## **REMARKS ON SPRINGER'S REPRESENTATIONS**

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## INTRODUCTION

**0.1.** Let **k** be an algebraically closed field of characteristic exponent  $p \ge 1$ . Let G be a connected reductive algebraic group over  $\mathbf{k}$  and let  $\mathfrak{g}$  be the Lie algebra of G. Let  $\mathcal{U}_G$  be the variety of unipotent elements of G and let  $\mathcal{N}_{\mathfrak{g}}$  be the variety of nilpotent elements of  $\mathfrak{g}$  (we say that  $x \in \mathfrak{g}$  is nilpotent if for some/any closed imbedding  $G \subset GL(\mathbf{k}^n)$ , the image of x under the induced map of Lie algebras  $\mathfrak{g} \to \operatorname{End}(\mathbf{k}^n)$  is nilpotent as an endomorphism). Note that G acts on G and  $\mathfrak{g}$  by the adjoint action. Let  $\mathcal{X}_G$  (resp.  $\mathcal{X}_{\mathfrak{g}}$ ) be the set of G-orbits on  $\mathcal{U}_G$  (resp. on  $\mathcal{N}_{\mathfrak{g}}$ ). We fix a prime number  $l, l \neq p$ . Let  $\hat{\mathcal{X}}_G$  (resp.  $\hat{\mathcal{X}}_g$ ) be the set of pairs  $(\mathcal{O}, \mathcal{L})$  where  $\mathcal{O} \in \mathcal{X}_G$  (resp.  $\mathcal{O} \in \mathcal{X}_{\mathfrak{g}}$ ) and  $\mathcal{L}$  is an irreducible *G*-equivariant  $\overline{\mathbf{Q}}_l$ -local system on  $\mathcal{O}$  up to isomorphism. Let **W** be the Weyl group of G. For any Weyl group W let Irr(W) be the set of isomorphism classes of irreducible representations of W over **Q**. In [Sp], Springer defined (assuming that p = 1 or  $p \gg 0$ ) natural injective maps  $S_G : \operatorname{Irr}(\mathbf{W}) \to \hat{\mathcal{X}}_G, \, S_{\mathfrak{g}} : \operatorname{Irr}(\mathbf{W}) \to \hat{\mathcal{X}}_{\mathfrak{g}}$  (each of these two maps determines the other since in this case we have canonically  $\hat{\mathcal{X}}_G = \hat{\mathcal{X}}_{\mathfrak{g}}$ ). In [L2] a new definition of the map  $S_G$  (based on intersection homology) was given which applies without restriction on p. A similar method can be used to define  $S_{\mathfrak{g}}$  without restriction on p (see [X] and 2.2 below); note that in general  $\hat{\mathcal{X}}_G, \hat{\mathcal{X}}_g$  cannot be identified. Now for any  $\mathcal{O} \in \mathcal{X}_G$  (resp.  $\mathcal{O} \in \mathcal{X}_{\mathfrak{g}}$ ),  $(\mathcal{O}, \mathbf{Q}_l)$  is in the image of  $S_G$  (resp.  $S_{\mathfrak{g}}$ ) hence there is a well defined injective map  $S'_G : \mathcal{X}_G \to \operatorname{Irr}(\mathbf{W}) \text{ (resp. } S'_{\mathfrak{g}} : \mathcal{X}_{\mathfrak{g}} \to \operatorname{Irr}(\mathbf{W}))$ such that for any  $\mathcal{O} \in \mathcal{X}_G$  (resp.  $\mathcal{O} \in \mathcal{X}_g$ ) we have  $S'_G(\mathcal{O}) = E$  (resp.  $S'_g(\mathcal{O}) = E$ ) where  $E \in \operatorname{Irr}(\mathbf{W})$  is given by  $S_G(E) = (\mathcal{O}, \overline{\mathbf{Q}}_l)$  (resp.  $S_{\mathfrak{g}}(E) = (\mathcal{O}, \overline{\mathbf{Q}}_l)$ ). Let  $\mathfrak{S}_G$ be the image of  $S'_G : \mathcal{X}_G \to \operatorname{Irr}(\mathbf{W})$ . Let  $\mathfrak{S}_{\mathfrak{g}}$  be the image of  $S'_{\mathfrak{g}} : \mathcal{X}_{\mathfrak{g}} \to \operatorname{Irr}(\mathbf{W})$ .

In [L5], we gave an apriori definition (in the framework of Weyl groups) of the subset  $\mathfrak{S}_G$  of  $\operatorname{Irr}(\mathbf{W})$  which parametrizes the unipotent *G*-orbits in *G*. In this paper we give an apriori definition (in a similar spirit) of the subset  $\mathfrak{S}_{\mathfrak{g}}$  of  $\operatorname{Irr}(\mathbf{W})$  which parametrizes the nilpotent *G*-orbits in  $\mathfrak{g}$ . (See Proposition 3.2.) This relies heavily on work of Spaltenstein [S2],[S3] and on [HS]. As an application we define a natural injective map from the set of unipotent *G*-orbits in *G* to the set of nilpotent *G*-orbits in  $\mathfrak{g}$  (see 3.3); this maps preserves the dimension of an orbit.

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In [Se], Serre asked whether a power  $u^n$  (where *n* is an integer not divisible *p*,  $p \ge 2$ ) of a unipotent element  $u \in G$  is conjugate to *u* under *G*. This is well known to be true when  $p \gg 0$ . In §2 we answer positively this question in general using the theory of Springer's representations; we also discuss an analogous property of nilpotent elements.

I wish to thank J.-P. Serre for his interesting questions and comments.

# 1. Combinatorics

**1.1.** For  $k \in \mathbf{N}$  let  $\mathcal{E}_k = \{a_* = (a_0, a_1, \dots, a_k) \in \mathbf{N}^{k+1}; a_0 \leq a_1 \leq \dots \leq a_k\}$ . For  $a_* \in \mathcal{E}_k$  let  $|a_*| = \sum_i a_i$ . For  $a_*, a'_* \in \mathcal{E}_k$  we set  $a_* + a'_* = (a_0 + a'_0, a_1 + a'_1, \dots, a_k + a'_k)$ . For any  $n \in \mathbf{N}$  let  $\mathcal{E}_k^n = \{a_* \in \mathcal{E}_k; |a_*| = n\}$ . We have an imbedding  $\mathcal{E}_k^n \to \mathcal{E}_{k+1}^n$ ,  $(a_0, a_1, \dots, a_k) \mapsto (0, a_0, a_1, \dots, a_k)$ . This is a bijection if k is sufficiently large with respect to n. For  $n \in \mathbf{N}$  let

 $\mathcal{C}_{k}^{n} = \{ (a_{*}, a_{*}') \in \mathcal{E}_{k} \times \mathcal{E}_{k}; |a_{*}| + |a_{*}'| = n \},\$ 

 $\mathcal{D}_k^n = \{ (a_*, a'_*) \in \mathcal{C}_k^n; \text{ either } |a_*| > |a'_*| \text{ or } a_* = a'_* \}.$ 

