

Title: Zoom Lecture 3 Notes

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Date: May 2, 2020

- Outline:
- 1) 3-Manifolds
  - 2) Knot Diagrams
  - 3) Connect sums of Knots
  - 5) Seifert Genus
  - 6) Prime Knots

## Section: 3-Manifolds

③ Remark: Helpful to think of analogues of above for  $S^2$  and  $B^2$  and  $\mathbb{R}^2$ . ④

Definition: (3-manifold) A 3-manifold is a space that locally looks like a 3-dim'l ball.

Example: (3-sphere 3 ways)

1)

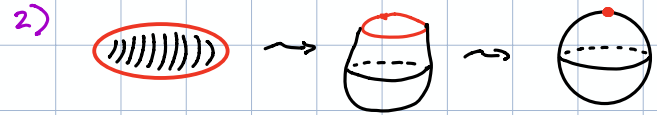
Points that are distance 1 from  $(0,0,0,0)$

$$S^3 = \{(x, y, z, w) \in \mathbb{R}^4 \mid x^2 + y^2 + z^2 + w^2 = 1\}$$

2)  $S^3 = B^3$  w/ boundary points all identified.

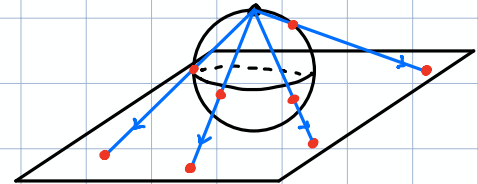
3)  $S^3 = 1$  point compactification of  $\mathbb{R}^3$

1)  $S^2 = \{(x, y, z) \in \mathbb{R}^3 \mid x^2 + y^2 + z^2 = 1\}$

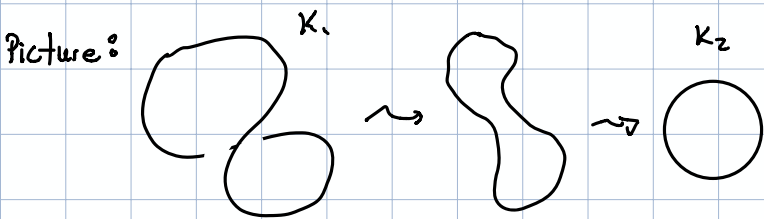


3)  $S^2 = 1$  point compactification of  $\mathbb{R}^2$

↳ After removing the north pole, we can lay the rest of the sphere onto the plane via a stereographic projection



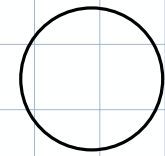
Definition: (Knot) A knot is an embedded circle in  $S^3$ , ie,  $K: S^1 \hookrightarrow S^3$ .  
 Two knots  $K_1$  and  $K_2$  are equivalent if we can push/wiggle/deform  $K_1$  to  $K_2$  w/out having the circles cross themselves



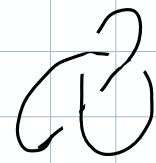
Claim: Every knot is equivalent to a copy of  $S^1$  in  $\mathbb{R}^3$ , ie,  
 $K: S^1 \hookrightarrow \mathbb{R}^3$

Proof:  $S^3 = \mathbb{R}^3 \cup \{\infty\}$   
 Wiggle  $K$  to miss  $\{\infty\}$   
 $\Rightarrow K \subseteq \mathbb{R}^3 \subseteq \mathbb{R}^3 \cup \{\infty\} = S^3 \quad \square$

Example: 1) Unknot



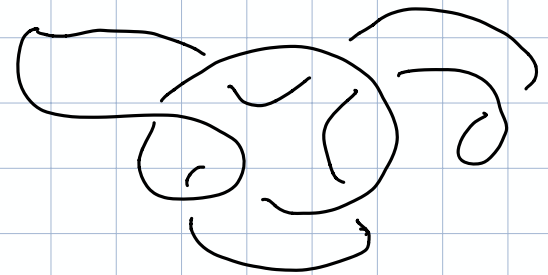
2) Trefoil



3) Figure 8



4) Unknot (complicated)



