About the proof Transfer principles

### Transfer of transfert

#### Thomas Hales and Julia Gordon

December 2015

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About the proof Transfer principles

# The conjectures (Langlands-Shelstad)

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(All this talk: for Standard endoscopy). *G*, *H* – endoscopic groups over a non-archimedean field *F*. **The 'smooth transfer' conjecture**: for any  $f \in C_c^{\infty}(G)$ , there exists  $f^H \in C_c^{\infty}(H)$  such that for all  $\gamma_H \in H(F)^{G-rss}$ and  $\gamma_G \in G(F)$  in a matching conjugacy class in *G*,

$$\mathsf{O}^{\mathsf{st}}_{\gamma_{\mathsf{H}}}(f^{\mathsf{H}}) = \sum_{\gamma' \sim \gamma_{\mathsf{G}}} \kappa(\gamma', \gamma_{\mathsf{H}}) \mathsf{O}_{\gamma'}(f),$$

(This is for  $\gamma_H$  near 1; otherwise need a central extension  $\tilde{H}$  of H and a character on the centre of  $\tilde{H}$ ).

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### the Fundamental Lemma

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The 'smooth transfer' conjecture What's known What's left

About the proof Transfer principle

Assume here for simplicity G, H unramified.  $K_G$ ,  $K_H$  – hyperspecial maximal compacts. Then:

- The 'unit element': for f = 1<sub>K<sub>G</sub></sub> the characteristic function of K<sub>G</sub>, f<sup>H</sup> = 1<sub>K<sub>H</sub></sub>.
- The version of this for Lie algebras.
- Explicit matching for the basis of  $\mathcal{H}(G//K_G)$  with elements of  $\mathcal{H}(G//K_H)$  using Satake.

The 'smooth transfer' conjecture

What's known What's left

#### About the proof Transfer principles

# The reductions in characteristic zero

- The FL for the group reduces to FL for the Lie algebra (Langlands-Shelstad)
- The FL for the full Hecke algebra reduces to the unit element (Hales, 1995), and
- If FL holds for p >> 0, then it holds for all p (global argument).
- Smooth transfer reduces to the FL (Waldspurger). (uses Trace Formula on the Lie algebra).

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## The logical implications

The 'smooth transfer' conjecture

What's known

About the proof Transfer principles

- FL for Lie algebras, char F > 0 (Ngô) ⇒ FL for char F = 0, p >> 0 (Waldspurger p > n), Cluckers-Hales-Loeser p >> 0,
- Thanks to the above reductions, get FL in characteristic zero for all *p*, and all the other conjectures.

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About the proof Transfer principles

- FL for the full Hecke algebra for char F > 0 (proved extending Ngô's techniques by A. Bouthier, 2014).
  Transfer from characteristic zero using model theory (for p >> 0), Jorge Cely's thesis (exp. 2016)
- Smooth transfer conjecture in positive characteristic.
  We prove it for p >> 0 (the bound is determined by root data of G, H, roughly speaking) by transfer based on model theory. (2015, this talk).
- Still open: smooth transfer for arbitrary char F > 0.

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## Language of rings

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The 'smooth transfer' conjecture What's known What's left

About the proof Transfer principles The language of rings has:

- 0, 1 symbols for constants;
- +,  $\times$  symbols for binary operations;
- countably many symbols for variables.

The formulas are built from these symbols, the standard logical operations, and quantifiers. Any ring is a structure for this language.

#### Example

A formula: ' $\exists y, f(y, x_1, \dots, x_n) = 0$ ', where  $f \in \mathbb{Z}[x_0, \dots, x_n]$ .

About the proof Transfer principles

## Ax-Kochen transfer principle

A first-order statement in the language of rings is true for all  $\mathbb{Q}_p$  with p >> 0 off it is true in  $\mathbb{F}_p((t))$  for p >> 0. (Depends only on the residue field).

#### Example

For each positive integer *d* there is a finite set  $P_d$  of prime numbers, such that if  $p \notin P_d$ , every homogeneous polynomial of degree *d* over  $\mathbb{Q}_p$  in at least  $d^2 + 1$  variables has a nontrivial zero.

First-order means, all quantifiers run over definable sets in the structure (e.g. cannot quantify over statements). (In the Example, cannot quantify over d, it is a separate theorem for each d).

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#### About the proof

Transfer principles

# Denef-Pas Language (for the valued field)

Formulas are allowed to have variables of three sorts:

- valued field sort,  $(+, \times, '0', 1', ac(\cdot), ord(\cdot))$
- value sort ( $\mathbb{Z}$ ), (+, '0', '1',  $\equiv_n$ ,  $n \geq 1$ )

• residue field sort, (language of rings: +, ×, '0', '1') Formulas are built from arithmetic operations, quantifiers, and symbols ord(·) and ac(·). **Example:**  $\phi(y) = \exists x, y = x^{2}$ , or, equivalently,

$$\phi(y) = \operatorname{ord}(y) \equiv 0 \mod 2 \land \exists x : \operatorname{ac}(y) = x^{2^{*}}.$$

About the proof Transfer principles

# Cluckers-Loeser transfer principle

Cluckers and Loeser defined a class of *motivic functions* which is stable under integration. Motivic functions are made from definable functions (but are not themselves definable). A motivic function *f* on a definable set *X* gives a  $\mathbb{C}$ -valued function  $f_F$  on X(F) for all fields *F* of sufficiently large residue characteristic.

#### Theorem

(Cluckers-Loeser, 2005). Let f be a motivic function on a definable set X. Then there exists  $M_f$  such that when  $p > M_f$ , whether  $f_F$  is identically zero on X(F) or not depends only on the residue field of F.

Note: we lost the existential quantifiers...

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### The challenges

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# For the FL: express both sides as motivic functions, FL says that their difference vanishes identically. For smooth transfer, two problems:

- Do not know anything about f<sup>H</sup>
- Groups, etc. depend on a lot of parameters, and we can only transfer statements with universal quantifiers.

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#### Reduction of Smooth transfer to FL is done in two steps:

- (Langlands-Shelstad): it suffices to prove that κ-Shalika germs (transferred from G) lie in the space spanned by the stable Shalika germs on H. Their proof works in positive characteristic.
- (Waldspurger) Proves the statement about Shalika germs, using TF on the Lie algebra. This is the statement we transfer.
- To transfer this statement we need to transfer a statement about linear dependence. Run into difficulties because cannot transfer statements about linear independence. A vey difficult argument circumvents this.
- If we could prove that *stable* distributions are motivic, it would had been a lot simpler.

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