Here k is large (relative to n), fixed. Let

$$\begin{split} ^{b}\mathcal{C}_{k}^{n} &= \{(a_{*},a_{*}') \in \mathcal{C}_{k}^{n}; a_{i}' \leq a_{i}+2 \quad \forall i \in [0,k]\}, \\ ^{b1}\mathcal{C}_{k}^{n} &= \{(a_{*},a_{*}') \in \mathcal{C}_{k}^{n}; a_{i}' \leq a_{i}+2 \quad \forall i \in [0,k], a_{i} \leq a_{i+1}' \quad \forall i \in [0,k-1]\}, \\ ^{b2}\mathcal{C}_{k}^{n} &= \{(a_{*},a_{*}') \in \mathcal{C}_{k}^{n}; a_{i}' \leq a_{i}+2 \quad \forall i \in [0,k], a_{i} \leq a_{i+1}'+2 \quad \forall i \in [0,k-1]\}, \\ ^{c1}\mathcal{C}_{k}^{n} &= \{(a_{*},a_{*}') \in \mathcal{C}_{k}^{n}; a_{i} \leq a_{i+1}'+1 \quad \forall i \in [0,k-1], a_{i}' \leq a_{i}+1 \quad \forall i \in [0,k]\}, \\ ^{d}\mathcal{D}_{k}^{n} &= \{(a_{*},a_{*}') \in \mathcal{D}_{k}^{n}; a_{i}' \leq a_{i} \quad \forall i \in [0,k]\}, \\ ^{d1}\mathcal{D}_{k}^{n} &= \{(a_{*},a_{*}') \in \mathcal{D}_{k}^{n}; a_{i}' \leq a_{i} \quad \forall i \in [0,k], a_{i} \leq a_{i+1}'+2 \quad \forall i \in [0,k-1]\}, \\ ^{d2}\mathcal{D}_{k}^{n} &= \{(a_{*},a_{*}') \in \mathcal{D}_{k}^{n}; a_{i}' \leq a_{i} \quad \forall i \in [0,k], a_{i} \leq a_{i+1}'+4 \quad \forall i \in [0,k-1]\}. \\ \\ \text{Note that} \end{split}$$

$$\begin{split} ^{b1}\mathcal{C}^n_k \subset {}^{b2}\mathcal{C}^n_k \subset {}^{b}\mathcal{C}^n_k, \\ ^{c1}\mathcal{C}^n_k \subset {}^{b2}\mathcal{C}^n_k \subset \mathcal{C}^n_k, \\ ^{d1}cd^n_k \subset {}^{d2}cd^n_k \subset {}^{d}\mathcal{D}^n_k. \end{split}$$

The following statements are obvious. If  $(a_*, a'_*) \in \mathcal{C}_k^m$ ,  $(b_*, b'_*) \in \mathcal{C}_k^{m'}$  then  $(a_* + b_*, a'_* + b'_*) \in \mathcal{C}_k^{m+m'}$ . If  $(a_*, a'_*) \in {}^b\mathcal{C}_k^m$ ,  $(b_*, b'_*) \in {}^d\mathcal{D}_k^{m'}$ , then  $(a_* + b_*, a'_* + b'_*) \in {}^b\mathcal{C}_k^{m+m'}$ . If  $(a_*, a'_*) \in {}^d\mathcal{D}_k^m$ ,  $(b_*, b'_*) \in {}^d\mathcal{D}_k^{m'}$  then  $(a_* + b_*, a'_* + b'_*) \in {}^d\mathcal{C}_k^{m+m'}$ .

In the following result we assume that k is large relative to n.

**Proposition 1.2.** (a) Let  $(c_*, c'_*) \in \mathcal{C}^n_k$ . Then either  $(c_*, c'_*) \in {}^{c_1}\mathcal{C}^n_k$  or there exist  $m \ge 1, m' \ge 1$  such that m + m' = n and  $(a_*, a'_*) \in \mathcal{C}^m_k$ ,  $(b_*, b'_*) \in \mathcal{C}^{m'}_k$  such that  $(c_*, c'_*) = (a_* + b_*, a'_* + b'_*)$ .

(b) Let  $(c_*, c'_*) \in {}^bC_k^n$ . Then either  $(c_*, c'_*) \in {}^{b1}C_k^n$  or there exist  $m \ge 0, m' \ge 2$ such that m + m' = n and  $(a_*, a'_*) \in {}^bC_k^m$ ,  $(b_*, b'_*) \in {}^dD_k^{m'}$ , such that  $(c_*, c'_*) = (a_* + b_*, a'_* + b'_*)$ .

(c) Let  $(c_*, c'_*) \in {}^d \mathcal{C}_k^n$ . Then either  $(c_*, c'_*) \in {}^{d_1} \mathcal{C}_k^n$  or there exist  $m \ge 2, m' \ge 2$ such that m + m' = n and  $(a_*, a'_*) \in {}^d \mathcal{D}_k^m$ ,  $(b_*, b'_*) \in {}^d \mathcal{D}_k^{m'}$  such that  $(c_*, c'_*) = (a_* + b_*, a'_* + b'_*)$ . We prove (a). Assume first that  $c_s < c_{s+1}$  for some  $s \in [0, k-1]$ . Define  $(b_*, b'_*) \in \mathcal{C}^k_r$ , r = k - s > 0, by  $b_i = 1$  for  $i \in [s+1, k]$ ,  $b_i = 0$  for  $i \in [0, s]$ ,  $b'_i = 0$  for  $i \in [0, k]$ . Define  $(a_*, a'_*) \in \mathcal{C}^k_{n-r}$  by  $a_i = c_i - 1$  for  $i \in [s+1, k]$ ,  $a_i = c_i$  in [0, s],  $a'_* = c'_*$ . We have  $a_* + b_* = c_*$ ,  $a'_* + b'_* = c'_*$ . If r < n we see that (a) holds. If r = n then  $(c_*, c'_*) = (b_*, b'_*) \in {}^{c_1}\mathcal{C}^n_k$  and (a) holds again.

Next we assume that  $c'_{s} < c'_{s+1}$  for some  $s \in [0, k-1]$ . Define  $(b_{*}, b'_{*}) \in \mathcal{C}_{r}^{k}$ , r = k - s > 0, by  $b_{i} = 0$  for  $i \in [0, k]$ ,  $b'_{i} = 1$  for  $i \in [s + 1, k]$ ,  $b'_{i} = 0$  for  $i \in [0, s]$ . Define  $(a_{*}, a'_{*}) \in \mathcal{C}_{n-r}^{k}$  by  $a_{*} = c_{*}$ ,  $a'_{i} = c'_{i} - 1$  for  $i \in [s + 1, k]$ ,  $a'_{i} = c'_{i}$  for  $i \in [0, s]$ . We have  $a_{*} + b_{*} = c_{*}$ ,  $a'_{*} + b'_{*} = c'_{*}$ . If r < n we see that (a) holds. If r = n then  $(c_{*}, c'_{*}) = (b_{*}, b'_{*}) \in {}^{c1}\mathcal{C}_{k}^{n}$  and (a) holds again.

Finally we assume that  $c_0 = c_1 = \cdots = c_r$ ,  $c'_0 = c'_1 = \cdots = c'_r$ . Since k is large we can assume that  $c_0 = 0$ ,  $c'_0 = 0$ . Then n = 0 and  $(c_*, c'_*) \in {}^{c_1}\mathcal{C}^n_k$ .

We prove (b). If n = 0 we have clearly  $(c_*, c'_*) \in {}^{b_1}\mathcal{C}_k^n$ . Hence we can assume that n > 0 and that the result is true when n is repaced by  $n' \in [0, n-1]$ .

