

# UNIVERSAL RELATIONS BETWEEN STIEFEL-WHITNEY CLASSES

BY E. BROWN

LET  $\eta = (E, B, p)$  DENOTE A REAL  $n$ -PLANE BUNDLE, THAT IS, A FIBRE BUNDLE WITH REAL  $n$ -DIMENSIONAL VECTOR SPACE AS FIBRE AND  $GL(n, \mathbb{R})$  AS STRUCTURAL GROUP.

$$\eta \left\{ \begin{array}{l} E \\ p \downarrow \\ B \end{array} \right. \quad V^n \quad GL(n, \mathbb{R})$$

LET  $W_i(\eta) \in H^i(B; \mathbb{Z}_2)$  BE A STIEFEL WHITNEY CLASS OF  $\eta$ .

## ORIGIN OF $W_i(\eta)$ :

TO  $\eta$  IS ASSOCIATED A BUNDLE OF  $k$  FRAMES  $E^k$ .

$$E^k = \left\{ (v_1, \dots, v_k) \mid v_1, \dots, v_k \text{ LINEARLY IND. VECTORS OF } \mathbb{R}^n/b \right\}$$

FOR SOME  $b \in B$

$$\begin{array}{c} E^k \\ \downarrow \\ B \end{array} \quad V_{n,k}$$

ANY FIBRE IS  $V_{n,k}$  THE STIEFEL MANIFOLD OF ALL  $k$ -FRAMES IN  $n$ -SPACE. WE NOTE THAT  $V_{n,k}$  IS  $n - (k-1)$  CONNECTED.

THE PRIMARY OBSTRUCTION TO A CROSS SECTION OF  $E^k$  IS  $W_{n-k+1}(\eta)$

$$W_{n-k+1} \in H^{n-k+1}(B; \pi_{n-k}(V_{n,k}))$$

WHERE  $\pi_{n-k}(V_{n,k})$  IS  $\mathbb{Z}_2, \mathbb{Z}$ , OR  $\mathbb{Z}$  TWISTED.  
IF WE REDUCE THIS GROUP TO  $\mathbb{Z}_2$  WE  
GET RID OF TWISTING AND OBTAIN A  
CLASS  $\overline{W}_{n-k+1} \in H^{n-k+1}(B; \mathbb{Z}_2)$

DEFINE:  $W_l(\eta) = \overline{W}^{(n-l+1)}$   $l=0, 1, 2, \dots, n$   
THE WHITNEY CLASS OF THE BUNDLE  $\eta$

WE LOOK AT THE UNIVERSAL BUNDLE

$$\gamma^n \left\{ \begin{array}{c} E \\ \downarrow \\ G_n \end{array} \right. V^n \quad \text{WHERE } G_n \text{ IS THE}$$

INFINITE GRASSMAN MANIFOLD OF  
 $n$ -PLANES IN  $\mathbb{R}^\infty$  AND  $E$  CONSISTING  
OF ALL PAIRS  $(H, x)$  WITH  $H$  AN  
 $n$ -PLANE AND  $x$  A VECTOR IN  $H$ .

THEN

$$H^*(G_n, \mathbb{Z}_2) = \mathbb{Z}_2(W_1(\gamma^n), \dots, W_n(\gamma^n))$$

WHERE  $\mathbb{Z}_2(W_1, \dots, W_n)$  IS THE POLYNOMIAL  
ALGEBRA OVER  $\mathbb{Z}_2$  GENERATED BY  
THE WHITNEY CLASSES.

SUPPOSE  $M^n$  A DIFFERENTIABLE  
MANIFOLD. LOOK AT THE TANGENT BUNDLE  
 $T(M^n)$  AND LET  $\gamma(M^n)$  BE THE  
 $n$ -PLANE BUNDLE  $\left. \begin{array}{c} T(M^n) \\ \downarrow \\ M^n \end{array} \right\} \gamma(M)$

WE DEFINE:  $W_i(M) \equiv W_i(\gamma(M))$

THE PROBLEM IS: WHAT UNIVERSAL RELATIONS HOLD FOR STIEFEL-WHITNEY CLASSES?

LOOK AT THE KERNEL  $I_M$  OF  $\mathbb{Z}_2 (W_1(X^n), \dots, W_n(X^n)) \rightarrow H^*(M, \mathbb{Z}_2)$  DEFINED BY  $W_i \rightarrow W_i(M)$

LET  $d_n = \bigcap_M I_M$ . IF  $M$  IS A  $C^\infty$  COMPACT MANIFOLD WITH OR WITHOUT BOUNDARY IT IS KNOWN THAT  $d_n \neq 0$ .

$d_n$  BECOMES A GRADED POLYNOMIAL ALGEBRA BY DEFINING  $\dim W_i = i$  DOLO SHOWED THAT  $(d_n)_n \neq 0$ , WHICH COMES FROM THE  $Wu$  FORMULAS.

WE SHALL SHOW  $(d_n)_2 = 0$  FOR  $2 \leq n$ , AND SHALL USE THE FOLLOWING THEOREM. LET  $K$  BE A FINITE CW COMPLEX OF  $\dim = n$ ,  $\gamma$  A REAL  $m$ -FRAME PLANE BUNDLE OVER  $K$ .

THEOREM IF  $2n \leq m$  THERE EXISTS A COMPACT  $C^\infty$  MANIFOLD  $M^n$ , A CW COMPLEX  $K'$  CONTAINED IN  $M^n$ , AND A HOMOTOPY EQUIVALENCE  $g: K' \rightarrow K$  SUCH THAT  $g^* \gamma$  IS EQUIVALENT TO  $\gamma^*(\gamma(M^n))$ .



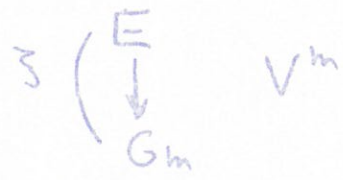
IDEA OF THE PROOF: GIVEN  $\gamma$  A REAL

$m$ -FRAME BUNDLE OVER  $K$ ; TO PUT A MANIFOLD  $M^m$  AROUND  $K$ ,  $i: K \rightarrow M$ , SUCH THAT THE INDUCED BUNDLE  $i^*(\gamma(M^m))$  IS EQUIVALENT TO  $\gamma$ .

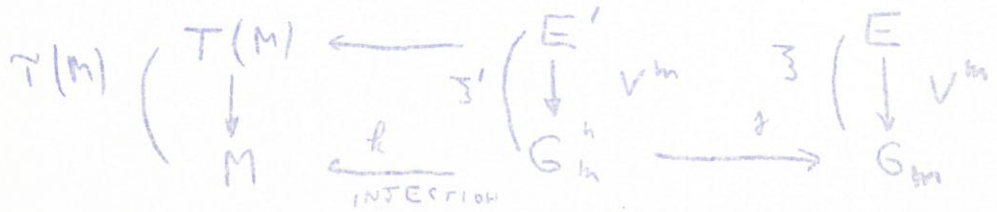
WE CAN DO THIS IF WE ARE WILLING TO CHANGE  $K$  UP TO HOMOTOPY TYPE.

COROLLARY  $(d_m)_n = 0$  IF  $2n \leq m$

LET US LOOK AT  $G_m$  THE CLASSIFYING SPACE FOR  $GL(m, \mathbb{R})$ . LOOK AT THE ASSOCIATED  $m$ -PLANE BUNDLE



LET  $G_m^n$  DENOTE THE  $n$ -SKELETON OF  $G_m$ . IT IS THE COMPLEX  $K$  TO WHICH WE APPLY THE THEOREM. HENCE WE ASSUME  $2n \leq m$ . WE GET THE INDUCED BUNDLE  $\zeta'$  OVER  $G_m^n$



AND WE CAN FIND  $M$  SUCH THAT WE HAVE A BUNDLE MAP  $h$ .

NOW  $W_i(M) \equiv W_i(Y(M))$  AND STIEFEL WHITNEY CLASSES BEHAVE FUNCTORIALLY SO

$$f^*(W_i(M)) = W_i(Z')$$

$$j^*(W_i(Z)) = W_i(Z')$$

ANY RELATIONS AMONG  $W_1(M), \dots, W_n(M)$  MEANS WE HAVE THE SAME RELATION IN  $W_1(Z'), \dots, W_n(Z')$ . AND HENCE THE SAME RELATION HOLDS IN  $W_1(Z), \dots, W_n(Z)$  FOR  $j^*$  IS A MONOMORPHISM IN DIMENSIONS  $\leq n$  (FOR  $G_n^h$  IS THE  $n$ -SKELETON OF  $G_n$ )

DEFN. LET  $f: X \rightarrow Y$   $C_f$  THE MAPPING CYLINDER IS THE QUOTIENT SPACE OBTAINED FROM  $X \times I \cup Y$  BY IDENTIFYING:  $(x, 1) \sim f(x)$   
 $(x, 0) \sim x$   
 THUS  $C_f \cong X, Y$ .  $f$  IS THE ATTACHING MAP.

LET US SUPPOSE  $K \subseteq M$  AND  $N = \partial M \neq \emptyset$ ,  $M$  A COMPACT  $C^\infty$  MANIFOLD.

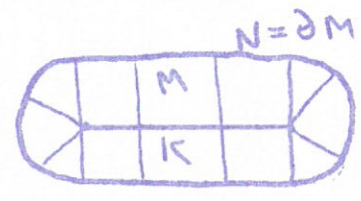
DEFN.  $M$  IS A TUBULAR NBD. OF  $K$  IF THERE EXISTS A MAP  $F: N \rightarrow K$  SUCH THAT  $C_F$  IS THE UNDERLYING SPACE OF  $M$  AND  $p: C_F \rightarrow R^1$  BY  $p(x, t) = t, p(y) = 1$  DEFINES A  $C^\infty$  MAP ON  $M-K$ , I.E.  $M-K \subseteq M = C_F \xrightarrow{p} R^1$  IS  $C^\infty$ .

EXAMPLES:

- ①  $K = \text{PT.}$   
 $M = C_F = E^{n+1}$   
 $N = \partial M = S^n$   
 $F = \text{CONST. MAP}$



- ②  $K = \text{CLOSED INTERVAL}$



$F: N \rightarrow K$   
ALONG FLOW LINES.

NOW LET  $K$  BE THE GIVEN FINITE CW COMPLEX OF DIM  $= n$ .  $\gamma$  A REAL  $m$ -PLANE BUNDLE OVER  $K$ .

TO CONSTRUCT:  $M^m$  WHICH IS A TUBULAR NBD. OF  $K$  SUCH THAT FOR  $i: K \rightarrow M$

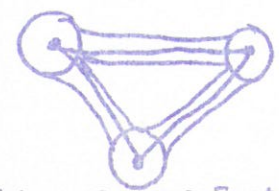
$$i^*(\gamma(M)) \sim \gamma \quad \mathbb{Z}^n \subseteq m$$

METHOD: ADDING HANDLES TO  $M$

(i) TAKE VERTICES OF  $K$  AND AROUND EACH VERTEX PLACE A DISK OF DIMENSION 1.

(ii) AROUND EACH 1-CELL OF  $K$  PLACE THE  $M$  OF EXAMPLE ② ABOVE.

WE HAVE:

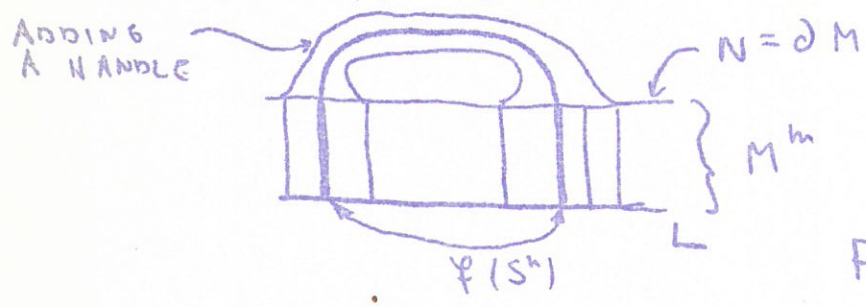


THE CHOICE IN CHOOSING ABOVE TUBULAR NBDS. ALLOWS US TO SHIFT THE TANGENT BUNDLE NICELY.

WE GIVE THE INDUCTION STEP OF THE PROCESS OF CONSTRUCTING  $M^m$  :

LET  $K = L \cup e^{h+1}$ .  $L$  A CW COMPLEX,  $2 \cdot \dim L \leq m$ ,  $2(h+1) \leq m$ .

SUPPOSE  $L \subseteq M^m$ , SUCH THAT  $M^m$  IS AN  $m$ -DIMENSIONAL TUBULAR NBD. OF  $L$ . WE SHALL ENLARGE  $M^m$  TO ENCLOSE A CELL.



$F: N \rightarrow L$  ALONG LINE.

$$C_F = M$$

SUPPOSE  $f: S^h \rightarrow L$  IS THE MAP BY WHICH THE CELL  $e^{h+1}$  IS ATTACHED TO  $L$ . WE KNOW  $\dim L + h < m$  THEREFORE WE CAN JIGGLE  $f$  SO THAT  $L$  IS MISSED, I.E.  $f \sim f'$  IN  $M$  SUCH THAT  $f'(S^h) \cap L = \emptyset$ .

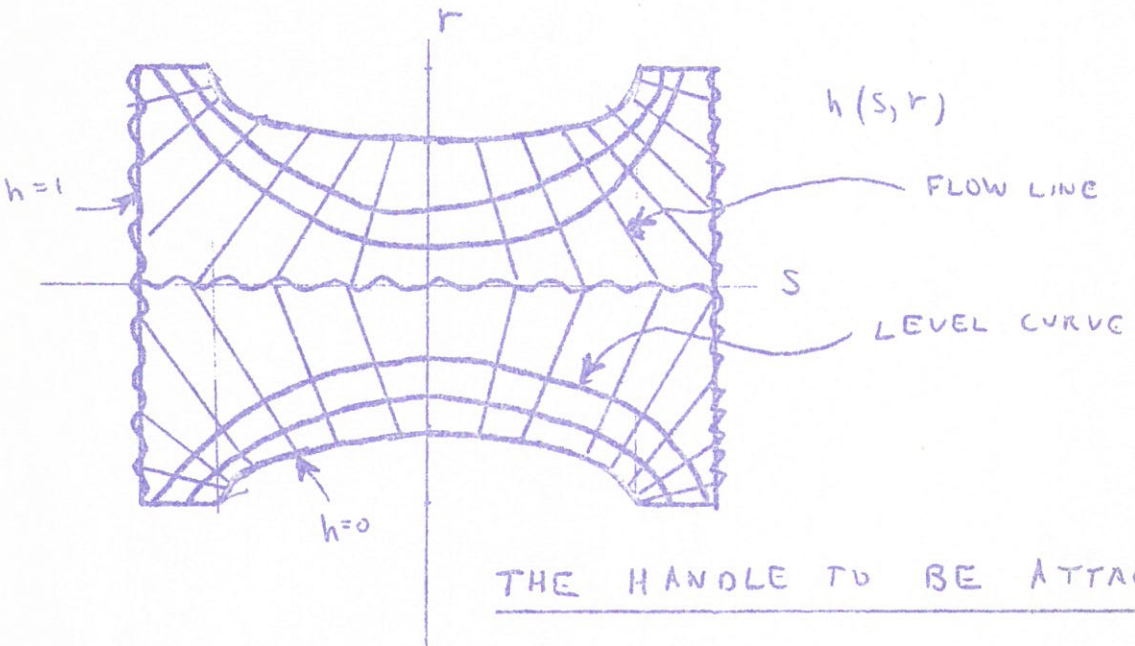
HENCE THERE EXISTS  $g: S^h \rightarrow N$  A  $C^\infty$  IMBEDDING SO THAT  $g \sim f$  IN  $M = C_F$ .  $Fg: S^h \rightarrow L$


CERTAINLY  $Fg \sim f$  IN  $M$  AND  $\therefore$  IN  $L$  WE WANTED TO INCLOSE  $K = L \cup e^{h+1}$  VIA  $f$ . IN FACT WE INCLOSE  $K' = L \cup e^{h+1}$  VIA  $Fg$ . THIS WILL CLEARLY SUFFICE.

WE NOW DRAW PICTURES OF HOW TO PASTE ON A HANDLE.

LET  $g : S^n \rightarrow N$  BE OUR IMBEDDING. SUPPOSE  $g(S^n)$  HAS A TRIVIAL NORMAL BUNDLE IN  $N$ , FOR SIMPLICITY.

IN THE EUCLIDIAN PLANE:

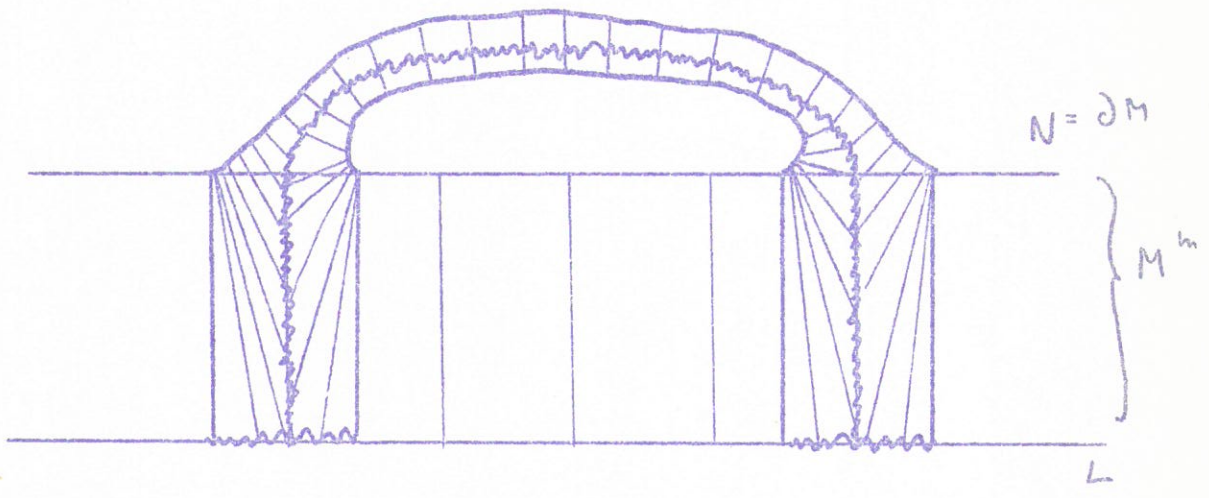


SUPPOSE WE COULD DEFINE A  $C^\infty$  NON-DEGENERATE FCN. ON  $R^2$  WITH LEVEL CURVES. ( $C^\infty$  EXCEPT ON )

IF  $Q_0$  DENOTES SET ON WHICH  $h=0$   
IF  $Q_1$  " " " " "  $h=1$

WE MAP  $Q_0 \rightarrow Q_1$  ALONG THE FLOW LINES. NOW TAKE THE HANDLE AND PASTE IT ON  $M$ :





THE HANDLE ATTACHED

# UNIVERSAL RELATIONS BETWEEN STIEFEL-WHITNEY CLASSES CONT'O.

BY E. BROWN

TODAY WE SHALL PROVE:

THEOREM LET  $K$  BE A FINITE CW COMPLEX OF DIM.  $= n$ ,  $\eta$  A REAL  $m$ -PLANE BUNDLE OVER  $K$ . THEN IF  $2n \leq m$  THERE EXISTS A COMPACT  $C^\infty$  MANIFOLD  $M$  OF DIM.  $= m$ , A CW COMPLEX  $K'$  CONTAINED IN  $M$ , AND A HOMOTOPY EQUIVALENCE  $g: K' \rightarrow K$  SUCH THAT  $g^* \eta$  AND  $i^*(\tau(M))$ , (WHERE  $i$  IS INT. MAP  $K' \rightarrow M$ ) ARE EQUIVALENT.

PROOF: THE PROOF IS BY INDUCTION ON THE CELLS OF  $K$ .

WE GIVE THE INDUCTIVE STEP.

LET  $K = L \cup e^{p+1}$   $f: S^p \rightarrow L$  IS THE ATTACHING MAP.

$\eta$  AN  $m$ -PLANE BUNDLE,  $2 \text{ DIM } K \leq m$  AND SUPPOSE  $M$  IS A TUBULAR NBD. OF  $L$   $N = \partial M \neq \emptyset$ . AND THAT WE KNOW  $\tau|_L \sim \tau(M)|_L$ .

NOTATION: IF  $\zeta$  IS A BUNDLE OVER  $X$  +  $A \in X$ ,  $\zeta|_A$  IS THE INDUCED BUNDLE.

OUR OBJECT IS TO OBTAIN  $M'$  A  
TUBULAR NBD. OF  $K$   $\exists \gamma \sim \gamma(M') \parallel K$   
(MORE OR LESS)

LAST TIME WE OBSERVED THAT BY  
CHANGING  $K$  UP TO HOMOTOPY TYPE WE  
CONSTRUCT  $g: S^p \rightarrow \partial M$  WHICH IS A  
 $C^\infty$  IMBEDDING S.T.  $\gamma = Fg$   
(WHERE  $F: \partial M = N \rightarrow L$  &  $M = C_F$ )

(NOTE: IF  $K$  IS A SIMPLICIAL COMPLEX  
WE DO NOT HAVE TO CHANGE  $K$ , HOWEVER  
THE ARGUMENT THEN IS MORE ELABORATE  
THAN THE ONE WE GIVE HERE.)

THE PROCESS OF GOING FROM  $M$  TO  $M'$   
IS BY ADDING A HANDLE TO  $M$ . PUT  
A RIEMANNIAN METRIC ON  $N = \partial M \neq \emptyset$   
SO WE CAN TALK ABOUT  $\nu$  = NORMAL  
BUNDLE OF  $g(S^p)$  IN  $\partial M$ , AND  
ASSUME  $\nu$  IS TRIVIAL. (ACTUALLY  
THIS WILL BE SHOWN IN THE PROOF)

THERE ARE TWO STEPS: 1. HOW TO  
ATTACH A HANDLE 2. HOW TO  
ATTACH A HANDLE SO THAT THE  
TANGENT BUNDLE CONDITION IS SATISFIED.

STEP 1. LET  $V_1, V_2, \dots, V_{m-p-1}$  BE  
 LINR. INDEP. CROSS SECTIONS OF  $\partial M$ .

LET  $\phi : S^p \times E^{m-p-1} \rightarrow \partial M$

BY  $\phi(x, y_1, \dots, y_{m-p-1}) = \text{EXP}_x g(x) \sum_{i=1}^{m-p-1} y_i (V_i g(x))$

WHERE  $E^{m-p-1} = \{ y = (y_1, \dots, y_{m-p-1}) \mid |y| \leq 1 \}$

WHERE  $|y| = \sqrt{\sum y_i^2}$ . WE MAY ASSUME

$\phi$  IS AN EMBEDDING.

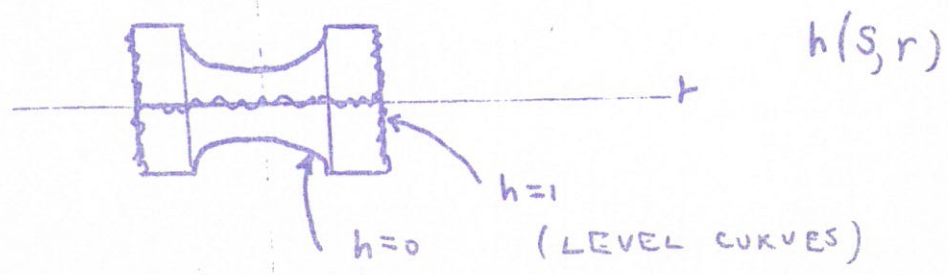
WE ATTACH A HANDLE:

THE USUAL WAY TO ATTACH  
 $E^{p+1} \times E^{m-p-1}$  TO  $M$  IS TO USE

$\phi : S^p \times E^{m-p-1}$  AS AN ATTACHING MAP.

HOWEVER WE MUST ATTACH A  
 HANDLE SO THAT THE RESULT IS  
 A TUBULAR NBD. OF  $K = L \cup E^{p+1}$

WE USE THE FOLLOWING FCT.  $h$  ON  
 $E^p \times E^1 \rightarrow R^1$  AS DESCRIBED LAST TIME.



AND  $h$  IS  $C^\infty$ .

DEFINE  $H: E^{p+1} \times E^{m-p-1} \rightarrow \mathbb{R}$

BY  $H(x, y) = h(|x|, |y|)$

THE HIGHER DIMENSIONAL ANALOGUE OF THE ABOVE DIAGRAM IS

$$\mathcal{U} = \left\{ (x, y) \in E^{p+1} \times E^{m-p-1} \mid 0 \leq H(x, y) \leq 1 \right\}$$

THAT IS, IF  $p=0$  &  $m=3$   $\mathcal{U}$  IS OBTAINED BY REVOLVING THE ABOVE DIAGRAM ABOUT THE  $S$  AXIS.

LET  $V = \left\{ (x, y) \in \mathcal{U} \mid |x| \geq \frac{1}{2} \right\}$

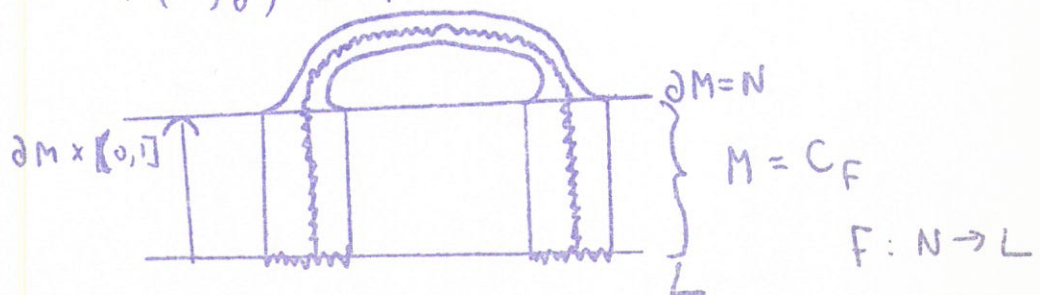
WE MAP  $V$  INTO  $M$  BY  $\psi: V \rightarrow M$

$$\psi(x, y) = \left( \phi\left(\frac{x}{|x|}, y\right), 2|x| - 1 \right) \in \partial M \times [0, 1]$$

( IN  $V$ ,  $|x|$  RUNS FROM  $\frac{1}{2}$  TO 1 )

NOW WE DEFINE  $M' \supset M' (M, \mathcal{U}, V, \dots, V_{m-p-1})$

$\cong M \cup \mathcal{U}$  WITH  $(x, y) \in \mathcal{U}$  IDENTIFIED WITH  $\psi(x, y) \in M$ .



THE HANDLE ATTACHED

STEP 2.

$$\text{LET } \overline{E}^{p+1} = \left\{ x \in E^{p+1} \mid |x| \leq \frac{1}{2} \right\}$$

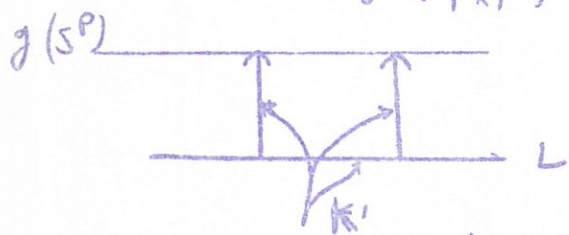
$$\downarrow \quad \overline{S}^p = \overline{E}^{p+1}$$

$$\text{LET } K' = K - \overline{E}^{p+1} = L \cup e^{p+1} - \overline{E}^{p+1}$$

WE IMBED  $K'$  IN  $M$ .  $L$  IS ALREADY IN  $M$ , WE PUT IN  $e^{p+1} - \overline{E}^{p+1}$  BY

IDENTIFYING  $x \in e^{p+1} - \overline{E}^{p+1}$ , i.e.  $|x| \geq \frac{1}{2}$

WITH  $\left( g\left(\frac{x}{|x|}\right), 2|x|-1 \right) \in \partial M \times (0, 1]$ .



$$\text{NOW } \boxed{\gamma(M)|_{\overline{S}^p} = \mathcal{J} + \gamma^p(S^p) + \mathcal{J}'}$$

WHERE  $\mathcal{J}'$  IS A LINE BUNDLE.

SO  $\gamma|_{K'} \sim \gamma(M)|_{K'}$  BECAUSE

THIS HOLDS FOR  $L$  AND  $L$  IS A DEFORMATION RETRACT OF  $K'$ .

LET  $\mu$  BE THE BUNDLE EQUIVALENCE

$$\mu: \gamma|_{K'} \rightarrow \gamma(M)|_{K'}$$

WE KNOW  $\gamma^p(S^p) + \mathbb{Z}' \cong \mathbb{Z}_2$  IS  
 A TRIVIAL BUNDLE, BEING THE SUM  
 OF A TANG. BUNDLE OF A SPHERE  
 AND A TRIVIAL BUNDLE.

NOW VIA  $M$  WE HAVE

$$\eta|_{S^p} = \mathbb{Z}_1 + \mathbb{Z}_2 \quad \text{WE SEEK}$$

TO EXTEND THIS SPLITTING TO

$\eta|_{E^{pt}}$  WE OBTAIN A SET OF

CROSS SECTIONS FOR  $\mathbb{Z}_2$ :

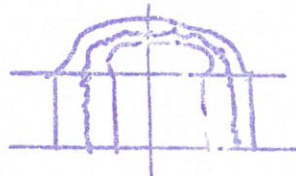
WE PASTE  $\mathcal{U}$  ON  $M$ ,  $\mathcal{U} \subseteq E^{pt} \times E^{n-p-1}$

$$= \{ (x, y) \mid |x| \leq 1, |y| \leq 1 \} \text{ AND}$$

TANG. VECTORS  $\frac{\partial}{\partial y_i}$  GO INTO CROSS

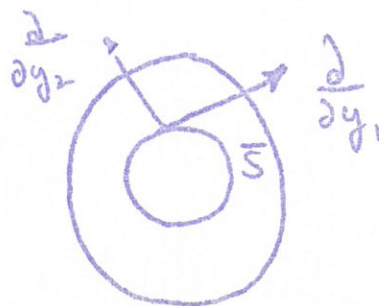
SECTIONS OF  $\mathbb{Z}_2$

( ROTATE:  
 AXIS .



