

Lecture #6 - September 26th, 2023

Defn: $X = \text{space}$ is compact if each collection \mathcal{U}_α of opens st
 $X = \bigcup_\alpha U_\alpha$, $\exists U_{\alpha_1}, \dots, U_{\alpha_n}$ st $X = \bigcup_i U_{\alpha_i}$.
 \hookrightarrow Every open cover admits finite subcover.

Defn: $X = \text{sequentially compact}$ iff $\forall x_n$, \exists subseq $x_{n_i} \rightarrow x$.

Theorem: $X = \text{cpt} + 1^{\text{st}} \text{ countable} \Rightarrow X = \text{seq. compact}$ (\Leftarrow if $X = \text{metric space}$)

Proof: Spose the x_n do not have a convergent subsequence.

$\exists V_1, \dots, V_n, \dots$ opens st $x \in U \Rightarrow \exists i > 0$ st $V_i \subseteq U$.

only finitely many x_n lie in some V_i for i suff. large

\hookrightarrow If not, then \exists a subseq that converges to x .

$\Rightarrow \forall x \in X$, $\exists U_x \ni x$ open st $U_x \cap \bigcup_n x_n$ is finite.

$X = \bigcup_x U_x \Rightarrow \exists U_{x_1}, \dots, U_{x_k}$ st $X = \bigcup_n U_{x_n}$.

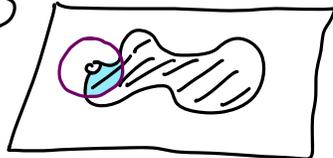
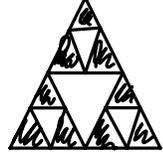
Since each U_{x_i} contains only finitely many x_n in the sequence

$\Rightarrow \exists$ finite # of x_n 's $\Rightarrow \Leftarrow$. □

Thm: (Heine-Borel) Any subspace $X \subset \mathbb{R}^n$ is cpt iff it is closed and bounded

Lemma: $X = \text{cpt}$, $C_\alpha \supset C_{\alpha+1} \supset C_{\alpha+2} \supset \dots$ w/ $C_\alpha = \text{closed} + \text{non-empty}$
 $\Rightarrow \bigcap_\alpha C_\alpha \neq \emptyset$.

Proof: Spse $\bigcap_{\alpha} C_{\alpha} = \emptyset$, then $U_{\alpha} = X \setminus C_{\alpha}$ give $X = \bigcup_{\alpha} U_{\alpha}$
 $\Rightarrow \exists U_1, \dots, U_n$ st $X = \bigcup_i U_i$
 Note $U_{\alpha} \subset U_{\alpha+1}$. So for some $N \gg 0$, $U_N = X$.
 $\Rightarrow C_N = \emptyset \Rightarrow \Leftarrow$ □

Ex: ①  \neq cpt ②  $\leadsto \bigcap_{\alpha} C_{\alpha} = \text{complicated}$

Prop: $X = \text{cpt}$, $C \subseteq X$ closed $\Rightarrow C$ is cpt.

Proof: Spse $C = \bigcup_{\alpha} C \cap U_{\alpha}$
 $\Rightarrow X = \bigcup_{\alpha} U_{\alpha} \cup (X \setminus C)$
 $\Rightarrow \exists U_1, \dots, U_n$ st $X = \bigcup_i U_i \cup (X \setminus C)$
 $\Rightarrow C = \bigcup_i C \cap U_i$ □

Warning: $X = \text{cpt}$, $K \subset X$ cpt $\not\Rightarrow K$ closed
 $\hookrightarrow X = \textcircled{\bullet} \bullet$, K is not closed

Theorem: $[a, b]$ is compact

Proof: Spse $[a, b] = \bigcup_{\alpha} V_{\alpha}$
 Let $S = \{x \in [a, b] \mid [a, x] \text{ is covered by finitely many } U_{\alpha}\}$.
 Note $S \neq \emptyset$ and S is bounded by 1.
 $\Rightarrow \exists$ lub L of S .

Claim: $L \in S$.