Assume first that we can find  $0 < t \le s \le k$  such that  $c'_j = c_j + 2$  for  $j \in [s+1,k]$ ,  $c'_j < c_j + 2$  for  $j \in [t,s]$ ,  $c_{t-1} < c_t$ . Note that if s < k then  $c'_s < c'_{s+1}$ ; indeed,  $c'_s < c_s - 2 \le c_{s+1} - 2 = c'_{s+1}$ . Define  $(b_*, b'_*) \in {}^d \mathcal{D}^k_r$ , r = 2k - t - s + 1 > 0 by  $b_i = 1$  for  $i \in [t,k]$ ,  $b_i = 0$  for  $i \in [0,t-1]$ ,  $b'_i = 1$  for  $i \in [s+1,k]$ ,  $b'_i = 0$  for  $i \in [0,s]$ . Define  $(a_*,a'_*) \in {}^b \mathcal{C}^k_{n-r}$  by  $a_i = c_i - 1$  for  $i \in [t,k]$ ,  $a_i = c_i$  for  $i \in [0,t-1]$ ,  $a'_i = c'_i - 1$  for  $i \in [s+1,k]$ ,  $a'_i = c'_i$  for  $i \in [0,s]$ . We have  $a_* + b_* = c_*$ ,  $a'_* + b'_* = c'_*$ . If  $r \ge 2$  we see that (b) holds. If r = 1 then t = s = k and  $a_k = c_k - 1$ ,  $a_i = c_i$  for  $i \in [0, k-1]$ ,  $a'_i = c'_i$  for  $i \in [0,k]$ . The induction hypothesis is applicable to  $(a_*,a'_*) \in {}^b \mathcal{C}^k_{n-1}$ . If  $(a_*,a'_*) \in {}^{b1} \mathcal{C}^k_{n-1}$  then we can find  $m \ge 0, m' \ge 2$  such that m + m' = n - 1 and  $(\tilde{a}_*, \tilde{a}'_*) \in {}^b \mathcal{C}^m_k$ ,  $(\tilde{b}_*, \tilde{b}'_*) \in {}^d \mathcal{D}^{m'}_k$  such that  $(a_*, a'_*) = (\tilde{a}_* + \tilde{b}_*, \tilde{a}'_* + \tilde{b}'_*)$ . Then  $(c_*, c'_*) = (\tilde{a}_* + \tilde{b}_* + b_*, \tilde{a}'_* + \tilde{b}'_* + b'_*)$  where  $(\tilde{a}_*, \tilde{a}'_*) \in {}^b \mathcal{C}^m_k$ ,  $(\tilde{b}_* + b_*) \in {}^d \mathcal{D}^{m'+1}_k$  so that (b) holds.

Next we assume that  $c_i > 0$  for some *i*. Then we have  $0 = c_0 = c_1 = \cdots = c_{l-1} < c_l$  for some  $l \in [0, k]$ . If  $c'_s < c_s + 2$  for some  $s \in [l, k]$  then we can assume that *s* is maximum possible with this property and there are two possibilities. Either  $c'_i < c_i + 2$  for all  $i \in [l, s]$  and then by the previous paragraph (with t = l) we see that (b) holds; or  $c'_i = c_i + 2$  for some  $i \in [l, s]$  and letting t - 1 be the largest such *i* we have  $0 < t \leq s$ ,  $c'_j < c_j + 2$  for  $j \in [t, s]$ ,  $c'_j = c_j + 2$  for j = t - 1 and  $c_{t-1} = c'_{t-1} - 2 \leq c'_t - 2 < c_t$ ; using again the previous paragraph we see that (b) holds. Thus we may assume that  $c'_i = c_i + 2$  for all  $i \in [l, k]$ . Assume in addition that  $c'_s < c'_{s+1}$  for some  $s \in [l, k-1]$ . We can assume that *s* is maximum possible so that  $c'_s < c'_{s+1} = \cdots = c'_k$ . We have  $c_{s+1} = c'_{s+1} - 2 > c'_s - 2 = c_s$  hence  $c_s < c_{s+1}$ . Define  $(b_*, b'_*) \in {}^d \mathcal{D}^k_r$ ,  $r = 2k - 2s \geq 2$ , by  $b_i = 1$  for  $i \in [s+1, k]$ ,  $b_i = 0$  for  $i \in [0, s]$ ,  $b'_i = 1$  for  $i \in [s+1, k]$ ,  $a_i = c_i$  for  $i \in [0, s]$ . Define  $(a_*, a'_*) \in {}^b \mathcal{C}^k_{n-r}$  by  $a_i = c_i - 1$  for  $i \in [s+1, k]$ ,  $a_i = c_i$  for  $i \in [0, s]$ ,  $a'_i = c'_i - 1$  for  $i \in [s+1, k]$ ,  $a'_i = c'_i$  for  $i \in [0, s]$ . We have  $a_* + b_* = c_*$ ,  $a'_* + b'_* = c'_*$ . We see that (b) holds. Thus we can assume that  $c'_i = c'_{i+1} = \cdots = c'_k = N + 2$  so that  $c_i = c_{i+1} = \cdots = c_k = N$ .

Note that  $c'_i \leq 2$  for  $i \in [0, l-1]$ . We have  $(c_*, c'_*) \in {}^{b1}\mathcal{C}_k^n$  so that (b) holds.

Finally we assume that  $c_0 = c_1 = \cdots = c_k = 0$ . Then  $c'_i \leq 2$  for  $i \in [0, k]$  and  $(c_*, c'_*) \in {}^{b1}\mathcal{C}^n_k$  so that (b) holds. This completes the proof of (b).

We prove (c). If n = 0 we have clearly  $(c_*, c'_*) \in {}^{d_1}\mathcal{D}_k^n$ . Hence we can assume that n > 0 and that the result is true when n is repaced by  $n' \in [0, n-1]$ .

