

# Lecture # 7

- Outline:
- 1) Review from last time
  - 2) Brouwer's Fixed point theorem
  - 3) Borsuk - Ulam Theorem

## Section 1 : Review

Definition :

A closed curve in  $S^1 = \text{circle}$  is a <sup>②</sup> continuous  
<sup>①</sup> map  $\gamma : S^1 \rightarrow S^1$ .

① We send every pt in  $S^1$  to a point in  $S^1$ .

② "Continuous" = we send points infinitesimally close together in  $S^1$  to points infinitesimally close together in  $S^1$ .

↪ We map  $S^1$  into  $S^1$  w/ out ripping or cutting it

Remark: Equivalently, a map  $\gamma: S^1 \rightarrow S^1$  may be viewed as a continuous map

$$\gamma: [0, 2\pi] \rightarrow S^1$$

w/

$$\gamma(0) = \gamma(2\pi)$$

↳ i.e., a map of a circle is just a map of an interval that connects up at its end points.

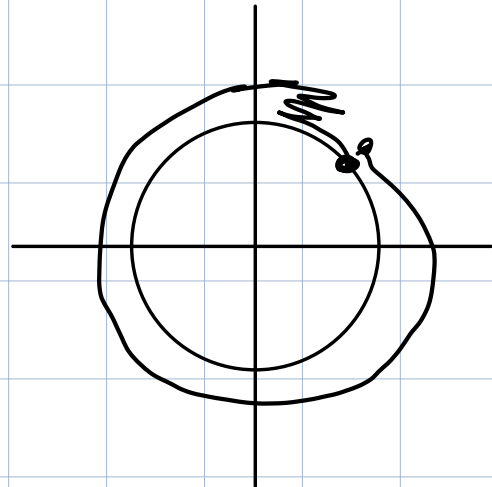
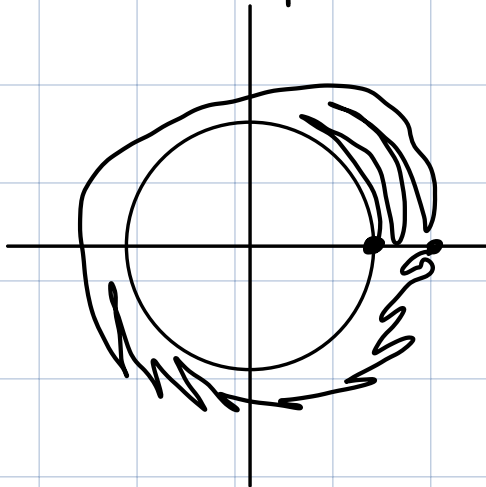
↳ Intuitively,  $\gamma: S^1 \rightarrow S^1$  gives a way of wrapping/laying a string onto a circle such that you can tie together its ends.

Example: 1)  $\gamma_n : [0, 2\pi] \rightarrow S^1$  given by

$$\gamma(t) = (\cos(nt), \sin(nt))$$

$\hookrightarrow \gamma_n$  wraps  $n$ -times around the circle.

2) Crazier examples



Lemma: (Curve Lifting) Given a closed curve  $\gamma: S^1 \rightarrow S^1$ ,

there exists a function  $f: [0, 2\pi] \rightarrow \mathbb{R}$  st

1)  $f(0) = f(2\pi) + 2\pi \cdot n$  for some integer  $n$

2)  $\gamma(t) = (\cos(f(t)), \sin(f(t)))$

$\hookrightarrow f$  is called a lift of  $\gamma$  to  $\mathbb{R}$ .