\hookrightarrow Spse $L \in U \Rightarrow \exists \epsilon > 0$ st $(L - \epsilon, L] \subset U$

\exists finite U_1, \dots, U_n that cover $[a, L - \epsilon/2]$.

$\Rightarrow U, U_1, \dots, U_n$ cover $[a, L]$

Claim: $L = 1$

\hookrightarrow Spse $L \neq 1$, let $L \in U \Rightarrow \exists \epsilon > 0$ st $[L, L + \epsilon) \subset U$.

\exists finite U_1, \dots, U_n that cover $[0, L]$.

$\Rightarrow U, U_1, \dots, U_n$ cover $[a, L + \epsilon/2]$

$\Rightarrow L + \epsilon/2 \in S \Rightarrow L \neq \text{lub} \Rightarrow \Leftarrow$

□

Theorem: If $X, Y = \text{cpt}$, then $X \times Y = \text{cpt}$.

Proof: ① The map $\text{pr}_X: X \times Y \rightarrow X$ is open,

i.e., $\text{pr}_X(O) = \text{open} \forall O \subset X \times Y$ open.

$\hookrightarrow O = \bigcup_{\alpha} U_{\alpha} \times V_{\alpha}$ for $U_{\alpha} \subset X, V_{\alpha} \subset Y$ opens

$\text{pr}_X(O) = \bigcup_{\alpha} U_{\alpha} = \text{open}$.

② Spse $X \times Y = \bigcup_{\alpha} O_{\alpha}$, WLOG assume $O_{\alpha} = U_{\alpha} \times V_{\alpha}$.

Since $Y = \text{cpt} \Rightarrow \exists U_{x,i} \times V_{x,i}$ that cover $\{x\} \times Y$

Set $U_x = \bigcap_i U_{x,i}$. \hookrightarrow w/ $U_{x,i} = U_x, V_{x,i} = V_{\alpha}$ for some α 's.

$\Rightarrow U_x \times V_{x,i}$ cover $U_x \times Y$ and are contained in $U_{x,i} \times V_{x,i}$.

As x varies, $\exists U_{x_1}, \dots, U_{x_m}$ that cover X .

$\Rightarrow U_{x_i,j} \times V_{x_i,j}$ cover X

□

Proof: (\Leftarrow): X bounded $\Rightarrow X \subseteq [-L, L]^n$ for some $L > 0$.

$[-L, L]^n$ is compact and X is closed $\Rightarrow X = \text{cpt}$

\hookrightarrow (since $[-L, L]^n \subset \mathbb{R}^n$ closed, HW 2)

(\Rightarrow): $X = \bigcup_{r \in \mathbb{R}^+} X \cap B_r(0) \Rightarrow \exists r$ st $X = X \cap B_r(0) \Rightarrow X$ bdd.

Spse $x \in \mathbb{R}^n$ is a limit pt of X .

$\Rightarrow \forall r > 0 \quad B_r(x) \cap X \neq \emptyset \Rightarrow C_n = \overline{B_{1/n}(x)} \cap X \neq \emptyset$.

So $C_n \supset C_{n+1} \supset \dots$ = nested seq. of closed, non-empty in X .

$\Rightarrow \emptyset \neq \bigcap_n C_n = X \cap \bigcap_n \overline{B_{1/n}(x)} = X \cap \{x\} \Rightarrow x \in X$.

$\Rightarrow X$ has all its limit pts $\Rightarrow X = \text{closed}$. \square

Hausdorff Spaces

Defn: A top. space X is Hausdorff if for each $x, y \in X$ w/ $x \neq y$,
 \exists opens U, V st $x \in U, y \in V$, and $U \cap V = \emptyset$.

Ex: ① $X = \text{triv. top.} \Rightarrow$ not Hausdorff

② $X = \text{metric space} \Rightarrow$ Hausdorff

$\hookrightarrow x, y \in X, d = d(x, y), B_x(d/2) \cap B_y(d/2) = \emptyset$.