Assume first that we can find  $0 < t \le s \le k$  such that  $c'_j = c_j$  for  $j \in [s+1, k]$ ,  $c'_j < c_j$  for  $j \in [t,s]$ ,  $c_{t-1} < c_t$ . Note that if s < k then  $c'_s < c'_{s+1}$ ; indeed,  $c'_{s} < c_{s} \le c_{s+1} = c'_{s+1}$ . Define  $(b_{*}, b'_{*}) \in {}^{d}\mathcal{D}_{r}^{k}$ , r = 2k - t - s + 1 > 0 by  $b_{i} = 1$  for  $i \in [t, k], b_i = 0$  for  $i \in [0, t-1], b'_i = 1$  for  $i \in [s+1, k], b'_i = 0$  for  $i \in [0, s]$ . Define  $(a_*, a'_*) \in {}^d \mathcal{D}^k_{n-r}$  by  $a_i = c_i - 1$  for  $i \in [t, k], a_i = c_i$  for  $i \in [0, t-1], a'_i = c'_i - 1$ for  $i \in [s+1,k]$ ,  $a'_i = c'_i$  for  $i \in [0,s]$ . We have  $a_* + b_* = c_*$ ,  $a'_* + b'_* = c'_*$ . If  $n-2 \ge r \ge 2$  we see that (c) holds. If r = 1 then t = s = k and  $a_k = c_k - 1$ ,  $a_i = c_i$ for  $i \in [0, k-1]$ ,  $a'_i = c'_i$  for  $i \in [0, k]$ . The induction hypothesis is applicable to  $(a_*, a'_*) \in {}^d \mathcal{D}_{n-1}^k$ . If  $(a_*, a'_*) \in {}^{d_1} \mathcal{D}_{n-1}^k$  then clearly  $(c_*, c'_*) \in {}^{d_1} \mathcal{D}_{n-1}^k$  and (c) holds. If  $(a_*, a'_*) \notin {}^{d_1} \mathcal{D}^k_{n-1}$  then we can find  $m \ge 2, m' \ge 2$  such that m + m' = n - 1and  $(\tilde{a}_*, \tilde{a}'_*) \in {}^d\mathcal{D}_k^m, (\tilde{b}_*, \tilde{b}'_*) \in {}^d\mathcal{D}_k^{m'}$  such that  $(a_*, a'_*) = (\tilde{a}_* + \tilde{b}_*, \tilde{a}'_* + \tilde{b}'_*)$ . Then  $(c_*, c'_*) = (\tilde{a}_* + \tilde{b}_* + b_*, \tilde{a}'_* + \tilde{b}'_* + b'_*)$  where  $(\tilde{a}_*, \tilde{a}'_*) \in {}^d \mathcal{D}_k^m, (\tilde{b}_* + b_*, \tilde{b}'_* + b'_*) \in {}^d \mathcal{D}_k^{m'+1}$ so that (c) holds. If r = n - 1 then  $a_i = 0$  for  $i \in [0, k - 1]$ ,  $a_k = 0, a'_i = 0$  for  $i \in [0, k]$ ; hence  $c_i = 1$  for  $i \in [t, k - 1]$ ,  $c_k = 2$ ,  $c_i = 0$  for  $i \in [0, t - 1]$ ,  $c'_i = 1$  for  $i \in [s+1,k], c'_i = 0$  for  $i \in [0,s]$ . Hence  $(c_*,c'_*) \in {}^d\mathcal{D}^n_k$  so that (c) holds. If r = nthen  $(c_*, c'_*) = (b_*, b'_*) \in {}^d\mathcal{D}_k^n$  so that (c) holds.

Next we assume that  $c_i > 0$  for some *i*. Then we have  $0 = c_0 = c_1 = \cdots = c_0$  $c_{l-1} < c_l$  for some  $l \in [0, k]$ . If  $c'_s < c_s$  for some  $s \in [l, k]$  then we can assume that s is maximum possible with this property and there are two possibilities. Either  $c'_i < c_i$  for all  $i \in [l, s]$  and then by the previous paragraph (with t = l) we see that (c) holds; or  $c'_i = c_i$  for some  $i \in [l, s]$  and letting t-1 be the largest such i we have  $0 < t \leq s, c'_j < c_j$  for  $j \in [t, s], c'_j = c_j$  for j = t - 1 and  $c_{t-1} = c'_{t-1} \leq c'_t < c_t$ ; using again the previous paragraph we see that (c) holds. Thus we may assume that  $c'_i = c_i$  for all  $i \in [l, k]$ . Assume in addition that  $c'_s < c'_{s+1}$  for some  $s \in [l, k-1]$ . We can assume that s is maximum possible so that  $c'_s < c'_{s+1} = \cdots = c'_k$ . We have  $c_{s+1} = c'_{s+1} > c'_s = c_s$  hence  $c_s < c_{s+1}$ . Define  $(b_*, b'_*) \in {}^d \mathcal{D}_r^k$ ,  $r = 2k - 2s \ge 2$ , by  $b_i = 1$  for  $i \in [s+1,k]$ ,  $b_i = 0$  for  $i \in [0,s]$ ,  $b'_i = 1$  for  $i \in [s+1,k]$ ,  $b'_i = 0$ for  $i \in [0, s]$ . Define  $(a_*, a'_*) \in {}^d \mathcal{D}_{n-r}^k$  by  $a_i = c_i - 1$  for  $i \in [s+1, k], a_i = c_i$  for  $i \in [0, s], a'_i = c'_i - 1$  for  $i \in [s + 1, k], a'_i = c'_i$  for  $i \in [0, s]$ . We have  $a_* + b_* = c_*$ ,  $a'_* + b'_* = c'_*$ . If  $r \leq n-2$  we see that (c) holds. If r = n-1 then  $a_i = 0$  for  $i \in [0, k-1], a_k = 0, a'_i = 0$  for  $i \in [0, k]$ ; hence  $c_i = 1$  for  $i \in [s+1, k-1], c_k = 2$ ,  $c_i = 0$  for  $i \in [0, s], c'_i = 1$  for  $i \in [s+1, k], c'_i = 0$  for  $i \in [0, s]$ . Hence  $(c_*, c'_*) \in {}^d \mathcal{D}_k^n$ so that (c) holds. If r = n then  $(c_*, c'_*) = (b_*, b'_*) \in {}^d\mathcal{D}_k^n$  so that (c) holds. Thus we can assume that  $c'_l = c'_{l+1} = \cdots = c'k = N$  so that  $c_l = c_{l+1} = \cdots = c_k = N$ . Note that  $c'_i = 0$  for  $i \in [0, l-1]$ . We have  $(c_*, c'_*) \in {}^{d_1}\mathcal{D}^n_k$  so that (c) holds.

Finally we assume that  $c_0 = c_1 = \cdots = c_k = 0$ . Then  $c'_i = 0$  for  $i \in [0, k]$ . In this case we have n = 0 and  $(c_*, c'_*) \in {}^{d_1}\mathcal{D}_k^n$  so that (c) holds. This completes the

## 2. On Serre's questions

**2.1.** For any affine algebraic group H over  $\mathbf{k}$  we denote by Lie H the Lie algebra of H. For any  $\mathcal{O} \in \mathcal{X}_G$  (or  $\mathcal{O} \in \mathcal{X}_g$ ) we set  $d_{\mathcal{O}} = 2 \dim \mathcal{B} - \dim \mathcal{O}$ .

**2.2.** We recall the definition of Springer's representations following [L2]. Let  $\mathcal{B}$  be the variety of Borel subgroups of G. Let  $\tilde{\mathcal{B}} = \{(g, B) \in G \times \mathcal{B}; g \in B\}$  and let  $f: \tilde{\mathcal{B}} \to G$  be the first projection. Let  $K = f_! \bar{\mathbf{Q}}_l$ . In [L2] it was observed that K is an intersection cohomology complex on G coming from a local system on the open dense subset of G consisting on regular semisimple elements. Moreover  $\mathbf{W}$  acts naturally on this local system and hence, by "analytic continuation", on K. In particular, if  $\mathcal{O} \in \mathcal{X}_G$  and  $i \in \mathbf{Z}$  then  $\mathbf{W}$  acts naturally on the *i*-th cohomology sheaf  $\mathcal{H}^i K|_{\mathcal{O}}$  of  $K|_{\mathcal{O}}$ , an irreducible G-equivariant local system on  $\mathcal{O}$  then  $\mathbf{W}$  acts naturally on the  $\bar{\mathcal{A}}_G$  is either 0 or of the form  $\bar{\mathbf{Q}}_l \otimes E$  where  $E \in \operatorname{Irr}(\mathbf{W})$ ; moreover any  $E \in \operatorname{Irr}(\mathbf{W})$  arises in this way from a unique  $(\mathcal{O}, \mathcal{L})$  and  $E \mapsto (\mathcal{O}, \mathcal{L})$  is an injective map