Idea:  $f(t) =$  Accumulated angle of rotation of  $\gamma(t)$

measured w/ respect to  $(1,0)$

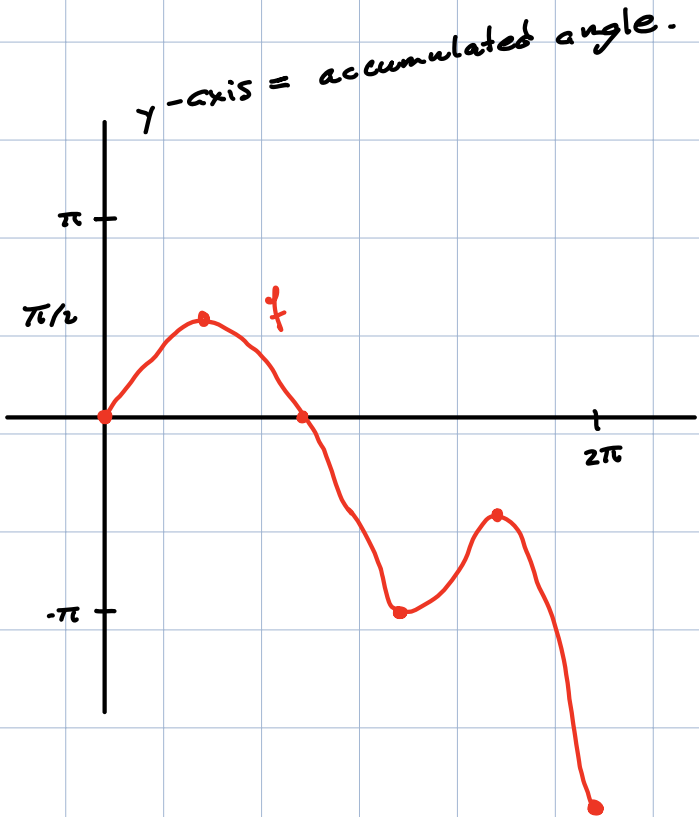
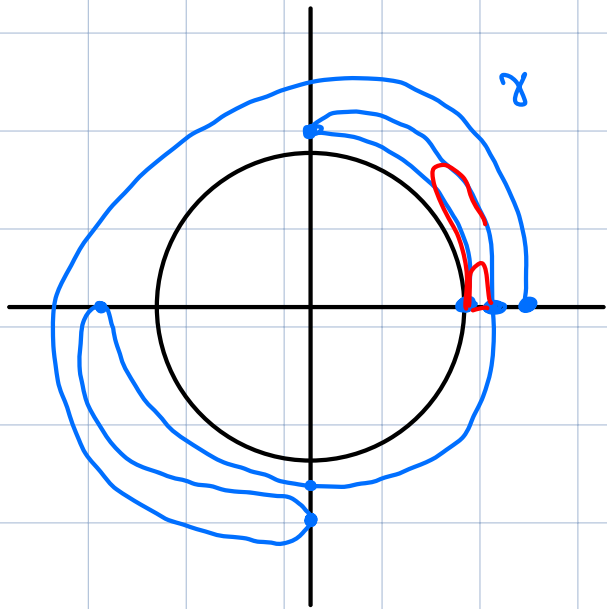
$\hookrightarrow$  rotate clockwise angle decreases

$\hookrightarrow$  rotate counter clockwise angle increases

Example: 1) A lift of  $\gamma_n$  is given by  $f(t) = n \cdot t$

2)

$$\deg(\gamma) = \frac{f(2\pi) - f(0)}{2\pi} = \frac{-2\pi - 0}{2\pi} = -1$$



Definition:

The degree of a closed curve  $\gamma: S^1 \rightarrow S^1$  is

$$\deg(\gamma) = (f(2\pi) - f(0)) / 2\pi$$

where  $f$  is any lift of  $\gamma$  to  $\mathbb{R}$ .

↳ This did not depend on the choice of lift

Remark:

1) Intuitively,  $\deg(\gamma) =$  signed # of times  $\gamma$  completely wraps around the circle

↳ signed: wraps clockwise = negative wrap

wraps counter clockwise = positive wrap

Example:

1)  $\deg(\gamma_n) = n$

2) See above examples.

Definition: Two closed curves  $\beta: S^1 \rightarrow S^1$  and  $\gamma: S^1 \rightarrow S^1$  are homotopic if there is a continuous map  $H: [0, 1] \times S^1 \rightarrow S^1$  satisfying

$$1) H(0, t) = \beta(t)$$

$$2) H(1, t) = \gamma(t)$$



Remark: Equivalently,  $H: [0, 1] \times [0, 2\pi] \rightarrow S^1$  w/

$$1) H(0, t) = \beta(t)$$

$$2) H(1, t) = \gamma(t)$$

$$3) H(s, 0) = H(s, 2\pi)$$



Remark:

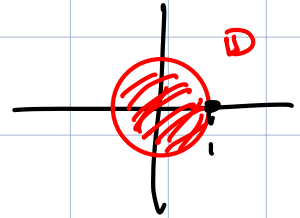
- 1)  $H$  parameterizes a family of curves that interpolates between  $\beta$  and  $\gamma$ .
- 2) Intuitively,  $H$  parameterizes how we can push, compress, deform the image of  $\beta$  in  $S^1$  to the image of  $\gamma$  in  $S^1$ .