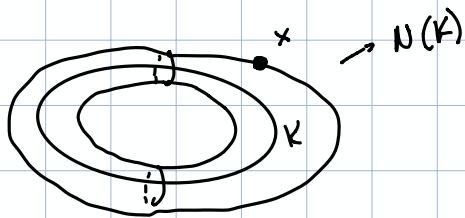
Remark: (Surgery along a Knot)

Given a knot  $K \hookrightarrow S^3$ , we can use it to alter  $S^3$  to a different 3-manifold.

We outline this in steps

Step 1: Given a knot  $K: S^1 \hookrightarrow S^3$ , we may "thicken" it to obtain an embedded donut (solid torus) in  $S^3$ .  
Denote it by  $i: N(K) \hookrightarrow S^3$

Picture:



⑦ Step 2: Remove  $N(K)$  from  $S^3$ .

⑧

Step 3: Glue in another donut in a "different" manner.

Namely, pick a homeomorphism of the torus, say  $\gamma: T^2 \rightarrow T^2$ .

Let  $X = \text{donut w/ boundary } T^2$   
Glue  $x \in T^2 \cong \partial N(K) \subseteq S^3 \setminus N(K)$   
to  $\gamma(x) \in T^2 \cong \partial X \subseteq X$ .  
 $\Rightarrow$  Glue  $S^3 \setminus N(K)$  and  $X$  to obtain a new 3-manifold!

Step 4: Denote this new space by  $M(K, \gamma)$ .

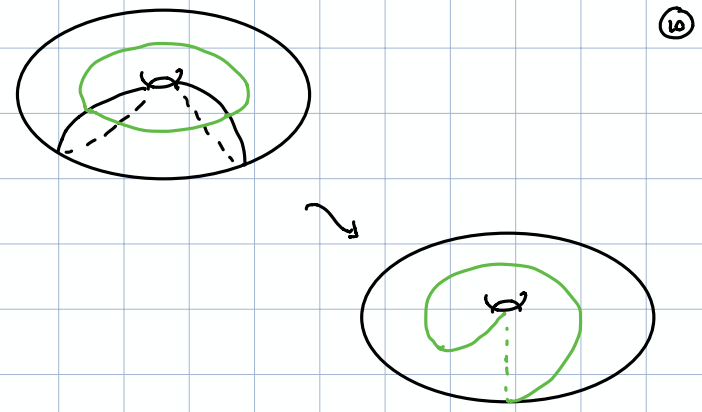
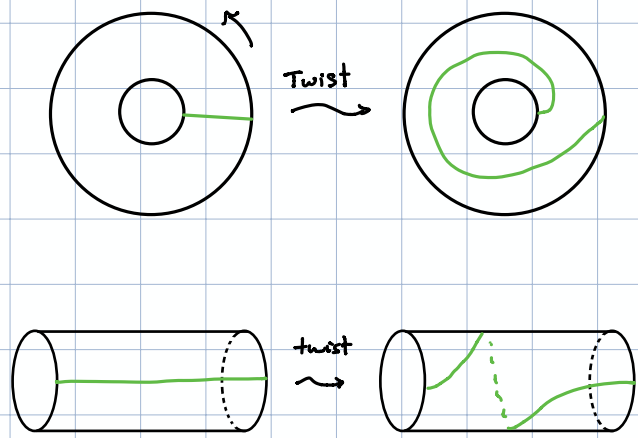
Remark: Why different?

- $\hookrightarrow$  Think about how different gluings of cylinder can produce  $T^2$  vs Klein bottle.
- $\hookrightarrow$  This is 3-dim'd analogue.