 $S_G: \operatorname{Irr}(\mathbf{W}) \to \mathcal{X}_G.$ 

We would like to define a similar map from  $\operatorname{Irr}(\mathbf{W})$  to  $\hat{\mathcal{X}}_{\mathfrak{g}}$ . Let  $\tilde{\mathcal{B}}' = \{(x, B) \in$  $\mathfrak{g} \times \mathcal{B}; x \in \text{Lie } B$  and let  $f' : \tilde{\mathcal{B}}' \to \mathfrak{g}$  be the first projection. Let  $K' = f'_1 \bar{\mathbf{Q}}_l$ . Now if p is small the set of regular semisimple elements in  $\mathfrak{g}$  may be empty (this is the case for example if  $G = SL_2(\mathbf{k}), p = 2$  so the method of [L4] cannot be used directly. However, T.Xue [X] has observed that the method of [L4], [L2] can be applied if G is a classical group of adjoint type and p = 2 (in that case the set of regular semisimple elements in  $\mathfrak{g}$  is open dense in  $\mathfrak{g}$ ). More generally for any G which is adjoint, the set of regular semisimple elements in  $\mathfrak{g}$  is open dense in g. (Here is a proof. We must only check that if T is a maximal torus of G and  $\mathfrak{t} = \text{Lie } T$  then the set  $\mathfrak{t}_{reg}$  of regular semisimple elements in  $\mathfrak{t}$  is open dense in  $\mathfrak{t}$ . Let  $Y = \text{Hom}(\mathbf{k}^*, T)$ . We have  $\mathbf{t} = \mathbf{k} \otimes Y$ . Now  $\mathbf{t}_{reg}$  is the set of all  $x \in \mathbf{t}$  such that for any root  $\alpha : \mathfrak{t} \to \mathbf{k}$  we have  $\alpha(x) \neq 0$ . It is enough to show that any root  $\alpha : \mathfrak{t} \to \mathbf{k}$  is  $\neq 0$ . We have  $\alpha = 1 \otimes \alpha_0$  where  $\alpha_0 : Y \to \mathbf{Z}$  is a well defined homomorphism. It is enough to show that  $\alpha_0$  is surjective. This follows from the adjointness of G.) As in the group case it now follows that K' is an intersection cohomology complex on  $\mathfrak{g}$  coming from a local system on  $\mathfrak{g}_{req}$ . Moreover W acts naturally on this local system and hence, by "analytic continuation", on K'. In particular, if  $\mathcal{O} \in \mathcal{X}_{\mathfrak{g}}$  and  $i \in \mathbb{Z}$  then W acts naturally on the *i*-th cohomology sheaf  $\mathcal{H}^{i}K'|_{\mathcal{O}}$  of  $K'|_{\mathcal{O}}$ , an irreducible *G*-equivariant local system on  $\mathcal{O}$ ; hence if  $\mathcal{L}$ is an irreducible G-equivariant local system on  $\mathcal{O}$  then W acts naturally on the  $\mathbf{Q}_l$ -vector space Hom $(\mathcal{L}, \mathcal{H}^i K'|_{\mathcal{O}})$ . We denote this **W**-module (with  $i = d_{\mathcal{O}}$ ) by  $V_{\mathcal{O},\mathcal{L}}$ . As in [L4], [X],  $V_{\mathcal{O},\mathcal{L}}$  is either 0 or of the form  $\mathbf{Q}_l \otimes E$  where  $E \in \operatorname{Irr}(\mathbf{W})$ ; moreover any  $E \in \operatorname{Irr}(\mathbf{W})$  arises in this way from a unique  $(\mathcal{O}, \mathcal{L})$  and  $E \mapsto (\mathcal{O}, \mathcal{L})$ 

is an injective map

 $S_{\mathfrak{g}}: \operatorname{Irr}(\mathbf{W}) \to \hat{\mathcal{X}}_{\mathfrak{g}}.$ 

If G is not assumed to be adjoint, let  $G_{ad}$  be the adjoint group of G and let  $\mathfrak{g}_{ad} = \operatorname{Lie} G_{ad}$ . The obvious map  $\pi : \mathfrak{g} \to \mathfrak{g}_{ad}$  induces a bijective morphism  $\mathcal{N}_{\mathfrak{g}} \to \mathcal{N}_{\mathfrak{g}_{ad}}$  and a bijection  $\mathcal{X}_{\mathfrak{g}} \to \mathcal{X}_{\mathfrak{g}_{ad}}$ . Now any  $G_{ad}$ -equivariant irreducible  $\overline{\mathfrak{Q}}_l$ -local system on a  $G_{ad}$ -orbit in  $\mathcal{N}_{\mathfrak{g}_{ad}}$  can be viewed as an irreducible G-equivariant  $\overline{\mathfrak{Q}}_l$ -local system on the corresponding G-orbit in  $\mathcal{N}_{\mathfrak{g}}$ . This yields an injective map  $\hat{\mathcal{X}}_{\mathfrak{g}_{ad}} \to \hat{\mathcal{X}}_{\mathfrak{g}}$ . We define an injective map  $S_{\mathfrak{g}} : \operatorname{Irr}(\mathbf{W}) \to \hat{\mathcal{X}}_{\mathfrak{g}}$  as the composition of the last map with  $S_{\mathfrak{g}_{ad}}$ .

**2.3.** For any  $u \in \mathcal{U}_G$ , let  $\mathcal{B}_u = \{B \in \mathcal{B}; u \in B\}$  and let  $\mathcal{O}$  be the *G*-orbit of uin  $\mathcal{U}_G$ . Note that  $\mathcal{B}_u$  is a non-empty subvariety of  $\mathcal{B}$  of dimension  $d_{\mathcal{O}}/2$ , see [S1]. Using this and the definition of  $S_G$  we see that  $(\mathcal{O}, \bar{\mathbf{Q}}_l)$  is in the image of  $S_G$ . Hence there is a well defined injective map  $S'_G : \mathcal{X}_G \to \operatorname{Irr}(\mathbf{W})$  such that for any  $\mathcal{O} \in \mathcal{X}_G$  we have  $S'_G(\mathcal{O}) = E$  where  $E \in \operatorname{Irr}(\mathbf{W})$  is given by  $S_G(E) = (\mathcal{O}, \bar{\mathbf{Q}}_l)$ .

Similarly, for any  $x \in \mathcal{N}_{\mathfrak{g}}$  let  $\mathcal{B}_x = \{B \in \mathcal{B}; x \in \text{Lie } B\}$  and let  $\mathcal{O}$  be the *G*-orbit of x in  $\mathcal{N}_{\mathfrak{g}}$ . Note that  $\mathcal{B}_x$  is a non-empty subvariety of  $\mathcal{B}$  of dimension  $d_{\mathcal{O}}/2$ , see [HS]. Using this and the definition of  $S_{\mathfrak{g}}$  we see that  $(\mathcal{O}, \bar{\mathbf{Q}}_l)$  is in the image of  $S_{\mathfrak{g}}$ . Hence there is a well defined injective map  $S'_{\mathfrak{g}} : \mathcal{X}_{\mathfrak{g}} \to \text{Irr}(\mathbf{W})$  such that for any  $\mathcal{O} \in \mathcal{X}_{\mathfrak{g}}$  we have  $S'_{\mathfrak{g}}(\mathcal{O}) = E$  where  $E \in \text{Irr}(\mathbf{W})$  is given by  $S_{\mathfrak{g}}(E) = (\mathcal{O}, \bar{\mathbf{Q}}_l)$ .