ABOUT VERTICAL

LOOKING DOWN:



)

WE THUS OBTAIN CROSS SECTIONS FOR  $\zeta_2$  AND SO  $p+1$  CROSS SECTIONS OF  $\eta|_{\bar{S}^p}$ , i.e. of  $\gamma(M)|_{\bar{S}^p}$  AND EXTEND TO CROSS SECTIONS OF  $\eta|_{\bar{E}^{p+1}}$ . THE OBSTRUCTION TO THIS EXTENSION LIES IN  $\pi_p(V_{m,p+1})$  WHICH IS ZERO SINCE  $2(p+1) \leq m$ . LABEL THESE CROSS SECTIONS  $\bar{w}_1, \dots, \bar{w}_{p+1}$ .

$$\begin{aligned} \gamma(M)|_{\bar{S}^p} &= \zeta_1 + \zeta_2 \\ \uparrow \\ \eta|_{\bar{S}^p} &= \zeta_1 + \zeta_2 \\ \uparrow \\ \eta|_{\bar{E}^{p+1}} &= \bar{\zeta}_1 + \bar{\zeta}_2 \\ &\text{(BUNDLES OVER A DISK.)} \end{aligned}$$

LET  $\bar{v}_1, \dots, \bar{v}_{m-p+1}$  BE CROSS SECTIONS OF  $\bar{\zeta}_1|_{\bar{E}^{p+1}}$

LET  $v_i = \mu^{-1} \bar{v}_i|_{\bar{S}^p}$ . THE  $v_i$ 'S SERVE AS CROSS SECTIONS OF  $\zeta_1$  SO  $\zeta_1$  IS A TRIVIAL BUNDLE. NOW  $\bar{\mu}: \eta \rightarrow \gamma(M)|_{\mathbb{R}^k}$  IS GIVEN

BY:

$$\begin{aligned} \bar{\mu}(v) &= \mu(v) \quad v \in E_{\eta}|_{\mathbb{R}^k} \\ \bar{\mu}(\sum a_i \bar{v}_i + \sum b_i \bar{v}_i) &= \sum v_i \frac{\partial}{\partial x_i} + \sum b_i \frac{\partial}{\partial y_i}, \quad v \in E_{\eta}|_{\bar{E}^{p+1}} \end{aligned}$$

WE CAN CHECK THAT THESE PIECE TOGETHER NICELY.



# HOMOTOPY COMMUTATIVITY AND ROTATION GROUPS

BY IOAN JAMES

DEFN.

LET  $G$  BE A TOPOLOGICAL GROUP AND  $G', G''$  SUBSPACES THEN  $G'$  HOMOTOPY COMMUTES, HTC,

IFF THE MAP  $c: G' \times G'' \rightarrow G$   
DEFINED BY  $c(x, y) = xyx^{-1}y^{-1}$  IS NULL  
HOMOTOPIC. IF  $G', G''$  ARE SUBGROUPS

THEN  $c: (G', e) \rightarrow e$   
 $c: (e, G'') \rightarrow e$  SO  $c$  DEFINES

A MAP  $\tilde{c}$  ON THE SMASH PRODUCT

$$\tilde{c}: G' \times G'' \rightarrow G$$

(  $G' \times G'' \equiv \frac{G' \times G''}{(G', e) \cup (e, G'')}$  )

THEN  $c$  IS NULL HOMOTOPIC  
IFF  $\tilde{c}$  IS NULL HOMOTOPIC.

WE NOW DEFINE THE GROUP  $O_m$ .

FOR THE REALS  $O_m$  IS THE REAL ORTHOGONAL GROUP  
" " COMPLEXES " " UNITARY GROUP  
" " QUATERNIONS " " SYMPLECTIC GROUP

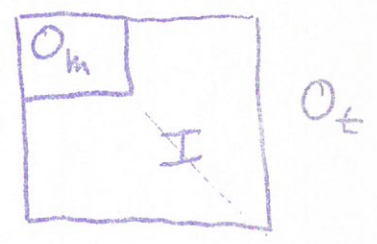
WE CAN IMBED  $O_m$  IN  $O_{m+1}$   
BY CONSIDERING  $O_m$  AS TRANSFORMATIONS  
ON  $m+1$  DIML. VECTOR SPACE OVER THE  
CORRESPONDING FIELD  $F$  LEAVING THE  
LAST COORDINATE FIXED.

WE REGARD  $O_m, O_n \subseteq O_t$   
 $m, n \leq t$

WE WANT TO FIND  $m, n, t$  SUCH THAT  $O_m$  AND  $O_n$  HOMOTOPY COMMUTE IN  $O_t$ . DOES THERE EXIST SUCH A  $t$ ?

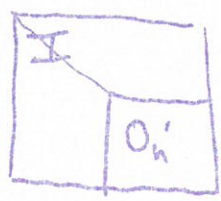
YES. LET  $t \geq m+n$ .

IMBED  $O_m$  IN  $O_t$  AS

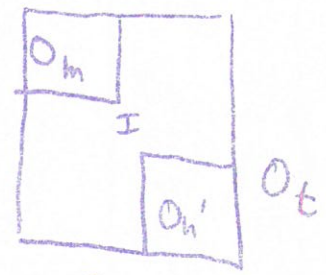


(i.e. IMBEDDING MATRICES)

NOW BY CONJUGATING WITH RESPECT TO A FIXED ELEMENT IN THE PATH COMPONENT OF  $e$  WHICH IS HOMOTOPICALLY TRIVIAL WE SHIFT  $O_n$  TO  $O_n'$ .



THUS



AND  $O_m + O_n'$  COMMUTE IN  $O_t$  SO  $O_m + O_n$  HTY-C IN  $O_t$ .

DEFN. LET  $l(m, n)$  BE THE SMALLEST INTEGER  $t$  SUCH THAT  $O_m, O_n$  HTY-C. IN  $O_t$ . IN GENERAL  $l(m, n)$  IS DIFFERENT FOR THE REALS, COMPLEXES, QUATERNIONS.

THEOREM  $l(m, n) = m+n$ , EXCEPT FOR  $m=n=1$  IN COMPLEX CASE. WHEN  $F$  IS COMPLEXES OR QUATERNIONS.

REF. R. BOTT COMM. MATH. HELV. 1960

PROOF LET  $m+n = k+t$  ,  $t \geq m, n$

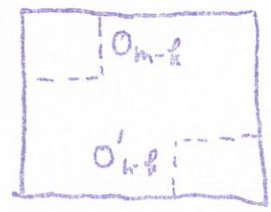
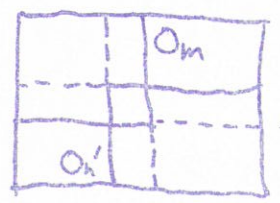
WE HAVE:  $C: O_m * O_n \rightarrow O_t$

FOR WHAT  $t$  IS  $C$  NULL HOMOTOPIC?

WE KNOW IF  $k=0$   $C$  IS NULL HOMOTOPIC

SO ASSUME  $k \geq 1$ .

AGAIN WE SHIFT  $O_n$  TO  $O'_n$   
BY A HOMOTOPICALLY TRIVIAL MAPPING



NOW  $O_{m-k} * O_n \rightarrow O_t$   
 $O_m * O_{n-k} \rightarrow O_t$  ARE

BOTH HOMOTOPICALLY TRIVIAL.

DEFINE  $O_{m,k} \equiv O_m / O_{m-k}$

THEN  $C: O_m * O_n \rightarrow O_t$  INDUCES

$C': O_{m,k} * O_{n,k} \rightarrow O_t = O_{m+n-k}$

WE SHOW  $C'$  INDEP. OF COSET REPRESENTATIVES.

LET  $x, y \in O_m$   $xny$  IFF  $x = ya$   
 $a \in O_{m-k}$  . LET  $z \in O'_n$

THEN  $C: (x, z) \rightarrow xzx^{-1}z^{-1} = yaza^{-1}y^{-1}z^{-1}$

BUT  $a \in O_{m-k}$  ,  $z \in O'_n \Rightarrow aza^{-1} = z$

$\therefore C(x, z) = C(y, z)$  SIMILARLY  $C'$  IS  
INDEP. OF COSET REPR. CHOSEN IN  $O_{n,k}$  .

NOTATION:  $(X, Y) = \text{HOMOTOPY CLASSES}$   
OF MAPS  $X \rightarrow Y$ .

LET  $E$  BE A FIBER SPACE, WITH  
BASE SPACE  $B$  AND FIBER  $F$ .

AND LET  $K$  BE A COMPLEX,  
 $SK$  ITS SUSPENSION. THEN

$F \rightarrow E \rightarrow B$  INDUCES THE EXACT  
SEQUENCE:

$$(SK, E) \xrightarrow{\pi} (SK, B) \xrightarrow{\Delta} (K, F) \xrightarrow{I} (K, E)$$

$\Delta$  IS THE TRANSGRESSION OPERATOR.

SUPPOSE WE LET  $K = O_{m, k} \otimes O_{n, k}$ .

$$\text{THEN } SK = O_{m, k} * O_{n, k}$$

$$\text{AND } O_{m+n-k} \rightarrow O_{m+n} \rightarrow O_{m+n, k}$$

BE THE FIBER SPACE  $(F \rightarrow E \rightarrow B)$

$$O_{m, k} \otimes O_{n, k} \xrightarrow{c'} O_{m+n-k}$$

$$\{c'\} = \gamma \in (K, F)$$

ASSUME  $k \geq 1$  CLAIM  $I(\gamma) = 0$ .

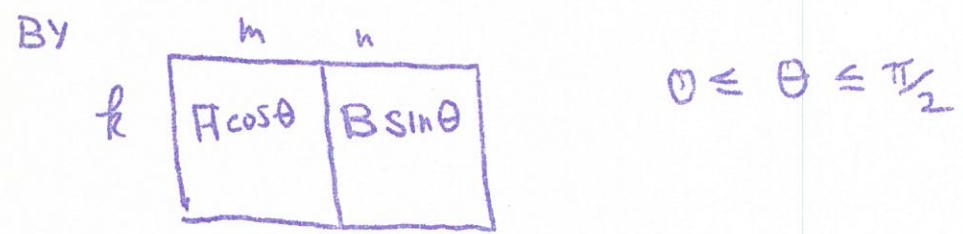
BY EXACTNESS THEREFORE THERE EXISTS  
 $\beta \in (SK, B) \cdot \exists \cdot \Delta(\beta) = \gamma$ .

IF  $c'$  IS NULL HOMOTOPIC, i.e.  $\gamma = 0$

THEN BY EXACTNESS  $\exists \alpha \in (SK, E)$

$\cdot \exists \cdot \pi(\alpha) = \beta$  AND CONVERSELY IF  
SUCH AN  $\alpha$  EXISTS THEN  $c'$  IS NULL  
HOMOTOPIC.

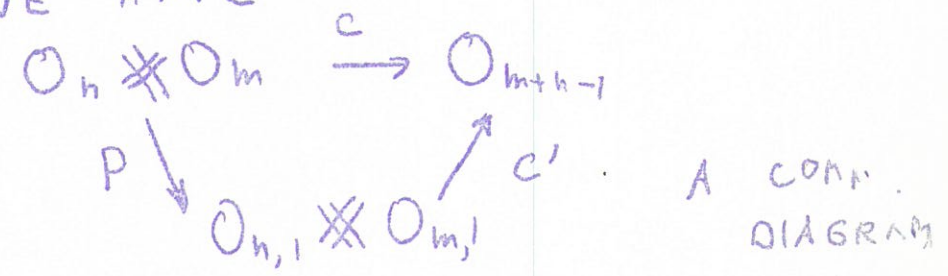
DEFN. THE JOIN OF  $A_0$  AND  $A_1$  DENOTED  $A_0 * A_1$  IS  $A_0 \times I \times A_1$  WITH  $(x_0, t, x_1) \sim x_i$  FOR  $t = i$ . WE DEFINE A MAP CALLED THE INTRINSIC JOIN :  $S^k = O_{m,k} * O_{n,k} \rightarrow O_{m+n,k} = B$



CONJECTURE WE CAN CHOOSE  $B$  ABOVE SUCH THAT  $B$  CONTAINS THE INTRINSIC JOIN. R. BOTT PROVES THIS FOR  $k=1$ .

WHEN  $k=1$  INTRINSIC JOIN IS A HOMEOMORPHISM.

WE NOW EXAMINE THE CASE  $k=1$ . WE HAVE



QUESTION WHEN IS  $c$  NULL HOMOTOPIC?

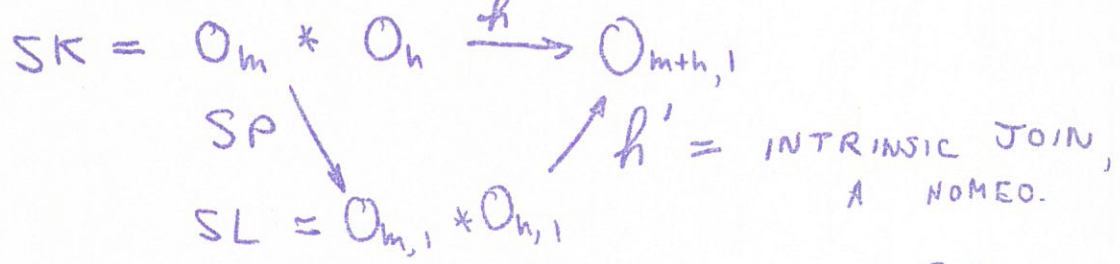
( $P$  IS SMASH OF PROJ. MAPS.  $O_n \rightarrow O_n/O_{n-1}$ )

WE NOW CHOOSE  $K = O_m * O_n$

AND THE SAME FIBER SPACE

LET  $L = O_{m,1} \times O_{n,1}$

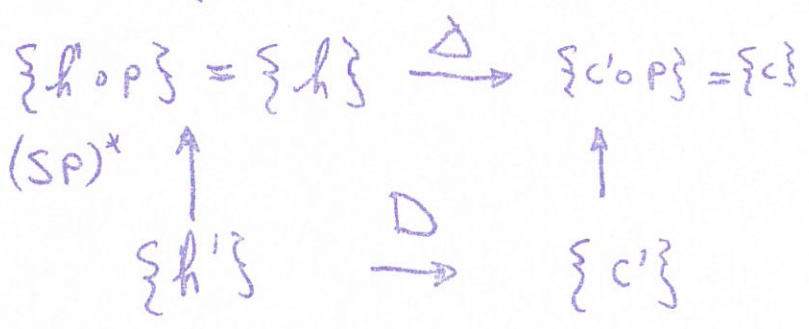
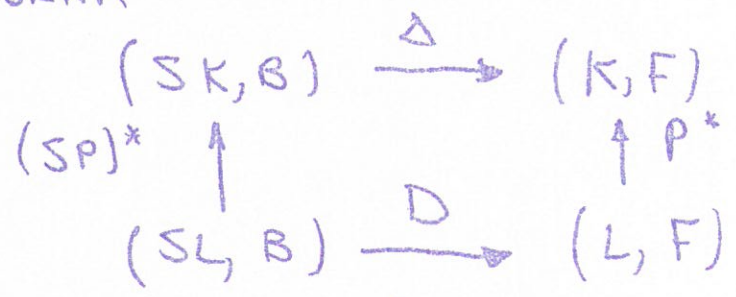
WE HAVE THE FOLLOWING DIAGRAM DEFINING THE MAP  $h$ .  $h = h' \cdot P$



WHERE BY R. BOTT'S RESULT  $\{h'\}_G(SL, B)$  IS  $\exists$  IN  $(SL, E) \xrightarrow{\Pi'} (SL, B) \xrightarrow{D} (L, F) \xrightarrow{I} (L, E)$

$D\{h'\} = \{c'\}$

WE HAVE THE INDUCED COMM. DIAGRAM



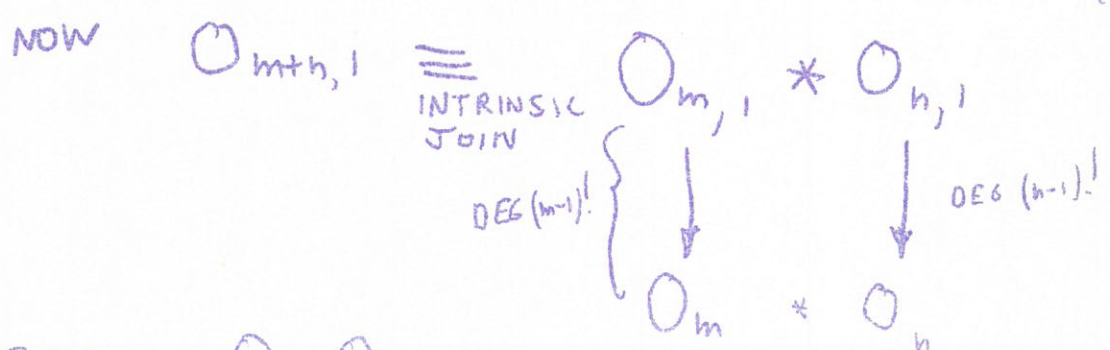
UNDER WHICH:

SO WE HAVE ESTABLISHED:

CRITERION  $O_m, O_n$  COMMUTE IN  $O_{m+n-1}$  IFF  $h$  CAN BE LIFTED TO A MAP  $g: SK \rightarrow E$ , i.e. A MAP  $O_m * O_n \rightarrow O_{m+n}$

TO PROVE THE THM. OF BOTT THAT  $l(m, n) = m+n$  IT IS SUFF. TO PROVE  $O_m, O_n$  DO NOT COMMUTE IN  $O_{m+n-1}$  AND THIS IS  $\Leftrightarrow$  TO SHOWING  $h$  DOES NOT HAVE A LIFT.

WE PROVE THIS FOR THE COMPLEX NUMBERS. WE CONSIDER THE PROJECTION MAP  $O_q \rightarrow O_{q,1} \cong$  SPHERE OF DIM  $2q-1$ . SUPPOSE WE HAVE A MAP BACK,  $O_{q,1} \rightarrow O_q$  THE COMPOSITION THEREFORE IS A MAP:  $S^{2q-1} \rightarrow S^{2q-1}$  AND WE CAN TALK OF ITS DEGREE. IT IS KNOWN THERE EXIST MAPS OF DEGREE  $l \Leftrightarrow (q-1)! | l$  THUS THE SMALLEST POSSIBLE DEGREE  $O_{q,1} \rightarrow O_q$  IS  $(q-1)!$



SUPPOSE  $O_m, O_n$  HTY-C. IN  $O_{m+n-1}$  THEN THERE EXISTS  $g: O_m * O_n \rightarrow O_{m+n}$  THE COMPOSITE MAP  $O_{m+n,1} \cong O_{m,1} * O_{n,1} \xrightarrow{g} O_m * O_n \xrightarrow{\text{PRJ.}} O_{m+n} \rightarrow O_{m+n,1}$  THEN HAS DEGREE  $(m-1)! (n-1)!$  A PROPER DIVISOR OF  $(m+n-1)!$  IF  $m$  OR  $n \neq 1$

THUS  $O_m, O_n$  DON'T HOMOTOPY COMMUTE  
 IN  $O_{m+n-1}$  EXCEPT WHEN  
 $m=n=1$ .

8.

FOR THE REAL ORTHOGONAL GROUPS  
 USING SPHERE BUNDLES AND  
 STIEFEL WHITNEY CLASSES WE  
 OBTAIN THE FOLLOWING:

THEOREM: SUPPOSE  $m+n \neq 4$  OR  $8$   
 THEN  $O_m, O_n$  DON'T HOMOTOPY  
 COMMUTE IN  $O_{m+n-1}$  IF  $m$  OR  $n$   
 IS EVEN OR  $d(m) \equiv d(n)$ .

(  $d(q) \equiv$  GREATEST POWER OF 2  
 WHICH DIVIDES  $q-1$  )

COR.  $O_n$  COMMUTES WITH ITSELF  
 IN  $O_{2n-1} \Leftrightarrow 2n=4$  OR  $8$ .

IF  $m+n=12$  FOR PAIRS  $(3,9)$   
 $(5,7)$  WE DON'T KNOW WHETHER  
 $O_m, O_n$  HTY-C. IN  $O_{m+n-1}$ .

NOTE:  $O_m, O_n$  NEVER HTY-C. IN  
 $O_{m+n-2}$ . FOR APPLY THM  
 TO ONE OF  $(m,n)$ ;  $(m-1,n)$ ;  $(m,n-1)$ .



CONTINUOUS, DIFFERENTIABLE, AND LINR.  
ACTIONS OF COMPACT LIE GROUPS

BY R. PALAIS

DEFN. LET  $X$  BE A TOP. SPACE,  $G$   
A COMPACT LIE GROUP. IF  $Y$  IS THE  
SET OF HOMEOMORPHISMS OF  $X$  WITH COMPACT  
OPEN TOPOLOGY AN ACTION OF  $G$  ON  $X$   
IS A HOMOMORPHISM OF  $G \rightarrow Y$ . (CONTINUOUS)

IF  $X$  IS A DIFFERENTIABLE MANIFOLD  
AND  $Y$  IS THE SET OF DIFFEOMORPHISMS  
OF  $X$  WITH THE  $C^1$  TOPOLOGY THEN  
A DIFFERENTIABLE ACTION OF  $G$  ON  $X$   
IS A CONT. HOMOMORPHISM OF  $G \rightarrow Y$ .

TWO ACTIONS ARE EQUIVALENT IF  
THEY DIFFER BY AN INNER AUTOMORPHISM  
OF  $Y$ .

PROBLEMS

- IF  $X$  IS A MANIFOLD AND  
 $G$  ACTS ON  $X$  CAN A DIFF.  
STRUCTURE FOR  $X$  BE CHOSEN  
MAKING THE ACTION DIFFERENTIABLE?
- IF  $X$  IS A DIFF. MANIFOLD  
AND  $G$  ACTS ON  $X$  IS THE ACTION  
EQUIV. TO A DIFF. ACTION?
- IF  $X$  IS DIFFEOMORPHIC TO  $E^n$  OR  $S^n$   
IS A DIFF. ACTION OF  $G$  ON  $X$   
EQUIV. TO A LINR. ACTION?

a) IS INCORRECT IF  $G =$  IDENTITY GROUP BY KERVAIRE'S WORK. IT IS ALSO INCORRECT FOR NON-TRIVIAL  $G$ .

b) IS INCORRECT. WE HAVE BOCHNER'S THM. IF  $G$  ACTS. DIFF. ON  $X$  AND  $p$  IS A STATIONARY POINT THEN DIFF. COORDS. CAN BE CHOSEN AROUND  $p$  IN WHICH ACTION IS LINEAR.

BY AN EXAMPLE OF BING  $\mathbb{Z}_2$  ACTS ON  $S^3$ .  $\exists$  FIXED PT. SET IS ALEXANDER'S HORNED SPHERE AND  $\therefore$  BY BOCHNER'S THM. THIS ACTION IS NOT EQUIV. TO A DIFF. ACTION.

WE SHALL DISCUSS TWO PAPERS:

1. EXAMPLES OF DIFF. GROUP ACTIONS ON SPHERES. BY MONTGOMERY AND SAMELSON
2. SOME EXAMPLES OF CONTRACTIBLE OPEN 3-MANIFOLDS. BY D. R. Mc MILLAN

IN THE PAPER OF MONTGOMERY AND SAMELSON WE HAVE THE FOLLOWING:

THEOREM LET  $G$  BE A NON-TRIVIAL COMPACT LIE GROUP, CHOOSE  $n > 0$  S.T.  $G$  HAS A REAL LINR. REPR. OF DEG.  $n$  LEAVING ONLY THE ORIGIN FIXED. THEN  $\exists$  A SEQ. OF DIFF. ACTIONS ON  $S^{n+4}$  WHICH HAVE NON-HOMEOMORPHIC STATIONARY SETS. (EACH SET WILL BE A HOMOLOGY SPHERE BUT NOT A SPHERE)

PROOF LET  $K$  BE A FINITE ACYCLIC  
 $\mathbb{Z}$ -COMPLEX WITH  $\pi_1(K)$  THE DOUBLED  
 ICOSAHEDRAL GROUP. IT IS A PERFECT  
GROUP (i.e.  $\cong$  TO ITS COMMUTATOR GROUP)  
 GIVEN BY 2 GENERATORS AND 2 RELATIONS.

ASIDE: IF  $\Gamma$  IS A PERFECT GROUP WITH  
 $k$  GENERATORS &  $k$  RELATIONS THEN THE  
 $\mathbb{Z}$ -COMPLEX,  $K$ , INDUCED BY  $\Gamma$  IS ACYCLIC.  
 WE HAVE FOR EACH GEN. A COPY OF  $S^1$   
 AND FOR EACH RELN. A  $\mathbb{Z}$ -CELL.  
 THEN  $\pi_1(K) = \Gamma$  SO  $H_1(K) = \Gamma / [\Gamma, \Gamma] = 0$

(BECAUSE  $\Gamma$  IS A PERFECT GROUP) NOW  
 $H_2(K) = \mathbb{Z}_2$  THE GROUP OF  $\mathbb{Z}$ -CYCLES  
 ( $B_2 = 0$  BECAUSE  $K$  A  $\mathbb{Z}$ -COMPLEX)

SO  $H_2(K)$  IS FREE. THUS SUFF. TO  
 SHOW ITS RANK  $b_2$  IS 0.

NOW ~~E~~ EULER CHARACTERISTIC OF  $K$   
 IS  $1 - k + k$  SO

$$1 - k + k = 1 - b_1 + b_2$$

BUT  $H_1(K) = 0$  SO  $b_1 = 0$  THUS  $b_2 = 0$ .

THUS  $K$  IS ACYCLIC. 1

WE SEE  $\therefore$  THAT OUR PARTICULAR  $K$   
 ABOVE IS ACYCLIC.

LET  $K_i = K \underbrace{V \dots V}_i K$   
 $i$  TIMES

THEN  $K_i$  IS AN ACYCLIC 2-COMPLEX

$\pi_1(K_i)$  HAS MINIMAL SET OF  $2i$  GENERATORS.  $\therefore \pi_1(K_i) \not\cong \pi_1(K_j)$

FOR  $i \neq j$ .

NOW IMBED  $K_i$  IN  $S^5$ . (TWO COMPLEX IN 5 SPACE)

AND LET  $T_i$  BE A REG. NBD. (IN THE SENSE OF WHITEHEAD) OF  $K_i$ .

$\partial T_i = M_i$  A MANIFOLD.

LET  $Y_i = S^5 - T_i^\circ$  ( $T_i^\circ =$  INTERIOR OF  $T_i$ )

CLAIM: (a)  $Y_i$  IS A 5-MANIFOLD WITH  $\partial Y_i = M_i$  CLEARLY. . .

(b)  $\pi_1(M_i) \cong \pi_1(K_i)$

PROOF  $T_i \underset{\text{h.t.}}{\sim} K_i$  (HOMOTOPY EQUIVALENT)

BECAUSE  $K_i$  IS A DEFORMATION RETRACT OF  $T_i$ . BY TAKING A 2-COMPLEX OUT OF  $T_i$  WE DO NOT CHANGE  $\pi_1(T_i)$  BECAUSE WE ARE IN 5-SPACE.

SO  $\pi_1(T_i) = \pi_1(T_i - K_i)$

BUT  $M_i$  IS A DEFORM. RETRACT OF  $T_i - K_i$  THUS

$\pi_1(K_i) = \pi_1(T_i) = \pi_1(T_i - K_i) = \pi_1(M_i)$  . .

(c)  $Y_i$  IS SIMPLY CONNECTED, GIVEN A LOOP IN  $Y_i$  IT BOUNDS A DISK IN  $S^5$  AND WE CAN PUSH THE DISK OFF  $K_i$  & USE RETRACTION OF  $T_i - K_i$  ONTO  $M_i$  TO PUSH DISK INTO  $Y_i$ .

(d)  $Y_i$  IS ACYCLIC.  $T_i \underset{\text{h.t.}}{\sim} K_i$   
 $\Rightarrow T_i$  ACYCLIC, SINCE  $Y_i - M_i = S^5 - T_i$   
 THEN BY ALEXANDER DUALITY

$Y_i - M_i$  IS ACYCLIC AND

$Y_i - M_i \underset{\text{h.t.}}{\sim} Y_i \Rightarrow Y_i$  ACYCLIC.

SO  $Y_i$  IS A CONTRACTIBLE 5-MANIFOLD WITH  $\partial = M_i$ .

$Y_i$  ADMITS A DIFF. STRUCTURE. THIS IS PROVEN DIRECTLY IN THE PAPER OF MONTGOMERY AND SAMELSON OR CAN BE PROVEN USING MUNKRES' OBSTRUCTION THEORY.

CLAIM:  $Y_i \times D^n \underset{\text{DIFFEO.}}{\approx} D^{n+5}$   $n \geq 10$   
 $\uparrow$   
 $n$ -DISK.

BY A WELL KNOWN FACT WE NEED ONLY PROVE COMBINATORIALLY EQUIVALENT.

NOW  $Y_i \times D^n$  IS A REGULAR NBD. OF  $Y_i$  IN  $R^{n+5}$  AND BY A RESULT OF J. H. C. WHITEHEAD WHICH STATES

THAT 2-SIMPLY CONNECTED  $k$ -COMPLEXES OF SAME HOMOTOPY TYPE HAVE ISOMORPHIC REGULAR NBOS. IN  $R^{k+5}$ , WE HAVE

$$Y_i \times D^h \underset{\text{COMB.}}{\approx} D^{h+5}$$

THE SEQ. OF BOUNDARIES ARE NON-HOMEOMORPHIC HOMOLOGY SPHERES.

$$\partial(Y_i \times D^h) = S^{h+4} = M_i \times D^h \cup Y_i \times S^{h-1}$$

WE DEFINE AN ACTION ON  $S^{h+4}$ :

CONSIDER A LINR. ACTION ON  $D^h$  LEAVING ONLY THE ORIGIN FIXED AND TRIVIAL ON  $Y_i$ . THIS INDUCES A DIFF. ACTION ON  $\partial(Y_i \times D^h) = S^{h+4}$

FOR THE FIXED PT. SET WE HAVE:

$$F(Y_i \times D^h) = Y_i \times 0 \quad \text{THUS}$$

$$F(S^{h+4}) = \partial Y_i \times 0 = M_i \times 0$$

AND SINCE THE  $M_i$  ARE NOT HOMEOMORPHIC (HAVING AS WE HAVE SHOWN DIFFERENT FUND. GROUPS)

THE THM. IS PROVEN.

TURNING NOW TO THE PAPER OF D.R. Mc MILLAN WE HAVE:

THEOREM LET  $G$  BE A NON-TRIVIAL COMPACT LIE GROUP CHOOSE  $n \geq 2$  S.T.  $G$  HAS A REPR. OF DEG.  $n$  LEAVING ONLY THE ORIGIN FIXED. THEN  $\exists$ :

(a) UNCOUNTABLY MANY DIFF. ACTIONS OF  $G$  ON  $R^{n+3}$  WITH NON-HOMEOMORPHIC FIXED PT. SETS. 7.

(b) UNCOUNTABLY MANY CONT. ACTIONS OF  $G$  ON  $S^{n+3}$  WITH NON-HOMEO. FIXED PT. SETS.

PROOF: Mc MILLAN CONSTRUCTS UNCOUNTABLY MANY NON-HOMEO. OPEN CONTRACTIBLE 3-MANIFOLDS  $\{M_\alpha\}$  AND PROVES:

LEMMA EACH  $M_\alpha$  HAS LARGE COMPACT SETS WITH CONNECTED COMPLEMENT.

