

## ADDITIONAL POSET PROBLEMS

version of 28 November 2017

1. [2] Find a finite poset  $P$  with the following property, or show that no such  $P$  exists. The longest chain in  $P$  has  $m$  elements (for some  $m \geq 1$ ).  $P$  can be written as a union of two chains  $C_1$  and  $C_2$ , but cannot be written in this way where  $\#C_1 = m$ .
2. (a) [2] How many nonisomorphic  $n$ -element posets contain an  $(n - 1)$ -element antichain?  
(b) [2+] How many nonisomorphic  $n$ -element posets contain an  $(n - 1)$ -element chain?  
(c) [2-] How many nonisomorphic  $n$ -element posets contain both an  $(n - 1)$ -element antichain and an  $(n - 1)$ -element chain?
3. (a) [3-] Find a finite poset  $P$  with the following property. The automorphism group  $\text{Aut}(P)$  of  $P$  acts transitively on the set  $M$  of minimal elements of  $P$ . Moreover, the restriction of  $\text{Aut}(P)$  to  $M$  does not contain a full cycle of the elements of  $M$ .  
(b) [5-] Does such a poset exist if all maximal chains have two elements?
4. [2+] Let  $w = t_1, \dots, t_p$  be a permutation of the elements of a finite poset  $P$ . Call a permutation  $w'$  a *permissible swap* of  $w$  if it is obtained from  $w$  by interchanging some  $t_i$  and  $t_{i+1}$  where  $t_i < t_{i+1}$ . Clearly a sequence of permissible swaps must eventually terminate in a permutation  $v$  that has no permissible swaps. Show that  $v$  is independent of the sequence of permissible swaps.
5. [2+] For each permutation  $w \in \mathfrak{S}_n$ , let  $\sigma_w$  be the simplex in  $\mathbb{R}^n$  defined by

$$\sigma_w = \{(x_1, \dots, x_n) \in \mathbb{R}^n : 0 \leq x_{w(1)} \leq x_{w(2)} \leq \dots \leq x_{w(n)} \leq 1\}.$$

For any nonempty subset  $S \subseteq \mathfrak{S}_n$ , define

$$X_S = \bigcup_{w \in S} \sigma_w \subset \mathbb{R}^n.$$

Show that  $X_S$  is convex if and only if  $S$  is the set of linear extensions of some partial ordering of  $[n]$ .

6. [2+] Let  $0 \leq p \leq 1$ , and let  $P$  be a finite  $n$ -element poset with  $\hat{0}$  and  $\hat{1}$ . Let  $\sigma: P \rightarrow [n]$  be a linear extension of  $P$ . Define a random digraph  $D$  on the vertex set  $[n]$  as follows. For each  $s < t$  in  $P$ , choose the edge  $s \rightarrow t$  of  $D$  with probability  $p$ .

Now start at the vertex  $\hat{0}$  of  $D$ . If there is an arrow from  $\hat{0}$ , then move to the vertex  $t$  for which  $\hat{0} \rightarrow t$  is an edge of  $D$  and  $\sigma(t)$  is as small as possible; otherwise stop. Continue this procedure (always moving from a vertex  $u$  to a vertex  $v$  for which  $u \rightarrow v$  is an edge of  $D$  and  $\sigma(v)$  is as small as possible) until unable to continue. What is the probability that we end at vertex  $\hat{1}$ ? Try to give an elegant proof avoiding recurrence relations, linear algebra, etc.

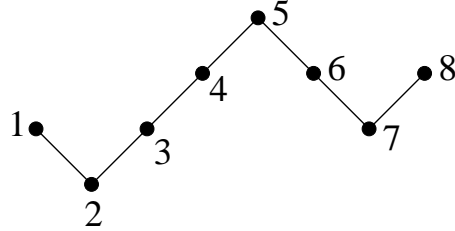
7. (a) [2+] Let  $f(n)$  be the average value of  $\mu_P(\hat{0}, \hat{1})$ , where  $P$  ranges over all (induced) subsets of the boolean algebra  $B_n$  containing  $\hat{0}$  and  $\hat{1}$ . (The number of such  $P$  is  $2^{2^n-2}$ .) Define the *Genocchi number*  $G_n$  by

$$\sum_{n \geq 0} G_n \frac{x^n}{n!} = \frac{2x}{1 + e^x},$$

as in Exercise 5.8(d). Show that  $f(n) = 2G_{n+1}/(n+1)$ .