③ $X = \mathbb{R}$ w/ opens = $\mathbb{R} - \{x_1, \dots, x_n\}$.

Any two non-empty opens will have non-empty intersection.

④ Fat point, $\mathbb{R}_1 \cup \mathbb{R}_2 / \sim = \text{---} \overset{\circ}{\bullet} \text{---}$ \neq Hausdorff.

Lemma: $X = \text{Haus}$ iff $\Delta = \{(x, x) \in X \times X\} \subseteq X \times X$ is closed.

Proof: (\Rightarrow): $X = \text{Haus} \Rightarrow \forall x \neq y, \exists U_{xy}, V_{xy}$ st $x \in U_{xy}, y \in V_{xy}, U_{xy} \cap V_{xy} = \emptyset$.

$W_{xy} = U_{xy} \times V_{xy} \subset X \times X$.

$W_{xy} \cap \Delta = \emptyset$ and $\bigcup_{x,y} W_{xy} = X \times X - \Delta \Rightarrow \Delta = \text{closed}$

(\Leftarrow): $\Delta = \text{closed} \Rightarrow \forall x \neq y, \exists$ basic open $U \times V \subseteq X \times X - \Delta$ w/ $(x, y) \in U \times V$.

Note, $U \cap V = \emptyset$ since $U \times V \cap \Delta = \emptyset$. Also $x \in U, y \in V \Rightarrow X$ Haus \square

Lemma:

$X = \text{Haus}$, $x_n \in X$ st $x_n \rightarrow x$ & $x_n \rightarrow y \Rightarrow x = y$.

Proof:

\exists opens $x \in U_x, y \in U_y$ st $U_x \cap U_y = \emptyset$.

$x_n \rightarrow x \Rightarrow \exists N$ st $x_n \in U_x \forall n > N$

$\Rightarrow x_n \notin U_y$ for $n > N$

$\Rightarrow x_n \not\rightarrow y$. □

Prop:

$X, Y = \text{Haus}$

① $x \in X \Rightarrow \{x\} = \text{closed}$ (So $X = T_1$)

② $A \subseteq X$ subspace $\Rightarrow A$ Hausdorff

③ $X \times Y = \text{Haus}$

Warning:

Quotient of Hausdorff space may not be Hausdorff.

Proof:

① $\forall y \neq x, \exists U_y = \text{open}$ st $x \notin U_y$

$\Rightarrow x = \bigcap_y (X \setminus U_y) = \text{closed}$

② $x, y \in A$ w/ $x \neq y \Rightarrow \exists U_x, U_y \subseteq X$ open w/ $U_x \cap U_y = \emptyset$

$\Rightarrow (A \cap U_x) \cap (A \cap U_y) = \emptyset$

$\Rightarrow A$ Haus.

③ $(x_0, y_0) \in X \times Y, (x_1, y_1) \in X \times Y$ st $(x_0, y_0) \neq (x_1, y_1)$

Spce $x_0 \neq x_1 \Rightarrow \exists U_0, U_1 \subseteq X$ open w/ $x_i \in U_i, U_0 \cap U_1 = \emptyset$.

$\Rightarrow U_0 \times Y \cap U_1 \times Y = (U_0 \cap U_1) \times Y = \emptyset$.

$\Rightarrow X \times Y = \text{Haus}$. □

Lemma: $X = \text{Haus}$, $A \subseteq X$ cpt subspace $\Rightarrow A$ closed.

Proof: Fix $x \in X \setminus A$.

$\forall a \in A$, $\exists U_a, V_a$ st $U_a \cap V_a = \emptyset$.

$A = \bigcup_a A \cap U_a \Rightarrow \exists U_{a_1}, \dots, U_{a_n}$ st $A = \bigcup_{i=1}^n A \cap U_{a_i}$.

$\Rightarrow V = \bigcap_{i=1}^n V_{a_i}$ satisfies $x \in V$, $A \cap V = \emptyset$.

$\Rightarrow x \neq$ limit pt of A

$\Rightarrow A$ contains all its lim. pts

$\Rightarrow A = \text{closed}$.

□