WE DEFINE:  $X$  IS SIMPLY CONNECTED AT  $\infty$   $\iff$  FOR EACH COMPACT  $K \subseteq X$ ,  $\exists$  COMPACT  $L$  WITH  $K \subseteq L \subseteq X$  S.T. THE INDUCED MAP

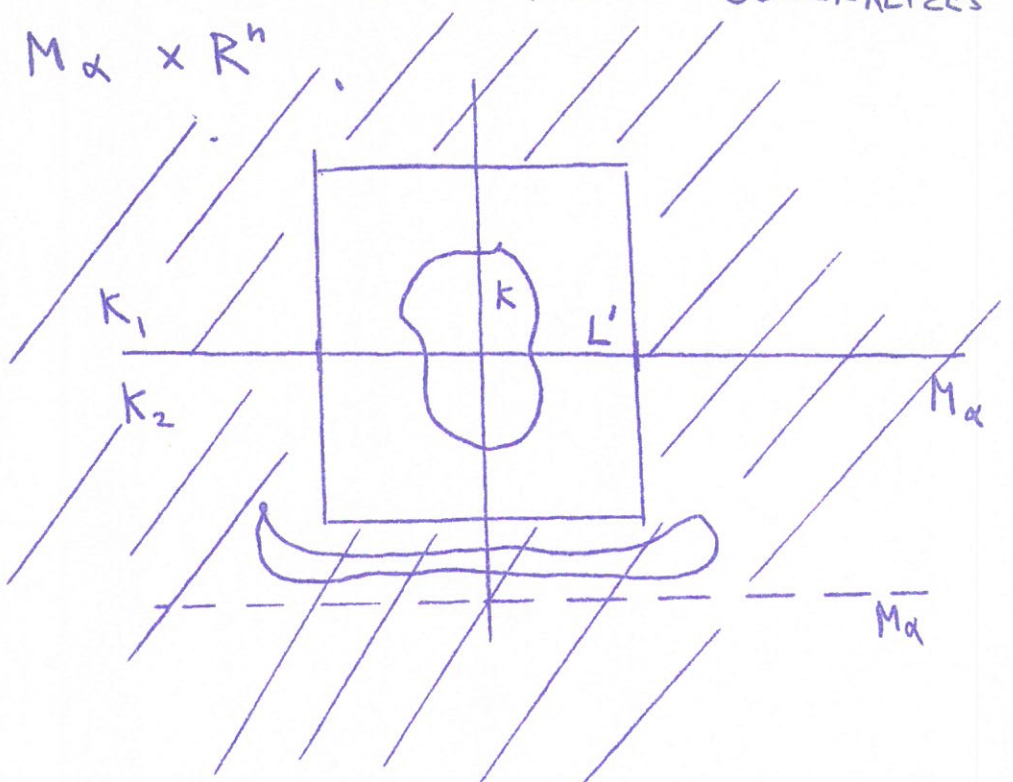
$$\pi_1(X - L) \rightarrow \pi_1(X - K) \text{ IS TRIVIAL.}$$

THERE IS THE FOLLOWING:

STALLINGS THEOREM IF  $X$  IS A CONTRACTIBLE OPEN COMBINATORIAL  $h$ -MANIFOLD SIMPLY CONNECTED AT  $\infty$  + IF  $h \geq 5$  THEN  $X \cong R^h$  COMB. DIFFEO.

WE SHALL SHOW  $M_\alpha \times R^h$  SIMPLY CONNECTED AT  $\infty$  + HENCE BY STALLING'S THM.  $M_\alpha \times R^h \cong R^{n+3}$  DIFFEO. FOR  $h \geq 2$ .

WE SHOW  $M_d \times R^1$  SIMPLY CONNECTED  
AT  $\infty$ . THE PROOF GENERALIZES TO



BY McMILLAN'S LEMMA WE CHOOSE  
 $L' \subseteq M_d$  AND  $m \cdot \exists \cdot L = L' \times [-m, m] \supseteq K$   
AND  $M_d - L'$  IS CONNECTED.

NOW  $K_2$  IS SIMPLY CONNECTED, FOR PROJECT  
ANY LOOP ONTO  $M_d$  (DASHED LINE) AND  
THEN CONTRACT VIA CONTRACTION OF  $M_d$ .

( $M_d$  RECALL IS AN OPEN CONTRACTIBLE 3 MANIFOLD)

SIMILARLY  $K_1$  IS SIMPLY CONNECTED  
AND  $K_1 \cap K_2 \cong M_d - L'$  IS CONNECTED  
 $\Rightarrow K_1 \cup K_2$  IS SIMPLY CONNECTED. THUS  
 $M_d \times R^1 - L$  IS SIMPLY CONNECTED.



NOW HAVING ESTABLISHED:

$$M_\alpha \times \mathbb{R}^h \underset{\text{DIFF}}{\approx} \mathbb{R}^{h+3} \quad h \geq 2$$

WE DEFINE AN ACTION BY  $G$  ON  $\mathbb{R}^h$  LEAVING ORIGIN FIXED, AND ON  $M_\alpha$  BY LEAVING EVERY PT. FIXED. THIS IS  
 $\therefore$  A DIFF ACTION OF  $G$  ON  $\mathbb{R}^{h+3}$  WITH FIXED SET  $M_\alpha \times 0$  PROVING a).

TO PROVE b) WE NOTE THE ACTION ABOVE INDUCES A CONT. ACTION ON  $S^{h+3}$  WITH FIXED PT. SET  $\hat{M}_\alpha$

(THE 1 PT. COMPACTIFICATION OF  $M_\alpha$ ).

THEN  $\hat{M}_\alpha \not\cong \hat{M}_\beta$  FOR  $\alpha \neq \beta$ . FOR

SUPPOSE NOT, THEN WE MAY ASSUME THE HOMEO. MAP  $\alpha \rightarrow \beta$  AND  $\therefore$

$$M_\alpha \cong M_\beta \quad \text{FOR } \alpha \neq \beta. \quad \text{CONTR!}$$

THUS b) IS PROVEN. |

REMARK THE FOLLOWING THEOREM IS

TRUE:

THEOREM TO WITHIN EQUIVALENCE  $\exists$

AT MOST COUNTABLY MANY DIFF. ACTIONS OF A COMPACT GROUP ON A COMPACT DIFF. MANIFOLD. |

# CORRESPONDENCES IN ABELIAN CATEGORIES

BY. D. PUPPE

DEFN. LET  $A, B$  BE LEFT  $R$  MODULES, AND  $F$  A SUBMODULE OF  $A \times B$ . THEN THE TRIPLE  $\mathfrak{f} = (A, B, F)$  IS A GENERALIZED HOMOMORPHISM OR CORRESPONDENCE. IF  $F$  HAS THE PROPERTY THAT FOR  $a \in A$   $\exists$  A UNIQUE  $b$  S.T.  $(a, b) \in F$ , THEN  $\mathfrak{f}$  IS AN ORDINARY HOMOMORPHISM.

$D\mathfrak{f} \equiv \{ a / a \in A + \exists b \in B . \exists (a, b) \in F \}$  CALLED THE DOMAIN OF  $\mathfrak{f}$ .

$I\mathfrak{f} \equiv \{ b / b \in B, \text{ s.t. } (0, b) \in F \}$  IS CALLED THE INDETERMINACY OF  $\mathfrak{f}$ .

$\mathfrak{f} = (A, B, F)$  INDUCES A HOMOMORPHISM

$$A \cong D\mathfrak{f} \rightarrow B / I\mathfrak{f} . \quad \text{THE}$$

RELATION IS  $1-1$  BETWEEN CORRESPONDENCES AND INDUCED HOMOMORPHISMS.

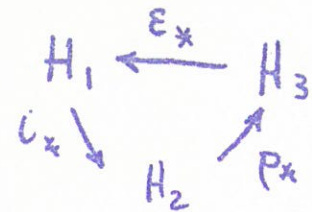
OBVIOUSLY  $D\mathfrak{f} = A, I\mathfrak{f} = 0 \Rightarrow \mathfrak{f}$  IS A HOMO.

## EXAMPLES OF CORRESPONDENCES

- 1) SECONDARY COHOMOLOGY OPERATIONS
- 2) CONSIDER A SHORT EXACT SEQ. OF CHAIN COMPLEXES,

$$0 \rightarrow C_1 \xrightarrow{L} C_2 \xrightarrow{P} C_3 \rightarrow 0$$

WHERE  $C_i$  IS A CHAIN COMPLEX (WE DISREGARD THE GRADING). WE OBTAIN THE EXACT TRIANGLE:



(OR LONG EXACT SEQUENCE).  $E_*$  THE CONNECTING HOMOMORPHISM. LET  $h_v: C_v \rightarrow H_v$ ,  $h_v$  IS A CORRESPONDENCE. THEN

$E_* = h_3^{-1} p^{-1} d_2 i^{-1} h_1$  IS A HOMOMORPHISM, ALTHOUGH COMPOSED OF THREE CORRESPONDENCES,  $p^{-1}, h_3^{-1}, h_1$ .

NOTATION IN  $E_*$  ABOVE,  $h_3^{-1}$  ACTS FIRST, ETC.

NOTE: IF  $F \subseteq A \times B$ , LET  $F^\#$  BE THE CORRESPONDING SUBMODULE OF  $B \times A$ . SO  $p^{-1}$  ABOVE IS:  $(C_3, C_2, (\text{GRAPH OF } p)^\#)$  AND IN GENERAL IF  $\varphi$  IS A CORRESPONDENCE  $\varphi = (A, B, F)$   $\varphi^\# \equiv (B, A, F^\#)$

WE SHALL RESERVE THE NOTATION  $\varphi^{-1}$  FROM NOW ON TO GENUINE INVERSES.

3) SPECTRAL SEQUENCES.

WE HAVE:  $E_r, d_r$  s.t.  $E_{r+1} \cong H(E_r)$   
 SUPPOSE  $a \in E_r$  IS s.t.  $d_1, d_2, d_3, \dots$  VANISH ON  $a$ , THEN  $d_j$  IS A CORRESPONDENCE ON  $E_r$ .

WE WISH NOW TO: 1) GET AN AXIOMATIC SET UP FOR THESE CORRESPONDENCES.

2) ESTABLISH THE FUNDAMENTAL PROPS. OF CORRS.

3) COMPARE OUR SET UP WITH THE NOTION OF ABELIAN CATEGORIES.

DEFN. AN INVOLUTION OR I-CATEGORY  $\mathcal{K}$  IS:

I: A CATEGORY WITH OBJECTS  $A, B$  AND CORRESPONDENCES OR MORPHISMS  $f \in \mathcal{K}(A, B) = \text{Hom}_{\mathcal{K}}(A, B)$ .

II: IF  $f \in \mathcal{K}(A, B)$  THEN  $\exists f^* \in \mathcal{K}(B, A)$  CALLED THE CONVERSE OF  $f$ .

III:  $\exists$  A PARTIAL ORDERING " $\subset$ " AMONG THE CORRESPONDENCES.

MOREOVER THE FOLLOWING BASIC AXIOMS HOLD:

$$(fg)^* = g^* f^*$$

$$f^{**} = f$$

(HENCE THE NAME INVOLUTION)

$$f_1 \subset f_2 \Rightarrow f_1 g \subset f_2 g \quad \text{FOR ALL } g.$$

$$f_1 \subset f_2 \Rightarrow f_1^* \subset f_2^*$$

• 1

LET	$S$	DENOTE	THE	CATEGORY	OF	SETS
"	$B$	"	"	"	"	"
"	$G$	"	"	"	"	WITH BASE PT.
"	$M$	"	"	"	"	(NON ABEL.) GROUPS
						MODULES

ADDITIONAL AXIOMS:

(K-1):  $\exists$  AN OBJECT  $O$  S.T.  $K(O, O) = \{1\}$   
 (I.E. HAS ONLY ONE MORPHISM).  $X(O, A)$   
 CONTAINS A SMALLEST ELEMENT  $\omega_A$  AND A  
 LARGEST ELEMENT  $\Omega_A$ .

DEFN. LET  $f \in X(A, B)$ , THE INDETERMINACY OF  $f$   
 DENOTES  $I_f$  IS:

$$I_f \equiv \omega_A \cdot f \in X(O, B)$$

(NOTATION:  $A \xrightarrow{f} B \quad B \xrightarrow{g} C$  THEN  $A \xrightarrow{g \circ f} C$ )

EXAMPLES OF CATEGORIES SATISFYING ABOVE  
 REQUIREMENTS ARE:

- i)  $S$ ,  $O = \emptyset$  EMPTY SET,  $X(\emptyset, A) = \emptyset$
- ii)  $B$ , SETS WITH BASE PT.  $*$   $O = \{*\}$   
 $O \times A \cong A$ ,  $*$  BASE PT. MAPS TO BASE PT.  
 $\Rightarrow X(O, A) = \{*\}$  SO  $\Omega_A = \omega_A =$  BASE  
 PT. OF  $A$ . AND  $I_f =$  IMAGE OF BASE  
 PT. OF  $A$ .
- iii)  $G$  . iv)  $M$  .

DEFN.  $B_f \equiv \Omega_A \cdot f \in X(O, B)$  CALLED  
 THE IMAGE (BILO) OF  $f$

$$\left. \begin{aligned} K_f &\equiv \omega_B \cdot f^\# \\ D_f &\equiv \Omega_B \cdot f^\# \end{aligned} \right\} \in X(O, A)$$

CALLED THE KERNEL & DOMAIN OF  $f$ , RESPECTIVELY.

DEFN.  $f$  IS A PROPER MORPHISM OF THE CATEGORY IFF  $I f = W_B$ ,  $D f = \Omega_A$

$\mathcal{C}(K)$  IS THE SUBCATEGORY OF PROPER MORPHISMS.

( $f \in K(A, B)$ ,  $g \in K(B, C)$  PROPER MORPHISMS, THEN  $f g \in K(A, C)$  IS A PROPER MORPHISM SINCE  $I(fg) \equiv W_A(fg) = (W_A \cdot f)g = (I f) \cdot g = W_B \cdot g = I g = W_C$ .)

QUESTION FOR  $f: A \rightarrow B$ , WHEN IS  $f^\# f = 1_B$ ?

IF  $g f^\# f \subset g$  THEN  $W f \subset W g f^\# f \subset W g$

AXIOM (K-2) (a)  $I f \subset I g \Rightarrow g f^\# f \subset g$

(TRUE FOR  $G, M$  NOT FOR  $S, B$ )

(b)  $B f \supset B g \Rightarrow g f^\# f \supset g$

(TRUE FOR  $B, G, M$  NOT FOR  $S$ )

DEFN. A MORPHISM  $f$  IS CALLED EPI

$\Leftrightarrow B f = \Omega_B f$

IT IS CALLED MONO  $\Leftrightarrow K f = W_A f^\#$

AND ISO  $\Leftrightarrow$  EPI + MONO.

PROPOSITION  $f$  IS AN ISOMORPHISM  $\Leftrightarrow f$  IS AN EQUIVALENCE ( + IF SO  $f^\# = f^{-1}$  ).

AXIOM (K-3)

IF  $u \in K(0, R)$  THEN

- (a)  $\exists$  A ~~MONOMORPHISM~~ MONOMORPHISM  $m: U \rightarrow R$  s.t.  $Bm = u$
- (b)  $\exists$  AN EPIMORPHISM  $e: A \rightarrow Q$  s.t.  $Ke = u$ .

(a) IS TRUE FOR  $(S, B, G, M)$   
 (b) IS TRUE FOR  $(S, B, M)$

DEFN.  $C \in K$  IS A CHAIN OBJECT IF  $\exists$   
 $d \in K(C, C)$ , AN OBJECT  $H$ , AND  $h: C \rightarrow H$   
 s.t.  $Ih = W_H$  AND  $Bh = \Omega_H$   
 $Dh = Kd$  AND  $Kh = Bd$ .  $H$  IS  
 CALLED A HOMOLOGY OBJECT.

NOTE GIVEN  $C, d \in K(C, C)$  THEN  $Bd \subset Kd$   
 $\rightarrow$  A HOMOLOGY OBJECT EXISTS.

GIVEN A SHORT EXACT SEQ. OF CHAIN OBJECTS  
 THEN THE CONNECTING HOMOMORPHISM IS:

$$\epsilon_x = h_3 \# p \# d_2 \# i \# h_1 \#$$

,  $\epsilon_x$  IS A PROPER MORPHISM.

DEFN. A SPECTRAL SEQUENCE IS AN OBJECT,  $C$   
 WITH MORPHISMS  $d_1, d_2, d_3, \dots$  s.t.  
 $Bd_r \subset Kd_r$   
 AND  $Dd_r = Kd_{r-1}$   
 $I d_r = B d_{r-1}$

NOW  $Bd_r \in Kd_r \Rightarrow$  HOMOLOGY OBJECT  $(H_r, h_r)$  OF  $(C, d_r)$  EXISTS. 7.

WE HAVE:

$$\begin{array}{ccc}
 C & \xrightarrow{d_r} & C \\
 h_{r-1} \downarrow & & \downarrow h_{r-1} \\
 H_{r-1} & \xrightarrow{\overline{d_r}} & H_{r-1}
 \end{array}$$

WHERE  $\overline{d_r} \equiv \cdot \overset{\#}{h_{r-1} d_r h_{r-1}}$ .

WE DEFINE  $\overline{h_r} : H_{r-1} \rightarrow H_r$  AS  $\overline{h_r} = \overset{\#}{h_{r-1} h_r}$

PROPOSITION (a)  $\overline{d_r}$  IS A PROPER MORPHISM,

(b)  $(H_r, \overline{h_r})$  IS A HOMOLOGY OBJECT OF  $(H_{r-1}, \overline{d_r})$  ( $= E_r$ ),

NOTE (K-3)(a) IS ONLY USED TO PROVE:  
 $Bd \subset Kd \Rightarrow$  A HOMOLOGY OBJECT EXISTS.

THUS IN THE CATEGORY  $\mathcal{G}$  OF (NON-ABEL.) GROUPS WE HAVE SPECTRAL SEQUENCES.

ALSO IN A CATEGORY WHERE (K-3) DOES NOT HOLD BUT  $C, d_1, d_2, \dots$  EXISTS WITH  $Id_r = Bd_{r-1}$  AND  $Dd_r = Kd_{r-1}$  AND IF A HOMOLOGY OBJECT EXISTS THEN  $(C, d_1, d_2, \dots)$  IS A SPECTRAL SEQUENCE.

DEFN.  $(A, C)$  IS AN EXACT COUPLE IF

$\exists$

$$\begin{array}{ccc}
 A & \xrightarrow{f} & A \\
 h \swarrow & & \searrow g \\
 & C &
 \end{array}$$

AN EXACT TRIANGLE WITH  $g, h$  PROPER MORPHISMS.



DEFINING  $d_r = h(f^\#)^{r-1}g$  THEN  
 $C, d_1, d_2, \dots, d_r, \dots$  IS A SPECTRAL SEQUENCE.

$$\begin{aligned} \text{FOR: } Bd_r &= \Omega_c h(f^\#)^{r-1}g \subset \Omega_c g \stackrel{\uparrow}{=} W h^\# \\ &\subset W g^\# f^{r-1} h^\# = Kd_r \end{aligned}$$

EXACTNESS

SO  $Bd_r = Kd_r$ .

$$\begin{aligned} Dd_r &\subset \Omega g^\# f^{r-1} h^\# = \Omega f^{r-1} h^\# = \begin{cases} \Omega h^\# = \Omega & r=1 \\ \Omega f^{r-2} h^\# & r > 1 \end{cases} \\ &= W g^\# f^{r-2} h^\# = Kd_{r-1} \quad r > 1. \end{aligned}$$

THEOREM 1 GIVEN AN ABELIAN CATEGORY  $\mathcal{A}$   
 THERE EXISTS AN  $\mathbb{I}$ -CATEGORY  $\mathcal{K}$  SATISFYING  
 (K-1) - (K-3) SUCH THAT  $\mathcal{E}(\mathcal{K}) \cong \mathcal{A}$ .

THEOREM 2 IF  $\mathcal{K}, \mathcal{K}'$  ARE  $\mathbb{I}$ -CATEGORIES  
 SATISFYING (K-1) - (K-3) AND S.T.  
 $\mathcal{E}(\mathcal{K}) \cong \mathcal{E}(\mathcal{K}')$  THEN  $\mathcal{K} \cong \mathcal{K}'$ .

THEOREM 3 IF  $\mathcal{K}$  IS AN  $\mathbb{I}$ -CATEGORY SATISFYING  
 (K-1) - (K-3) THEN  $\mathcal{E}(\mathcal{K})$  IS A QUASI-EXACT  
ABELIAN CATEGORY WHERE:

DEFN. A CATEGORY  $\mathcal{C}$  IS QUASI-EXACT IF:

- 1)  $\exists 0_{AB} \in \mathcal{C}(A, B)$

- 2) KERNELS, COKERNELS, COIMAGES, IMAGES EXIST.

IF  $f \in \mathcal{C}(A, B)$  THE KERNEL OF  $f$ ,  
 $\text{KER } f$  IS A PAIR  $(U, u)$

$$U \xrightarrow{u} A \quad \text{s.t.}$$

- i)  $u$  IS INJECTIVE, I.E.  $xu \approx x'u \Rightarrow x = x'$
- ii)  $u \neq 0_{U \rightarrow B}$
- iii) GIVEN  $(u', u')$   $\exists$  A UNIQUE  $g$  s.t.

FOLLOWING DIAGRAM IS COMMUTATIVE:

$$\begin{array}{ccccc}
 U & \xrightarrow{u} & A & \xrightarrow{f} & B \\
 & \searrow g & \uparrow u' & \nearrow 0_{U'B} & \\
 & & U' & & 
 \end{array}$$

THUS  $\text{KER } f$  IS SOLN. TO A UNIVERSAL PROBLEM. SIMILARLY ONE DEFINES IMAGE OF  $f$ , COKERNEL OF  $f$ , COIMAGE OF  $f$ .

3)  $\mathcal{C}(A, B)$  HAS ADDITION, SATISFYING DISTRIBUTIVE LAWS.

#### ADDITIONAL AXIOMS

(K-4)  $\mathcal{K}(A, B)$  IS A LATTICE; I.E.

$g_1, g_2 \in \mathcal{K}(B, C)$  THEN  $g_1 \wedge g_2 \in \mathcal{K}(B, C)$

AND  $f \in \mathcal{K}(A, B) \Rightarrow f(g_1 \wedge g_2) \subset f g_1 \wedge f g_2$

NOW  $I f \subset \mathcal{K} g_u \quad (u=1,2) \Rightarrow f(g_1 \wedge g_2) \supset f g_1 \wedge f g_2$

SO WE HAVE:

(K-5)  $I f \subset \mathcal{K} g_1$

10.

(K-6) GIVEN  $\mathcal{A}_1, \mathcal{A}_2 \in \mathcal{K} \quad \exists g_v : P \rightarrow \mathcal{A}_v$   
 $(v=1,2)$  S.T.  $g_v$  IS A PROPER MORPHISM  
 AND  $g_1 \# g_2$  IS THE LARGEST EL. IN  $\mathcal{K}(\mathcal{A}_1, \mathcal{A}_2)$   
 CALL IT  $\perp_{\mathcal{A}_1, \mathcal{A}_2}$  (WE KNOW  $\perp_{\mathcal{A}_1, \mathcal{A}_2}$   
 EXISTS, K-6 TELLS US THAT IT CAN BE  
 WRITTEN AS  $g_1 \# g_2$ ),

WITH THESE THREE ADDITIONAL AXIOMS HOLDING  
 FOR  $\mathcal{K}$  WE CAN SHOW  $\mathcal{E}(\mathcal{K})$  IS ABELIAN.

THEOREM  $\mathcal{K} \rightarrow \mathcal{E}(\mathcal{K})$  AND  $\mathcal{A} \rightarrow \mathcal{K}(\mathcal{A})$   
 ARE ISOMORPHISMS UNDER ISOMORPHISMS OF CATEGORIES.

(BY THM. 1 GIVEN  $\mathcal{A} \quad \exists \mathcal{K}$  S.T.  $\mathcal{E}(\mathcal{K}) \cong \mathcal{A}$   
 AND IF  $\mathcal{K}'$  IS ANY CATEGORY S.T.  $\mathcal{E}(\mathcal{K}') \cong \mathcal{A}$   
 THEN BY THM. 2  $\mathcal{K} \cong \mathcal{K}'$  SO THE MAP  
 $\mathcal{A} \rightarrow \mathcal{K}$  IS 1-1 SO WE WRITE THIS  $\mathcal{K}$   
 AS  $\mathcal{K}(\mathcal{A})$ , THIS IS OUR MAP  $\mathcal{A} \rightarrow \mathcal{K}(\mathcal{A})$   
 IN THM. ABOVE.)

### APPLICATIONS TO SECONDARY COHOMOLOGY OPERATIONS

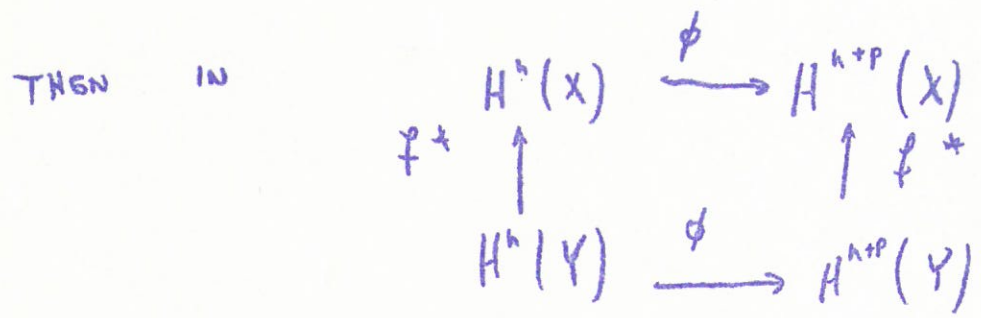
DEFN. SUPPOSE  $(\alpha, \beta)$  ARE CORRESPONDENCES  
 S.T. FOR ANY TO P. SPACE  
 WE HAVE:

$$H^*(X) \xrightarrow{\alpha} H^*(X) \xrightarrow{\beta} H^*(X)$$

$$\alpha\beta = 0$$

THEN A CORRESPONDENCE  $\phi: H^n(X) \rightarrow H^{n+p}(X)$   
 IS A SECONDARY COHOMOLOGY OPERATION  
ASSOCIATED WITH  $(\alpha, \beta)$  IFF

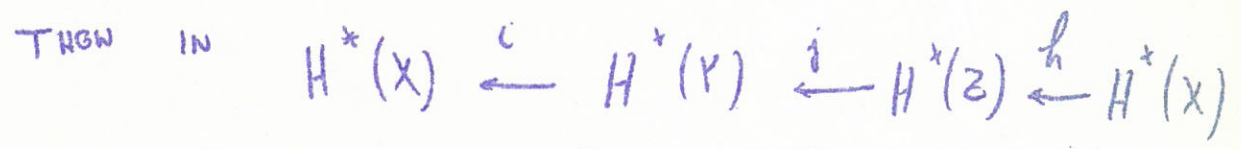
1) IF  $f: X \rightarrow Y$  IS CONT.



WE HAVE:  $\phi f^* \subset f^* \phi$

2) IF  $0 \rightarrow X \xrightarrow{c} Y \xrightarrow{q} Y/X = Z$

AND  $Z$  SATISFIES HOMOTOPY EXT. PROPERTY



WE HAVE:  $c\phi h = \alpha j^* \beta \dots$

# STRUCTURAL STABILITY OF DIFFERENTIAL MAPPINGS

R. THOM

THEOREM LET  $f: \mathbb{R} \rightarrow \mathbb{R}$  BE OF CLASS  $C^m$   
 AND S.T.  $f^{(k)}(t) \neq 0$  ON  $[a, b]$  THEN THERE  
 EXISTS  $\psi: u \rightarrow t$  A LOCAL DIFFEO.  $\psi' \neq 0$   
 ON  $[a, b]$  WITH INVERSE  $\varphi: t \rightarrow u$   
 S.T.  $f(t) = P_k(\varphi(t))$  WHERE  $P_k$  IS  
 A POLYN. OF DEG.  $k$ . WE MAY CHOOSE  $\psi$   
 S.T.  $\psi$  IS OF CLASS  $C^{m-1}$ , AND  $\psi = \text{IDENTITY}$   
 OUTSIDE  $[a', b']$   $a' \leq a, b \leq b'$ .

TO PROVE THIS THEOREM WE NEED:

LEMMA 1

LET  $f, g$  BE REAL VALUED FCTS. OF CLASS  $C^m$   
 $f$  MIN AT 0,  $g$  MIN AT  $\beta$  AND  $f+g$  HAVG  
 SAME ORDER OF CONTACT.



THEN THERE EXISTS A CHANGE OF VARIABLES  
 WHICH IS A LOCAL DIFFEOMORPHISM,  $\psi: u \rightarrow t$  S.T.  
 LOCALLY  $f(\psi(u)) = g(u)$ .

PROOF

IT IS SUFF. TO PROVE THIS FOR  
 $f = t^k$

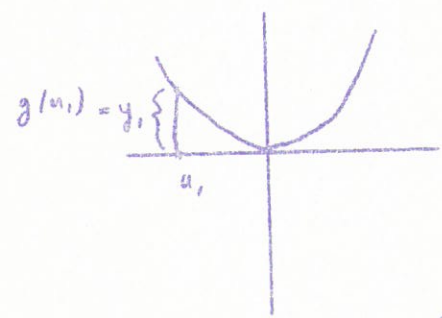
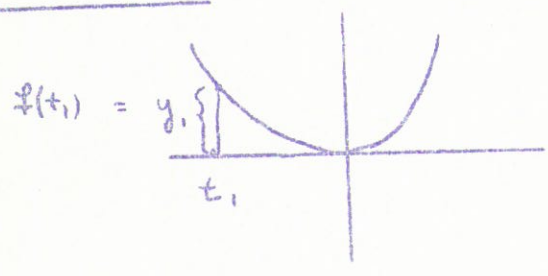
NOW  $g(u) = Au^{k-1} + h(u)u^k$ ,  $h(u)$  CLASS  $C^{m-k}$   
 ASSUME  $A > 0$ . THEN LET  $\gamma^{k-1} = (A^{\frac{1}{k-1}}u)^{k-1} \left[ 1 + \frac{h(u)}{A}u \right]$

AND  $\gamma = A^{\frac{1}{k-1}} u \left[ 1 + \frac{h(u) \cdot u}{A} \right]^{\frac{1}{k-1}}$

THEN  $\psi: u \rightarrow \gamma$  IS A LOCAL DIFFEOMORPHISM.

$\psi^{-1}(\psi(u)) = (\psi(u))^{k-1} = \gamma^{k-1} = A u^{k-1} + h(u) u^k = g(u)$

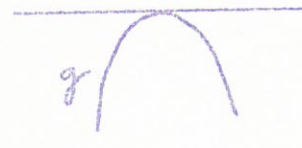
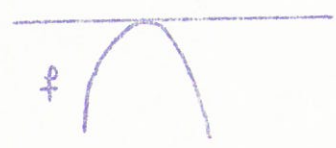
GRAPHICALLY:



GIVEN  $u_1$  s.t.  $g(u_1) = y$ , FIND  $t_1$  s.t.  $f(t_1) = y$ .

THEN  $u_1 \rightarrow t_1$  IS THE LOCAL DIFFEO.

