

- I will finish the discussion of the trace ideal.

An operator is of trace class, $A \in (T)(H)$ if it is a finite sum of products of Hilbert-Schmidt operators, $A = \sum_{i=1}^N B_i D_i$, $B_i, D_i \in \text{HS}(H)$.

If $A \in (T)(H)$ then the trace norm

$$(1) \quad \|A\|_{\text{Tr}} = \sup \sum_i |\langle A e_i, f_i \rangle| < \infty.$$

If $A \in \mathcal{B}(H)$ and $\|A\|_{\text{Tr}} < \infty$ then $P = (AA^*)^{\frac{1}{4}} \in \text{HS}(H)$ and hence A is the product of two Hilbert-Schmidt operators.

The trace functional is defined by

$$(2) \quad \text{Tr}(A) = \sum_i \langle A e_i, e_i \rangle_H, \quad A \in \text{Tr}(H), \quad \text{Tr} : (T)(H) \longrightarrow \mathbb{C}$$

is linear, continuous and independent of the orthonormal basis used to define it.

If $A \in (T)(H)$ and $B \in \mathcal{B}(H)$ then

$$(3) \quad \text{Tr}([A, B]) = 0.$$

Conversely, if $A \in (T)(H)$ and $\text{Tr}(A) = 0$ then A is a sum of such commutators.

If $A = A^* \in (T)(H)$ then

$$(4) \quad \text{Tr}(A) = \sum_i \lambda_i$$

is the sum of the eigenvalues repeated with multiplicity. This is true even if A is not self-adjoint (Lidskii's theorem) but harder to prove.

- Fredholm operators.

If $L \in \mathcal{B}(H)$ has closed range then it has a unique 'generalized inverse' $Q \in \mathcal{B}(H)$ satisfying

$$(5) \quad QL = \text{Id} - P_{\text{null}(L)}, \quad LQ = \text{Id} - P_{\text{null}(L^*)}.$$

- By definition L is *Fredholm* if it has closed range and both the null space and orthocomplement to the range are finite dimensional.

The index is the difference of the dimensions, defined for L Fredholm:-

$$(6) \quad \text{ind}(L) = \dim \text{null}(L) - \dim \text{null}(L^*).$$

If $L \in \mathcal{B}(H)$ then L is Fredholm if and only if it has an inverse Q' modulo compact operators

$$(7) \quad Q'L - \text{Id}, LQ' - \text{Id} \in \mathcal{K}(H).$$

Hence iff it has an inverse modulo errors in $(T)(H)$.

The Fredholm operators are open in $\mathcal{B}(H)$ and stable under the addition of compact operators.

If L is Fredholm and Q is an inverse modulo errors in $(T)(H)$ then (Calderón's formula)

$$(8) \quad \text{ind}(L) = \text{Tr}([L, Q]).$$

The index is constant on components of $\mathcal{F}(H)$ and labels them.

- The harmonic oscillator on \mathbb{R}^n ,

$$(9) \quad H = \Delta + |x|^2 = \sum_{i=1}^n (-\partial_1^2 - \cdots - \partial_n^2) + |x|^2$$

has eigenfunctions (the Hermite functions) $h_\alpha \in \mathcal{S}(\mathbb{R}^n)$, $\alpha \in \mathbb{N}_0^n$, forming an orthonormal basis of $L^2(\mathbb{R}^n)$.

- If $u \in \mathcal{S}'(\mathbb{R}^n)$ the Fourier-Bessel series

$$(10) \quad u = \sum_{\alpha} u(h_\alpha) h_\alpha$$

converges in $\mathcal{S}'(\mathbb{R}^n)$ and

$$(11) \quad u \in \mathcal{S}(\mathbb{R}^n) \iff (10) \text{ converges in } \mathcal{S}(\mathbb{R}^n).$$

DEPARTMENT OF MATHEMATICS, MASSACHUSETTS INSTITUTE OF TECHNOLOGY
E-mail address: `rbm@math.mit.edu`