

## The van Kampen Theorem

Thm: Spse  $X = U \cup V$  w/

- ①  $U, V = \text{open + path-conn}$
- ②  $x_0 \in U \cap V$
- ③  $U \cap V = \text{path-connected}$

We have maps

$$\begin{array}{ccc} \pi_1(U \cap V, x_0) & \xrightarrow{(i^u)_*} & \pi_1(U, x_0) \\ (i^v)_* \downarrow & & \\ & & \pi_1(V, x_0) \end{array}$$

from the inclusions  $i^u: U \cap V \rightarrow U$ ,  $i^v: U \cap V \rightarrow V$ .

$$\text{Then } \pi_1(X, x_0) = \pi_1(U, x_0) *_{\pi_1(U \cap V, x_0)} \pi_1(V, x_0)$$

Cor:  $\pi_1(S^n) = \emptyset$  for  $n \geq 2$ .

Proof: Let  $U, V = \text{open neighborhoods of northern/southern hemispheres}$ .  
 So  $U \cap V \simeq S^{n-1}$ .

$$\text{By van Kampen, } \pi_1(S^n) = \emptyset *_{\pi_1(S^{n-1})} \emptyset = \emptyset \quad \square$$

Lemma: Spse  $\exists x_0 \in X, y_0 \in Y$  st  $\exists$  opens  $U \ni x_0, V \ni y_0$  st  
 $x_0$  is a defo retract of  $U$  and  $y_0$  is a defo retract of  $V$ .  
 Then  $\pi_1(X \cup Y) = \pi_1(X) * \pi_1(Y)$

Proof:

$$\textcircled{1} \quad X \vee V \subseteq X \cup Y \text{ is homotopy equiv to } X$$

$$U \vee Y \subseteq \dots \quad \dots \quad \dots \quad \dots \quad \dots \quad Y$$

Let  $H_t : V \rightarrow V$  be the defo retract onto  $y_0$

Define  $\tilde{H}_t : X \vee V \rightarrow X \vee V$  by

$$\begin{array}{ccc} X \cup V & \xrightarrow{\text{Id} \cup H_t} & X \cup V & \xrightarrow{q} & X \vee V \\ q \downarrow & \curvearrowright & & & \\ X \vee V & & \xrightarrow{\tilde{H}_t} & & \end{array}$$

Note,  $\tilde{H}_t = \text{cts}$  since  $q \circ \tilde{H}_t = q \circ (\text{Id} \cup H_t) = \text{cts}$

$\tilde{H}_0 = \text{Id}$ ,  $\tilde{H}_1 = \text{retract onto } X$ ,  $\tilde{H}_t|_X = \text{Id}$

$\Rightarrow X \vee V$  defo retracts onto  $X$ .

Sim.  $U \vee Y \dots \dots \dots \dots \dots$ .

$$\textcircled{2} \quad U \cup V \subseteq U \vee Y \text{ is contractible.}$$

Let  $H_t : V \rightarrow V$ ,  $G_t : U \rightarrow U$  be the defo retracts

Define  $F_t : U \cup V \rightarrow U \vee V$  by

$$\begin{array}{ccc} U \cup V & \xrightarrow{G_t \cup H_t} & U \cup V & \xrightarrow{q} & U \vee V \\ q \downarrow & & & & \\ U \vee V & & \xrightarrow{F_t} & & \end{array}$$

As above,  $F_t = \text{cts}$

$F_0 = \text{Id}$ ,  $F_1 = \text{retract onto } x_0$ ,  $F_t|_{x_0} = \text{Id}$

$\Rightarrow U \cup V$  defo retracts onto  $x_0$ .

$$\begin{aligned} \textcircled{3} \quad \text{van Kampen, } \pi_1(X \vee Y) &= \pi_1(U \vee Y) *_{\pi_1(U \cup V)} \pi_1(X \cup V) \\ &= \pi_1(Y) *_{\circ} \pi_1(X) \\ &= \pi_1(X) * \pi_1(Y) \end{aligned}$$

□

Cor:

$$\pi_1(V_{i=1}^n S^1) = F_n$$

Defn: A graph is a 1-dim'l CW cpx w/ finite # of vertices and edges.  
 $\hookrightarrow \Gamma = \text{graph}, V(\Gamma) = \# \text{ vertices} / 0\text{-cells}$   
 $E(\Gamma) = \dots \text{ edges} / 1\text{-cells}$

The Euler characteristic of  $\Gamma$  is  $\chi(\Gamma) = V(\Gamma) - E(\Gamma)$

Defn: A spanning tree for  $\Gamma = \text{graph}$  is a subcomplex that is connected, contains every vertex, and is a tree.

Lemma: Every connected graph admits a spanning tree.

Proof: Induct: Suppose every graph w/  $n$  edges admits a spanning tree.  
Let  $\Gamma$  have  $n+1$  edges and let  $e \subseteq \Gamma$  be an edge.

①  $\Gamma - e = \text{connected}$

$\Rightarrow$  spanning tree for  $\Gamma - e$  is a spanning tree for  $\Gamma$

②  $\Gamma - e = \Gamma_0 \cup \Gamma_1$  w/  $T_i = \text{spanning tree for } \Gamma_i$

$\Rightarrow T_0 \cup T_1 \cup e = \text{spanning tree for } \Gamma$

□

Lemma:  $\Gamma = \text{connected graph}, \chi(\Gamma) \leq 1$  w/ equality iff  $\Gamma = \text{tree}$ .