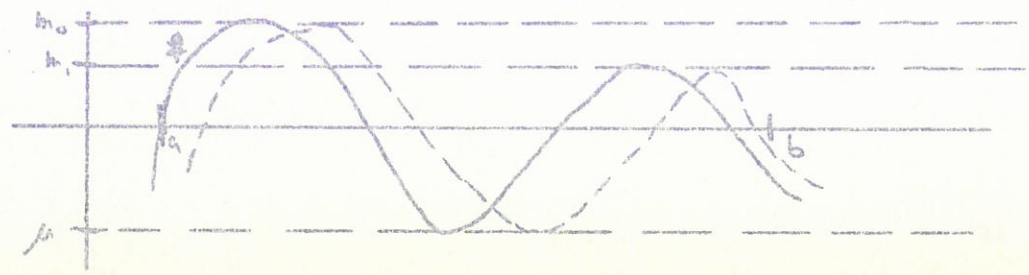
MORE GENERALLY WE DO THE SAME WITH



WHERE  $f, g$  HAVE MAX OF SAME ORDER OF CONTACT.

FURTHER IF  $f, g$  HAVE MAX, MIN IN SAME ORIENTATION WITH SAME ORDER OF CONTACT WE ALWAYS CAN FIND A LOCAL DIFFEO. CHANGE OF VARIABLES.

NOW TO PROVE THE THM. CONSIDER  $k = f$  THEN  $f$  HAS AT MOST 3 CRITICAL VALUES



WE WISH TO FIND A POLYNOMIAL (DASHED LINE)  
 $P_{\pm}$  WITH MAX., MIN. IN SAME ORIENTATION  
 AND SAME ORDER OF CONTACT.

THEN BY ~~THE~~ LEMMA 1 WE GET A DIFFEOMORPHIC  
 CHANGE OF VARIABLES  $\psi: u \rightarrow t$   
 S.T.  $f(\psi(u)) = P_{\pm}(u)$ .

THUS IT IS SUFF. TO EXHIBIT SUCH POLYNOMIALS.  
 THIS IS DONE IN AMER. MATH. MONTHLY NOV. 57

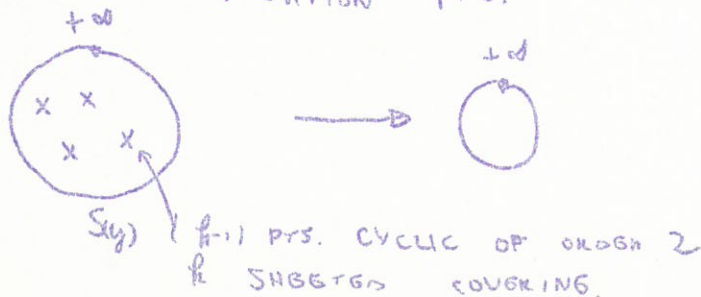
WE GIVE A TOPOLOGICAL CONSTRUCTION.

LEMMA 2 THERE EXISTS A POLYNOMIAL OF  
 DEG.  $k$  WITH THE SAME CRITICAL VALUES AND  
 SAME ORDER OF CONTACT AS  $f$ .

PROOF WE CONSIDER THE PROBLEM IN THE  
 COMPLEX PLANE.  $y = P_k(x)$ .

MAP RIEMANN SPHERES:  $S(x) \xrightarrow{P_k} S(y)$

IS A RAMIFIED COVERING, CRITICAL VALUES OF  
 $P_k$  ARE RAMIFICATION PTS.



BY GLOBAL ISOTOPY CAN SHIFT CRITICAL PTS.  
 TO A FIXED SET LEAVING  $+\infty$  FIXED.

WE GET  $S_{(x)} \rightarrow S_{(y)}$  MUST BE A POLYN. OF DEG.  $k$ .

FROM AN ALGEBRAIC PT. OF VIEW  $k-1$  CRITICAL VALUES OF A POLYNOMIAL OF DEG.  $k$  DO NOT UNIQUELY DETERMINE IT, SINCE WE HAVE TRANSLATION BY  $x \rightarrow ax+b$ . WE NORMALIZE THE POLYNOMIAL  $P_k$ .  $\therefore A_1 = \text{SUM OF ROOTS} = 0$   
 $\vee$  s.t.  $A_0 = 1$

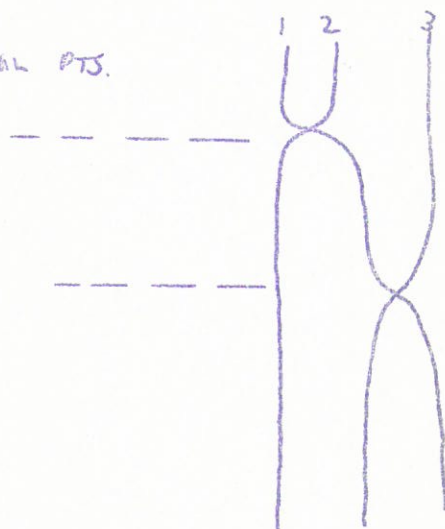
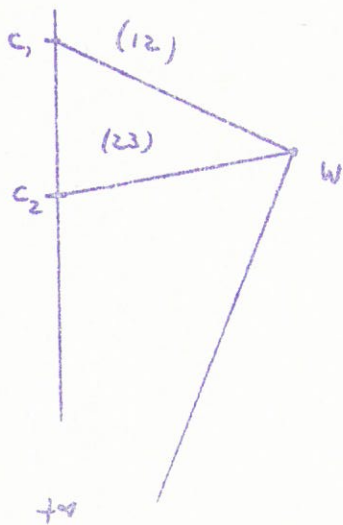
$$P_k = x^k + A_2 x^{k-2} + \dots + A_k$$

WE NEED TO KNOW IF CRITICAL VALUES ARE REAL THE CORR. COMPLEX POLYN. HAS REAL COEFFS. WANT  $P_k(\bar{x}) = \overline{P_k(x)}$ .



WE WANT TO SHOW SYMMETRY CAN BE LIFTED.

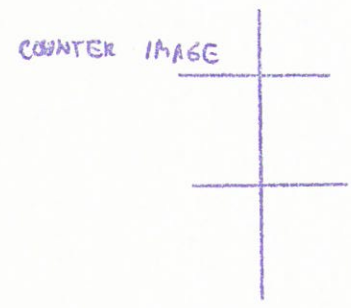
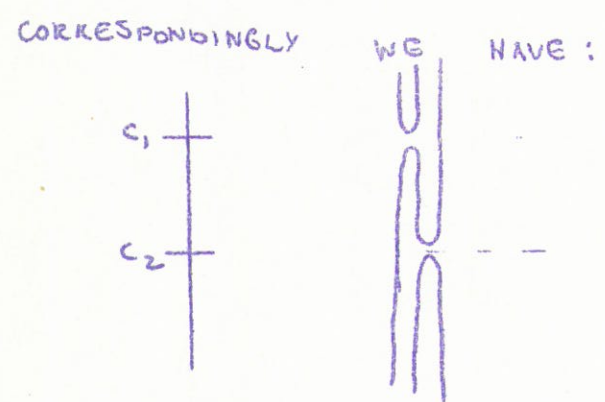
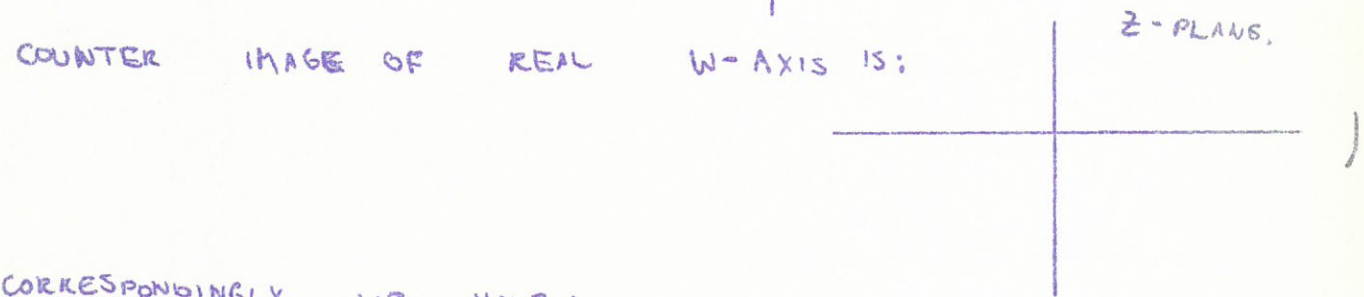
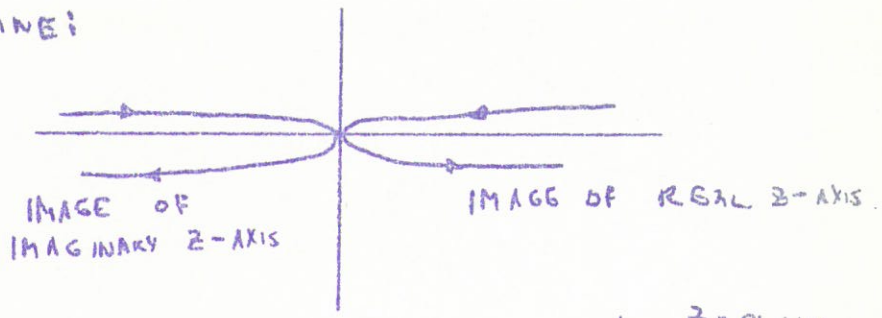
CASE  $k=3$  SO AT MOST  $k-1=2$  CRITICAL PTS.



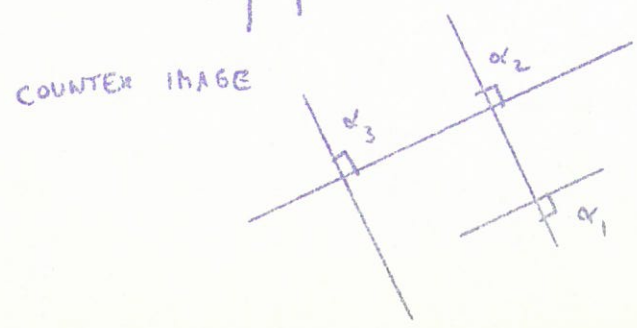
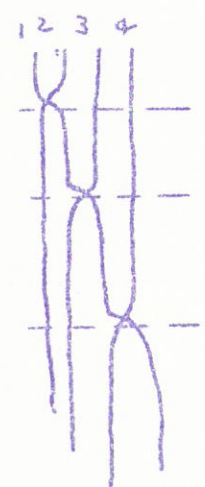
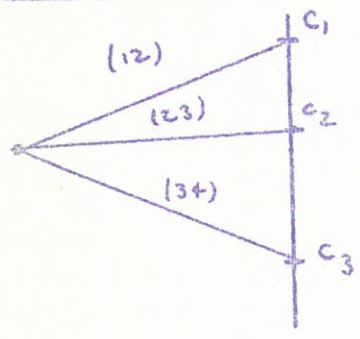
COVERING BY 3-SHEETS



(RECALL IF  $w = z^2$  WE HAVE A 2-FOLD COVERING AND IN  $w$ -PLANE:

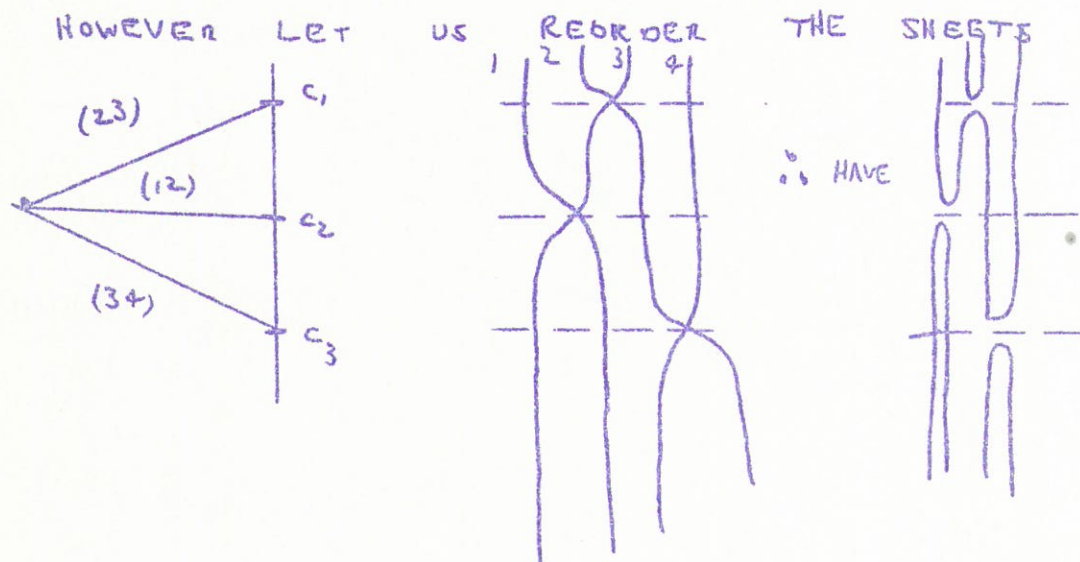


CASE  $R=4$ .

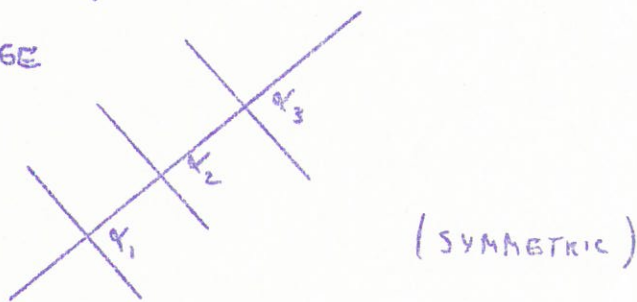


CLAIM THE CORRESPONDING POLYN. CANNOT HAVE REAL COEFFS. FOR WE CANNOT GET AN AXIS OF SYMMETRY WITH RESPECT TO ONE OF ITS LINES.

SO  $\exists$  A <sup>COMPLEX</sup> POLYN. OF DEG. 4 ALL OF WHOSE CRITICAL VALUBS ARE REAL BUT NOT HAVING REAL COEFFS.

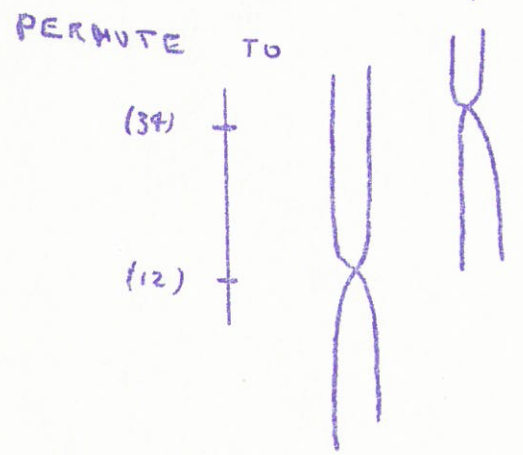
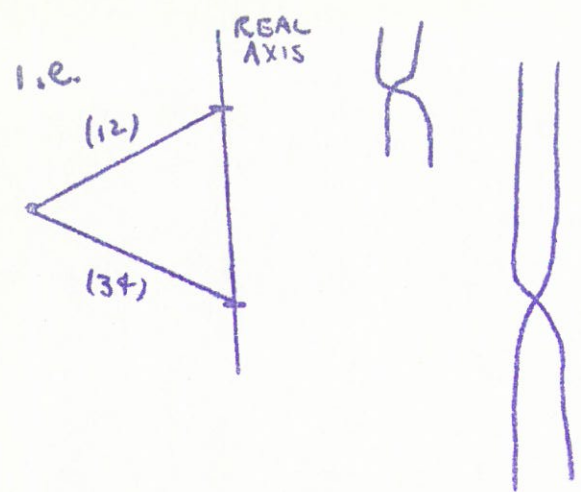


WITH COUNTER IMAGE

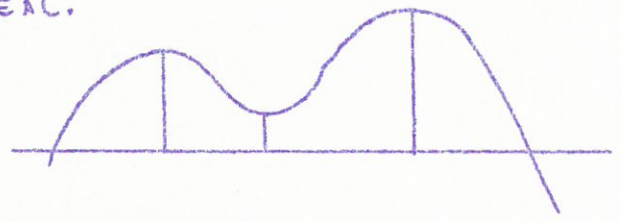


SO CORRESPONDING POLYN. HAS REAL COEFFS.

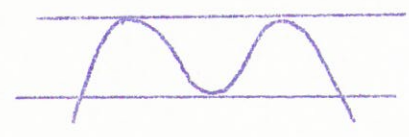
IF CRITICAL VALUBS INVOLVE DISTINCT LEAVES THEN WE MAY PERMUTE WITHOUT AFFECTING THE TOPOLOGICAL STRUCTURE OF THE COVERING.



GIVEN NOW A POLYNOMIAL OF ORDER  $k$   
 ASSUME ALL  $k-1$  ZEROS OF THE DERIVATIVE  
 ARE REAL.

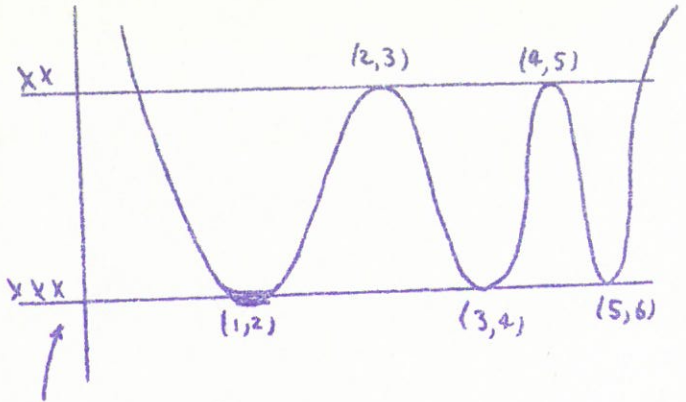


WE CAN ALWAYS REDUCE THE TOPOLOGICAL  
 COVERING TO THAT OF A POLYNOMIAL LIKE:



A TSCHEBYSHEFF POLYN. GIVES THIS  
 TRANSFORMATION.

$k=6$

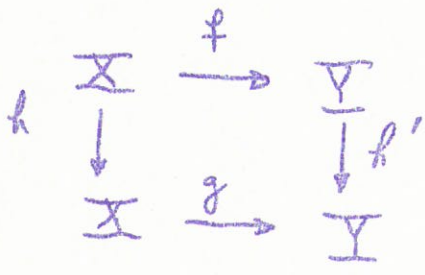


PERMUTATIONS OF SHEETS INVOLVING DISTINCT LEAVES

BY A TRANSFORMATION USING A TSCHEBYSCHIEFF POLYNOMIAL, BY PERMUTING ONLY DISTINCT CRITICAL VALUES YOU CAN GET ANY OTHER TOPOLOGICAL TYPE OF ANY OTHER FCT. OF DEG.  $k$ .

DEFN.  $f, g : X \rightarrow Y$  ARE OF SAME TOPOLOGICAL TYPE IF  $\exists$  HOMOMORPHISMS  $h, h'$

S.T. WE HAVE THE FOLLOWING COMMUTATIVE DIAGRAM:



CONSIDER NOW  $f, g$  TO BE OUR POLYNOMIALS  $P_k$  OF DEGREE  $k$  AND ASSUME  $(k-1)$  ROOTS OF DERIVATIVE ARE ALL REAL.

WE EXAMINE THE TOPOLOGICAL TYPE OF  $P_k$

CONSIDER THE OBJECT  $(1, \dots, k)$  AND A PERMUTATION OF IT,  $W$ . THEN  $W$  MAY BE BROKEN UP INTO  $k-1$  TRANSPOSITIONS  $W = \alpha_1 \dots \alpha_{k-1}$

CALL THIS A PRESENTATION OF  $w$ .  
 TO PRESENTATIONS  $w, w'$  ARE EQUIVALENT

$$\begin{aligned} \text{IF } w &= \alpha_1 \dots \alpha_i \alpha_j \dots \alpha_{p-1} \\ w' &= \alpha_1 \dots \alpha_j \alpha_i \dots \alpha_{p-1} \end{aligned}$$

$\alpha_i, \alpha_j$  DISTINCT TRANSPOSITIONS.

FOR ONE PRESENTATION OF A TSCHEBYSHEFF  
 POLYN. ANY OTHER MEMBER OF EQUIV.  
 CLASS CORR. TO POLYN. OF SAME  
 TOPOLOGICAL TYPE. |

# PSEUDO-ISOTOPIES ON COMPACT MANIFOLDS

BY R. FINNEY

DEFN. A HOMOTOPY  $H: \underline{X} \times I \xrightarrow{\text{ONTO}} \underline{X}$   
IS A PSEUDO-ISOTOPY ON  $\underline{X}$  IF  
 $H_t$  IS A HOMEOMORPHISM ONTO FOR ALL  $t < 1$ .

FOR EXAMPLE, THE UNIT DISK IN THE PLANE MAY BE SHRUNK TO THE ORIGIN BY A PSEUDO-ISOTOPY ON THE PLANE WHICH CONTRACTS THE DISK RADIALLY AND WHICH IS THE IDENTITY OUTSIDE OF A NBD. OF THE DISK.

GIVEN A MAP  $\varphi: \underline{X} \xrightarrow{\text{ONTO}} \underline{X}$   
UNDER WHAT CONDITIONS DOES THERE EXIST A PSEUDO-ISOTOPY  $H$  ON  $\underline{X}$   
S.T.  $H_1(x) = \varphi(x)$ .

REMARKS: 1) BING SHOWED, USING THE WORK OF YOUNGS, FLOYD AND FORT, THAT FOR  $\underline{X} = S^2$ , THEN  $\varphi$  MONOTONE (I.E.  $\varphi^{-1}(\text{PT.})$  IS CONNECTED) IS A SUFF. COND.

2) THIS COND. IS NOT SUFF. FOR  $\underline{X} = S^3$

3) IF  $\underline{X} = \Delta^d S^3$  AND  $\varphi$  SIMPLICIAL THEN A SUFF. COND. IS  $\varphi^{-1}(\text{PT.})$  IS CELLULAR  
I.E.  $\varphi^{-1}(\text{PT.}) = \bigcap \{ \text{CLOSED 3-CELLS } C_i / C_{i+1} \subseteq C_i^0 \}$

NOTE:

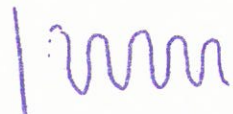
CELLULAR.



ARE CLEARLY

 $S'$  IS NOT CELLULAR.

THE TOPOLOGIST'S SINE CURVE



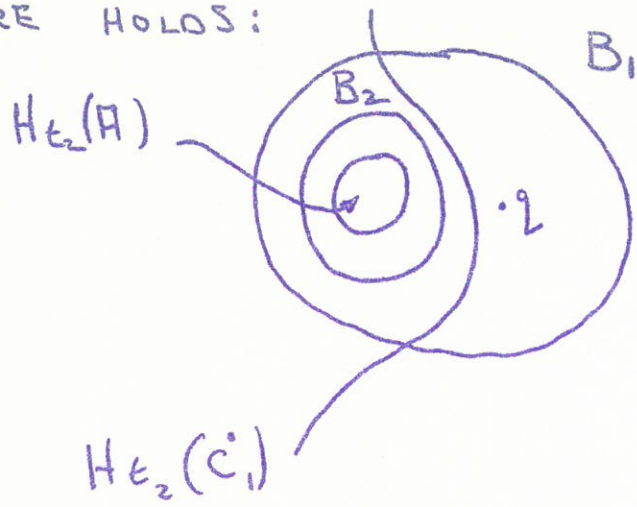
IS CELLULAR, BUT NOT A

CELL.

BORSUK'S EXAMPLE OF THE WORM-HOLE  
CYLINDER IS CELLULAR BUT NOT A CELL.

THEOREM $X = \text{COMPACT } n\text{-MANIFOLD}$  $(\partial = \emptyset) \quad f: X \xrightarrow{\text{ONTO}} X$ , IF  $\exists$  APSEUDO-ISOTOPY  $H$  ON  $X$  S.T. $H_t(x) = f(x)$  THEN  $f^{-1}(\text{PT.})$  ISCELLULAR, I.E.  $= \bigcap \{ \text{CLOSED } n\text{-CELLS } C_i / C_{i+1} \subseteq C_i^{\circ} \}$ PROOFLET  $A = f^{-1}(q)$  TO SHOW $A = \bigcap \{ \text{CLOSED } n\text{-CELLS } C_i / C_{i+1} \subseteq C_i^{\circ} \}$ \* AS  $t \rightarrow 1$   $H_t(A) \rightarrow q$  $(\text{DIAM } H_t(A) \rightarrow 0)$ LET  $B_1$  BE A BALL ABOUT  $q$ .CHOOSE  $\epsilon_1 < 1$  S.T.  $H_{\epsilon_1}(A) \subseteq B_1^{\circ}$ LET  $C_1 = H_{\epsilon_1}^{-1}(B_1)$  A CELL.

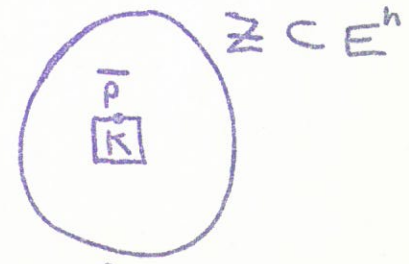
WE SEEK  $t_2 < 1$  s.t. THE FOLLOWING PICTURE HOLDS:



DEFINE  $C_2 = H_{t_2}^{-1}(B_2)$ .  
 GIVEN  $t_{i-1}, B_{i-1}, C_{i-1}$  TO FIND  $t_i, B_i, C_i$   
 s.t.  $C_i \subseteq C_i^\circ, A \subseteq C_i^\circ, t_i \rightarrow 1$   
 DIAM.  $B_i \leq 2$  DIAM.  $H_{t_i}(A)$ .

A SIMILAR PROBLEM:  $K$  COMPACT  $\subset$  INTERIOR OF A CLOSED  $n$ -CELL  $Z$ . FIND AN  $n$ -CELL  $Z'$  s.t.  $Z' \subset Z^\circ$  AND s.t.  $K \subset Z'^\circ$  AND DIAM.  $Z' \leq 2$  DIAM.  $K$

EXAMPLES SHOW THAT NO SUCH  $Z'$  MAY EXIST, BUT IF ONE REQUIRES ALSO THAT  $d(K, \dot{Z}) \geq 4$  DIAM.  $K$  AND THAT  $Z \subset E^n$



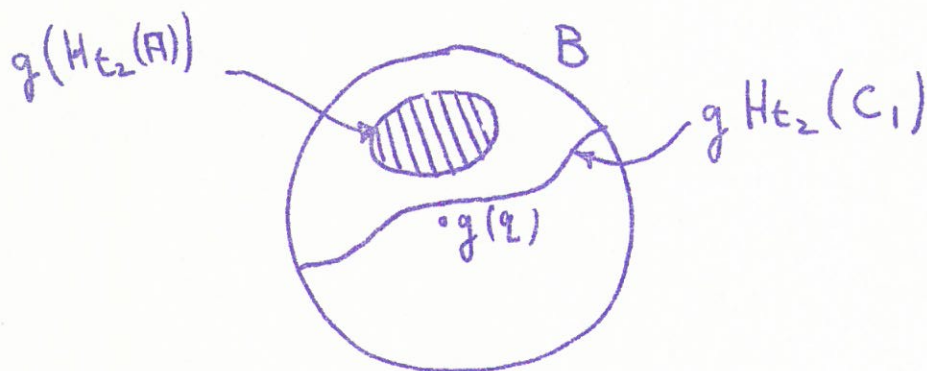
THEN ONE MAY TAKE  $Z' = \{ p \mid d(p, \bar{p}) \leq 2 \text{ DIAM. } K \}$



WHERE  $\bar{p}$  IS A FIXED PT. OF  $K$  AND  
 $d$  IS THE USUAL CARTESIAN METRIC.

4.

BACK TO OUR PROOF. LET  $g: B_1 \rightarrow B$ ,  $B$   
 CLOSED UNIT BALL IN  $E^n$



TO CONSTRUCT  $B_2$

FIND  $t_2$  LARGE ENOUGH SO THAT  
 $H_{t_2}(A) \subset B_1$

$$T \equiv g(H_{t_2}(c_1) \cap B_1)$$

PICK  $t_2$  S.T.

- 1)  $H_{t_2}(A) \subseteq B_1^0$
- 2)  $d(g(H_{t_2}(A)), \text{BDRY } T) \geq 4 \text{ DIAM } g(H_{t_2}(A))$
- 3)  $1 > t_2 > \frac{t_1 + 1}{2}$

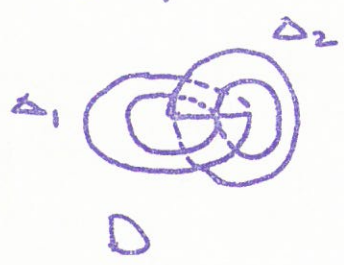
THE FACT THAT  $p(H_{t_2}(A), H_{t_2}(c_1)) > \epsilon > 0$   
 (NOTE:  $\text{DIAM } H_{t_2}(A) \rightarrow 0$ ) ALLOWS US TO  
 DO 2). 1) AND 3) GIVE NO  
 TROUBLE. THE GENERAL INDUCTION  
 STEP GOES ALONG THE SAME LINES.

EXAMPLES:

① WE CONSTRUCT A MAP  $f: S^3 \rightarrow S^3$  WHICH IS MONOTONE, BUT FOR WHICH  $\exists$  A PT.  $q$  S.T.  $f^{-1}(q)$  IS A 1-SPHERE (IN PARTICULAR,  $f^{-1}(q)$  IS NOT CELLULAR)

CONSIDER THE TWO DISKS  $\Delta_1, \Delta_2$  IN  $S^3$  INTERSECTING IN A LINE-SEGMENT WHICH IS A RADIUS OF EACH DISK.

LET  $D$  BE THE FOLLOWING DECOMPOSITION OF  $S^3$ :



- $d \in D$  IF
- 1)  $d$  IS A PT. OF  $S^3 - (\Delta_1 \cup \Delta_2)$
  - 2)  $d = \text{BDY}(\Delta_1)$
  - 3)  $d = \text{BDY}(\Delta_2)$
  - 4)  $d$  IS ONE OF THE

UNCOUNTABLY MANY FIGURE-EIGHTS LYING IN  $(\Delta_1 \cup \Delta_2)$  (SEE FIG.)

THIS DECOMPOSITION IS DUE TO R.H. BING.

WE SHOW  $D^* \approx S^3$  WHERE  $D^*$  IS THE HYPERSPACE OF THE DECOMPOSITION  $D$ .

CONSIDERING THE HORIZONTAL DISK AS A DEFLATED BALLOON WE GET THE FOLLOWING FIGURE

WHEN IT IS INFLATED.

6.



IMAGE OF FIGURE-EIGHT

IN THIS WAY THE DECOMPOSITION  $D$  INDUCES A DECOMPOSITION  $'D$  OF THE 3-CELL  $C^3 = S^3 - \text{INT. (BALLOON)}$

THE HYPERSPACE  $'D^*$  IS HOMEOMORPHIC TO  $D^*$

WE NOW COLLAPSE THE BALLOON AGAINST ITS "VERTICAL AXIS" TO GET A DECOMPOSITION  $''D$



OF  $S^3$  WHOSE NON-DEGENERATE ELS. ARE THE UNCOUNTABLY MANY FIGURE  $\cup$  SHAPED ARCS SUGGESTED IN THE FIGURE BELOW



THE HYPERSPACE  $''D^*$  IS HOMEOMORPHIC TO  $'D^*$ .