The maps  $S'_G, S'_g$  can be described directly as follows. For  $i \in \mathbf{Z}$ , we may identify  $H^i(\mathcal{B})$  (*l*-adic cohomology) with the stalk of  $\mathcal{H}^i K$  at  $1 \in G$  hence the **W**-action on K induces a **W**-action on the vector space  $H^i(\mathcal{B})$ . If  $\mathcal{O} \in \mathcal{X}_G$  and  $u \in \mathcal{O}$  then the inclusion  $\mathcal{B}_u \to \mathcal{B}$  induces a linear map  $f_u : H^{d_{\mathcal{O}}}(\mathcal{B}) \to H^{d_{\mathcal{O}}}(\mathcal{B}_u)$ whose kernel is **W**-stable; hence there is an induced action of **W** on the image  $I_u$ of  $f_u$ . The **W**-module  $I_u$  is of the form  $\bar{\mathbf{Q}}_l \otimes E$  for a well defined  $E \in \operatorname{Irr}(\mathbf{W})$ . We have  $S'_G(\mathcal{O}) = E$ . Similarly, if  $\mathcal{O} \in \mathcal{X}_g$  and  $x \in \mathcal{O}$  then the inclusion  $\mathcal{B}_x \to \mathcal{B}$ induces a linear map  $\phi_x : H^{d_{\mathcal{O}}}(\mathcal{B}) \to H^{d_{\mathcal{O}}}(\mathcal{B}_x)$  whose kernel is **W**-stable; hence there is an induced action of **W** on the image  $I_x$  of  $\phi_x$ . The **W**-module  $I_x$  is of the form  $\bar{\mathbf{Q}}_l \otimes E$  for a well defined  $E \in \operatorname{Irr}(\mathbf{W})$ . We have  $S'_{\mathfrak{g}}(\mathcal{O}) = E$ .

Let  $\mathfrak{S}_G$  be the image of  $S'_G : \mathcal{X}_G \to \operatorname{Irr}(\mathbf{W})$ . Let  $\mathfrak{S}_{\mathfrak{g}}$  be the image of  $S'_{\mathfrak{g}} : \mathcal{X}_{\mathfrak{g}} \to \operatorname{Irr}(\mathbf{W})$ .

**2.4.** Any automorphism  $a: G \to G$  induces a Lie algebra automorphism  $a': \mathfrak{g} \to \mathfrak{g}$  and an automorphism  $\underline{a}$  of  $\mathbf{W}$  as a Coxeter group. Now a (resp. a') induces a permutation  $\mathcal{O} \mapsto a(\mathcal{O})$  (resp.  $\mathcal{O} \mapsto a'(\mathcal{O})$ ) of  $\mathcal{X}_G$  (resp.  $\mathcal{X}_{\mathfrak{g}}$ ) denoted again by a (resp. a'). Also  $\underline{a}$  induces in an obvious way a permutation of  $\operatorname{Irr}(W)$  denoted again by  $\underline{a}$ . From the definitions we see that

 $\underline{a}S'_G = S'_G a, \, \underline{a}S'_{\mathfrak{g}} = S'_{\mathfrak{g}}a'.$ 

Let  $x \mapsto x^p$  be the *p*-th power map  $\mathfrak{g} \to \mathfrak{g}$  (if p > 1) and the 0 map  $\mathfrak{g} \to \mathfrak{g}$  (if p = 1). The *r*-th iteration of this map is denoted by  $x \mapsto x^{p^r}$ ; this restricts to a map  $\mathcal{N}_{\mathfrak{g}} \to \mathcal{N}_{\mathfrak{g}}$  which is 0 for  $r \gg 0$ . The following result answers questions of Serre [Se].

**Proposition 2.5.** (a) Let  $u \in U_G$  and let  $n \in \mathbb{Z}$  be such that nn' = 1 in  $\mathbf{k}$  for some  $n' \in \mathbb{Z}$ . Then  $u^n$  and u are G-conjugate.

(b) Let  $x \in \mathcal{N}_{\mathfrak{g}}$  and let  $x' = a_0 x + a_1 x^p + a_2 x^{p^2} + \ldots$  where  $a_0, a_1, a_2, \cdots \in \mathbf{k}$ ,  $a_0 \neq 0$  (so that  $x' \in \mathcal{N}_{\mathfrak{g}}$ ). Then x', x are *G*-conjugate.

We prove (a). Let  $\mathcal{O}$  be the *G*-orbit of u and let  $\mathcal{O}'$  be the *G*-orbit of  $u' := u^n$ . Clearly,  $\mathcal{B}_u \subset \mathcal{B}_{u'}$ . Since u' is a power of u we have also  $\mathcal{B}_{u'} \subset \mathcal{U}$  hence  $\mathcal{B}_{u'} = \mathcal{B}_u$ . From dim  $\mathcal{B}_u = \dim \mathcal{B}_{u'}$  we see that  $d_{\mathcal{O}} = d_{\mathcal{O}'}$ . The map  $f_u : H^{d_{\mathcal{O}}}(\mathcal{B}) \to H^{d_{\mathcal{O}}}(\mathcal{B}_u)$ in 2.3 remains the same if u is replaced by u'. From the description of  $S'_G$  given in 2.3 we deduce that  $S'_G(\mathcal{O}) = S'_G(\mathcal{O}')$ . Since  $S'_G$  is injective we deduce that  $\mathcal{O} = \mathcal{O}'$ . This proves (a).

We prove (b). Let  $\mathcal{O}$  be the *G*-orbit of x and let  $\mathcal{O}'$  be the *G*-orbit of x'. Clearly,  $\mathcal{B}_x \subset \mathcal{B}_{x'}$ . Since  $x = a'_0 x' + a'_1 x'^p + a'_2 x'^{p^2} + \ldots$  with  $a'_0, a'_1, a'_2, \cdots \in \mathbf{k}, a'_0 = a_0^{-1}$ , we have  $\mathcal{B}_{x'} \subset \mathcal{B}_x$  hence  $\mathcal{B}_{x'} = \mathcal{B}_x$ . From dim  $\mathcal{B}_x = \dim \mathcal{B}_{x'}$  we see that  $d_{\mathcal{O}} = d_{\mathcal{O}'}$ . The map  $\phi_x : H^{d_{\mathcal{O}}}(\mathcal{B}) \to H^{d_{\mathcal{O}}}(\mathcal{B}_x)$  in 2.3 remains the same if x is replaced by x'. From the description of  $S'_G$  given in 2.3 we deduce that  $S'_{\mathfrak{g}}(\mathcal{O}) = S'_{\mathfrak{g}}(\mathcal{O}')$ . Since  $S'_{\mathfrak{g}}$ is injective we deduce that  $\mathcal{O} = \mathcal{O}'$ . This proves (b).

Parts (a),(b) of the following result answer questions of Serre [Se]; the proof of (b) below (assuming (a)) is due to Serre [Se].

**Proposition 2.6.** Let  $c: G \to G$  be an automorphism such that for some maximal torus T of G we have  $c(t) = t^{-1}$  for all  $t \in T$ . Let  $\tilde{c}: \mathfrak{g} \to \mathfrak{g}$  be the automorphism of  $\mathfrak{g}$  induced by c.