Theorem:

Two closed curves  $\beta: S^1 \rightarrow S^1$  and  $\gamma: S^1 \rightarrow S^1$  are homotopic if and only if  $\deg(\beta) = \deg(\gamma)$

## Section 2 : Brouwer's Fixed Point Theorem

Definition :  $\mathbb{D} = \{ (x, y) \text{ in } \mathbb{R}^2 \mid x^2 + y^2 \leq 1 \}$   
= unit disk in the plane

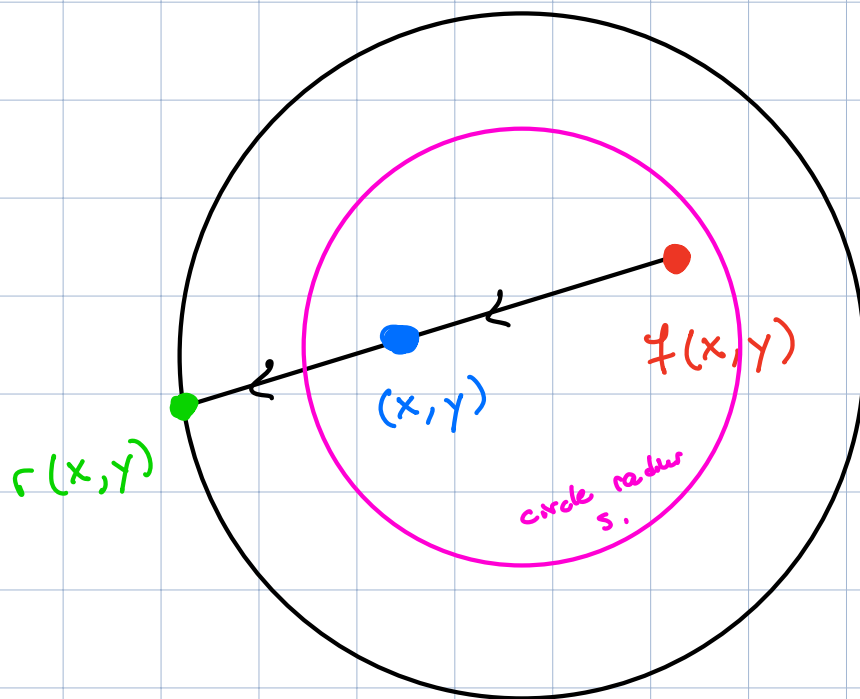


Theorem : Given a continuous map  $f: \mathbb{D} \rightarrow \mathbb{D}$ , there exists a point  $(x, y)$  in  $\mathbb{D}$  such that  $f(x, y) = (x, y)$   
 $\hookrightarrow$  ie,  $f$  has a fixed point.

Proof :

- 1) Suppose by way of contradiction that  $f$  does not have any fixed points.
- 2) Define a map  $r : \mathbb{D} \rightarrow S^1$  as follows :
  - a) Consider the ray from  $f(x,y)$  to  $(x,y)$
  - b) follow the ray until you hit the boundary of the disk, which is a circle
  - c) Set  $r(x,y) =$  point where ray meets the boundary  
 $\hookrightarrow$  Note, that to get such a ray we needed  $f(x,y) \neq (x,y)$
- 3) Note,  $r$  is continuous.

Picture 0



4) Define  $\gamma : S^1 \rightarrow S^1$  as follows:

Take  $S^1$ , include it into boundary of  $\mathbb{D}$ , and then apply the map  $r : \mathbb{D} \rightarrow S^1$ .

5) Define  $\beta : S^1 \rightarrow S^1$  as follows:

Take  $S^1$ , map it to  $(0,0)$  in  $\mathbb{D}$ , and then apply the map  $r : \mathbb{D} \rightarrow S^1$ .

6) By construction,  $\deg(\gamma) = 1$

7)  $\beta$  is a constant map, so  $\deg(\beta) = 0$

8) Define a homotopy  $H: [0, 1] \times S^1 \rightarrow S^1$  as follows:

$$H(s, t) = r(s \cdot \cos(t), s \cdot \sin(t))$$

9)  $H(0, t) = r(0, 0) = \beta(t)$

10)  $H(1, t) = (\cos(t), \sin(t)) = \gamma(t)$

11)  $\Rightarrow \gamma$  is homotopic to  $\beta$

$$\Rightarrow 1 = \deg(\gamma) = \deg(\beta) = 0$$

a contradiction

12)  $\Rightarrow f(x, y) = (x, y)$  for at least some  $(x, y)$  in  $D$ .  $\square$

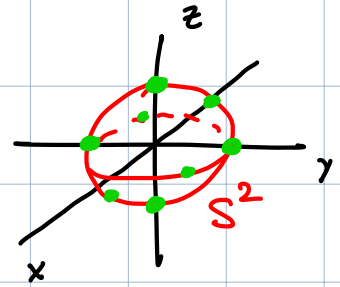
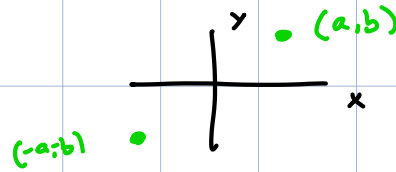
Exercise:

Given a continuous function  $f: [0,1] \rightarrow [0,1]$ , there exists  $x \in [0,1]$  such that  $f(x) = x$ .