BUT THE ELS. OF THE DECOMPOSITION  $''D$  MAY BE COLLAPSED TO GIVE THE TRIVIAL DECOMP.  $''''D$  OF  $S^3$



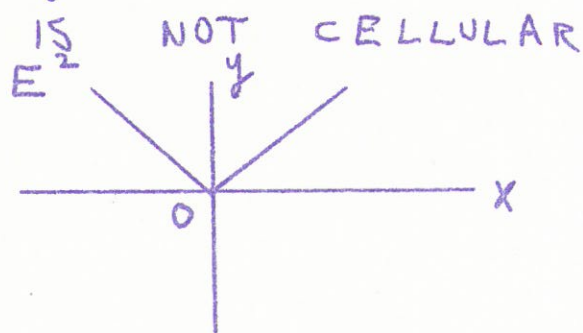
AND  $D^* \approx D^* \approx S^3$

7.

THE HOMEOMORPHISMS FIT TOGETHER TO GIVE  $D^* \approx S^3$ .

LET  $\varphi$  BE THE MAP OF  $S^3$  ONTO  $D^*$  THAT SENDS EACH PT. OF  $S^3$  TO THE EL. OF  $D$  IN WHICH IT LIES.  $\varphi$  IS A MAP OF  $S^3$  ONTO  $S^3$ , AND  $\text{BODY}(\Delta_i) = \varphi^{-1}(q)$  FOR SOME  $q \in S^3$ .

(2) AN EXAMPLE OF A PSEUDO-ISOTOPY  $H$  ON  $E^2$  FOR WHICH  $H_1^{-1}$  (ORIGIN) IS THE NON-NEGATIVE PORTION OF THE  $y$ -AXIS. IN PARTICULAR,  $H_1^{-1}(0)$



$$\text{LET } A = \{(x, y) \mid y \geq |x|\}$$

$$B = \{(x, y) \mid 0 \leq y \leq |x|\}$$

$$C = \{(x, y) \mid y < 0\}$$

DEFINE  $H_t(x, y) = \begin{cases} (1-t)(x, y) + t(0, x) & \text{ON } A \\ (x - ty, y) & \text{ON } B \\ (x, y) & \text{ON } C \end{cases}$

# On Formulae of Thom & Wu. FP Peterson

$M$  compact diff  $n$ -manifold,  $\mu \in H_n(M; \mathbb{Z}_2)$ .

$v_i \in H^i(M; \mathbb{Z}_2)$  uniquely defined by  $\langle Sq^i x, \mu \rangle = \langle v_i x, \mu \rangle$

$$\cap W_k = \sum_{i+j=k} Sq^j v_i.$$

This can be defined in more general situation — do they satisfy same relations?

$H = \sum_{i=0}^n H^i$  over  $\mathbb{Z}_2$ ,  $Sq^i$  operators  $\Rightarrow$

1)  $Sq^i(hk) = \sum_{r+s=i} Sq^r h \cdot Sq^s k$  or  $\Delta a = \sum a' \otimes a''$   
 $\alpha(hk) = \varepsilon(a'h)(a''k)$

2)  $Sq^j h = 0$  if  $h \in H^i, i < j$ .

3)  $\exists \mu \in H^n \Rightarrow \langle hk, \mu \rangle$  is dual pairing of  $H^i \times H^{n-i}$  to  $\mathbb{Z}_2$

define  $A$  to operate on right by

$$\langle ha.k, \mu \rangle = \langle h.ak, \mu \rangle, \quad k \in H^{n-i-j}, a \in A^j, h \in H^i.$$

E.g.  $\varepsilon_H$  the unit in  $H, \varepsilon_H Sq^i = v_i$  above.

Define words

- i)  $\mathcal{E}$
- ii) closed under left + rt.  $A$  operation
- iii) " " cup product
- iv) " " linear combinations.

If  $H$  an algebra above, define  $\theta_H: \text{words} \rightarrow H$  by

$$\theta_H(\mathcal{E}) = \varepsilon_H, \quad \theta_H(aW) = a \theta_H(W), \quad \theta_H(Wa) = \theta_H(W) a$$

preserve  $+, \cdot$ .

$W \equiv W \Leftrightarrow \theta_H(W) = \theta_H(W')$  all  $H$ , equivalence classes  
form  $U$ , which has  $+$ ,  $\cdot$ ,  $A$  operations. This is object of  
study.

Th. 1.  $U$  is a polyn. alg. on  $u_1, \dots$ , where  $u_i$  defined by  
 $u_i = E(X(Sq^i))$ ,  $i=1, \dots$

Th. 2. Given  $N$ , integers. Let  $m$  run over monomials in  $u_i$   
 $\deg m \leq N$ . Then  $\exists$  compact diff. manifold  $D \ni$   
values of monomials  $m$  in  $H^*(D; \mathbb{Z}_2)$  are lin. ind.

Cor. 3.  $W \in U$ ,  $\theta_H(W) = 0$  if  $H = H^*(D; \mathbb{Z}_2)$ , all diff. manifolds  $D$   
 $\Rightarrow W = 0$ .

E.B.  $W = Sq^i W_k - Q(w_1, \dots, w_{i+k})$ , ~~proving~~  $W_k = \sum Sq^j (\in Sq^i)$ ,  
proving Wu formula in general.

Prove Th 2 first.  $x \in H^i(P^\infty; \mathbb{Z}_2)$ ,  $a \in A^i$ ,  $i+j+k = 2^s - 1$ ,

then  $x^j \cdot a x^k = x^k \cdot (\chi a) x^j$

$N = 2^s - 1$ , then  $Sq^m: H^{N-m}(P^\infty; \mathbb{Z}_2) \rightarrow H^N(P^\infty; \mathbb{Z}_2)$  is 0 because

$Sq^m(x^{N-m}) = \binom{N-m}{m} x^N = 0$  by binomial coeff. argument

$\therefore$  if  $i+j+k = N$ ,  $a \in A^i$ ,  $a(x^k \cdot x^j) = 0 \dots$

$a x^k \cdot x^j + \sum a' x^k \cdot a'' x^j = (\text{diagonal}, \text{diag} > 0)$

$a(x^k \cdot x^j) = 0 = a x^k \cdot x^j + \sum x^k \cdot \chi(a') \cdot a'' x^j$  by induction on  $i$

$= a x^k \cdot x^j + x^k \cdot \chi(a) x^j$

Lemma 5.  $n = 2^s - 2$  ( $s \geq 2$ ),  $M = P^n \times C H^*(M; \mathbb{Z}_2)$ .

$$\epsilon_H(\chi(S_q^1)) = x, \epsilon_H(\chi(S_q^i)) = 0, i > 1.$$

Proof:  $\langle \epsilon_H(\chi(S_q^i)), x^{n-i}, \mu \rangle = \langle \chi(S_q^i) x^{n-i}, \mu \rangle$

~~$\langle \chi(S_q^i) x^{n-i}, \mu \rangle = \langle \chi(S_q^i) x^{n-i}, \mu \rangle$~~

Then  $\chi(S_q^i) x^{n-i} = x^{n-i} S_q^i x = \begin{cases} 0 & i > 0 \\ 1 & i = 1 \end{cases}$  in  $H^*(P^n; \mathbb{Z}_2)$

$\therefore$  Lemma

Lemma 6.  $\epsilon_{M' \times M''} a = \sum \epsilon_{M'} a' \otimes \epsilon_{M''} a''$

Proof:  $\epsilon_{M' \times M''} a \cdot x^i \otimes x^k = \epsilon_{M' \times M''} a \cdot x^{i+k}$   $i+j+k = m'+m''$

$$\epsilon_{M' \times M''} \cdot \sum a' x^i \otimes a'' x^k = \sum \epsilon_{M'} a' \cdot x^i \otimes \epsilon_{M''} a'' \cdot x^k$$

$$= \sum \epsilon_{M'} a' \otimes \epsilon_{M''} a'' \cdot x^i \otimes x^k$$

Lemma 7.  $D = \prod_{i=1}^N P^n(i)$   $n = 2^s - 2 \geq N$

$\epsilon_D(\chi(S_q^i)) = i$  elementary symmetric function in  $x_1, \dots, x_N$ .

Proof:  $\Delta S_q^i = \sum_{1+s=i} S_q^s \otimes S_q^1$

$$\therefore \Delta \chi(S_q^i) = \sum_{1+s=i} \chi S_q^s \otimes \chi S_q^1, \therefore \Delta^N \chi(S_q^i) = \sum_{n_1 + \dots + n_N = i} \chi S_q^{n_1} \otimes \dots \otimes \chi S_q^{n_N}$$

$$\therefore \epsilon_D \chi(S_q^i) = \sum_{n_1 + \dots + n_N = i} \epsilon_{P(n_1)} \chi S_q^{n_1} \otimes \dots \otimes \epsilon_{P(n_N)} \chi(S_q^{n_N})$$

$$= \sum_{n_1 + \dots + n_N = i} x_1 \dots x_i$$

$\therefore$  Th. 2. as monomials in elementary sym. functions are

independent in  $H^*(D)$ .

To prove  $\mathcal{H}$ , enough to show  $U$  is gen. (mult.) by  $u_1, \dots$

Lemma 8.  $\dim a > 0$ ,  $h \in \mathcal{H}(u \in U, u = \varepsilon b)$  then

$$\varepsilon a \cdot h = ah + \sum_{\dim a' a'' > 0} (a' h) a'' + h a$$

Proof:  $h \in \mathcal{H}^{n-(k+j)} a c A^i, h \in \mathcal{H}^j$

$$\begin{aligned} (\varepsilon a) \cdot h - h &= a(hh) = ah \cdot h + \sum a' h \cdot a'' h + h \cdot a h \\ &= ah \cdot h + \sum (a' h) a'' \cdot h + h a, h > \mathcal{H} h. \end{aligned}$$

Lemma 9.  $U$  is mult. gen. by  $\varepsilon a, a \in A$ .

Proof: To prove  $a \in A, W = \text{polym in } \varepsilon b$ , then  $aW + Wa$  are also.

Induction:  $\dim a = 0, o.k.$   
start with:

$$a(\varepsilon b) = \varepsilon a \cdot \varepsilon b + \sum (a' \varepsilon b) a'' + (\varepsilon b) a \quad (\text{Lemma 8})$$

but  $(\varepsilon b) a = \varepsilon(ba)$ ,  $\therefore$  by induction  $a(\varepsilon b)$  is polym. in  $\varepsilon b$ 's.

If  $W$  is, then  $aW$  ~~is~~ is in terms of  $c(\varepsilon b)$  with  $\dim c \leq k$

$\therefore$  by above all o.k.

$$Wa = (\varepsilon a) \cdot W - aW - \sum (a' W) a'' \quad \text{by Lemma 8.}$$

$\therefore$  o.k.

Let  $I(U) = \sum_{j \geq 0} U^j, D(U) = \text{decomposable alts, i.e.}$

$$u = \sum u' u'', u', u'' \in I(U)$$

Lemma 10  $u \in D(U), a \in A \Rightarrow ua \in D(U)$

Proof by induction Lemma 8

$$\varepsilon a \cdot u = au + \sum (a' u) a'' + u a$$

$\varepsilon D$        $\varepsilon D$        $\varepsilon D$   
 by Lemma      by induction



Lemma 11. If  $\text{dir } b > 0$  then

$$\text{Q } a(\varepsilon b) = \varepsilon(b \chi(a)) \pmod{D(U)}$$

Proof by induction

$$a(\varepsilon b) = \varepsilon a \cdot \varepsilon b - \varepsilon(ba) - \sum_{\substack{a' \in D \\ a'' \in D}} (a' \varepsilon b) a'' \text{ by lemma 8.}$$
$$\sum \varepsilon(b \chi(a')) a'' \pmod{D} \text{ by 10}$$

$$\therefore a(\varepsilon b) = -\varepsilon(ba) - \sum \varepsilon(b \chi(a')) a'' \pmod{D}$$

$$\therefore a(\varepsilon b) = \varepsilon(b \chi a)$$

Lemma 12  $I(U)/D(U)$  is spanned by  $u_i, i > 0$ .

Proof:  $I(U)/D(U)$  is spanned by  $\varepsilon e$ , a spanning set for  $I(A)$

$$S = \{ \varepsilon s_q^{i_1} \dots s_q^{i_r} \}, i_j \geq 2i_{j+1}, 2i_r > 0. S \text{ spans } I(A) \text{ (idem)}$$

$\chi(S)$  spans  $I(A)$  also.  $\chi(S)$  contains  $\chi(s_q^i)$ . Every other elt. of  $\chi(S)$

$$= d \chi(s_q^i) \text{ with } \text{dir } d < j. \text{ But } s_q^j(\varepsilon d) = 0 \therefore$$

$$\varepsilon d \chi(s_q^i) \in D(U) \text{ by lemma 11, } \therefore I(U)/D(U) \text{ spanned by } u_i$$

$\therefore$  the 1.

MORTON BROWN'S PROOF OF THE GENERALIZED  
SCHOENFLIES THEOREM

BY A. WASSERMAN

REFERENCE: AMERICAN MATH. SOCIETY BULLETIN  
1960, Pg. 74.

WE SHALL PROVE:

GENERALIZED SCHOENFLIES THEOREM:

LET  $h$  BE A HOMEOMORPHIC IMBEDDING  
OF  $S^{n-1} \times I$  INTO  $S^n$ . THEN THEN  
THE CLOSURE OF EITHER COMPLEMENTARY  
DOMAIN OF  $h(S^{n-1} \times \frac{1}{2})$  IS AN  $n$ -CELL.

WE FIRST GIVE SOME DEFNS. AND PROVE  
SOME PRELIMINARY THEOREMS.

DEFNS.

(1) IF  $Q$  IS AN  $n$ -CELL  $\dot{Q}$  DENOTES  
ITS BOUNDARY AND  $Q^\circ$  DENOTES ITS INTERIOR.

(2)  $I$  IS UNIT INTERVAL  $[0, 1]$

(3) IF  $f: X \rightarrow Y$  (CONT.) THEN AN  
INVERSE SET OF  $f$  IS A SET  $M \subset X$   
WHICH CONTAINS MORE THAN ONE PT.

AND SUCH THAT  
FOR SOME  $y \in f(X)$   $M = f^{-1}(y)$ .  
(SO  $Y$  IS  $T_1 \Rightarrow M$  IS CLOSED)

(4) A SET  $M$  IS CELLULAR IN AN

$n$ -DIMENSIONAL COMPACT METRIC SPACE  $S$ ,  
 IF THERE EXIST  $n$ -CELLS  $Q_1, Q_2, \dots$  IN  $S$   
 SUCH THAT  $Q_{i+1} \subset Q_i^o$  AND  $\bigcap_{i=1}^{\infty} Q_i = M$

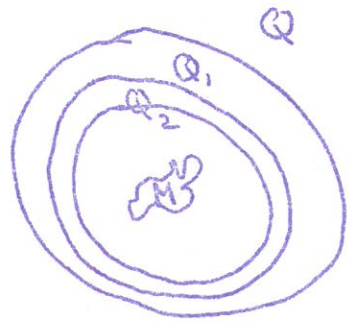
PRELIMINARY THEOREMS

THEOREM 0 LET  $Q$  BE AN  $n$ -CELL AND LET  
 $f: Q \rightarrow S^n$  AND SUPPOSE INVERSE SETS  
 ARE IN  $Q^o$  THEN  $f(Q)$  IS THE UNION OF  $f(Q_i)$   
 AND ONE OF ITS COMPLEMENTARY DOMAINS.

PROOF USE METHODS SUCH AS THOSE IN  
 HUREWICZ + WALLMAN'S BOOK (Pg. 97).

THEOREM 1 LET  $Q$  BE AN  $n$ -CELL. SUPPOSE  
 $M$  IS A CELLULAR SUBSET OF  $Q^o$  THEN  
 THERE IS A MAP  $f: Q \xrightarrow{\text{ONTO}} Q$  SUCH THAT  
 $f|_M = \text{IDENTITY}$  AND  $M$  IS THE  
 ONLY INVERSE SET UNDER  $f$ .

PROOF



WE WANT A MAP CONTRACTING  $M$  TO A PT.  
 AND IDENTITY ON  $Q^o$ . WE DO THIS  
 BY CONTRACTIONS ON THE  $Q_i$  (SINCE  $M$  IS  
 CELLULAR).  $h_1$  HOMEO. OF  $Q$ , IDENTITY ON  
 BOUNDARY AND SUCH THAT  $\text{DIFM. } h_1(Q_i) < 1$

WE DEFINE  $h_{i+1}$  TO BE  $h_i$  ON  $Q - Q_i$   
 AND SUCH THAT  $\text{DIAM } h_{i+1}(Q_i) < \frac{1}{i+1}$   
 LET  $f = \lim h_i$ .

THEOREM 2 LET  $S$  BE A TOPOLOGICAL  $n-1$   
 SPHERE IN  $S^n$  AND LET  $D$  BE ONE OF ITS  
 COMPLEMENTARY DOMAINS. SUPPOSE  $f: \bar{D} \xrightarrow{\text{ONTO}} E^n$   
 AN  $n$ -CELL SUCH THAT THE ONLY INVERSE  
 SET OF  $f$  IS A CELLULAR SUBSET  $M$   
 OF  $D$ . THEN  $\bar{D}$  IS AN  $n$ -CELL.

PROOF

LET  $p$  BE IDENTIFICATION MAP  $\bar{D} \rightarrow \bar{D}/M$   
 THEN  $f$  INDUCES A CONT. MAP  
 $f': \bar{D}/M \xrightarrow{\text{ONTO}} E^n$ ,  $f'$  IS 1-1, CONT.  
 +  $\bar{D}$  IS COMPACT SO  $f'$  IS A HOMEOMORPHISM.  
 THUS SUFF. TO SHOW  $\bar{D}$  HOMEO. WITH  $\bar{D}/M$



LET  $Q$  BE AN  
 $n$ -CELL IN  $D$  SUCH  
 THAT  $M \subset Q^0$ .

THEN  $M$  IS CELLULAR IN  $Q$ . BY THM. 1  
 THERE EXISTS  $g: Q \rightarrow Q$   $g|_M = \text{IDENTITY}$   
 AND THE ONLY INVERSE SET UNDER  $g$  IS  $M$ .  
 WE DEFINE  $g': \bar{D} \rightarrow \bar{D}$  BY:

$$g'|_Q = g|_Q \quad g'|\overline{Q} = \text{IDENTITY.}$$

THEN  $(pg'^{-1}) : \overline{D} \rightarrow \overline{D}/M$  IS  
A HOMEOMORPHISM.

THEOREM 3 LET  $Q$  BE AN  $n$ -CELL  
AND SUPPOSE  $f$  MAPS  $Q$  INTO  $S^n$   
SUPPOSE ALSO THAT  $M \subset Q^0$  IS THE  
ONLY INVERSE SET UNDER  $f$ . THEN  $M$   
IS CELLULAR IN  $Q$ .

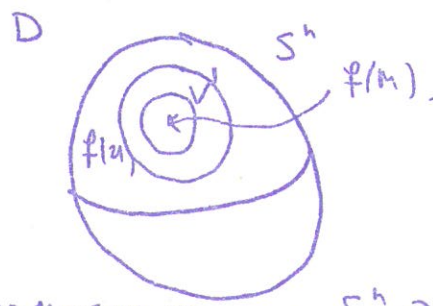
PROOF LET  $V_i = \{x \mid x \in Q, d(x, M) < \frac{1}{i}\}$   
TO CONSTRUCT SETS:  $Q_i \subset V_i, Q_{i+1} \subset V_{i+1} \cap Q_i$ .

HOWEVER IT IS SUFFICIENT TO CONSTRUCT  
FOR ANY OPEN SET  $U \subset (V_{i+1} \cap Q_i^0)$   
CONTAINING  $M$ ,  $U$  IN INTERIOR OF AN  $n$ -CELL  
( $Q_i$ ) AN  $n$ -CELL  $Q'$  ( $Q_{i+1}$ ) SUCH  
THAT  $M \subset Q'^0$  ( $Q_{i+1}$ ).

NOW BY THM. 0  $f(Q) = f(\dot{Q}) \cup D$   
WHERE  $D$  IS A COMPLEMENTARY DOMAIN OF  
 $f(\dot{Q})$ . LET  $U$  BE AN OPEN SET  
IN  $Q^0, U \supset M$ .



THE IDEA HERE IS TO CONSTRUCT  
 A HOMEOMORPHISM  $g: Q \rightarrow Q$  WHICH  
 PULLS  $Q$  INSIDE  $U$  AND IS THE IDENTITY  
 ON AN OPEN SET  $V \supset M$ . WE MAY  
 DO THIS ON  $Q$  SINCE IT CAN BE  
 DONE IN  $S^h$ . MORE PRECISELY;  $M \subset U \subset Q^0$   
 $U$  OPEN IMPLIES  $f(U)$  OPEN IN  $D$ . LET  $V'$   
 BE A NBO. OF  $f(M)$ ,  $V' \subset f(U)$ .



LET  $h$  BE

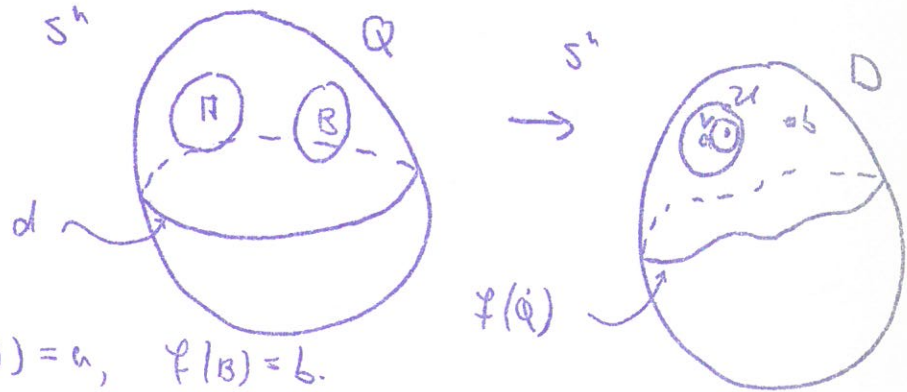
A HOMEOMORPHISM  $: S^h \rightarrow S^h$  WHICH PULLS  
 $\bar{D}$  INSIDE  $f(U)$  AND IS THE IDENTITY  
 ON  $V'$ . LET  $V = f^{-1}(V')$ .

$$\text{NOW } g(x) = \begin{cases} x & x \in M \\ f^{-1} h^{-1} f(x) & x \notin M \end{cases}$$

IS A HOMEOMORPHISM WHICH IS THE  
 DESIRED ONE.  $g(Q) = Q'$  IS  
 AN  $n$ -CELL AND  $M \subset V = g(V) \subset Q'^0$ .

THEOREM 4 LET  $f: S^h \xrightarrow{\text{ONTO}} S^h$  WITH PRECISELY  
 TWO INVERSE SETS  $A$  AND  $B$ . THEN  
 $A$  AND  $B$  ARE CELLULAR IN  $S^h$ .

PROOF LET  $d$  BE AN  $(h-1)$  SPHERE  
 IN  $S^h - (A \cup B)$  EACH OF WHOSE  
 COMPLEMENTARY DOMAINS HAS AN  $h$ -CELL FOR  
 ITS CLOSURE. (IF  $d$  SEPARATES  $A$   
 AND  $B$  THM. 4 IS IMMEDIATE FROM THM. 3).  
 LET  $Q$  BE THE  $h$ -CELL WHOSE BOUNDARY  
 IS  $d$  AND WHICH CONTAINS  $A \cup B$  IN ITS  
 INTERIOR.



LET  $\phi(A) = a, \phi(B) = b$ .

BY THM. 0  $\phi(Q) = \phi(Q) \cup D, D \ni \{a, b\}$

LET  $U$  BE AN OPEN SUBSET OF  $D$   
 $U \ni a$ , AND  $U \not\ni b$ . THEN LET  $h$  BE  
 A HOMEOMORPHISM  $S^h \rightarrow S^h$  WHICH  
 PULLS  $\phi(Q)$  INSIDE  $U$  AND IS THE  
 IDENTITY ON A SMALL NBD.  $V$  OF  $a$ .

LET  $g : Q \rightarrow Q \cup S^h \cup B$  DEFINED AS:

$$g(x) = \begin{cases} x & x \in A \\ \phi^{-1} \circ h \circ \phi(x) & x \notin A. \end{cases}$$

THUS THE ONLY INVERSE SET OF  $g$  IS  $B$   
 SO BY THM. 3  $B$  IS CELLULAR IN  $Q$   
 SO CELLULAR IN  $S^h$ .

SIMILARLY  $\bar{A}$  IS CELLULAR IN  $S^h$ ,

7.

WE NOW PROVE:

GENERALIZED SCHOENFLIES THM.:

LET  $h$  BE A HOMEOMORPHIC IMBEDDING OF  $S^{h-1} \times I$  INTO  $S^h$ . THEN THE CLOSURE OF EITHER COMPLEMENTARY DOMAIN OF  $h(S^{h-1} \times \frac{1}{2})$  IS AN  $h$ -CELL.

PROOF.

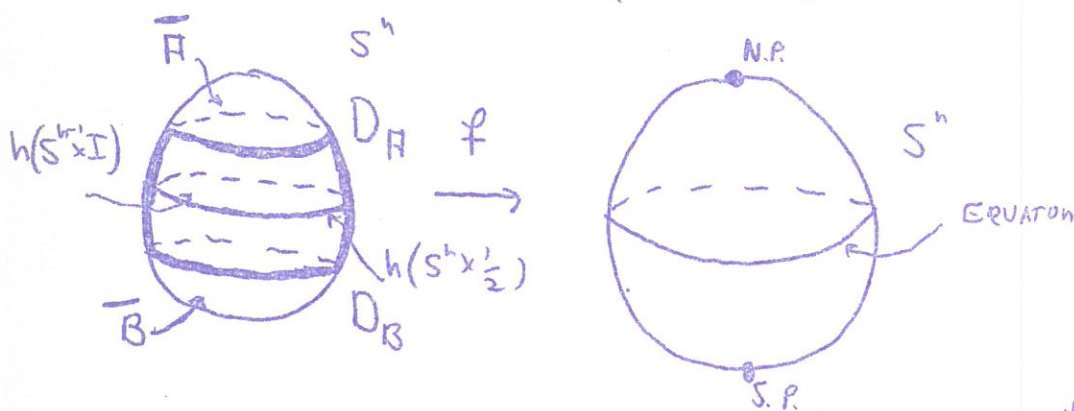
$$S^h - h(S^{h-1} \times 1) = A \cup A'$$

$$\text{LET } h(S^{h-1} \times 0) \in A'$$

$$S^h - h(S^{h-1} \times 0) = B \cup B'$$

$$\text{LGR } h(S^{h-1} \times 1) \in B'$$

$$\text{THUS } S^h = h(S^{h-1} \times I) \cup A \cup B.$$



$$\text{LET } f: S^h \rightarrow S^h \quad f: \bar{A} \rightarrow \text{N.P.}, \quad f: \bar{B} \rightarrow \text{S.P.}$$

AND  $f: h(S^{h-1} \times \frac{1}{2})$  ONTO EQUATOR WITH ONLY  $\bar{A}$  AND  $\bar{B}$  AS INVERSE SETS

LET  $D_A, D_B$  BE COMPLEMENTARY DOMAINS



OF  $h(S^{h-1} \times \frac{1}{2})$  WHICH CONTAIN  $A, B$

8.

RESPECTIVELY, BY THM. 4

$A, B$  ARE CELLULAR IN  $S^h$

HENCE CELLULAR IN  $D_A, D_B$

RESPECTIVELY. THEN BY THM. 2

$\overline{D_A}, \overline{D_B}$  ARE  $h$ -CELLS. |

Seminar of Professor G. Whitehead

## The Generalized Bar Construction and the Lower Central Series for Free Group Complexes.

by E. Curtis

### 1. Semi-simplicial objects

Definition A semi-simplicial (s.s.) object over the category  $\mathcal{C}$  shall be a contravariant functor  $X$  from the category  $\mathcal{O}$  of finite ordered sets (and monotone maps) to  $\mathcal{C}$ .

The objects in  $\mathcal{O}$  are the sets  $[m] = \{0, 1, \dots, m\}$ . A monotone map  $\alpha: [m] \rightarrow [n]$  must satisfy  $\alpha(i) \leq \alpha(j)$  if  $i \leq j$ .

$X[m]$  is usually denoted by  $X_m$  and is called the (set of)  $m$ -simplices of  $X$ .

S.s. sets, s.s. monoids, s.s. groups etc are examples of s.s. objects; this last is the term used when the category  $\mathcal{C}$  is not specified.

For example, if  $A$  is a topological space,  $S(A)$ , the Eilenberg total singular complex of  $A$  is a s.s. set.  $C_* S(A)$  is a s.s. abelian group, formerly referred to as an FD-module.

Certain maps in  $\mathcal{O}$ , namely

$$\epsilon^i : [q] \longrightarrow [q+1] \quad \text{where} \quad \begin{aligned} \epsilon^i(j) &= j, & j < i \\ \epsilon^i(j) &= j+1, & j \geq i \end{aligned}$$

$$\text{and } \pi^i : [q+1] \longrightarrow [q] \quad \text{where} \quad \begin{aligned} \pi^i(j) &= j, & j \leq i \\ &= j-1, & j > i \end{aligned}$$

serve as generators for the maps in  $\mathcal{O}$ .

$X_{\epsilon^i}$  is called  $\partial_i$ : the  $i^{\text{th}}$  face operator

$X_{\pi^i}$  is called  $s_i$ : the " $i^{\text{th}}$  degeneracy".

The usual semi-simplicial relations are all consequences of the relations among the  $\epsilon^i, \pi^i$ .

Def. A s.s. object with base point is a pair  $(X, b)$ , where  $X$  is an s.s. object, and  $b = b_0, s_0 b_0, \dots, s_{n-1} \dots s_1 s_0 b_0, \dots$  is the sub-s.s. set of a point  $b_0 \in X_0$ . When the base point is unspecified, the notation  $(X, *)$  may be used.

Def. A s.s. object  $X$  is said to satisfy the extension condition if given  $x_0, \dots, \hat{x}_k, \dots, x_{q+1} \in X_q$  such that  $\partial_i x_j = \partial_{j-1} x_i$  for  $i < j, i \neq k \neq j$ , then there is an  $x \in X_{q+1}$  with  $\partial_i x = x_i$ , all  $i \neq k$ .

For example,  $S(A)$  satisfies the extension condition.

S.s. objects satisfying the extension condition allow a definition of homotopy; see [5], and the  $\pi_n(X, *)$  are defined: for  $n \geq 1$ , they are groups, and for  $n \geq 2$ , abelian groups.

## 2. S.s. groups

Lemma (Moore [6]) If  $G$  is a s.s. group, then it satisfies the extension condition.

Def. Let  $(NG)$  be the differential group given by

$$(NG)_q = \bigcap_{c \neq 0} (\ker \partial_c : G_q \rightarrow G_{q-1})$$

and differential operator on  $NG$  given by

$$\partial = \partial^{(q)} = \partial_0 : (NG)_q \rightarrow (NG)_{q-1}$$

Then image  $\partial^{(q+1)}$  is a normal subgroup of  $\ker \partial^{(q)}$  and the quotients  $\frac{\ker \partial^{(q)}}{\text{im } \partial^{(q+1)}}$  are defined to be the homology of  $NG$ ,  $H_q(NG)$ . Then it turns out that  $H_q(NG) \cong \pi_q(G, e)$

( $e =$  identity of  $G$  in every dimension, always taken as the base point of a s.s. group).