- (a) For any  $u \in \mathcal{U}_G$ , c(u), u are G-conjugate.
- (b) For any  $g \in G$ , c(g),  $g^{-1}$  are G-conjugate.
- (c) For any  $x \in \mathcal{N}_{\mathfrak{g}}$ ,  $\tilde{c}(x)$ , x are G-conjugate.
- (d) For any  $x \in \mathfrak{g}$ ,  $\tilde{c}(x)$ , -x are G-conjugate.

We prove (a). Let  $\underline{c}: \mathbf{W} \to \mathbf{W}$  be the automorphism induced by c. If  $B \in \mathcal{B}$  contains T then  $T \subset c(B)$  and B, c(B) are in relative position  $w_0$ , the longest element of  $\mathbf{W}$ . Hence if B, B' in  $\mathcal{B}$  contain T and are in relative position  $w \in \mathbf{W}$  then c(B), c(B') contain T and are in relative position  $w_0ww_0^{-1}$ . They are also in relative position  $\underline{c}(w)$ . It follows that  $\underline{c}(w) = w_0ww_0^{-1}$  for all  $w \in \mathbf{W}$ . Hence the induced permutation  $\underline{c}: \operatorname{Irr}(\mathbf{W}) \to \operatorname{Irr}(\mathbf{W})$  is the identity map. Let  $\mathcal{O}$  be the G-orbit of  $u \in \mathcal{U}_G$ . Then  $c(\mathcal{O})$  is the G-orbit of c(u). By 2.4 we have  $S'_G(c(\mathcal{O})) = \underline{c}(S'_G(\mathcal{O})) = S'_G(\mathcal{O})$ . Since  $S'_G$  is injective it follows that  $\mathcal{O} = c(\mathcal{O})$ . This proves (a).

Following [Se], we prove (b) by induction on dim(G). If dim G = 0 the result is trivial. Now assume that dim G > 0. Write g = su = us with s semisimple, u unipotent. If the result holds for  $g_1 \in G$  then it holds for any G-conjugate of  $g_1$ . Hence by replacing g by a conjugate we can assume that  $s \in T$  so that  $c(s) = s^{-1}$ . Let  $Z(s)^0$  be the connected centralizer of s, a connected reductive subgroup of G containing T. Note that c restricts to an automorphism of  $Z(s)^0$ of the same type as  $c : G \to G$ . Moreover we have  $g \in Z(s)^0$ . If  $Z(s)^0 \neq G$ then by the induction hypothesis we see that  $c(g), g^{-1}$  are conjugate under  $Z(s)^0$ 

hence they are conjugate under G. If  $Z(s)^0 = G$  then by (a), c(u), u are conjugate in G. By 2.5(a),  $u, u^{-1}$  are conjugate in G. Hence  $c(u), u^{-1}$  are conjugate in G. In other words, for some  $h \in G$  we have  $c(u) = hu^{-1}h$ . Since s is central in G and  $c(s) = s^{-1}$  we have  $c(s) = hs^{-1}h^{-1}$ . It follows that c(g) = c(s)c(u) = $hs^{-1}h^{-1}hu^{-1}h = hs^{-1}u^{-1}h^{-1} = hg^{-1}h^{-1}$ . This proves (b).

The proof of (c) is completely similar to that of (a); it uses  $S'_{\mathfrak{g}}$  instead of  $S_G$ . The proof of (d) is completely similar to that of (b); it uses (c) and 2.5(b) instead of (b) and 2.5(a).

#### 3. A parametrization of the set of nilpotent G-orbits in $\mathfrak{g}$

**3.1.** Let V be a finite dimensional **Q**-vector space. Let  $R \subset V^* = \operatorname{Hom}(V, \mathbf{Q})$ be a (reduced) root system and let  $W \subset GL(V)$  be the Weyl group of R. Let  $\Pi$ be a set of simple roots for R. Let  $\Theta = \{\beta \in R; \beta - \alpha \notin R \cup \{0\} \text{ for all } \alpha \in \Pi\}.$ For any integer  $r \geq 1$  let  $\mathcal{A}_r$  be the set of all  $J \subset \Pi \cup \Theta$  such that J is linearly independent in  $V^*$  and  $\sum_{\alpha \in \Pi} \mathbf{Z} \alpha / \sum_{\beta \in J} \mathbf{Z} \beta$  is finite of order  $r^k$  for some  $k \in \mathbf{N}$ . For  $J \in \mathcal{A}_r$  let  $W_J$  be the subgroup of W generated by the reflections with respect to the roots in J. For any  $E \in Irr(W)$  let  $b_E$  be the smallest integer  $\geq 0$  such that E appears with multiplicity  $m_E > 0$  in the  $b_E$ -th symmetric power of V regarded as a W-module. Let  $\operatorname{Irr}(W)^{\dagger} = \{E \in \operatorname{Irr}(W); m_E = 1\}$ . Replacing here (V, W)by  $(V, W_J)$  with  $J \in \mathcal{A}_r$  we see that  $b_E$  is defined for any  $E \in \operatorname{Irr}(W_J)$  and that  $\operatorname{Irr}(W_J)^{\dagger}$  is defined. For  $J \in \mathcal{A}_r$  and  $E \in \operatorname{Irr}(W_J)^{\dagger}$  there is a unique  $\tilde{E} \in \operatorname{Irr}(W)$ such that  $\tilde{E}$  appears with multiplicity 1 in  $\operatorname{Ind}_{W_J}^W E$  and  $b_E = b_{\tilde{E}}$ ; moreover, we have  $\tilde{E} \in \operatorname{Irr}(W)^{\dagger}$ . We set  $\tilde{E} = j_{W_J}^W E$ . Define  $\mathcal{S}_W^1 \subset \operatorname{Irr}(W)^{\dagger}$  as in [L5, 1.3]. Replacing (V, W) by  $(V, W_J)$  with  $J \in \mathcal{A}_r$  we obtain a subset  $\mathcal{S}^1_{W_J} \subset \operatorname{Irr}(W_J)^{\dagger}$ . For any integer  $r \geq 1$  let  $\mathcal{S}_W^r$  be the set of all  $E \in \operatorname{Irr}(W)$  such that  $E = j_{W_J}^W E_1$ for some  $J \in \mathcal{A}_r$  and some  $E_1 \in \mathcal{S}^1(W_J)$  (see [L5, 1.3]). If r = 1 this agrees with the earlier definition of  $\mathcal{S}^1_W$  since in this case  $W_J = W$  for any  $J \in \mathcal{A}_r$ . For any integer  $r \geq 1$  we define a subset  $\mathcal{T}_W^r$  of  $\operatorname{Irr}(W)^{\dagger}$  by induction on |W| as follows. If  $W = \{1\}$  we set  $\mathcal{T}_W^r = \operatorname{Irr}(W)$ . If  $W \neq \{1\}$  then  $\mathcal{T}_W^r$  is the set of all  $E \in \operatorname{Irr}(W)$ such that either  $E \in \mathcal{S}_W^1$  or  $E = j_{W_J}^W E_1$  for some  $J \in \mathcal{A}_r$  with  $W_J \neq W$  and some  $E_1 \in \mathcal{T}^r(W_J)$ . From the definition it is clear that  $\mathcal{S}^1_W \subset \mathcal{S}^r_W \subset \mathcal{T}^r_W.$ 

When r = 1 we have  $\mathcal{S}_W^1 = \mathcal{T}_W^r$ .