- (b) [2] It follows from (a) that  $f(n) = 0$  when  $n$  is even. Give a noncomputational proof.
- (c) [5-] What more can be done with this model of a random poset, i.e., each element of  $B_n - \{\hat{0}, \hat{1}\}$  is chosen independently with probability  $1/2$  (or we could generalize to any probability  $0 \leq p \leq 1$ ) to belong to  $P$ ? For instance, what is the probability that  $P$  contains a maximal chain of  $B_n$ ? (This looks quite difficult to me.) What is the expected value of the rank of the top homology  $H_{n-2}(\Delta(P'); \mathbb{Z})$  of the order complex  $\Delta(P')$  of  $P' = P - \{\hat{0}, \hat{1}\}$ ?
8. (a) [2] Let  $U_n$  be the set of all lattice paths  $\lambda$  of length  $n - 1$  (i.e., with  $n - 1$  steps), starting at  $(0, 0)$ , with steps  $(1, 1)$  and  $(1, -1)$ . Thus  $\#U_n = 2^{n-1}$ . Regard the  $n$  integer points on the path  $\lambda$  as the elements of a poset  $P_\lambda$ , such that  $\lambda$  is the Hasse diagram of  $P_\lambda$ . Find  $\sum_{\lambda \in U_n} e(P_\lambda)$ .

- (b) [2+] Give  $P_\lambda$  the labeling  $\omega_\lambda$  by writing the numbers  $1, 2, \dots, n$  along the path. For example, when  $n = 8$  one possible pair  $(P_\lambda, \omega_\lambda)$  is given by



Find  $\sum_{\lambda \in U_n} \Omega_{P_\lambda, \omega_\lambda}(m)$  and  $\sum_{\lambda \in U_n} W_{P_\lambda, \omega_\lambda}(q)$ .

- (c) [5–] Let  $V_n$  consist of those  $\lambda \in U_n$  which never fall below the  $x$ -axis. It is well-known that  $V_n = \binom{n-1}{\lfloor (n-1)/2 \rfloor}$ . Show that  $\sum_{\lambda \in V_n} e(P_\lambda)$  is equal to the number of permutations  $w \in \mathfrak{S}_n$  of odd order. A formula for this number is given in EC2, Exercise 5.10(c) (the case  $k = 2$ ).
- (d) [5–] Is there a nice bijective proof or “conceptual proof” of (c)?
- (e) [5–] Are there nice expressions for  $\sum_{\lambda \in V_n} \Omega_{P_\lambda, \omega_\lambda}(m)$  and/or  $\sum_{\lambda \in V_n} W_{P_\lambda, \omega_\lambda}(q)$ ?
- (f) [3–] Now let  $W_n$  consist of all  $\lambda \in V_{2n+1}$  that end at the  $x$ -axis. It is well-known that  $\#W_n$  is the Catalan number  $C_{n-1} = \frac{1}{n} \binom{2(n-1)}{n-1}$ . Show that  $\sum_{\lambda \in W_n} e(P_\lambda)$  is equal to the Eulerian-Catalan number  $EC_n = A(2n+1, n)/(n+1)$  of EC1, Exercise 1.53.
9. [2+] Let  $P$  be a finite poset with  $\hat{0}$  and  $\hat{1}$ . For each  $t \in P$  define a polynomial  $f_t(x)$  with coefficients in  $\mathbb{Z}(y)$  (the ring of rational functions in  $y$  with integer coefficients) as follows:

$$\begin{aligned} f_{\hat{0}}(x) &= y \\ f_t(0) &= 0, \quad t > \hat{0} \\ f_t(x+y) &= \sum_{s \leq t} f_s(x). \end{aligned}$$

Express  $f_{\hat{1}}(x)$  in terms of the zeta polynomial  $Z_P(n)$ .

10. [2+] Let  $n \geq 1$  and  $d \geq 2$ . Let  $P_{nd}$  be the poset with elements  $x_{ij}$ ,  $1 \leq i \leq n$  and  $1 \leq j \leq d$ , and with cover relations  $x_{ij} \lessdot x_{i+1, k}$  for all

$1 \leq i \leq n - 1$ ,  $1 \leq j \leq d$  and  $1 \leq k \leq d$  except  $j = k$ . Thus  $P_{nd}$  is graded of rank  $n - 1$ , and there are exactly  $d(d - 1)$  cover relations between consecutive ranks. Find  $e(P_{nd})$ . For instance,  $e(P_{2,3}) = 48$  and  $e(P_{3,3}) = 384$ .