Hint:

- 1) You could use the intermediate value theorem
- 2) or try to replicate the proof of Brouwer's fixed point theorem but in one lower dimension.

## Section 3: Borsuk - Ulam Theorem



Definition:

$$\begin{aligned} S^2 &= \{ (x, y, z) \in \mathbb{R}^3 \mid x^2 + y^2 + z^2 = 1 \} \\ &= \text{unit sphere in } \mathbb{R}^3 \end{aligned}$$

- The antipodal point of  $(x, y, z)$  in  $S^2$  is the point  $(-x, -y, -z)$

Theorem:

Given a continuous map  $f: S^2 \rightarrow \mathbb{R}^2$ , there exists a point  $(x, y, z)$  in  $S^2$  such that

$$f(x, y, z) = f(-x, -y, -z)$$



Example:  $f: S^2 \rightarrow \mathbb{R}^2$  via a location on earth is mapped to (temperature, humidity).

So the thm  $\Rightarrow$  there exists antipodal locations on the earth w/ the same temperature and humidity.

Definition: The norm of a point  $(x, y)$  in  $\mathbb{R}^2$  is

$$\|(x, y)\| = \sqrt{x^2 + y^2}$$

$\Leftrightarrow$  distance of  $(x, y)$  from the origin  $(0, 0)$ .

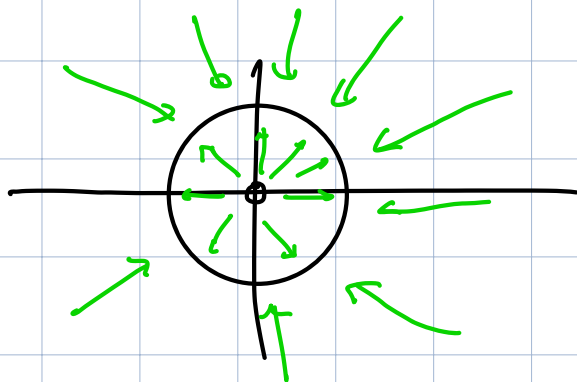
Remark:

If  $(x, y) \neq (0, 0)$ , then

$$\frac{(x, y)}{|(x, y)|} = \left( \frac{x}{|(x, y)|}, \frac{y}{|(x, y)|} \right)$$

lies on  $S^1 \subset \mathbb{R}^2$

↳ We've just scaled in  $(x, y)$  according to its distance from the origin so that its new distance from the origin is 1, ie, it lies on  $S^1$ .



Proof:

1) Suppose by way of contradiction that

$$f(x, y, z) \neq f(-x, -y, -z)$$

for all  $(x, y, z)$  in  $S^2$ .

2) Define  $g: S^2 \rightarrow \mathbb{R}^2$  via

$$g(x, y, z) = f(x, y, z) - f(-x, -y, -z)$$

(temp, hum) - (temp, hum)

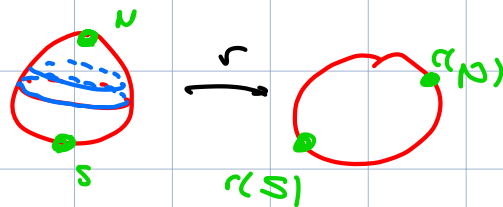
↳ By (1),  $g \neq (0, 0)$ .