Def. A map  $p: X \rightarrow B$  (i.e.  $p: X_q \rightarrow B_q$ ) commuting with the face and degeneracy operators) is called a fibre map if given  $y \in B_{q+1}$

and  $x_0, \dots, \hat{x}_k, \dots, x_{q+1} \in X_q$  with  $p_i x = \partial_i y$

and  $\partial_i x_j = \partial_{j-1} x_i, i < j, i \neq k \neq j$ , then there

is an  $x \in X_{q+1}$  such that  $p x = y$ , and

$\partial_i x = x_i$ . The fibre  $F$  is given by

$$F_n = p^{-1}(b_n), \quad b \text{ a base point for } B.$$

Then there is the usual exact sequence:

$$\rightarrow \pi_{n+1}(B, *) \rightarrow \pi_n(F, *) \rightarrow \pi_n(X, *) \rightarrow \pi_n(B, *) \rightarrow \dots$$

If  $p: G \rightarrow B$  is a surjection of s.s. groups, then  $p$  is a fibre map.

### 3. Kan's Construction $G_X$

For each s.s. ~~set~~ set with base point  $(X, b)$ , Kan [5] has defined a s.s. group  $G_X = G(X, b)$  which is free in every dimension, and which serves as a (s.s. version of) loop space for  $(X, b)$

Let  $\Gamma_2 G = [G, G]$  the commutator subgroup.

Then as proven in [5],

$$\pi_{n-1}(G^X / \Gamma_2 G^X, e) \approx H_n(X)$$

and the projection  $p: G^X \rightarrow G^X / \Gamma_2 G^X$

induces the Hurewicz homomorphism  $h$ :

$$\begin{array}{ccc} \pi_n(X, b) & \approx & \pi_{n-1}(G^X, e) \\ \downarrow h & & \downarrow p_* \\ H_n(X) & \approx & \pi_{n-1}(G^X / \Gamma_2 G^X, e) \end{array}$$

The Hurewicz Theorem as given in [5] involves showing that if  $G$  is a s.s. free group (e.g.  $G = G^X$ ), then  $\Gamma_2 G$  has connectivity one higher than  $G$ .

#### 4. The Lower Central Series.

For any group  $G$ , let  $\Gamma_1 G = G$  and  $\Gamma_r G$  defined inductively by  $\Gamma_r G = [\Gamma_{r-1} G, G]$ ,  $r \geq 2$ . Then

$$G \supset \Gamma_2 G \supset \dots \supset \Gamma_r G \supset \dots$$

is called the lower central series for  $G$ .

$\Gamma_r$  will be considered as a functor from  $\mathcal{G}$  (the category of groups) to itself. If  $\mathcal{G}$  is a s.s. group (i.e.,  $\mathcal{G}$  is a contravariant functor from  $\mathcal{G}$  to  $\mathcal{G}$ ) then  $\Gamma_r \mathcal{G}$  is the s.s. group which is the composite functor.  $\Gamma_r \mathcal{G}$  is thus obtained by applying  $\Gamma_r$  to each dimension  $\mathcal{G}_q$ , and to the face and degeneracy operators. The same remarks apply to the functor  $L^r$  from  $\mathcal{G}$  to  $\mathcal{A}$  (the category of abelian groups), defined by

$$L^r \mathcal{G} = \Gamma_r \mathcal{G} / \Gamma_{r+1} \mathcal{G}$$

The process of composing the s.s. object  $X$  with a functor  $X: \mathcal{G} \rightarrow \mathcal{C}$  (which is a functor  $X: \mathcal{G} \rightarrow \mathcal{C}$ ) with a functor  $T: \mathcal{C} \rightarrow \mathcal{D}$  to obtain a new s.s. object  $TX: \mathcal{G} \rightarrow \mathcal{D}$  is called "prolongation" by Dold [13].

A s.s. object  $X$  is called  $n$ -connected if  $\pi_i(X, *) = 0$  for all  $i \leq n$ .

For each real number  $a$ , let  $\{a\}$  denote  $a$  if  $a$  is an integer, otherwise  $\{a\}$  is to be the next integer larger than  $a$ .

Theorem If  $G$  is a s.s. free group which is  $n$ -connected ( $n \geq 0$ ), then  $\Gamma_r G / \Gamma_{r+1} G$  is  $n + \{\log_2 r\}$ -connected.

The next sections outline the techniques used in the proof of Thm 1. It would be interesting to know the connectivity of the s.s. groups  $\Gamma_r G$  themselves.

### 5. Witt's Theorem

A theorem of Witt [8], related to the better known Poincaré - Birkhoff - Witt theorem, states that if  $G$  is a free group, the  $\Gamma_r G / \Gamma_{r+1} G$  depend (even as functors) only

on  $G / \Gamma_2 G$ . That is, for any group  $G$ ,

let  $L(G) = \sum_{r=1}^{\infty} L^r(G)$  be the Lie

ring of the group  $G$  ([7]). For any abelian

group  $M$ , let  $L(M) = \sum_{r=1}^{\infty} L^r(M)$  be the free Lie

ring over  $M$  ([4a]). Then there is a

natural transformation  $\theta$  from the composite

functor  $L^r \circ L^1$  to the functor  $L^r$  (considered

as functors from  $\mathcal{G}$  to  $\mathcal{A}$ ). The Witt theorem



Then states that if  $G$  is free,

$$\theta : L^r(L'G) \longrightarrow L^r(G)$$

is an isomorphism.

### 6. A Theorem of A. Dold [1]

Theorem If  $T$  is a covariant functor from  $\mathcal{A}$  to itself, then if  $M$  is a s.s. free abelian group,  $\pi_*(T M, e)$  depends only on  $\pi_*(M, e)$

Remark under our notation,  $\pi_*(M, e)$  (base point understood to be the identity) is sometimes referred to as the "homology" of  $M$ . Let  $\mathcal{K}$  be the functor from s.s. abelian groups to s.s. abelian groups given by

$$\mathcal{K}M = M$$

and differential operators on  $M$  given by  $\partial = \sum (-1)^i \partial_i$

Then the normalization theorem of Eilenberg-MacLane (see for example [3]) shows that

$$H_*^*(NM) \cong H_*^*(\mathcal{K}M), \text{ and then}$$

both of these are  $\cong \pi_*(M, e)$ .

Putting Witt's and Dold's Theorem together, we see that  $\pi_*(L^r G, e)$  depends only on  $\pi_*(G/\mathbb{Z}_2^e)$  if  $G$  is a free s.s. group, and we are reduced to studying the functor  $L^r$  on s.s. free abelian groups. This is most conveniently done by means of the generalized bar construction of Dold-Puppe.

### 7. The Generalized Bar Construction [3]

Let  $M$  be a s.s. abelian group. Then the cone  $CM$  and the suspension  $EM$  are defined by taking for  $CM$  any s.s. abelian group which is contractible and contains  $M$  as a direct summand;  $EM$  is defined to be the quotient:

$$0 \rightarrow M \xrightarrow{i} CM \xrightarrow{p} EM \rightarrow 0$$

Then the boundary homomorphism  $\Delta$  is an isomorphism

$$\Delta: \begin{array}{ccc} \pi_{n+1}(EM) & \xrightarrow{\cong} & \pi_n(M) \\ \parallel & & \parallel \\ H_{n+1}(EM) & \longrightarrow & H_n(M) \end{array}$$

Let  $T$  be a functor from  $\mathcal{A}$  to  $\mathcal{A}$  (with  $T(0) = 0$ ), and apply (the prolongation of)  $T$

$$TM \xrightarrow{T_i} TCM \xrightarrow{T_p} TEM$$

$T_i$  is injective,  $T_p$  is surjective.

then

$$\begin{array}{ccccc} \pi_n(TM) & \xrightarrow{(T_i)_*} & \pi_n(\text{Ker } T_p) & \xrightarrow{\cong \Delta^{-1}} & \pi_{n+1}(TEM) \\ & \downarrow & \downarrow \sigma & & \uparrow \end{array}$$

The composite  $\Delta^{-1} \circ (T_i)_* = \sigma$  is called the suspension.

The generalized bar construction uses the cross effects of  $T$  to provide a construction of a double complex (double differential group) whose homology (under the total differential operator) is that of  $NTEM$ .

The cross effects of  $T$  ~~are~~ denoted by  $T(M_1 | \dots | M_n)$ , are defined in [43], where it is shown that they satisfy:

$$T(M_1 + \dots + M_n) = \sum_{\tau} T(M_{\tau_1} | \dots | M_{\tau_s})$$

$$\tau = (\tau_1, \dots, \tau_s), \quad 1 \leq \tau_1 < \dots < \tau_s \leq n$$

e.g.  $T(A+B) = T(A) + T(B) + T(A|B)$

Let  $\Sigma_k M$  denote the direct sum of  $k$  copies of  $M$ , and let

$$d_i' : \Sigma_k M \longrightarrow \Sigma_{k-1} M \quad \text{for } 1 \leq i \leq k-1$$

by  $d_i'(m_1, \dots, m_k) = (m_1, \dots, m_i + m_{i+1}, \dots, m_k)$

then

$$T(d_i') : T(\Sigma_k M) \longrightarrow T(\Sigma_{k-1} M)$$

and let  $d_i$  be the composite

$$\begin{array}{ccc}
 T_{\Sigma k}(M) & \xrightarrow{d_i} & T_{\Sigma k-1}(M) \\
 \text{(definition)} \quad \parallel & & \parallel \\
 T(\underbrace{M|M|\dots|M}_{k \text{ factors}}) & \longrightarrow T_{\Sigma k}(M) \longrightarrow T(\Sigma_{k-1} M) \longrightarrow T(\underbrace{M|\dots|M}_{k-1})
 \end{array}$$

Let  $d = \sum (-1)^i d_i$ ; then  $d^2 = 0$

If  $M$  is a s.s. abelian group, let  $\Upsilon M$  be the double differential group:

$$\begin{array}{ccccc}
 & & d & \downarrow & \\
 \Upsilon M & : & \leftarrow T_{\Sigma p-1}(M_q) & \leftarrow T_{\Sigma p}(M_q) & \leftarrow \\
 & & & \downarrow d = \sum (-1)^i T_{\Sigma p} d_i & \\
 & & & T_{\Sigma p}(M_{q-1}) &
 \end{array}$$

Theorem  $\Upsilon M$  is a double differential group under  $d$  and  $\partial$ , and the homology of the associated singly differential group  $\tau \Upsilon M$ ,  $(\tau \Upsilon M)_n = \sum_{p+q=n} T_{\epsilon p} \beta(M_q)$  under  $D = d \circ (-1)^p \partial$  becomes the homology of  $NTEM$ , and the injection  $\iota: TM \rightarrow \Upsilon M$  induces the suspension  $\sigma$ :

$$\begin{array}{ccc} \pi_n(TM) = H_n(NTM) & \xrightarrow{\sigma} & H_{n+1}(NTEM) = \pi_{n+1}(TEM) \\ & \searrow \iota_* & \cong \\ & & H_{n+1}(\tau \Upsilon M) \end{array}$$

In the next few pages we shall briefly outline why this theorem is true. For complete details see [3].

Let  $\Delta(m)$  be the s.s. set of the  $m$ -simplex that is  $\Delta(m)_p = \{ \text{all monotone maps } \epsilon p \beta \rightarrow \epsilon m \beta \}$

$\Delta(0)_p$  has but one <sup>element</sup> (map):  $\gamma^p$

$\Delta(1)_p$  has <sup>elements</sup> (maps):  $\gamma^p_k$ ,  $k=0, 1, \dots, p+1$

where  $\gamma^p_k : \begin{array}{l} 0, 1, \dots, k-1 \longrightarrow 0 \\ k, k+1, \dots, p \longrightarrow 1 \end{array}$

let  $\Delta(0) \xrightarrow{\epsilon_0, \epsilon_1} \Delta(1)$   $\left\{ \begin{array}{l} \text{by } \epsilon^0 \gamma^p = \gamma_{p+1}^p \\ \text{or } \epsilon^1 \gamma^p = \gamma_0^p \end{array} \right.$

let  $Z$  denote the free abelian group functor, and define

$$\begin{array}{ccccccc}
 0 & \rightarrow & Z \Delta(0) & \xrightarrow{\epsilon^1} & Z \Delta(1) & \xrightarrow{Z \Delta(1) / Z \epsilon^0 \Delta(0)} & Z \Delta(1) / Z(\epsilon^0 \Delta(0) + \epsilon^1 \Delta(0)) \rightarrow 0 \\
 & & \parallel & & \parallel & & \parallel \\
 0 & \rightarrow & Q & \longrightarrow & C & \longrightarrow & S \longrightarrow 0
 \end{array}$$

$Q, C, S$  are s.s. free abelian groups,

$Q_p$  is freely generated by  $\gamma^p$

$C_p$  " "  $\gamma_0^p \dots \gamma_p^p$

$S_p$  " "  $\gamma_1^p \dots \gamma_p^p$

Given a s.s. abelian group  $M$ , form the double objects  $Q \hat{\otimes} M, C \hat{\otimes} M, S \hat{\otimes} M$ , where

for example  $(S \hat{\otimes} M)_{p,q} = S_p \otimes M_q$ .

The diagonal objects and total objects are (respectively) for example

$$d(S \hat{\otimes} M)_n = (S \hat{\otimes} M)_{n,n} = S_n \otimes M_n$$

$$t(S \hat{\otimes} M)_n = \sum_{p+q=n} (S \hat{\otimes} M)_{p,q} = \sum_{p+q=n} S_p \otimes M_q$$

The double s.s. objects have two sets of s.s. operators, denoted  $\partial'_i, s'_i$  for the first index ( $p$ ) and  $\partial''_i, s''_i$  for the second index ( $q$ ). The

diagonal object is regarded as a differential group

$$\text{under } \partial = \partial' \circ \partial'' = \partial'' \circ \partial' \quad ; \quad \begin{aligned} \partial' &= \sum (-1)^i \partial'_i \\ \partial'' &= \sum (-1)^i \partial''_i \end{aligned}$$

The total object is a differential group under

$$D = \partial' + (-1)^p \partial'' .$$

$$\text{Let } \begin{aligned} d(C \hat{\otimes} M) &= CM \\ d(S \hat{\otimes} M) &= EM \end{aligned} , \text{ and since } d(Q \hat{\otimes} M) = M$$

we have constructed the cone and suspension.

Now apply  $T$  to the double objects, and obtain the double objects:

$$T(Q \hat{\otimes} M) \quad T(C \hat{\otimes} M) \quad T(S \hat{\otimes} M)$$

The Eilenberg-Zilber-Cartier [3] theorem shows

that the diagonal objects (eg  $d T(S \hat{\otimes} M) = TEM$ ) and the total objects (eg  $\pm T(S \hat{\otimes} M)$ ) have naturally isomorphic homology

Now apply  $N'$  to the double objects, where

$$N'(\text{double object}) = \bigcap_{i \neq 0} (\text{kernel } \partial_i')$$

$$\approx \frac{\text{double object}}{D'}$$

$$\text{and } D' = \text{Image } \partial_i'$$

$$\text{Then } N' T(S \hat{\otimes} M)_{p,*} = TM \quad p=0$$

$$= 0 \quad p \neq 0$$

Also, and this is the crucial observation:

$$N' T(S \hat{\otimes} M) \approx \gamma M$$

$$\text{for } T(S \hat{\otimes} M)_{p,*} = T\left(\sum_{k=1}^p \gamma_k^p \times M\right)$$

$$= \sum_{\tau} T(\gamma_{\tau_1}^p \times M | \dots | \gamma_{\tau_r}^p \times M)$$

$$\text{but image } s_{i-1}' = \sum_{\tau \neq i} T(\gamma_{\tau_1}^p \times M | \dots | \gamma_{\tau_r}^p \times M)$$

$$\text{so } D' T(S \hat{\otimes} M)_{p,*} = \sum_{\tau \neq (1, \dots, p)} T(\gamma_{\tau_1}^p \times M | \dots | \gamma_{\tau_r}^p \times M)$$

$$\text{then } N' T(S \hat{\otimes} M)_{p,*} \approx \frac{T(S \hat{\otimes} M)_{p,*}}{D' T(S \hat{\otimes} M)_{p,*}}$$

$$\approx T(\gamma_1^p \times M | \dots | \gamma_p^p \times M)$$

$$\approx T_{\{p\}}(M)$$

And it turns out that the differentials correspond, etc,  
and that  $\epsilon_* = \sigma$ .



## 8 Applications of the Gen. Bar Const.

In the applications, it will be convenient to filter

$$TM \text{ by } F^p TM = \sum_{\substack{m \leq p \\ \text{all } q}} T_{\{m\}}(M_q)$$

Then in the associated spectral sequence,  $E^1$  with its differential becomes the sequence

$$H_*(NTK) \xleftarrow{d_*} H_*(NT_{\{2\}}K) \leftarrow \dots$$

and the  $E^r$  converge to  $E^\infty$  which is the graded group associated with the filtration on  $H_*(NTEK)$

Recall that an s.s. object  $X$  is called  $n$ -connected if  $\pi_q(X, *) = 0$  for all  $q \leq n$

Lemma Let  $T$  be a functor from  $\mathcal{A}$  to  $\mathcal{A}$  with  $T(0) = 0$ , prolonged to a functor on s.s.

abelian groups. Suppose that for all 0-connected s.s. free abelian groups  $K$ ,  $TK$  is  $n$ -connected.

Then for all s.s. free abelian groups  $L$  which are  $n$ -connected,  $TL$  is  $(n+m)$ -connected.

Proof. First note that if  $TK$  is  $n+m$ -connected for all s.s. free abelian groups  $K$ , then

The same is true of all the cross effects of  $T$  (using the decomposition  $T(K_1 + \dots + K_n) = \sum_T T(K_i | \dots | K_i)$ )

To prove the lemma it suffices to prove the following inductive (on  $n$ ) step:

If  $TK$  is  $(N+n-1)$ -connected for all  $n-1$  connected s.s. free abelian groups  $K$ , then  $TL$  is  $N+n$ -connected for all ~~free~~  $n$ -connected s.s. free abelian groups  $L$ .

This is proven by taking for  $K$ , any s.s. free abelian group such that

$$H_{q-1}(NK) \cong H_q(NL) \quad \text{all } q$$

$$\text{so that } H_q(NEK) \cong H_q(NL) \quad \text{all } q.$$

By Dold's theorem,  $EK$  may be substituted for  $L$  before applying  $T$ , i.e.  $H_q(NTEK) \cong H_q(NTL)$

Now apply the generalized hom. const. to  $TK$  and obtain  $\tau K$ . In the (above described) associated spectral sequence,  $E'_{p,q} = H_q(N\tau_p K)$ , which is 0 for  $q \leq N+n-1$  by the inductive assumption. Then  $H_{p+q}(\tau K) = 0$ , for all  $p+q \leq 1+N+n-1$  (since  $p \geq 1$ ), by standard spectral sequence arguments

## 9. The Connectivity of $\mathcal{L}^r(M)$

In this section is outlined the proof of the following, which in conjunction with the Hurewicz-Kan Theorem (section 3) implies Theorem 1

Theorem 2 If  $M$  is a free s.s. abelian group which is  $n$ -connected,  $\mathcal{L}^r(M)$  is  $n + \{\log_2 r\}$ -connected

This relies on a decomposition Theorem: let  $M$  be a free abelian group.

Theorem 3 There is a finite filtration on  $\mathcal{L}^r(M)$  say  $F^t \mathcal{L}^r(M)$  for  $0 \leq 1 \leq \dots \leq t \leq \dots \leq \kappa(r)$ , where the associated quotients  $g^t = F^t / F^{t-1}$  satisfy for  $t < \kappa(r)$  a recursion relation (i) or (ii) (depending on  $t$ )

$$(i) \quad g^t \mathcal{L}^r(M) \cong g^{t'} \mathcal{L}^{r'}(M) \otimes \text{Sym}^a (g^{t''} \mathcal{L}^{r''}(M))$$

$$(ii) \quad g^t \mathcal{L}^r(M) \cong g^{t'''} \mathcal{L}^{r'''}(M) \otimes (g^{t''''} \mathcal{L}^{r''''}(M))$$

The only term which does not decompose further is  $g^{\kappa(r)} \mathcal{L}^r(M)$  which is  $\mathcal{L}^r(M) / \sum_{2 \leq i \leq r-2} [\mathcal{L}^i(M) \mathcal{L}^{r-i}(M)]$

and which will be called  $\mathcal{M}^r(M)$

The filtration on  $\mathcal{F}^r(M)$  is defined by a lexicographic ordering of the "types" of the basic commutators [see 7a] which occur. For

example  $[[[m_1, m_2], m_3], [m_4, m_5], m_6]]$

and  $[[[[m_1, m_2], m_3], m_4], [m_5, m_6]]$

are both commutators of weight 6, and in the ordering, the "type" of the ~~latter~~<sup>former</sup> will precede that of the latter. An element in  $\mathcal{F}^r(M)$

is of filtration  $\leq t$  if it may be written as a sum of basic commutators all of types  $\leq$  the type corresponding to  $t$ . The argument to prove Theorem 3 is a modification of that in M. Hall [7a].

The functor  $\mathcal{M}^r(M)$  is simpler than  $\mathcal{F}^r(M)$  and satisfies:

Lemma If  $M$  is a free  $\pi$  0-connected s.s. abelian group,  $\mathcal{M}^r(M)$  is  $r-1$  connected.

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This lemma may be proven by a technique similar to that of Dold - Puppe [3] in their treatment of  $\text{Sym}^r(M)$ .

Finally, Theorem 2 (and with it, Theorem 1) is proven by induction on  $r$ . It is because of the possibility of the decomposition (ii) (Note a composite functor) that the connectivity of  $\gamma^r(M)$  only increases with  $\log r$ . This is illustrated by the submodule  $\gamma^2(\gamma^2(M)) \subset \gamma^4(M)$  which has connectivity only 2 higher than that of  $M$ . Also  $\gamma^2(\gamma^2(\gamma^2(M))) \subset \gamma^8(M)$  has connectivity only 3 higher than that of  $M$ .

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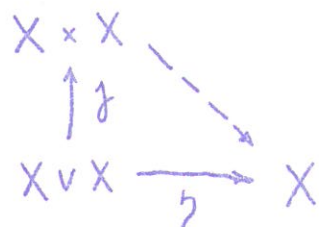
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# NUMERICAL INVARIANTS OF HOMOTOPY TYPE

BY. F. PETERSON

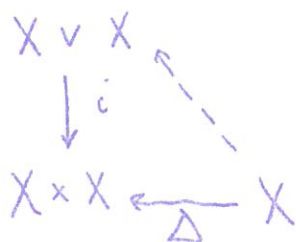
LET  $\mathcal{C}$  BE THE CATEGORY OF SPACES WITH BASE POINT AND HOMOTOPY CLASSES OF MAPS.

DEFN.  $X$  IS AN H-SPACE IF WE CAN COMPLETE THE FOLLOWING DIAGRAM:



WHERE  $\eta$  IS THE FOLDING MAP

DEFN.  $X$  IS AN  $H^*$ -SPACE OR HAS CATEGORY  $\leq 1$  IF WE CAN COMPLETE THE FOLLOWING DIAGRAM:

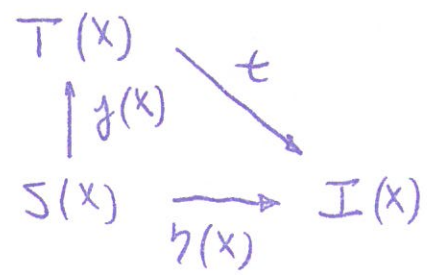


WHERE  $\Delta$  IS THE DIAGONAL MAP

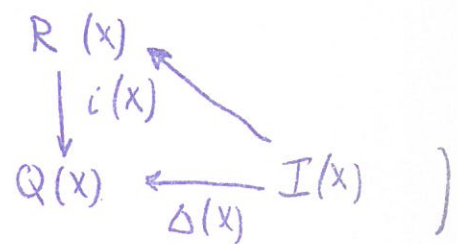
LET  $S, T$  BE FUNCTORS:  $\mathcal{C} \rightarrow \mathcal{C}$   
 $f, \eta$  NATURAL TRANSFORMATIONS,  $I$  THE IDENTITY FUNCTOR

DEFN  $(S, T, f, \eta)$  IS A STRUCTURE

DEFN.  $X$  IS STRUCTURED WITH RESPECT TO  
 $\tau$  IF THERE EXISTS  $\tau: T(X) \rightarrow I(X)$   
 SUCH THAT THE FOLLOWING DIAGRAM IS  
 COMMUTATIVE:

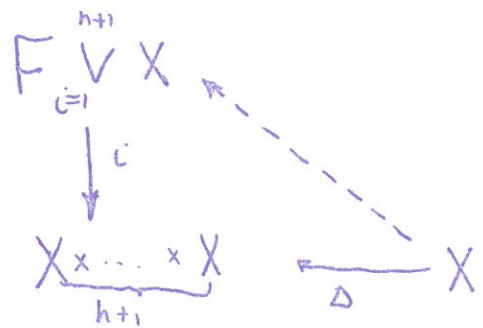


( THIS IS DUALIZED IN:



DEFN.  $F \bigvee_{i=1}^n X$  DENOTES THE FAT WEDGE  
 $(x_1, \dots, x_n)$  WITH AT LEAST ONE  $x_i = *$   
 $( * = \text{BASE PT. OF } X )$

DEFN. IF WE CAN COMPLETE THE  
 FOLLOWING DIAGRAM:



THEN  $X$  IS OF CATEGORY  $\leq n$

SO WE HAVE GENERALIZED THE NOTION  
 OF CATEGORY FROM 1 ( $H^*$ -SPACE) TO  $n$ .  
 WE SEEK A DUAL NOTION THAT FOR

$n \in \mathbb{I}$  PICKS OUT ONLY  $H$ -SPACES.

DEFN. IF  $X, Y$  ARE STRUCTURED WITH RESPECT TO  $t, t'$  RESPECTIVELY AND  $f: X \rightarrow Y$  THEN  $f$  IS A HOMOMORPHISM IF THE FOLLOWING DIAGRAM IS COMMUTATIVE:

$$\begin{array}{ccc} T(X) & \xrightarrow{T(f)} & T(Y) \\ t \downarrow & & \downarrow t' \\ I(X) & \xrightarrow{f} & I(Y) \end{array}$$

ASSUME THAT  $X$  IS STRUCTURED WITH RESPECT TO  $t$ ,  $f: X \rightarrow Y$  AND  $(E_f, X, p)$  IS FIBRE SPACE INDUCED BY  $(P(Y), Y, p')$  WHERE  $P(Y)$  IS THE PATH SPACE OF  $Y$ .

WE SEEK TO FIND CONDITIONS ON  $f$  SUCH THAT  $E_f$  IS STRUCTURED AND  $p$  IS A HOMOMORPHISM.

BASIC ASSUMPTION  $(*)$ :  $\pi(T(X), \Omega) \xrightarrow{f(X)^\#} \pi(S(X), \Omega) \rightarrow 0$  IS EXACT FOR LOOP SPACES  $\Omega$ .

$(\pi(A, B))$  DENOTES THE HOMOTOPY CLASSES OF MAPS  $A \rightarrow B$

DEFN LET  $X$  BE STRUCTURED WITH RESPECT TO  $t$ . THEN  $f: X \rightarrow Y$  IS PRIMITIVE IF THERE EXISTS  $t': T(Y) \rightarrow Y$



( $\epsilon'$  NOT NECESSARILY A STRUCTURE)

4.

SUCH THAT THE FOLLOWING DIAGRAM IS COMMUTATIVE:

$$\begin{array}{ccc} T(X) & \xrightarrow{T(\varphi)} & T(Y) \\ \epsilon \downarrow & & \downarrow \epsilon' \\ I(X) & \xrightarrow{\varphi} & I(Y) \end{array}$$

THEOREM IF  $(S, T, \varphi, \eta)$  SATISFY  $(*)$  AND  $\varphi$  IS PRIMITIVE THEN  $E_\varphi$  IS STRUCTURED SUCH THAT  $\rho$  IS A HOMOMORPHISM.

PROOF USE THE FOLLOWING COMMUTATIVE DIAGRAM WITH EXACT ROWS:

$$\begin{array}{ccccccc} & & \pi(S(X), X) & & \pi(T(Y), Y) & & \\ & & \downarrow j(X)^\# & & \downarrow T(\varphi)^\# & & \\ & & \pi(T(X), X) & \xrightarrow{\quad} & \pi(T(X), Y) & & \\ & & \downarrow T(\rho)^\# & & \downarrow T(\rho)^\# & & \\ \pi(T(E), Y) & \xrightarrow{\quad} & \pi(T(E), E) & \xrightarrow{\quad} & \pi(T(E), X) & \xrightarrow{\varphi^\#} & \pi(T(E), Y) \\ \downarrow j(E)^\# & & \downarrow j(E)^\# & & \downarrow j(E)^\# & & \\ \pi(S(E), Y) & \xrightarrow{\quad} & \pi(S(E), E) & \xrightarrow{\quad} & \pi(S(E), X) & \xrightarrow{S(\rho)^\#} & \end{array}$$

EXAMPLES 1.

$$\begin{array}{ccc} E_\varphi & & \\ \downarrow & & \\ X & \xrightarrow{\varphi} & K(\pi, n) \end{array}$$

$X$  AN H-SPACE       $\varphi$  REPRESENTS  
A COHOMOLOGY CLASS

$$t^*(f) = f \otimes 1 + 1 \otimes f + a$$

$f$  IS PRIMITIVE  $\Leftrightarrow a = 0$ .

2. CONSIDER THE NATURAL  
MAP  $S\Omega X \rightarrow X$  AND DIAGRAM:

$$\begin{array}{ccc} S\Omega X \times S\Omega X & & \\ \uparrow & \searrow & \\ S\Omega X \vee S\Omega X & \longrightarrow & X \end{array}$$

THEN FOR  $T(X) = S\Omega X \times S\Omega X$

$$S(X) = S\Omega X \vee S\Omega X \quad \text{CONDITION}$$

(\*) IS FULFILLED.

THE DIAGRAM CAN BE FILLED IN

$\Leftrightarrow \Omega X$  IS HOMOTOPY ABELIAN

(THEOREM OF STASNEFF)

NOW ASSUME THAT  $X$  IS STRUCTURED

WITH RESPECT TO  $t$  AND IS

AN H-SPACE.

$$t : X \times X \rightarrow X$$

$$t^*(f) = f \otimes 1 + 1 \otimes f + \sum \alpha \otimes \beta$$

$f$  IS PRIMITIVE IF  $\sum \alpha \otimes \beta = 0$

FOR EXAMPLE



$$X = K(\mathbb{Z}, 2) \xrightarrow{f} K(\mathbb{Z}, 8)$$

LET  $f$  REPRESENT  $(cup)^3$

THEN  $t^*(f) = f \otimes 1 + 1 \otimes f + i^2 \otimes 1 + 1 \otimes i^2$   
*(i GENERATOR OF  $K(\mathbb{Z}, 2)$ .)*

$f$  IS NOT PRIMITIVE THEREFORE  
 $E_f$  IS NOT AN H-SPACE.