11. [3–] Let  $U_k$  denote an ordinal sum of  $k$  2-element antichains, so  $\#U_k = 2k$ . Show that when  $k = 2j$ , the real parts of the zeros of the order polynomial  $\Omega_{U_k}(m)$  are  $0, -1, -2, \dots, -(k - 1)$  (each occurring once), and  $-(j - \frac{1}{2})$  (occurring  $k$  times). Similarly when  $k = 2j + 1$  the zeros of  $\Omega_{U_k}(m)$  have real parts  $0, -1, \dots, -(k - 1)$ , each occurring once, except that  $-j$  occurs  $k + 1$  times.
12. (a) [3–] Let  $f(n)$  be the number of nonisomorphic  $n$ -element posets for which  $\beta_{J(P)}(S) \leq 1$  for all  $S \subseteq [n - 1]$ . Find a simple formula for the generating function  $\sum_{n \geq 0} f(n)x^n$ .  
 (b) [3–] Among the  $f(n)$  posets  $P$  of (a), find the maximum value of  $e(P)$ . How many posets (up to isomorphism) achieve this maximum?
13. (a) [2+] Define two labelings  $\omega, \omega': P \rightarrow [p]$  of the  $p$ -element poset  $P$  to be *equivalent* if  $\mathcal{A}_{P,\omega} = \mathcal{A}_{P,\omega'}$ . For instance, one equivalence class consists of the natural labelings. Let  $[\omega]$  denote the equivalence class containing  $\omega$ . Define a partial ordering  $L_P$  on the equivalence classes by  $[\omega] \leq [\omega']$  if  $\mathcal{A}_{P,\omega} \subseteq \mathcal{A}_{P,\omega'}$ . Show that  $L_P$  is a self-dual graded poset with  $\hat{0}$  and  $\hat{1}$ . What is the rank (length of the longest chain) of  $L_P$ ? (For the number of elements of  $L_P$ , see Exercise 3.160.)  
 (b) [3] Find the Möbius function of  $L_P$ . In particular, for any  $s \leq t$  we have  $\mu(s, t) = 0, \pm 1$ .  
 (c) [5–] What else can be said about the poset  $L_P$ ?
14. [2+] Let  $(P, \omega)$  be a labelled  $p$ -element poset. Show that there is some  $S \subseteq [p - 1]$  for which exactly one permutation  $w \in \mathcal{L}(P, \omega)$  has descent set  $S$ .
15. (a) [2] Let  $P$  be an Eulerian poset with more than one element. Show that  $\#P$  is even.

- (b) [2+] Let  $P$  be an Eulerian poset of rank  $n$ , and let  $0 \leq i \leq n - 1$ . Define

$$h_i = \sum_{\substack{S \subseteq [n-1] \\ \#S=i}} \beta_P(S).$$

Show that  $h_i \equiv \binom{n-1}{i} \pmod{2}$ .

16. (a) [2+] Give an example of a graded poset  $P$ , say with  $\hat{0}$  and  $\hat{1}$ , such that the flag  $h$ -vector  $\beta_P$  is nonnegative, but some interval of  $P$  fails to have this property.
- (b) [5–] Is there a finite graded poset  $P$  with  $\hat{0}, \hat{1}$  such that the flag  $h$ -vector is nonnegative for every interval of  $P$  but  $P$  is not  $R$ -labellable? It seems likely that such a  $P$  exists, but it is difficult to show that some poset is not  $R$ -labellable.
17. (a) [2] Show that for any  $M > 0$  there exists a finite graded poset  $P$ , say of rank  $n$ , such that  $\beta_P(S) < 0$  for at least  $M$  subsets  $S \subseteq [0, n]$ .

- (b) [5–] What can one say about the sign distribution of flag  $h$ -vectors of graded posets of rank  $n$ ? In other words, define  $\rho_P: P \rightarrow \{+, -, 0\}$  by

$$\rho_P(S) = \begin{cases} +, & \text{if } \beta_P(S) > 0 \\ -, & \text{if } \beta_P(S) < 0 \\ 0, & \text{if } \beta_P(S) = 0. \end{cases}$$

What can be said about the possible functions  $\rho_P$ ? E.g., what is the maximum number of  $S$  for which  $\beta_P(S) < 0$  (as a function of  $n$ )?

18. [2+] Let  $P$  be a finite graded poset of rank  $n$  with  $\hat{0}, \hat{1}$ . Fix  $j \in \mathbb{P}$ . Suppose that for every interval  $[s, t]$ , the number of elements of rank  $j$  (where rank is computed with respect to the interval  $[s, t]$ ) is equal to the number of elements of corank  $j$ . Show that

$$\sum_{\substack{t \in P \\ \text{rank}(t)=j}} Z_{[t, \hat{1}]}(m) = \sum_{\substack{t \in P \\ \text{rank}(t)=n-j}} Z_{[\hat{0}, t]}(m).$$

Here  $Z_{[u, v]}(m)$  denotes the zeta polynomial of the interval  $[u, v]$ . Try to find an elegant proof.