3) Define a map  $r: S^2 \rightarrow S^1$  as follows:

$$r(x, y, z) = g(x, y, z) / |g(x, y, z)|$$

4) Note,  $r(x, y, z) = -r(-x, -y, -z)$

↳ ie,  $r$  sends antipodal points on  $S^2$  to antipodal points on  $S^1$ .



$$-r(-x, -y, -z)$$

$$= \frac{-g(-x, -y, -z)}{|g(-x, -y, -z)|}$$

$$= \frac{-g(-x, -y, -z)}{|g(-x, -y, -z)|}$$

$$= \frac{-(f(-x, -y, -z) - f(x, y, z))}{|f(-x, -y, -z) - f(x, y, z)|}$$

$$= \frac{-(f(-x, -y, -z) - f(x, y, z))}{|f(-x, -y, -z) - f(x, y, z)|}$$

$$= \frac{(f(x, y, z) - f(-x, -y, -z))}{|f(x, y, z) - f(-x, -y, -z)|}$$

$$= \frac{(f(x, y, z) - f(-x, -y, -z))}{|f(x, y, z) - f(-x, -y, -z)|}$$

$$= r(x, y, z)$$

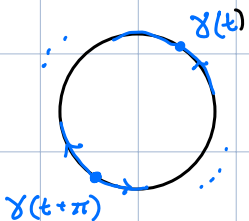
4) Define a curve  $\gamma: [0, 2\pi] \rightarrow S^1$  via

$$\gamma(t) = r(\cos(t), \sin(t), 0)$$

5) Notice that

$$\begin{aligned}\gamma(t + \pi) &= r(\cos(t + \pi), \sin(t + \pi), 0) \\ &= r(-\cos(t), -\sin(t), 0) \\ &= -r(\cos(t), \sin(t), 0) \\ &= -\gamma(t)\end{aligned}$$

6)  $\Rightarrow \gamma(t + \pi)$  is always on the opposite side of the circle as  $\gamma(t)$



- 7) Let  $f$  be a lift of  $\gamma$
- 8) Let  $\beta(t) := \gamma(t + \pi)$ .
  - 9) Note  $f(t + \pi)$  is a lift of  $\beta$ .
  - 10) (6)  $\Rightarrow f(t) - f(t + \pi) = \text{odd multiple of } \pi$ , say  $k \cdot \pi$
  - 11)  $f(0) = f(\pi) + k\pi = f(2\pi) + 2k\pi$
  - 12)  $\Rightarrow \text{deg}(\gamma) = \frac{f(2\pi) - f(0)}{2\pi} = k \neq 0$
  - 13) But  $\gamma$  is homotopic to a constant curve  
 $\hookrightarrow$  shrink equator down to south pole and apply  $r$ .  
 $\Rightarrow \text{deg}(\gamma) = 0$ , a contradiction
  - 14)  $\Rightarrow f(x, y, z) = f(-x, -y, -z)$  for some  $(x, y, z) \square$

Theorem:

Let  $A_0, A_1, A_2$  be subsets of  $S^2$  that cover  $S^2$  w/ the condition that each point in  $S^2$  is contained in only one of these subsets. There exists a point  $(x, y, z)$  in  $S^2$  such that both  $(x, y, z)$  and  $(-x, -y, -z)$  are contained in the same  $A_i$ .

Proof:

i) Define the function  $d_i: S^2 \rightarrow \mathbb{R}$  via

$$d_i(x, y, z)$$

$$= \min \left\{ \text{dist}((x, y, z), (x', y', z')) \mid (x', y', z') \in A_i \right\}$$

= minimum distance needed to travel from

$(x, y, z)$  to get into  $A_i$

2) Note, if  $d_i(x, y, z) = 0$ , then  $(x, y, z)$  is in  $A_i$ .  
if  $d_i(x, y, z) \neq 0$ , then  $(x, y, z)$  is not in  $A_i$ .

3) Define  $f: S^2 \rightarrow \mathbb{R}^2$  via

$$f(x, y, z) = (d_0(x, y, z), d_1(x, y, z))$$

4) By Borsuk-Ulam, there exists  $(x, y, z)$  such that

$$f(x, y, z) = f(-x, -y, -z)$$

$$\Rightarrow d_0(x, y, z) = d_0(-x, -y, -z)$$

$$d_1(x, y, z) = d_1(-x, -y, -z)$$



5) If  $d_0(x, y, z) = 0$ , then  $d_0(-x, -y, -z) = 0$

$\Rightarrow (x, y, z)$  and  $(-x, -y, -z)$  are in  $A_0$ .

If  $d_1(x, y, z) = 0$ , then  $d_1(-x, -y, -z) = 0$

$\Rightarrow (x, y, z)$  and  $(-x, -y, -z)$  are in  $A_1$ .

6) If  $d_0(x, y, z) \neq 0$ ,  $d_1(x, y, z) \neq 0$

$\Rightarrow$  Neither  $(x, y, z)$  nor  $(-x, -y, -z)$  is in  $A_0$  or  $A_1$ .

7)  $\Rightarrow (x, y, z)$  and  $(-x, -y, -z)$  are in  $A_2$ .  $\square$