BUT WE CAN CONCLUDE  $\Omega E_f$  IS  
HOMOTOPY ABELIAN ALTHOUGH  $E_f$   
IS NOT AN H-SPACE AS  $(c^2) = 0$

THEOREM IF  $\pi_i(X) = 0, i \leq n-1$   
THEN  $\Omega X$  IS HOMOTOPY ABELIAN  
 $\iff X$  IS AN H-SPACE.

CONSIDER THE GROUP  $\pi \left[ \prod_{i=1}^{n+1} (\Omega X), \Omega X \right]$

LET  $\alpha_i$  REPRESENT THE PROJECTION  
OF  $\prod_{i=1}^{n+1} (\Omega X)$  INTO ITS  $i$ -TH FACTOR.

$$LET [\alpha, \beta] \equiv \alpha \beta \alpha^{-1} \beta^{-1}$$

IN PARTICULAR WE HAVE AN  
 $n+1$  FOLD COMMUTATOR OF  $\{\alpha_i\}_{i=1}^{n+1}$

$$[ \dots [ [ \alpha_1, \alpha_2 ], \alpha_3 ], \dots \alpha_{n+1} ] ]$$

DEFN. NILPOTENCY OF  $X \leq h$  IF

FOR ANY CHOICE OF  $h+1$  - FOLD COMMUTATORS  
 OF  $\{\alpha_i\}_{i=1}^{h+1}$  IT IS TRIVIAL. |

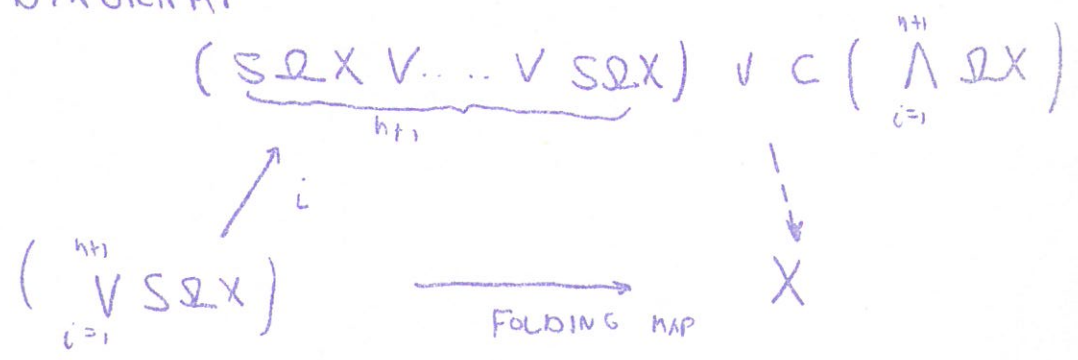
CONSIDER THE GROUP  $\pi[SX, \bigvee_{i=1}^{h+1} SX]$

LET  $\beta_i$  REPRESENT THE INJECTION  
 OF  $SX$  INTO THE  $i$ -TH FACTOR  
 OF  $\bigvee_{i=1}^{h+1} SX$ .

DEFN CONILPOTENCY OF  $X \leq h$  IF

FOR ANY CHOICE OF  $h+1$  FOLD  
 COMMUTATORS OF  $\{\beta_i\}_{i=1}^{h+1}$  IT IS TRIVIAL. |

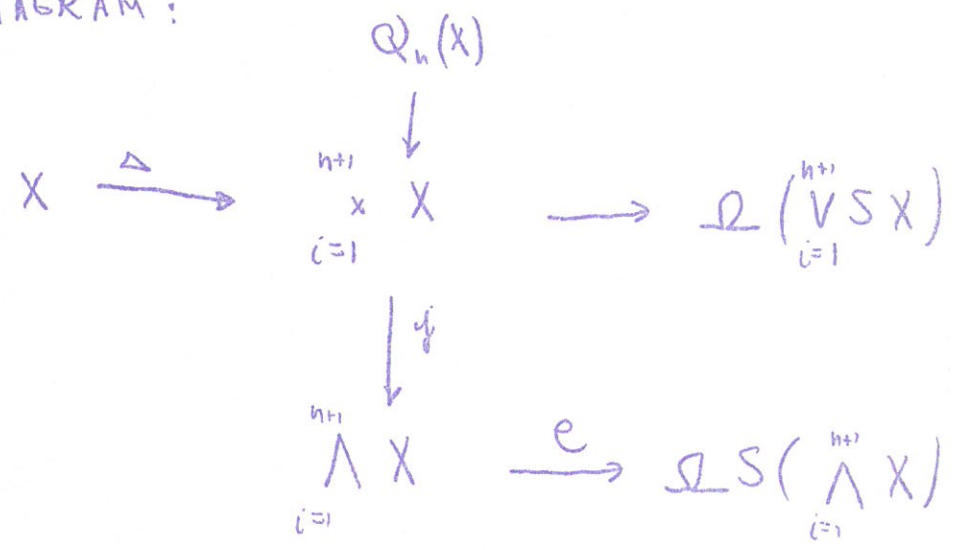
THEOREM  $X$  HAS NILPOTENCY  $\leq h \iff$   
 WE CAN COMPLETE THE FOLLOWING  
 DIAGRAM:



WHERE  $C(A)$  DENOTES THE CONE OVER  $A$   
 $A \wedge B$  DENOTES THE SMASH PRODUCT. |

HOWEVER WE DON'T HAVE A DUAL FOR THIS THEOREM GIVING NECESSARY AND SUFFICIENT CONDITIONS FOR CONILPOTENCY  $\leq h$ .

HOWEVER WE DO HAVE : A SPACE  $Q_h(X)$ ,  
A DIAGRAM :



AND THEOREM CONILPOTENCY  $\leq h \Leftrightarrow e_j \Delta = 0$

CONILPOTENCY  $\leq h \Leftrightarrow \Delta$  FACTORS THROUGH  $Q_h(X)$ .

EXAMPLE LET  $X = S^2 \cup_g e^8$   
 WHERE  $g = \eta \circ w \circ \eta : S^7 \rightarrow S^2$   
 $\eta$  IS HOPF MAP,  $w$  BLAKERS - MASSEY MAP  
 THEN WEAK CATEGORY  $X=2$ ,  
 CONILPOTENCY  $X=1$ .

CO N I L P. For  $h=1$  YIELDS:

$$\begin{array}{ccc}
 X & \xrightarrow{\Delta} & X \times X & \rightarrow & \Omega(SX \times SX) \\
 & & \downarrow \eta & & \\
 & & X \wedge X & \xrightarrow{e} & \Omega S(X \wedge X)
 \end{array}$$

$$\text{CO N I L P. } \leq 1 \Leftrightarrow e \circ \eta \circ \Delta = 0.$$

TO DUALIZE THIS WE HAVE A  
NOTION OF FLAT PRODUCT  $X \wr X$

$$\begin{array}{ccccc}
 S(\Omega X \vee \Omega X) & \longrightarrow & X \vee X & \longrightarrow & X \\
 \parallel & & \uparrow & & \\
 (S\Omega X) \vee (S\Omega X) & \vee & (X \wr X) & \xrightarrow{\quad} & X \wr X
 \end{array}$$

DUALITY DOESN'T HOLD BECAUSE  
 $X \wr X$  APPEARS AND NOT  $S\Omega(X \wr X)$

BUT IT IS NOT CLEAR HOW TO DUALIZE  
IN CASE OF  $h$ .

WE WOULD LIKE A STRUCTURE FOR  
CO CAT  $X \in h$  SUCH THAT:  
CO CAT  $X \leq 1 \Leftrightarrow X$  IS AN  $H$ -SPACE.

SOME NON-STABLE SPHERE BUNDLES  
OVER REAL PROJECTIVE SPACE

BY J. LEVINE

WE WILL STUDY THE FOLLOWING PROBLEM:  
FOR INTEGERS  $k, n$   $k \leq n$  DESCRIBE THE  
 $k$ -PLANE BUNDLES OVER  $P^n(\mathbb{R})$ .

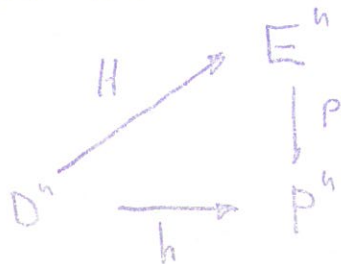
FIRST WE ESTABLISH A CLASSIFICATION  
THEOREM BY TECHNIQUES SIMILAR TO THE  
FELDBAU CLASSIFICATION OF BUNDLES OVER  
SPHERES.

LET  $S^{n-1}$  =  $(n-1)$  SPHERE,  $D^n$  =  $n$  DISK,  
 $G$  A TOPOLOGICAL GROUP.

DEFN. IF  $f: S^{n-1} \rightarrow G$  SUCH THAT  $f(-x) = f(x)^{-1}$   
WE CALL  $f$  EQUIVARIANT. IF  $f_t$  IS A  
HOMOTOPY OF EQUIVARIANT MAPS, WE CALL  $f_t$   
AN EQUIVARIANT HOMOTOPY, OR AN E-HOMOTOPY.

LET  $(E, p, P^n)$  BE A PRINCIPAL  $G$ -BUNDLE  
WITH USUAL RIGHT TRANSLATION OF  $E$  BY  $G$ .

THEN IF  $h: D^n \rightarrow P^n$  WHERE  $h$  IDENTIFIES  
ANTIPODAL PTS. OF  $\partial D^n = S^{n-1}$  THEN  $h$  HAS  
A LIFTING  $H$ .



IF  $x \in S^{h-1}$  THEN  $H(x)$  AND  $H(-x)$  LIE IN  
 SAME FIBRE OF  $p$ . WE DEFINE  $f: S^{h-1} \rightarrow G$   
 BY THE FORMULA:

$$H(x) = H(-x) f(x) \quad \text{FOR } x \in S^{h-1}$$

IT IS STRAIGHTFORWARD TO CHECK THAT  
 $f$  IS EQUIVARIANT.  $f$  IS CALLED  
 A CHARACTERISTIC MAP OF  $E$ .

LEMMA ANY TWO CHARACTERISTIC MAPS  
 $f_0, f_1$  OF  $E$  ARE  $e$ -HOMOTOPIC UP  
 TO CONJUGACY, I.E. THERE EXISTS  
 $a \in G$  SUCH THAT  $f_1$  AND  $a^{-1} f_0 a$  ARE  
 $e$ -HOMOTOPIC.

PROOF IF  $H_0$  AND  $H_1$  ARE LIFTINGS OF  
 $h$  CORRESPONDING TO  $f_0$  AND  $f_1$  DEFINE  
 $g: D^h \rightarrow G$  BY  $H_1(x) = H_0(x) g(x)$   
 WE ESTABLISH THAT  $f_1(x) = g(-x)^{-1} f_0(x) g(x)$

NOW DEFINE  $g_t: S^{h-1} \rightarrow G$  BY

$$g_t(x) = g(-tx)^{-1} f_0(x) g(tx)$$

THEN  $g_0 = a^{-1} f_0 a$  WHERE  $a = g(0)$   
 $g_1 = f_1$

WE CHECK THAT  $g_t$  IS EQUIVARIANT  
 AND THE LEMMA IS PROVEN.  $\square$



THEOREM THE CORRESPONDENCE OF PRINCIPAL  $G$ -BUNDLES TO THE CONJUGATE  $E$ -HOMOTOPY CLASS OF ITS CHARACTERISTIC MAPS IS A 1-1 CORRESPONDENCE.

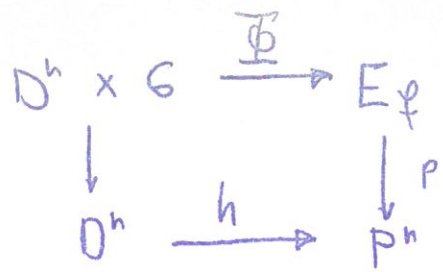
PROOF (i) ONTO: SUPPOSE  $f: S^{h-1} \rightarrow G$  IS EQUIVARIANT. WE DEFINE A PRINCIPAL BUNDLE  $E_f$  WITH  $f$  AS A CHARACTERISTIC MAP.

CONSIDER THE PRODUCT BUNDLE  $D^h \times G$   
 WITH SUBBUNDLE  $S^{h-1} \times G$

$$\begin{array}{ccc} & & D^h \times G \\ & & \downarrow \\ & & D^h \\ \text{WITH SUBBUNDLE} & S^{h-1} \times G & \\ & \downarrow & \\ & S^{h-1} & \end{array}$$

WE DEFINE A BUNDLE MAP  $S^{h-1} \times G \xrightarrow{\varphi} S^{h-1} \times G$  BY  $\varphi(x, y) = (-x, f(x)y)$  CLEARLY  $\varphi$  COVERS THE ANTIPODAL MAP OF  $S^{h-1}$ .

WE DEFINE  $E_f$  BY COLLAPSING  $D^h \times G$  THROUGH  $\varphi$ . THIS COVERS A COLLAPSING OF  $D^h$  THROUGH THE ANTIPODAL MAP OF  $S^{h-1}$ . THUS THERE IS DEFINED IN A NATURAL MANNER A PROJECTION  $p: E_f \rightarrow P^h$  SUCH THAT THE FOLLOWING DIAGRAM IS COMMUTATIVE :



WHERE  $\underline{\Phi}$  IS THE COLLAPSING MAP.

SINCE  $\underline{\Phi}$  ~~IS~~ IS A BUNDLE MAP WE CAN CHECK THAT G ACTS WITHOUT FIXED PT. ON  $E_f$  AND

$E_f / G = P^n$ . ~~THIS~~ ( $\underline{\Phi}$  IS A BUNDLE MAP BY A THEOREM OF GLEASON)

WE DEFINE  $H: D^n \rightarrow E_f$  COVERING  $h$  BY  $H(x) = \underline{\Phi}(x, e)$  AND  $f$  IS THE CHARACTERISTIC MAP. THIS PROVES ONTO.

(2) ONE-ONE: LET  $E_0, E_1$  BE BUNDLES WITH CHARACTERISTIC MAPS  $f_0, f_1$  DERIVED FROM LIFTINGS  $H_0, H_1$  OF  $h$ . WE PROVE THE FOLLOWING:

(a) IF  $f_0 = \alpha^{-1} f_1 \alpha$ , THEN  $E_0$  IS EQUIVALENT TO  $E_1$ .

(b) IF  $f_0$  IS  $e$ -HOMOTOPIC TO  $f_1$ , THEN  $E_0$  IS EQUIVALENT TO  $E_1$ .

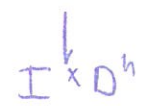
THESE CLEARLY ESTABLISH ONE-ONE.

PROOF OF (a): WE DEFINE AN EQUIVALENCE  $F: E_0 \rightarrow E_1$  BY  $F(H_0(x)y) = H_1(x)\alpha y$

THIS IS WELL-DEFINED; IF  $H_0(x)y = H_0(-x)f_0(x)y$   
 THEN  $F(H_0(-x)f_0(x)y) = H_1(-x)af_0(x)y$   
 $= H_1(-x)f_1(x)ay = H_1(x)ay = F(H_0(x)y)$ .

NOW  $F$  IS A BUNDLE MAP AND  
 COVERS THE IDENTITY MAP OF  $p^h$   
 THEREFORE IT IS AN EQUIVALENCE. |

PROOF OF (b): LET  $f_t : S^{h-1} \rightarrow G$  BE  
 AN  $\mathcal{E}$ -HOMOTOPY FROM  $f_0$  TO  $f_1$ . WE  
 MAY ASSUME  $E_0 = E_{f_0}$ ,  $E_1 = E_{f_1}$   
 SINCE BY (a) THESE ARE ALREADY  
 EQUIVALENT. WE USE  $f_t$  TO  
 CONSTRUCT A BUNDLE  $E$  OVER  $I \times D^h$   
 AS ABOVE WE TAKE  $I \times D^h \times G$



AND DEFINE A BUNDLE MAP  
 $\varphi : I \times S^{h-1} \times G \rightarrow I \times S^{h-1} \times G$  BY:  
 $\varphi(t, x, y) = (t, -x, f_t(x)y)$

BY COLLAPSING UNDER  $\varphi$ , WE OBTAIN  $E$ .  
 CLEARLY  $E|_{0 \times p^h} = E_0$ ,  $E|_{1 \times p^h} = E_1$   
 SO  $E_0 \simeq E_1$  |

REMARK ONE CAN PROVE THIS THEOREM,  
 REPLACING  $G$  BY  $\Omega B_G$  AND USING THE  
 INVERSE OPERATION OF A LOOP SPACE,  
 BY STUDYING  $[p^h, B_G]$ . BUT THE PASSAGE  
 TO  $G$  SEEMS TO REQUIRE MORE  
 KNOWLEDGE OF THE RELATION BETWEEN  
 THE MULTIPLICATIVE STRUCTURE OF  $G$  AND  $\Omega B_G$ . |

WE WISH TO STUDY  $\pi_{h-1}^0(G)$  THE SET OF  $\mathbb{Z}$ -HOMOLOGY CLASSES OF  $S^{h-1} \rightarrow G$ . IT IS USEFUL TO GENERALIZE THE SITUATION, WE REPLACE THE TOPOLOGICAL GROUP  $G$  BY A CONNECTED SPACE  $X$ ,  $h$ -SIMPLE FOR ALL  $h$ , WITH INVOLUTION  $T$  AND FIXED PT.  $x_0$ . WE REPLACE THE ANTIPODAL MAP ON  $S^h$  BY INVOLUTIONS  $T_i$  DEFINED BY THE FORMULA  $T_i(x, y) = (x, -y)$  FOR  $x \in D^i$ . I.E. LEAVING THE FIRST  $i$ -COORDINATES FIXED AND REVERSING THE REST.

$(x, y) \in S^h \quad (x, y) = (x_1, \dots, x_i, y_{i+1}, \dots, y_{h+1})$

SO FIXED PT. SET OF  $T_i$  IS  $S^{i-1}$ .

WE DEFINE  $\pi_n^i(X, x_0; T)$  AS THE SET OF HOMOLOGY CLASSES OF MAPPINGS

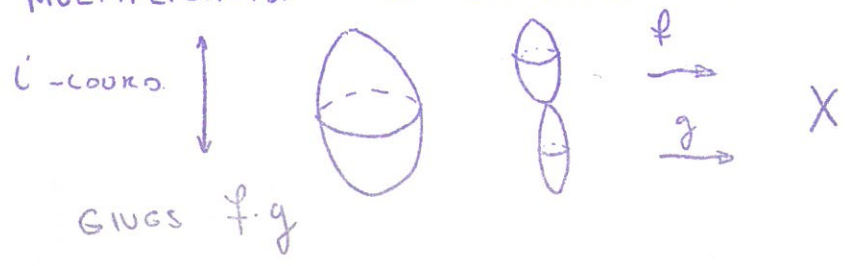
$f: (S^n, S^{n-1}) \rightarrow (X, x_0)$  S.T.

$f \circ T_i = T \circ f$  SUCH AN  $f$  IS  $i$ -EQUIVARIANT

WHEN  $i=0$ ,  $X=G$  THIS AGREES WITH OUR PREVIOUS DEFN. OF EQUIVARIANT.

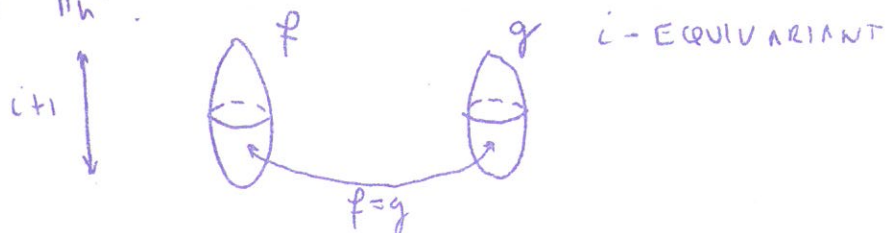
WE NOTE SOME PROPERTIES:

(1) IF  $i > 0$ ,  $\pi_n^i(X, x_0; T)$  IS A GROUP MULTIPLICATION IS DEFINED AS FOLLOWS:



$T_i$  PRESERVES PARALLELS ALONG  $(i+1)$ -th AXIS SO IF  $f, g$  ARE  $i$ -EQUIVARIANT SO IS  $f \cdot g$  7.

(2) THERE IS A GROUP ACTION OF  $\pi_h$  ON  $\pi_h^i$ .



NOTE THAT  $T_i$  EXCHANGES HEMI-SPHERES

LET  $f, g : S^h \rightarrow X$ ,  $g$   $i$ -EQUIVARIANT  
AND LET  $f = g$  ON LOWER HEMISPHERE.

WE DEFINE  $h : S^h \rightarrow X$  BY:

$$h = \begin{cases} f & \text{ON UPPER HEMISPHERE} \\ T f T_i & \text{ON LOWER HEMISPHERE} \end{cases}$$

THEN  $h$  IS WELL DEFINED AND EQUIVARIANT

CONSIDER A SEQUENCE OF MAPPINGS:

$$\pi_h^{(i+1)} \xrightarrow{\psi_{(i+1)}} \pi_h \xrightarrow{\phi_i} \pi_h^i \xrightarrow{\psi_i} \pi_{h-1}^i \xrightarrow{\psi_i} \pi_{h-1}$$

$\psi_{(i+1)}$ , AND  $\psi_i$  ARE PASSAGE TO ORDINARY HOMOTOPY CLASS.  $\psi_i$  IS RESTRICTION TO  $n-1$  SPHERE ALONG  $(i+1)$  ST. AXIS.

$\phi_i(\alpha) = \alpha \cdot X_0$ . WHEN  $i > 0$ , THESE MAPS ARE HOMOMORPHISMS.

WE CAN PROVE SEVERAL THINGS ABOUT THESE MAPS.

THEOREM (a) THIS SEQUENCE IS EXACT. 8.

(WHEN  $i=0$  THIS IS WELL-DEFINED IF  $X_0$  IS CONSIDERED AS BASE PT. OF  $\pi_h^0$ )

MOREOVER AT  $\pi_h^i$  WE HAVE

$$\psi_i(\beta_1) = \psi_i(\beta_2) \iff \alpha \cdot \beta_1 = \beta_2 \quad \text{FOR SOME } \alpha.$$

$$(b) \quad \psi_i(\alpha \cdot \beta) = \psi_i(\beta) + \alpha + (-1)^{h-i+1} T_*(\alpha)$$

$$\psi_i(\phi_i(\alpha)) = \alpha + (-1)^{h-i+1} T_*(\alpha)$$

(c)  $\phi_h: \pi_h \rightarrow \pi_h^h$  IS AN ISOMORPHISM.

$$(d) \quad T_* \psi_i = (-1)^{h-i+1} \psi_i$$

BY INDUCTION ON  $h-i$ , WE CAN STUDY  $\pi_h^i$ . IF  $h-i=0$  USE (c) USING ABOVE SEQUENCE, BY INDUCTION WE KNOW ALL BUT  $\pi_h^i$ .

CONSIDER THE FOLLOWING SITUATION:

LET  $(\tilde{X}, \tilde{X}_0)$  BE A 2-FOLD COVERING OF  $(X, X_0)$   $\wr$  A COVERING TRANSFORMATION

$p: (\tilde{X}, \tilde{X}_0) \rightarrow (X, X_0)$  THE COVERING MAP

LEMMA THERE EXIST TWO INVOLUTIONS OF  $\tilde{X}$  COVERING  $T$ , SAY  $T'$  AND  $T''$ , AND  $T'T'' = \wr$ .

CALL  $T'$  THAT ONE SUCH THAT  $T'(\tilde{X}_0) = \tilde{X}_0$

IF  $i > 0$   $\rho_*: \pi_h^i(\tilde{X}, \tilde{X}_0; T') \rightarrow \pi_h^i(X, X_0; T)$  IS A HOMOMORPHISM.

PROPOSITION  $\rho_*$  IS A MONOMORPHISM. IF  $i > 1$   $\rho_*$  IS AN ISOMORPHISM.

$$p_x : \pi_h^0(\tilde{X}, T') \cup \pi_h^0(\tilde{X}, T'') \rightarrow \pi_h^0(X, T)$$

IS DEFINED.

PROPOSITION IF  $h > 1$ ,  $p_x$  IS ONTO.

THE INVERSE SETS UNDER  $p_x$  ARE

OF THE FORM  $\{\beta, t_x(\beta)\}$

WHERE  $t_x$  ACTS ON  $\pi_h^0(\tilde{X}, T')$

AND  $\pi_h^0(\tilde{X}, T'')$ .

TABLE OF k-PLANE BUNDLES OVER  $P^h$

		<u><math>h=2</math></u>						
$k=2$	2T	$N+T, A_{2i}$	2N	$A_{2i+1}$				
$k=3$	3T	$N+2T$	$2N+T$	3N				
		<u><math>h=3</math></u>						
$k=2$	2T	$N+T$	2N					
$k=3$	3T	$N+2T$	$2N+T$	3N				
		<u><math>h=4</math></u>						
$k=2$	2T	$N+T$	2N					
$k=3$	3T	$N+2T, \oplus^{(k)}$	$2N+T, \oplus^{(k)}$	3N				
$k=4$	4T	$N+3T, B_{2i}$	$2N+2T, \oplus^{(k)}$	$3N+T, C_{2i}$	4N	$B_{2i-1}$	$\oplus$ $C_{2i-1}$	
$k=5$	5T	$N+4T$	$2N+3T$	$3N+2T$	$4N+T$	5N	$\downarrow$ $\oplus$	
						6N	$\downarrow$ $\oplus$	
							7N	
		<u><math>h &gt; 2</math></u>						
$k=2$	2T	$N+T$	2N					

- (1) COLUMNS ARE STABLE CLASSES. THE LAST ROW FOR EACH  $h$ , IS THE BEGINNING OF THE STABLE RANGE
- (2) T = TRIVIAL LINE BUNDLE
- (3) N = NON-TRIVIAL LINE BUNDLE
- (4)  $A_i, B_i, C_i$  = SEQUENCE OF BUNDLES DISTINGUISHED BY EULER CLASS "i" IN  $H^k(P^k, Z)$  WITH TWISTED INTEGERS,  $k$  EVEN.







STABLE CLASS OF THESE WILL FOLLOW FROM EULER CLASS, WHICH COMES OUT NOW.

$n=3$

$$0 = \pi_2 \rightarrow \pi_2^0 \xrightarrow{\psi_0} \pi_1^0 \xrightarrow{\varphi_0} \pi_1$$

IF  $X = \mathbb{R}_2$ ,  $\varphi_0 = 0$  SINCE  $\pi_1^0 = \{\text{CONSTANT}\}$   
THEREFORE  $\pi_2^0(\mathbb{R}_2) \cong \pi_1^0(\mathbb{R}_2) \cong \{e, a_2\}$  BY EXACTNESS.

IF  $X = \mathbb{R}_2$ ,  $\varphi_0(n \cdot a_1) = n + T_x(n) = 2n$

(SINCE  $\varphi_0$  IS, IN TERMS OF BUNDLES, JUST INDUCING BUNDLE UNDER  $S^n \rightarrow P^n$ , THIS VERIFIES STATEMENT ABOUT EULER CLASS IF  $n \cdot a_1 = \mathbb{R}_h$ )

THUS IMAGE  $\psi_0 = a_1$

HENCE BY EXACTNESS  $\pi_2^0(\mathbb{R}_2) = a_1$

$n > 3$   $0 = \pi_{h-1} \rightarrow \pi_{h-1}^0 \xrightarrow{\psi_0} \pi_{h-2}^0 \rightarrow \pi_{h-2} = 0$

THUS  $\pi_{h-1}^0 \cong \pi_{h-2}^0$  AND WE GET RESULT BY INDUCTION.

NOW  $\pi_{h-1}^0(\mathbb{R}_k)$ ,  $\pi_{h-1}^0(R_k)$  FOR  $k > 2$ .

$n=2$   $\mathbb{Z}_2 = \pi_1 \xrightarrow{\phi_0} \pi_1^0 \rightarrow \pi_0^0 = x_0$

THUS  $\phi_0$  IS ONTO AND  $\pi_1^0$  CONTAINS AT MOST TWO SETS THESE ARE  $\{e, a_2\}$  AND  $\{a_1, a_3\}$ .

$n=3$   $0 = \pi_2 \rightarrow \pi_2^0 \xrightarrow{\psi_0} \pi_1^0 \xrightarrow{\varphi_0} \pi_1$

$\varphi_0 = 0$  SINCE  $\pi_1^0 = \{\text{CONSTANT}\}$ ,  $\therefore \psi_0$  IS AN ISOMORPHISM AND  $\pi_2^0 \cong \pi_1^0$ .

WE NOW ILLUSTRATE TECHNIQUE FOR  $n=4$  13

BY CALCULATING  $\pi_3^0(R_3)$ .

$$\begin{array}{ccccccc} \pi_3^1(R_3) & \xrightarrow{\phi_1} & \pi_3(R_3) & \xrightarrow{\phi_0} & \pi_3^0(R_3) & \xrightarrow{\psi_0} & \pi_2^0(R_3) \rightarrow \pi_2(R_3) \\ \uparrow \phi_1 & & \parallel & & & & \parallel \\ & & \mathbb{Z} & & & & \{e, a_2\} \end{array}$$

THUS  $\pi_3^0(R_3) = \{h \cdot e, h \cdot a_2\}$

LET  $x_0 = e$  OR  $a_2$  THEN  $(zh) \cdot x_0 = x_0$

i.e.  $\phi_0(zh) = 0$  BECAUSE:

$\phi_1 \phi_1(h) = h - T_*(h) = zh$  THUS  $zh \in \text{IMAGE } \phi_1$

THUS  $\pi_3^0(R_3) = \{e, a_2, 1 \cdot e, 1 \cdot a_2\}$

- CLAIM: (a)  $1 \cdot e = e$   
 (b)  $1 \cdot a_2 \neq a_2$

PROOF OF (a): CONSIDER THE SEQUENCE WHERE  $x_0 = e$ , IT SUFFICES TO SHOW  $1 \in \text{IMAGE } \phi_1$  BUT  $1$  IS REPRESENTED BY  $f: S^3 \rightarrow R_3$  DEFINED AS FOLLOWS: REPRESENT  $R^3$  AS ROTATIONS OF PURELY IMAGINARY QUATERNIONS.  $S^3$  BY UNIT QUATERNIONS.

$f(q) \cdot q' = q q' \bar{q}$  NOTE  $f(s^0) = e$ .

NOTE THAT  $T_1: S^3 \rightarrow S^3$  COINCIDES WITH CONJUGATION OF QUATERNIONS:

$T_1(a_0 + ia_1 + ja_2 + ka_3) \rightarrow (a_0 - ia_1 - ja_2 - ka_3)$

THEREFORE NEED TO SHOW  $f(q)^{-1} = f(\bar{q})$ .

$f(\bar{q})$  IS CONJUGATION BY  $q$ . SO  $f(q)^{-1} = f(\bar{q})$ .

OR  $T f = f T_1$ .