Fact: If  $K_1 \sim K_2$ , then  $M(K_1, \psi)$  is homeomorphic to  $M(K_2, \psi)$ . ⑨

Example: (Dehn Twist)



Theorem: "Every" homeo of  $T^2$  can be produced from composing a combination of Dehn twists about either of the curves below:



Corollary:  $\exists$  a listable number of homeomorphisms of the torus. □

Definition: (Link) A Link is an embedding of  $\textcircled{11}$

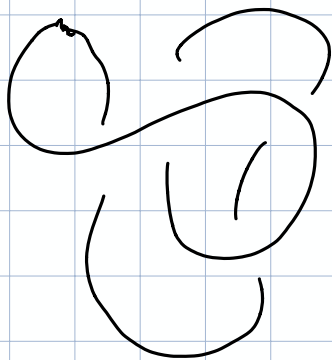
disjoint circles into  $S^3$ , ie,

$$L: S^1 \cup \dots \cup S^1 \hookrightarrow S^3$$

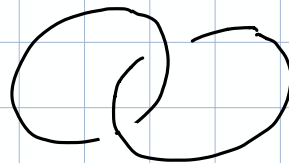
Two links  $L_1$  and  $L_2$  are equivalent if we can push/wiggle/deform  $L_1$  to  $L_2$  w/out having the circles cross themselves

Examples: (Links)

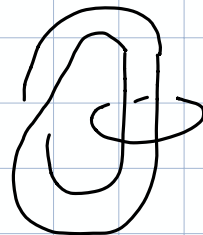
1) Any Knot



2) Hopf link



3) Whitehead Link



4) Olympics Logo

$\textcircled{12}$

Remark: (Surgery along a link)

Same procedure as for knot, but now do it simultaneously for each copy of  $S^1$  in the link.

So if

$$L = \underbrace{S^1 \cup \dots \cup S^1}_{k\text{-times}}$$

then we pick  $k$  homeos  $\gamma_k: T^2 \rightarrow T^2$   
and now obtain  $M(L, \gamma_1, \dots, \gamma_k)$ .

Theorem: (Dehn) Every 3-manifold can be obtained by performing surgery along a link in  $S^3$ .

Proof: The idea is to reverse engineer the above process.  $\square$

Theorem: There is a countably infinite number of  $\textcircled{13}$

3-manifolds. That is, we can describe/list/classify all 3-manifolds.  $\textcircled{14}$

Proof: Dehn's Thm  $\Rightarrow$  every 3-manifold is some  $M(L, \gamma_1, \dots, \gamma_k)$

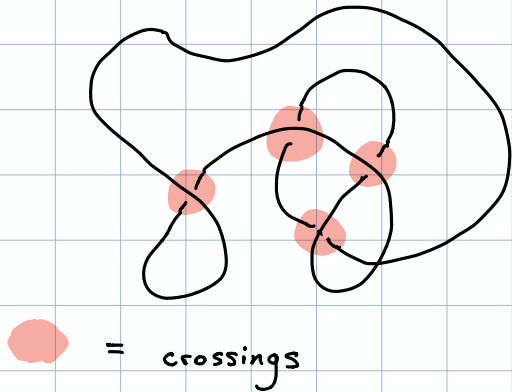
We show below that there is a countable # of links. The possible  $\gamma_i$  are countably as we saw above.

$\Rightarrow$  List all  $M(L, \gamma_1, \dots, \gamma_k)$   $\square$

## Section: Knot Diagrams

Definition: A Knot diagram for a knot  $K \hookrightarrow \mathbb{R}^3$  is a projection/laying of  $K$  onto  $\mathbb{R}^2$ , given in terms arcs in the plane that meet at under/over crossings

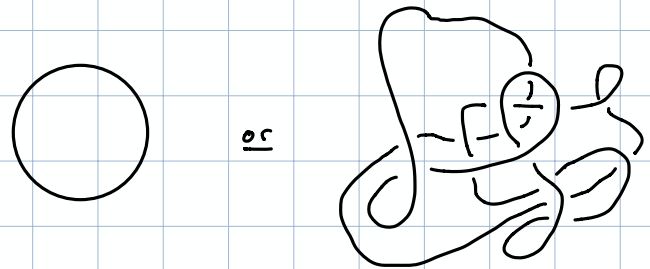
Example:



15 Remark: Same knot can have multiple knot diagrams

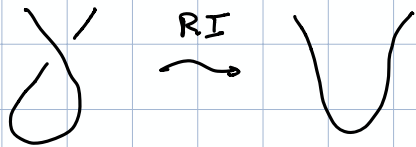
16  $\Rightarrow$  Need notion of equivalence for knot diagrams.