Proof: Let  $T = \text{spanning tree of } \Gamma$

$$V(\Gamma) - E(\Gamma) = V(T) - E(T) - E(\Gamma - T) = 1 - E(\Gamma - T) \leq 1 \quad \square$$

Prop:  $\Gamma = \text{connected graph}, \pi_1(\Gamma) = F_n$ , where  $n = 1 - \chi(\Gamma)$

Proof:  $T = \text{spanning tree} \cong *$ .

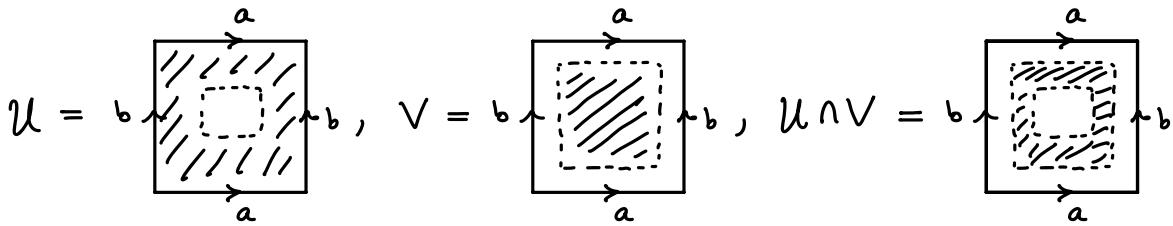
$$\text{So } \Gamma \cong \Gamma/T = \bigvee_{i=1}^{E(\Gamma-T)} S^1$$

$$\Rightarrow \pi_1(\Gamma) = F_n \text{ w/ } n = E(\Gamma - T) = 1 - \chi(\Gamma)$$

□

Lemma:  $i: H \rightarrow G$ ,  $G = \langle SIR \rangle$ ,  $G *_{H} O = \langle SIR \cup i(H) \rangle$

Ex:



$$\pi_1(T^2) = \pi_1(U) *_{\pi_1(U \cap V)} \pi_1(V) = \langle a, b \rangle *_{\pi_1(U \cap V)} O = \langle a, b | aba^{-1}b^{-1} \rangle$$

Idea: 1-cells give generators, 2-cells give relations.

Thm 1: Let  $Y =$  space obtained from  $X$  by attaching 2-cells:

$$X = \text{Space}, \varphi_\alpha: \partial D_\alpha^2 \rightarrow X, Y = X \cup_\alpha D_\alpha^2 / \sim$$

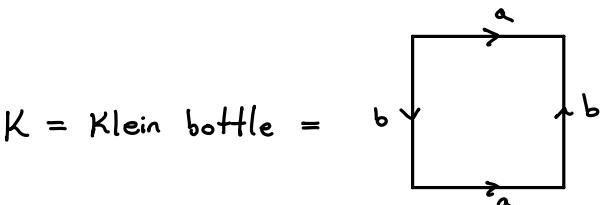
$$\text{Let } \gamma_\alpha: I \rightarrow X \text{ st } \gamma_\alpha(0) = x_0, \gamma_\alpha(1) = \varphi_\alpha(0).$$

Let  $H =$  subgroup of  $\pi_1(X, x_0)$  generated by  $\{\gamma_\alpha \cdot \varphi_\alpha \cdot \gamma_\alpha^{-1}\}$ .

$\Rightarrow \pi_1(X) \rightarrow \pi_1(Y)$  is surj w/  $\text{Ker} = N(H)$

$$\text{So } \pi_1(Y, x_0) = \pi_1(X, x_0) *_H O = \pi_1(X, x_0) / N(H)$$

- Rem:
- Thm 1 implies that if  $\langle SIR \rangle$  is a presentation of  $\pi_1(X)$ , then  $\langle SIR \cup \{\gamma_\alpha \cdot \varphi_\alpha \cdot \gamma_\alpha^{-1}\} \rangle$  is a presentation of  $\pi_1(Y)$ .
  - If  $X = X'$ ,  $Y = X^2$  for a 2-dim'l CW-cpx, then  $\pi_1(X)$  is a free group w/ some # of generators. The 2-cells give relations and determine the presentation for  $\pi_1(X^2)$ .



$$\begin{aligned} K' &= \text{O} \text{O}^a \Rightarrow \pi_1(K') = \langle a, b \rangle \\ &\Rightarrow \pi_1(K) = \langle a, b | aba^{-1}b^{-1} \rangle \end{aligned}$$

Ex:  $X = \text{cpx}$  obtained from attaching a single 2-cell to a circle  $= \alpha$  by  $\varphi = \alpha^n$ .  
 $\pi_1(X) = \langle \alpha \mid \alpha^n \rangle = \mathbb{Z}/n$

Cor: For every group  $G$ , there is a 2-dim'l CW-cpx  $X$  w/  $\pi_1(X) = G$ .

Proof: Write  $G = \langle S \mid R \rangle$ .

Set  $X' = \bigvee_{s \in S} S'_s$  and parameterize  $S'_s$  by  $\alpha_s$ .

For each  $r = s_1^{\epsilon_1} \dots s_n^{\epsilon_n} \in R$ , attach a 2-cell by  $\varphi_r = \alpha_{s_1}^{\epsilon_1} \dots \alpha_{s_n}^{\epsilon_n}$ .

By Thm 1,  $\pi_1(X) = \langle S \mid R \rangle$  □