcal{L}_P} x^{\text{des}(w)}.$$



w	des(w)
1423	1
4123	1
1432	2
4132	2
1243	1

$$W_P(x) = 3x + 2x^2 : \quad \text{all zeros real!}$$

Poset Conjecture. For any poset P on $1, \dots, n$, all zeros of $W_P(x)$ are real.

Let Q be a finite poset.

chain polynomial: $C_Q(x) = \sum_{\sigma} x^{\#\sigma}$,

where σ ranges over all chains of Q .

Special case (open). Let L be a finite distributive lattice. Then all zeros of $C_L(x)$ are real.

Also open: All zeros of $C_L(x)$ are real if L is a finite **modular** lattice.

Theorem (Gasharov, Skandera) *Suppose P has no induced **3 + 1**. Then every zero of $C_P(x)$ is real.*

Jack symmetric function:

$$\textcolor{magenta}{J}_{\lambda} = \textcolor{magenta}{J}_{\lambda}(x; \alpha)$$

$$J_{\lambda}(x; 1) = s_{\lambda}(x)$$

Example.

$$P(x) = \frac{A_5(x)}{x} = 1 + 26x + 66x^2 + 26x^3 + x^4$$

$$\begin{aligned} F_P &= 1 + 26J_1 + \left(66J_2 + \frac{4(169\alpha + 136)}{\alpha + 1} J_{11} \right) \\ &\quad + \left(26J_3 + \frac{78(44\alpha + 21)}{2\alpha + 1} J_{21} \right. \\ &\quad \left. + \frac{52(338\alpha^2 + 816\alpha + 481)}{(\alpha + 1)(\alpha + 2)} J_{111} \right) + \dots \end{aligned}$$