Example:

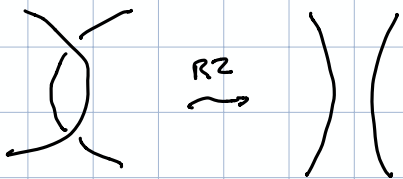


Definition: A Reidemeister Move is an alteration of a Knot dgm of the following form:

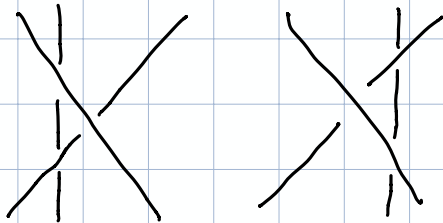
Type I:



Type II:



Type III:



(17) Definition: Two Knot diagrams are equivalent iff (18) they are related via a seq. of Reidemeister moves.

Theorem: Two Knots are equivalent iff they have equivalent Knot diagrams.

Proof: Project the wiggling upstairs onto the table and observe that as we wiggle we are just performing Reidemeister moves. □

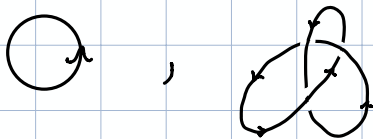
Corollary: There is a listable number of links

Proof: Links  $\leftrightarrow$  Link dgm  $\leftrightarrow$   
 $\leftrightarrow$  Lists of crossing info. □

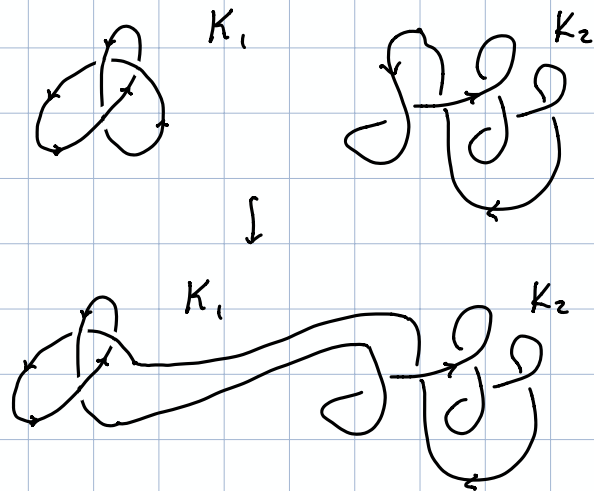
## Section: Connect Sums of Knots

(19)

Definition: An oriented knot is a knot w/ a choice of direction:



Definition: The connect sum of two oriented knots  $K_1$  and  $K_2$  is the knot  $K_1 \# K_2$  obtained as follows:



Remark:  $K_1 \# K_2$ ; think as you further knot  $K_1$  according to  $K_2$ .

(20)

Theorem: If  $K$  is not the unknot, then  $K \# K_1$  is not the unknot for all knots  $K_1$ .

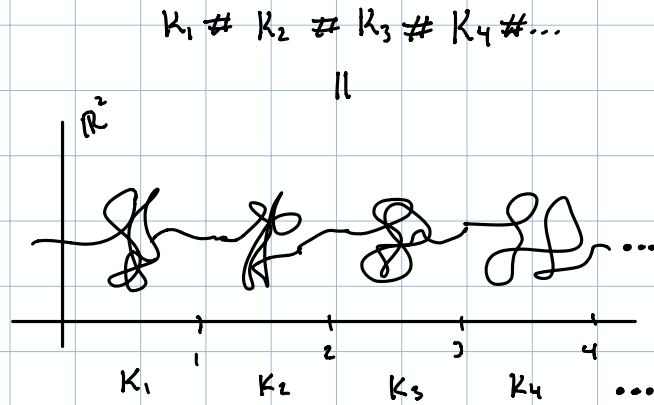
Idea:  $0 = (1 + -1) + (1 + -1) + (1 + -1) + \dots$   
 $= 1 + (-1 + 1) + (-1 + 1) + (-1 + 1) \dots$   
 $= 1$

↳ One learns in Calculus that such an infinite sum is not well-defined and thus  $0 \neq 1$ .