PROOF OF (b): WE ASSUME  $a_2 = 1 \cdot a_2$

$$\begin{array}{cccc} \pi_4(R_3) & \rightarrow & \pi_4^0(R_3) & \rightarrow & \pi_3^0(R_3) & \rightarrow & \pi_3(R_3) \\ \parallel & & \downarrow \varphi_0 & & \parallel & & \\ \mathbb{Z}_2 & & \pi_4(R_3) & & \{e, a_2\} & & \\ \text{GENERATED} & & & & & & \\ \text{BY } \alpha & & & & & & \end{array}$$

THUS  $\pi_4^0(R_3) = \{e, \alpha \cdot e, a_2, \alpha \cdot a_2\}$

$$\varphi_0(\alpha \cdot x_0) = \alpha \pm T_x(\alpha) = 0 \quad \text{THEREFORE } \varphi_0 = 0.$$

WE SHALL CONTRADICT THIS BY SHOWING  $\varphi_0$  IS ONTO.

$$S^4 \xrightarrow{\text{Sh}} S^3 \xrightarrow{\varphi} R_3 \quad \text{IS THE}$$

ESSENTIAL MAP, WHERE Sh IS SUSPENSION OF HOPF MAP. NOTE  $hT_0 = h$ , SINCE h COLLAPSES GREAT CIRCLES.

NOTE  $ST_0 = T_1$ ,

$$\begin{aligned} (\varphi \circ \text{Sh}) \circ T_0 &= \varphi \circ S(hT_0) \circ T_0 = \varphi \circ \text{Sh} \circ ST_0 \circ T_0 \\ &= \varphi \circ \text{Sh} \circ T_1 \circ T_0 \end{aligned}$$

NOW  $T_1 \circ T_0$  INVERTS COORDINATE ALONG WHICH WE HAVE SUSPENDED h. THEREFORE

$$(\text{Sh})(T_1 T_0) = (T_1 T_0)(\text{Sh}) \quad \text{AND SO}$$

$$(\varphi \circ \text{Sh}) \circ T_0 = \varphi(T_1 \circ T_0) \circ (\text{Sh}) = T\varphi T_0(\text{Sh}) = T\varphi(\text{Sh})$$

(SINCE  $\varphi T_0 = \varphi$ ). THUS  $\varphi(\text{Sh})$  IS

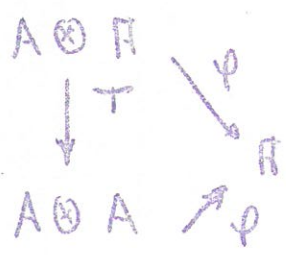
O-EQUIVARIANT SO  $\varphi_0$  IS NOT ZERO. CONTRADICTION.



AN AUGMENTATION OF AN ALGEBRA WITH UNIT IS A HOMOMORPHISM  $\epsilon: A \rightarrow R$  OF ALGEBRAS WITH UNIT.  $A$  WITH  $\epsilon$  IS AN AUGMENTED ALGEBRA.

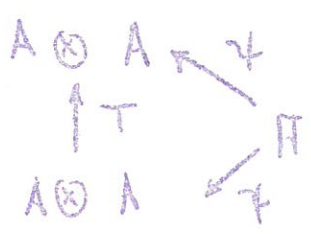
AN AUGMENTATION OF A COALGEBRA WITH UNIT IS A HOMOMORPHISM  $\eta: A \rightarrow R$  OF COALGEBRAS WITH UNIT.  $A$  WITH  $\eta$  IS AN AUGMENTED COALGEBRA.

$A$  IS COMMUTATIVE IF THE DIAGRAM:



IS COMMUTATIVE.

$A$  IS COMMUTATIVE IF THE DIAGRAM:



IS COMMUTATIVE.

WHERE  $T(x \otimes y) = (-1)^{p_1 q_2} (y \otimes x)$  FOR  $x \in A_{p_1}, y \in A_{q_2}$

DEFN. A HOPF ALGEBRA IS A GRADED  $R$ -MODULE,  $A$ ,

TOGETHER WITH A MAP  $\psi: A \otimes A \rightarrow A$  WHICH MAKES  $A$  INTO AN ALGEBRA WITH UNIT  $\eta: R \rightarrow A$  AND A MAP  $\psi: A \rightarrow A \otimes A$  WHICH MAKES  $A$  INTO A COALGEBRA WITH UNIT  $\epsilon: A \rightarrow R$  SUCH THAT:

- 1)  $\eta$  IS AN AUGMENTATION FOR THE COALGEBRA
- 2)  $\epsilon$  IS AN AUGMENTATION FOR THE ALGEBRA
- 3) THE FOLLOWING DIAGRAM IS COMMUTATIVE:



REMARK

3) IS EQUIVALENT TO EITHER 3')  $\psi$  IS A MAP OF ALGEBRAS OR 3'')  $\psi$  IS A MAP OF COALGEBRAS.

DEFN THE HOPF ALGEBRA  $H$  IS CONNECTED IF EITHER OF THE EQUIVALENT CONDITIONS HOLDS:

- 1)  $\eta: R \xrightarrow{\cong} A_0$
- 2)  $\epsilon: A_0 \xrightarrow{\cong} R$

REMARK HOPF PROVED THAT THE RATIONAL COHOMOLOGY RING OF A GROUP MANIFOLD  $G$  HAS A CERTAIN FORM ( EXTERIOR ALGEBRA ON ODD-DIMENSIONAL GENERATORS ) BY ALGEBRAIC TECHNIQUES, ESSENTIALLY USING THE FACT THAT  $H^*(G; \mathbb{Z}_0)$  IS A HOPF ALGEBRA ( $\mathbb{Z}_0 \equiv$  RATIONALS).

PROPOSITION IF  $H$  IS A HOPF ALGEBRA AND  $\mathcal{C}$  IS THE CATEGORY OF  $H$ -MODULES AND  $H$ -MAPPINGS THEN  $\mathcal{C}$  ADMITS AN INTERNAL TENSOR PRODUCT  $\otimes_R$  SATISFYING THE USUAL IDENTITIES.

PROOF

LET  $X$  BE A GRADED  $A$ -MODULE,  $Y$  A GRADED  $B$ -MODULE  $X \otimes_R Y$  IS AN  $A \otimes B$  MODULE BY DEFINING:

$$(a \otimes b) (x \otimes y) = \pm ax \otimes by \quad \text{AND THIS IS NATURAL.}$$

LET  $Y$  BE AN  $A$ -MODULE THEN  $X \otimes_R Y$  IS AN  $HOA$  MODULE, BUT THIS IS NOT IN THE CATEGORY  $\mathcal{C}$ . HOWEVER USING

$\psi : A \rightarrow A \otimes A$   $X \otimes_R Y$  BECOMES AN  $A$  MODULE BY DEFINING

$$a \cdot (x \otimes y) = \psi(a) (x \otimes y)$$

THE FACT THAT  $\psi$  IS ASSOCIATIVE PROVES

$$X \otimes (Y \otimes Z) \cong (X \otimes Y) \otimes Z \text{ AS } A\text{-MODULES.}$$

ALSO  $X \otimes Y \cong Y \otimes X$  AS  $A$ -MODULES

PROVIDED THAT COALGEBRA  $A$  IS COMMUTATIVE.

NOTE  $R$  IS A HOPF ALGEBRA.

DEFN LET  $A$  BE A HOPF ALGEBRA OVER  $R$  AND  $X$  AN  $A$ -MODULE WHICH IS AN ALGEBRA OVER  $R$ .

THEN IF  $m : X \otimes X \rightarrow X$  IS AN  $A$ -MAPPING WE CALL  $X$  AN ALGEBRA OVER THE HOPF ALGEBRA  $A$ .

FOR SUCH AN  $X$  AND  $\psi : A \rightarrow HOA$

$$\psi(a) = \sum_{i=1}^n a_i' \otimes a_i'' \quad \text{THEN}$$

IF  $m(x \otimes y) = xy$  WE HAVE

$$a \cdot (xy) = \sum (-1)^{(x)(a_i'')} (a_i' x) \cdot (a_i'' y)$$

(  $(x)$  IN EXPONENT IS DEGREE OF  $x$  )



EXAMPLES

① OUR MAIN EXAMPLE OF A HOPF ALGEBRA IS THE REDUCED POWER (STEENROD) ALGEBRA  $\mathcal{O}$ , DEFINED AS FOLLOWS. CONSIDER COHOMOLOGY OF  $X$  WITH COEFFICIENTS IN  $\mathbb{Z}_2$ . THEN WE HAVE HOMOMORPHISMS  $S_2^c : H^2(X) \rightarrow H^{2+c}(X)$   $c=0, 1, 2, \dots$  AND  $\mathcal{O}$  IS THE GRADED OPERATOR ALGEBRA GENERATED BY  $S_2^c$ . FOR  $S_2^c$  WE HAVE:

$$(0) \quad S_2^0 = \text{IDENTITY}$$

$$(1) \quad S_2^k x = x^2 \quad \text{IF } x \in H^k(X), \text{ i.e. IF DEGREE } x = k$$

$$(2) \quad S_2^c(x) = 0 \quad \text{IF } c > \text{DEG } x$$

$$(3) \quad S_2^r(xy) = \sum_{i=0}^r (S_2^i x) (S_2^{r-i} y)$$

MILNOR PROVED THAT THERE IS A UNIQUE CO PRODUCT  $\psi : \mathcal{O} \rightarrow \mathcal{O} \otimes \mathcal{O}$  MAKING  $\mathcal{O}$  INTO A HOPF ALGEBRA SUCH THAT

$$\psi(S_2^i) = \sum_{j=0}^i S_2^j \otimes S_2^{i-j}, \quad (3) \quad \text{IS}$$

THEN SIMPLY THE STATEMENT THAT  $H^*(X; \mathbb{Z}_2)$  IS AN ALGEBRA OVER  $\mathcal{O}$ .

IF  $A$  IS A GRADED  $R$ -MODULE, LET ITS DUAL  $A^*$  BE THE GRADED  $R$ -MODULE

$$A^* = \sum_{n \geq 0} \text{Hom}(A_n, R) \quad \text{AND IF } f: A \rightarrow B$$

IS A MAP OF GRADED  $R$ -MODULES,

$$f^*: B^* \rightarrow A^* \quad \text{DEFINED BY}$$

$$f^*(b^*)a = b^*(f(a)) \quad \text{IS THE DUAL MAP.}$$

BY CONSIDERING  $\mathcal{O}^*$  THE DUAL OF  $\psi$  BECOMES  $\psi^*: \mathcal{O}^* \rightarrow \mathcal{O}^* \otimes \mathcal{O}^*$  AND

DUAL OF  $\psi$  IS MULTIPLICATION  $\psi^*: \mathcal{O}^* \otimes \mathcal{O}^* \rightarrow \mathcal{O}^*$ .

$\psi$  IS COMMUTATIVE HENCE  $\psi^*$  IS COMMUTATIVE

USING THIS AND FACT THAT  $\mathcal{O}_n$  IS A FINITELY GENERATED FREE  $R$ -MODULE MILNOR PROVES

$\mathcal{O}^*$  IS A POLYNOMIAL ALGEBRA AND THIS THEN GAVE INFORMATION ABOUT  $\mathcal{O}$ .

② LET  $X$  BE AN ALGEBRA OVER  $R$  AND LET  $G$  BE THE GROUP OF AUTOMORPHISMS OF  $X$  AS AN ALGEBRA. WE THEN HAVE

MAPS:  $G \xrightarrow{d} G \times G \xrightarrow{m} G$

LET  $R(G)$  DENOTE THE GROUP RING OF  $G$ .

WE HAVE MAPS

$$R(G) \rightarrow R(G) \otimes R(G) \rightarrow R(G)$$

$R(G)$  ACTS AS ENDOMORPHISMS ON  $X$

AND IS A HOPF ALGEBRA OVER  $X$  IS AN ALGEBRA OVER THE HOPF ALGEBRA  $R(G)$ .

(3)  $R$  IS ALWAYS A HOPF ALGEBRA

$$(R \xrightarrow{\cong} R \otimes_R R \xrightarrow{\cong} R)$$

GIVEN AN ALGEBRA OVER  $R$  THEN

BY (2) IT IS AN ALGEBRA OVER A HOPF ALGEBRA. THUS THE NOTION OF AN ALGEBRA OVER A HOPF ALGEBRA IS A TRUE GENERALISATION OF THE NOTION OF AN ALGEBRA.

WHOLESALE CONSTRUCTION OF ALGEBRAS OVER HOPF ALGEBRAS

LET  $M$  BE AN ARBITRARY GRADED  $\mathbb{N}$ -MODULE WHERE  $\mathbb{N}$  IS A HOPF-ALGEBRA OVER  $R$ .

WE DEFINE THE TENSOR ALGEBRA  $T(M)$  OF  $M$  BY :

$$T(M) = R + M + M \otimes M + \dots + \underbrace{M \otimes M \otimes \dots \otimes M}_R + \dots$$

DEGREE      0      1      2      ...      R

$T(M)$  IS AN  $R$ -MODULE . FOR  $M \otimes_R M$  (INTERNAL TENSOR PRODUCT) IS AN  $R$ -MODULE

(BY USING DIAGONAL MAP  $\psi: R \rightarrow R \otimes R$ )

AND  $\psi$  ASSOCIATIVE SAVES  $M \otimes M \otimes M$

IS AN  $R$ -MODULE PROVING  $M \otimes \dots \otimes M$  IS AN  $R$ -MODULE . AND  $T(M)$

BEING DIRECT SUM OF  $R$ -MODULES IS THEREFORE AN  $R$ -MODULE.  $\psi$  ASSOCIATIVE

INDUCES MULTIPLICATION  $T(M) \otimes T(M) \rightarrow T(M)$

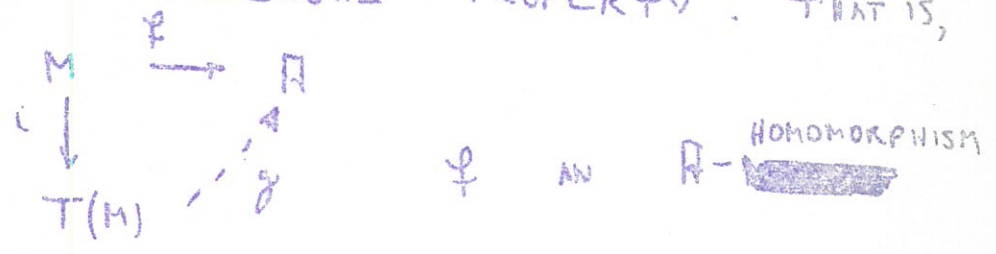
$$(M^p \otimes M^q \rightarrow M^{p+q}) \quad \text{SO THAT}$$

$T(M)$  IS AN ALGEBRA OVER THE HOPF

ALGEBRA  $R$  . FURTHERMORE  $T(M)$

SATISFIES A UNIVERSAL PROPERTY . THAT IS,

GIVEN



THERE EXISTS A UNIQUE EXTENSION  $g$  .

ASSUME  $\psi: R \rightarrow R \otimes R$  IS COMMUTATIVE

THE COMMUTATOR OF  $x \in M_p, y \in M_q$

IS :  $x \otimes y - (-1)^{pq} y \otimes x$  AND

IS DENOTED  $(x, y)$ . THE IDEAL OF  
 COMMUTATORS IS AN  $R$ -MODULE AND  
 $T(M) / \text{IDEAL OF COMMUTATORS}$  IS AN ALGEBRA  
 OVER  $R$  AND IS COMMUTATIVE. IT IS  
 A UNIVERSAL GADGET FOR COMMUTATIVE  
 $R$ -ALGEBRAS.

NOW LET  $M$  BE AN  $\mathcal{O}$ -MODULE WHERE  
 $\mathcal{O}$  IS THE REDUCED POWER (STEENROD) ALGEBRA.  
 SUPPOSE  $S_2^c(x) = 0$  IF  $c > \text{DEG } x$

LET  $\Lambda(M) = \mathbb{Z}_2 + M + M \otimes M + \dots +$   
 $S_2^k(x) \in M \quad x \otimes x \in M \otimes M$

THE IDEAL GENERATED BY  $(S_2^k x - x \otimes x)$   
 IS AN  $\mathcal{O}$ -MODULE. THE PROOF OF THIS  
 USES THE ADHM RELATIONS. THEN

$\Lambda(M) / \text{IDEAL } (S_2^k x - x \otimes x) \cong U(M)$  IS

A COMMUTATIVE ALGEBRA OVER  $\mathcal{O}$   
 AND IS A UNIVERSAL GADGET.

CONSIDER THE EILENBERG MACLANE COMPLEX  $K(\mathbb{Z}_2, h)$  THEN SERRE HAS GIVEN THE STRUCTURE OF  $H^*(K(\mathbb{Z}_2, h); \mathbb{Z}_2)$

WE CLAIM:  $H^*(K(\mathbb{Z}_2, h); \mathbb{Z}_2) = U$  (FREE  $\mathbb{Z}_2$ -MODULE ON ONE  $h$ -DIML. GENERATOR)

THEOREM LET  $G$  BE A GROUP MANIFOLD SUCH THAT  $H^*(G; \mathbb{Z}_2)$  IS PRIMITIVELY GENERATED AND  $P$  BE THE SET OF PRIMITIVE ELEMENTS OF  $H^*(G; \mathbb{Z}_2)$

(I.E. UNDER  $H^*(G; \mathbb{Z}_2) \xrightarrow{m^*} H^*(G; \mathbb{Z}_2) \otimes H^*(G; \mathbb{Z}_2)$

( $m^*$  INDUCED BY  $m: G \times G \rightarrow G$ ) AN ELEMENT  $x \in H^*(G; \mathbb{Z}_2)$  IS PRIMITIVE  $\Leftrightarrow m^*(x) = x \otimes 1 + 1 \otimes x$ )

THEN  $H^*(G; \mathbb{Z}_2) \cong U(P)$

COR.  $H^*(SO(n)) \cong U(H^*(P^{n-1}))$

MORE GENERALLY (BUT THIS DOES NOT FOLLOW FROM THE THEOREM)

$$H^*(V_{n,h}) \cong U\left(H^*\left(\frac{P^{n-1}}{P^{n-h-1}}\right)\right)$$

SYMMETRIC PRODUCT OF COMPLEXES

BY E. CURTIS

REFERENCE: DOLD-PUPPE, ANNALS OF FOURIER INSTITUTE.

WE HAVE

THEOREM (DOLD-THOM) IF  $X$  IS A SEMI-SIMPLICIAL SET AND CONNECTED THEN  
 $\pi_i(\text{SYM}^\infty X) \cong H_i(X) \quad i \geq 1.$

DOLD AND PUPPE HAVE REFINED THIS TO

THEOREM IF  $X$  IS A SEMI-SIMPLICIAL SET AND  $k-1$  CONNECTED,  $k-1 \geq 1$ , THEN  
 $\pi_i(\text{SYM}^N X) \cong H_i(X) \quad \text{FOR } i < k-2+2N.$

NOTE. THE THEOREM IS NOT TRUE FOR

$i = k-2+2N.$  LET  $k=3 \quad N = p^v$

$p$  A PRIME NUMBER. IF  $X = S^3$

THEN  $\pi_{2N+1}(\text{SYM}^N S^3) \cong \mathbb{Z}_p$

BUT  $H_{2N+1}(S^3) = 0$

WE SHALL PROVE

THEOREM  $\pi_i(\text{SYM}^N X) \rightarrow \pi_i(\text{SYM}^{N+1} X)$   
 IS AN ISOMORPHISM FOR  $i < k+2N-2$ ,  
 WHICH PROVES THE DOLD-PUPPE THM.

TO PROVE THIS IT WILL BE SUFFICIENT TO 2.

PROVE  $H_i(\text{SYM}^N X) \xrightarrow{\cong} H_i(\text{SYM}^{N+1} X)$  FOR

$i < \frac{p}{2} + 2N - 2$  AND FOR THIS

SUFFICIENT TO PROVE  $H_j(\text{SYM}^{N+1} X, \text{SYM}^N X) \cong 0$

FOR  $j < \frac{p}{2} + 2N - 1$ . NOW LET

$C_*(X) = P + K = L$  WHERE  $P$

IS SS ABELIAN GROUP OF A POINT

AND  $K$  IS A SS FREE ABELIAN GROUP

COMPLEX WHICH IS  $\frac{p}{2} - 1$  CONNECTED

THAT IS  $H_j(K) = 0$  FOR  $j < \frac{p}{2}$ .

### FORMULA OF STEENROD

$$\text{SYM}^N L = P + \text{SYM}^1 K + \dots + \text{SYM}^N K$$

SINCE  $\text{SYM}^i P \cong P$  AND  $P \otimes H \cong H$

(ACTUALLY  $\text{SYM}^N L = \sum_{i=0}^N \text{SYM}^i P \otimes \text{SYM}^{N-i} K$ )

THEN  $C_*(\text{SYM}^N X) = \text{SYM}^N L \cong \text{SYM}^{N+1} K$

(LOOK AT  $C_*(\text{SYM}^{N+1} X, C_*(\text{SYM}^N X))$ )

SO WE ARE REDUCED TO SHOWING THAT

LEMMA IF  $K$  IS A FREE ABELIAN GROUP

COMPLEX  $\frac{p}{2} - 1$  CONNECTED,  $\frac{p}{2} \geq 2$ , THEN

$\text{SYM}^{N+1} K$  IS  $\frac{p}{2} + 2N - 1$  CONNECTED I.E.

$H_j(\text{SYM}^{N+1} K) = 0$  FOR  $j < \frac{p}{2} - 2 + 2N$  ( $n = N+1$ )



3.

NOW TAKE  $K$  TO BE FINITELY GENERATED  
 I.E.  $K_i$  IS FINITELY FOR EACH  $i$ .

FOR ARBITRARY  $K$  TAKE DIRECT LIMIT.

OUR NEXT REDUCTION IS:

LEMMA IF  $K = K' \oplus \dots \oplus K^r$  AND  
 THE PREVIOUS LEMMA HOLDS FOR EACH  
 $K^i$  THEN IT HOLDS FOR  $K$ .

PROOF  $\text{SYM}^n K = \sum_{\substack{r \\ j=1}}^r \text{SYM}^{i_1} K' \otimes \dots \otimes \text{SYM}^{i_r} K^r$   
 $\sum_{j=1}^r i_j = n$

AND USE THE KUNNETH FORMULA.

IN FACT THE FIRST TIME HOMOLOGY  
 IS NON-ZERO OCCURS IN DIMENSION

$$\sum_{j=1}^r (k + 2i_j - 2) = k \cdot r + 2n - 2r$$

$$= (k-2)r + 2n \geq k-2 + 2n$$

NOW TO SHOW  $H_i(\text{SYM}^n K) = 0 \quad i < k-2+2n$

IT IS SUFF. TO SHOW

$$H_i(\text{SYM}^n K \otimes \mathbb{F}) = H_i(\text{SYM}^n (K \otimes \mathbb{F})) = 0$$

$i < k-2+2n$  FOR EACH PRIME FIELD  $\mathbb{F}$ .

BUT BY DOLD  $H_i(\text{SYM}^n (K \otimes \mathbb{F}))$  DEPENDS  
 ONLY ON  $H_i(K \otimes \mathbb{F})$ . SO REPLACE

K BY A DIRECT SUM OF SPHERES, I.E.

$$K = \sum \tilde{C}_x (S^m)$$

AND BY THE ABOVE LEMMA IT IS SUFFICIENT TO CONSIDER ONLY ONE SPHERE AND PROVE:

IF  $K = \tilde{C}_x (S^m)$   $m \geq k$

THEN  $H_i (SYM^h K) = 0$   $i < 2h + k - 2$

FOR THIS WE USE THE GENERALIZED BAR CONSTRUCTION.

LET  $\mathcal{O}$  BE THE CATEGORY OF SEMI-SIMPLICIAL ABELIAN GROUPS AND

T ANY FUNCTOR  $T: \mathcal{O} \rightarrow \mathcal{O}$

e.g.  $T = SYM^h$  AND  $(TK)_h = TK_h$  ETC.

e.g.  $K = \tilde{C}_x (S^m)$

$$FORM T(A_1 + \dots + A_n) = \sum_{\gamma} T(A_{\gamma_1} | \dots | A_{\gamma_s})$$

(SO  $T(A_1 + A_2) = T(A_1) + T(A_1 | A_2) + T(A_2)$ )

$$FORM T(K) \xleftarrow{\alpha} T(K|K) \xleftarrow{\dots} T_p(A)$$

$$T_p(A) \xrightarrow{\alpha_i} T_{p-1}(A)$$

$\alpha$  INDUCED BY  $K + K \rightarrow K$  (ADDITION)

$$d = \sum (-1)^i \alpha_i$$

$$j = \sum (-1)^i T \alpha_i$$

ON DOUBLE COMPLEX LET  $D = d \pm \partial$

5.

THEN  $H_n(\text{DOUBLE COMPLEX}) \cong H_{n+1}(EK)$

$EK \equiv$  SUSPENSION OF  $K$

LET  $Q =$  SS GROUP OF A POINT ( $\mathbb{Z}$  IN ALL DIMENSIONS)

$C =$  SS GROUP OF  $\Delta(1)$  / ONE END PT

$S =$  SS GROUP OF  $\Delta(1)$  / BOTH END PTS.

SO WE HAVE:  $0 \rightarrow Q_p \rightarrow C_p \rightarrow S_p \rightarrow 0$

GENERATORS:  $\gamma_0, \gamma_1, \dots, \gamma_p$

$$\partial_c \gamma_k = \gamma_{k-1} \quad c < k$$

$$\partial_c \gamma_k = \gamma_k \quad c \geq k$$

$$s_c \gamma_k = \gamma_{k+1} \quad c < k$$

$$s_c \gamma_k = \gamma_k \quad c \geq k$$

WE FORM DOUBLE COMPLEXES

$$Q \hat{\otimes} K, \quad C \hat{\otimes} K, \quad S \hat{\otimes} K$$

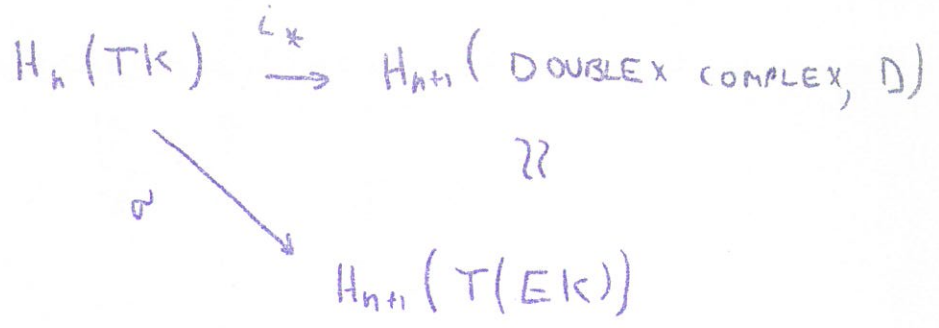
(WHERE  $(A \hat{\otimes} B)_{p,q} \equiv A_p \otimes B_q$ )  $K$  IS A SS ABELIAN GROUP.

$$\text{APPLY } T: T(S_p \hat{\otimes} K_q) = T(\underbrace{K_q + \dots + K_q}_P)$$

$$\text{NOW } H_j(TS \hat{\otimes} K) = H_j(TSK)$$

FURTHERMORE WE HAVE THE COMMUTATIVE

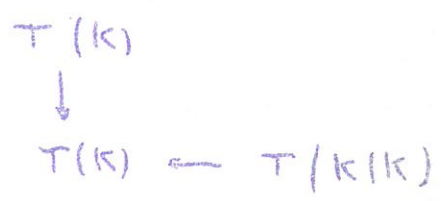
DIAGRAM :



WHERE  $\alpha_*$  IS INDUCED BY THE INJECTION OF  $TK \rightarrow$  DOUBLE COMPLEX. THIS IS THE MAIN ASSERTION OF THE GENERALIZED BAR CONSTRUCTION,

IT MAY ALSO BE SHOWN THAT IF  $K$  IS  $2q$ -CONNECTED  $T(K/K)$  IS  $2q$  CONNECTED, ETC.

SO USING ONLY THE FIRST PART OF THE DOUBLE COMPLEX



WE FIND THAT

$$\rightarrow H_j(T(K/K)) \xrightarrow{\alpha_*} H_j(TK) \xrightarrow{\alpha_*} H_{j+1}(T(EK)) \rightarrow H_{j+1}(T(K/K))$$

$\alpha_*$  ISOMORPHISM IF  $j < 2q$   
 EXACTNESS IF  $j < 3q$

NOW LET  $K = EQ =$  AUGMENTED CHAIN COMPLEX OF  $S'$ .

LEMMA  $SYM^h K$  IS CONTRACTIBLE  
 i.e.  $H_j(SYM^h K) = 0 \quad \forall j > 1$  ALL  $j$ .

PROOF

NOTE

THAT

7.

$$\text{SYM}^n(K^1 \mid \dots \mid K^r) = \sum_{\substack{\sum c_i = n \\ c_i \geq 0}} \text{SYM}^{c_1} K^1 \otimes \dots \otimes \text{SYM}^{c_r} K^r$$

e.g.  $\text{SYM}^n(M+L) = \text{SYM}^n M + \dots + \text{SYM}^c M \otimes \text{SYM}^{n-c} L + \dots + \text{SYM}^n L$

LET US APPLY THIS TO  $\mathbb{Q}$ ; EACH  $\mathbb{Q}_p = \mathbb{Z}$

$$\text{SYM}^n \mathbb{Q} \cong \mathbb{Q}$$

$$T(\mathbb{Q}) \leftarrow T(\mathbb{Q}/\mathbb{Q}) \xleftarrow{d} \dots$$

$$\sum \text{SYM}^{c_1} \mathbb{Q} \otimes \dots \otimes \text{SYM}^{c_r} \mathbb{Q} \xrightarrow{S} \sum_{\sum j_i = n} \text{SYM}^{j_1} \mathbb{Q} \otimes \dots \otimes \text{SYM}^{j_r} \mathbb{Q}$$

IF  $c_1 = 1$   $S = 0$

IF  $c_1 > 1$   $S$  MAPS  $\text{SYM}^{c_1} \rightarrow \text{SYM}^1 \text{SYM}^{c_1-1} \text{SYM}^{c_r}$

THEN  $dS + Sd = \text{IDENTITY}$

THIS SHOWS  $H_j(\text{SYM}^n K) = 0$

LET  $K = \tilde{C}_X(S)$

$EK = \tilde{C}_X(S^2)$

$$\text{SYM}^n(K) \leftarrow \dots \leftarrow \text{SYM}^n(\underbrace{K \mid \dots \mid K}_n)$$

THEN  $H_j(\text{SYM}^n(EK)) = \mathbb{Z}$  if  $j = 2n$   
 $= 0$  if  $j < 2n$

$$\text{AND } H_j(\text{SYM}^n(\tilde{C}_x(S^3))) = \mathbb{Z} \quad j = 2h+1$$

$$= 0 \quad j < 2h+1$$

AND IN GENERAL

$$H_j(\text{SYM}^n \tilde{C}_x S^k) = 0$$

$$\text{IF } j < 2h+k-2 \quad |$$