19. [2+] True or false? A supersolvable Eulerian lattice is a boolean algebra.
20. [2+] Show that every interval of a supersolvable lattice is supersolvable.
21. [2] Let  $n \geq 1$ . How many nonisomorphic Eulerian posets are there of rank three with  $n$  atoms? How many are lattices? State your answers in terms of the number of partitions  $p(m)$  of  $m$  for certain nonnegative integers  $m$ . *Optional:* how many are Cohen-Macaulay? If you have some familiarity with Cohen-Macaulay posets then this is very easy.
22. (a) [2+] Find the smallest positive integer  $n$  for which there exists an Eulerian poset  $P$  of rank  $n$  whose rank-generating function  $F(P, x)$  is not unimodal. NOTE. A real polynomial  $\sum_{i=0}^n a_i x^i$  is *unimodal* if for some  $j$  we have

$$a_0 \leq a_1 \leq \cdots \leq a_j \geq a_{j+1} \geq a_{j+2} \geq \cdots \geq a_n.$$

- (b) [3] Does there exist an Eulerian lattice whose rank-generating function is not unimodal?
23. [2+++] Give an example of an Eulerian  $P$  poset with the following properties: (i) for some  $d \geq 2$ ,  $P$  has  $d$  atoms and rank  $d + 1$ , (ii)  $P - \{\hat{1}\}$  is simplicial, and (iii) the rank-generating function of  $P$  is *not* equal to  $(1 + x)^d + x^d + x^{d+1}$ .
24. [2] Let  $P_0 \cup P_1 \cup \cdots \cup P_8$  be an Eulerian poset of rank eight with the following properties: (i)  $P - \{\hat{1}\}$  is simplicial, and (ii)  $P_0 \cup P_1 \cup P_2 \cup P_3$  agrees with the the boolean algebra  $B_{11}$  truncated above rank 3. Find the rank-generating function of  $P$ . *Bonus* (not needed to receive full credit). Does  $P$  actually exist? Could it be a lattice?
25. [2+] Let  $P$  be a locally finite graded poset with  $\hat{0}$  and containing an infinite chain, satisfying the two conditions:
- All intervals  $[\hat{0}, t]$  of rank  $n$  have the same number  $D(n)$  of maximal chains.
  - All intervals  $[s, t]$  of rank  $n$  with  $s > \hat{0}$  have the same number  $B(n)$  of maximal chains.

An example is an obvious limit as  $m \rightarrow \infty$  of the face lattice of an  $m$ -cube. Here  $D(n) = 2^{n-1}(n-1)!$  ( $n > 0$ ) and  $B(n) = n!$ . Find a generalization of Theorem 3.18.4 for these posets. It should involve generating functions

$$F(x) = \sum_{n \geq 0} f(n) \frac{x^n}{B(n)}$$

$$G(x) = \sum_{n \geq 1} g(n) \frac{x^n}{D(n)}.$$

26. (a) [2] Let  $1 = A(1) \leq A(2) \leq A(3)$ . Show that there exists a binomial poset  $P$  (except for the axiom of containing an infinite chain) of rank three with these “atom numbers,” i.e.,  $B(m) = A(1)A(2) \cdots A(m)$  for  $1 \leq m \leq 3$ .
- (b) [5–] Let  $n \geq 1$  and  $1 = A(1) \leq A(2) \leq \cdots \leq A(n)$ . Define  $B(m) = A(1)A(2) \cdots A(m)$  for  $1 \leq m \leq n$ . Set  $B(0) = 1$ . Suppose that  $\frac{B(m)}{B(k)B(m-k)} \in \mathbb{Z}$  for all  $0 \leq k \leq m \leq n$ . Does there exist a binomial poset  $P$  (except for the axiom of containing an infinite chain) of rank  $n$  with factorial function  $B$ ? (This seems unlikely to me. As mentioned in class and in the text, an open case is  $A(m) = F_{m+1}$ , a Fibonacci number.)
- (c) [5] For which positive integers  $q$  does there exist a binomial *lattice*  $P$  (except for the axiom of containing an infinite chain) of rank three with  $A(1) = 1$ ,  $A(2) = q + 1$ ,  $A(3) = q^2 + q + 1$ ?
- (d) (*Bonus*: does not count for pset credit.) For which positive integers  $q$  does there exist a binomial *lattice*  $P$  (except for the axiom of containing an infinite chain) of rank four with  $A(1) = 1$ ,  $A(2) = q + 1$ ,  $A(3) = q^2 + q + 1$ ,  $A(4) = q^3 + q^2 + q + 1$ ? (Rating [3] or even [3+] from scratch, but if you know a certain fact it is much easier.)
27. [2+] Give an example of a differential poset whose automorphism group is trivial.