↳ In knot theory/topology, we can make sense of these "infinite sums"

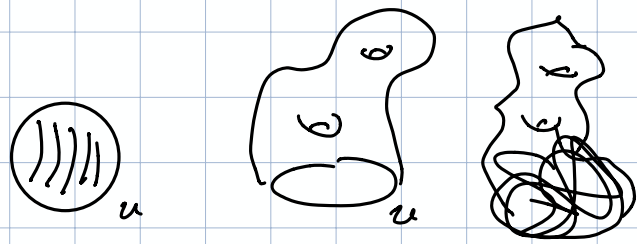
Proof: Spse  $\exists K_i$  st  $K \# K_i = U$  (21)  
 Consider  $K \# K_i \# K \# K_i \# K \# \dots$   
 $(K \# K_i) \# (K \# K_i) \# (K \# \dots) = U$   
 $K \# (K_i \# K) \# (K_i \# K) \# \dots = K$   
 $\Rightarrow K = U$ , that is,  $K$  was already the unknot, a contradiction.  $\square$

Remark: Technically, infinite  $\#$  is not a Knot; its a wild knot, which we make sense of in the following way:



Section: Seifert Genus (22)  
 Question: Does there exist a surface in  $S^3$  w/ a single boundary component whose boundary is a Knot  $K$ ?  
 Such a surface is called a Seifert surface for  $K$ .

Picture:

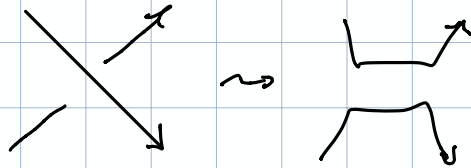


Exercise: Trefoil can be bounded by a torus w/ boundary.

Proof: Use the below algorithm to try to create it  $\square$

Proposition: Every Knot admits a Seifert surface. (23)

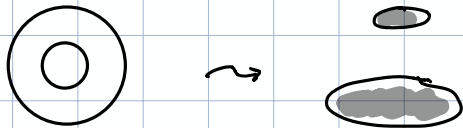
- Proof:
- 1) Orient the Knot
  - 2) Resolve crossings



- 3) Produces collection of circles in plane.

So they all bound disks.

- 4) If



then lift disks off of each other  
w/ smallest to biggest going to  
highest to lowest.

- 5) Add in all the disks. (24)

- 6) Add twist strips

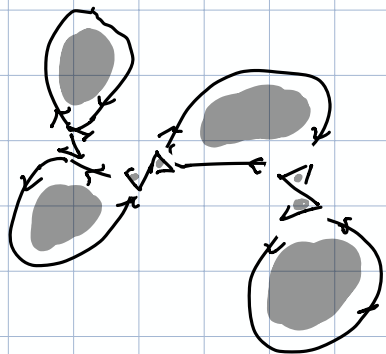


- 7) Observe that you have produced the desired surface.

Remark: W/ care, one can ensure that the resulting surface is a connect sum of tori.



Example:



(25)

Definition: The Seifert genus of  $K$  is the minimum (26)  
genus among all Seifert surfaces  
for  $K$ .

Denote it by  $g(K)$ .

Proposition:  $K = \text{unknot}$  iff  $g(K) = 0$

Proof:  $(\Rightarrow)$  Easy

$(\Leftarrow)$  Very Hard

□

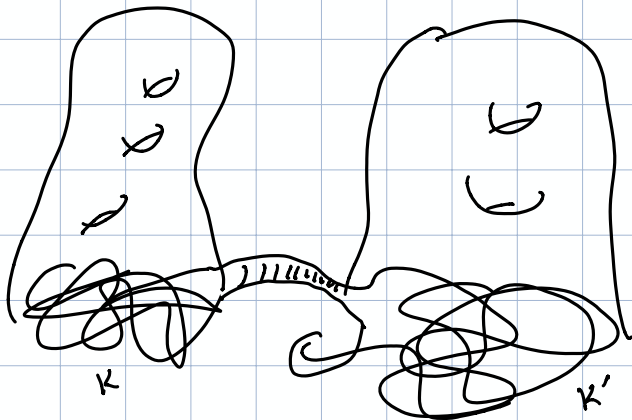
Proposition:  $g(K \# K') = g(K) + g(K')$  (27)

Proof: First, we show

$$g(K \# K') \leq g(K) + g(K')$$

We surger together the Seifert surfaces for  $K$  and  $K'$  to obtain one for  $K \# K'$

Picture:



Second, we show

(28)

$$g(K \# K') \geq g(K) + g(K')$$

Let  $X =$  Seifert surf for  $K \# K'$   
w/ minimal genus.

Split  $\mathbb{R}^3$  by a plane that divides  
 $K$  from  $K'$ , call it  $P$

After wiggling  $X$ , we may assume  
 $X \cap P$  is collection of circles or  
arcs.

After taking care, one can push  $X$  off  
of  $P$  st  $X \cap P$  is single arc.

This now splits  $X$  into seifert surfaces  
for  $K$  and  $K'$ .

$\Rightarrow$  Gives result.

## Section: Prime Knots

(29)

Definition: (Prime Knot) A knot  $K$  is prime if  $K = K_1 \# K_2 \Rightarrow K_1$  or  $K_2$  is the unknot.

Lemma: If  $g(K) = 1$ , then  $K$  is prime.

Proof: If  $K = K_1 \# K_2$   
 $\Rightarrow 1 = g(K) = g(K_1 \# K_2)$   
 $\quad = g(K_1) + g(K_2)$   
 $\Rightarrow g(K_1) = 0$   
 $\Rightarrow K_1 = \text{unknot}$   $\square$

Proposition: Every knot can be written as a connect sum of prime knots.

(30)

Proof: See Lemma 4.2.21 in typeset notes  $\square$   
 $\hookrightarrow$  Or try using the above result to prove it yourself

Theorem: Every knot can be written as a "unique" connect sum of prime knots.

Proof: It remains to prove uniqueness.  
This is complicated!  
See Section 4.2.5 in typeset notes.

Exercise: Show that there exists infinitely many knots. (You may assume that there exists a non-trivial knot)

(S1)

Solution: Let  $K =$  non-trivial knot.

$$\text{Define } K_1 = K$$

$$K_2 = K \# K = K \# K_1$$

$$K_3 = K \# K \# K = K \# K_2$$

$\vdots$

$$K_n = K \# K_{n-1}$$

We claim that  $g(K_n) \neq g(K_m)$  for all  $m \neq n$ . Consequently,  $K_n \neq K_m$ .

$$\begin{aligned} g(K_n) &= g(K \# K_{n-1}) \\ &= g(K) + g(K_{n-1}) \end{aligned}$$

$\vdots$

$$= \sum_{i=1}^n g(K)$$

$$= n \cdot g(K)$$

$\Rightarrow g(K_n) = g(K_m)$  iff  $n = m$ .  $\square$

Exercise: Use Seifert genus to show that  $K$  not the unknot implies  $K \# K'$  is not the unknot. (I2)

$$\begin{aligned} \text{Proof: } g(K \# K') &= g(K) + g(K') \\ &\geq g(K) \quad \uparrow \text{ } K \text{ not unknot} \\ &> 0 \end{aligned}$$

$\Rightarrow K \# K'$  not the unknot.  $\square$