We apply these definitions in the case where r = p,  $V = \mathbf{Q} \otimes \mathbf{Y}_G$  (with **T** being "the maximal torus" of G and  $\mathbf{Y}_G = \text{Hom}(\mathbf{k}^*, \mathbf{T})$ ), R is "the root system" of G(a subset of  $V^*$ ) with its canonical set of simple roots and  $W = \mathbf{W}$  viewed as a subgroup of GL(V). Then the subsets  $\mathcal{S}^1_{\mathbf{W}} \subset \mathcal{S}^p_{\mathbf{W}} \subset \mathcal{T}^p_{\mathbf{W}}$  of Irr( $\mathbf{W}$ ) are defined. We can now state the following result.

# **Proposition 3.2.** (a) We have $\mathfrak{S}_G = \mathcal{S}^p_{\mathbf{W}}$ . (b) We have $\mathfrak{S}_{\mathfrak{g}} = \mathcal{T}^p_{\mathbf{W}}$ .

For (a) see [L5, 1.4]. The proof of (b) is given in 3.5.

**Corollary 3.3.** There is a unique (injective) map  $\tau : \mathcal{X}_G \to \mathcal{X}_g$  such that  $S'_G(\xi) = S'_g(\tau(\xi))$  for all  $\xi \in \mathcal{X}_G$ .

The existence and uniqueness of  $\tau$  follows from  $\mathfrak{S}_G \subset \mathfrak{S}_\mathfrak{g}$  which in turn follows from 3.2 and the inclusion  $\mathcal{S}^p_{\mathbf{W}} \subset \mathcal{T}^p_{\mathbf{W}}$ .

It is known that when  $p \neq 2$  we have  $\operatorname{card} \mathfrak{S}_G = \operatorname{card} \mathfrak{S}_{\mathfrak{g}}$ ; hence in this case  $\tau$  is a bijection.

**3.4.** For  $n \in \mathbb{N}$  let  $W_n$  be the group of all permutations of the set

 $\{1, 2, \dots, n, n', \dots, 2', 1'\}$ 

which commute with the involution  $i \mapsto i', i' \mapsto i$ ; let  $W'_n$  be the subgroup of  $W_n$ consisting of the even permutations. Assume that  $k \in \mathbf{N}$  is large relative to n. When G is adjoint simple of type  $B_n$  or  $C_n$   $(n \geq 2)$  we identify  $\mathbf{W} = W_n$  in the standard way; we have a bijection  $[a_*, a'_*] \leftrightarrow (a_*, a'_*)$ ,  $\operatorname{Irr}(\mathbf{W}) = \operatorname{Irr}(W_n) \leftrightarrow \mathcal{C}^n_k$ as in [L1, 2.3]; moreover,  $\operatorname{Irr}(\mathbf{W}) = \operatorname{Irr}(\mathbf{W})^{\dagger}$ , see [L1, 2.4]. When G is adjoint simple of type  $D_n$   $(n \geq 4)$  we identify  $\mathbf{W} = W'_n$  in the standard way; we have a surjective map  $\zeta : \operatorname{Irr}(\mathbf{W})^{\dagger} = \operatorname{Irr}(W'_n)^{\dagger} \to \mathcal{D}^k_n$  such that for any  $\rho \in \operatorname{Irr}(W'_n)$  we have  $\zeta(\rho) = (a_*, a'_*)$  where  $(a_*, a'_*) \in \mathcal{D}^k_n$  is such that  $\rho$  appears in the restriction of  $[a_*, a'_*]$  from  $W_n$  to  $W'_n$  (the set  $\operatorname{Irr}(W'_n)^{\dagger}$  is determined by [L1, 2.5]); note that  $|\zeta^{-1}(a_*, a'_*)|$  is 2 if  $a_* = a'_*$  and is 1 otherwise.

**3.5.** In this subsection we prove 3.2(b). We can assume that G is adjoint, simple. If p = 1 or p is a good prime for G then  $\mathfrak{S}_{\mathfrak{g}} = \mathfrak{S}_G$  hence using 3.2(a) we have  $\mathfrak{S}_{\mathfrak{g}} = \mathfrak{S}_{\mathbf{W}}^p$ ; in our case we have  $\mathbf{W}_J = \mathbf{W}$  for any  $J \in \mathcal{A}_p$  hence from the definitions we have  $\mathfrak{S}_{\mathbf{W}}^p = \mathfrak{S}_{\mathbf{W}}^1 = \mathcal{T}_{\mathbf{W}}^p$  and the result follows. In the rest of this subsection we assume that p is a bad prime for G. In this case  $\mathfrak{S}_{\mathfrak{g}}$  has been described explicitly by Spaltenstein [S2],[S3],[HS] as follows (assuming that the theory of Springer correspondence holds; this assumption can be removed in view of [X] and the remarks in 2.2.)

If G is of type  $C_n$ ,  $n \ge 2$  (p = 2), then we have  $\mathfrak{S}_{\mathfrak{g}} = \operatorname{Irr}(\mathbf{W})$ . If G is of type  $B_n$ ,  $n \ge 2$  (p = 2), then, according to [S1],  $\mathfrak{S}_{\mathfrak{g}} = \{[a_*, a'_*] \in \operatorname{Irr}(\mathbf{W}); (a_*, a'_*) \in {}^b\mathcal{C}_k^n\}$ . (Here k is large and fixed.) If G is of type  $D_n$ ,  $n \ge 4$  (p = 2), then  $\mathfrak{S}_{\mathfrak{g}} = \zeta^{-1}({}^d\mathcal{D}_k^n)$ . If G is of type  $G_2$  (p = 2 or 3), of type  $F_4$  (p = 3), of type  $E_6$  (p = 2 or 3), of type  $E_7$  (p = 3), or of type  $E_8$  (p = 3 or 5) then  $\mathfrak{S}_{\mathfrak{g}} = \mathfrak{S}_G$ . If G is of type  $F_4$  (p = 2) then  $\mathfrak{S}_{\mathfrak{g}} = \mathfrak{S}_G \sqcup \{1_3, 2_3\}$  (notation as in [L3, 4.10]); note that  $b_{1_3} = 12$ ,  $b_{2_3} = 4$ ). If G is of type  $E_7$  (p = 2) then  $\mathfrak{S}_{\mathfrak{g}} = \mathfrak{S}_G \sqcup \{84'_a\}$  (notation as in [L3, 4.12]; we have  $b_{84'_a} = 15$ ). If G is of type  $E_8$  (p = 2) then  $\mathfrak{S}_{\mathfrak{g}} = \mathfrak{S}_G \sqcup \{50_x, 700_{xx}\}$ (notation as in [L3, 4.13]; we have  $b_{50_x} = 8$ ,  $b_{700_{xx}} = 16$ ).

On the other hand, for types  $B, C, D, \mathcal{T}_{\mathbf{W}}^2$  is computed by induction using 1.2, the formulas for the maps  $j_{W_J}^W()$  given in [L6, 4.5, 5.3, 6.3] and the known description of  $\mathcal{S}_{\mathbf{W}}^1$ ; for exceptional types,  $\mathcal{T}_{\mathbf{W}}^p$  is computed by induction using the tables in [A] and the known description of  $\mathcal{S}_{\mathbf{W}}^1$ .

In each case, the explicitly described subset  $\mathfrak{S}_{\mathfrak{g}}$  of  $\operatorname{Irr}(\mathbf{W})$  coincides with the explicitly described subset  $\mathcal{T}^{p}_{\mathbf{W}}$ . This completes the proof of 3.2(b).

To illustrate the inclusion  $\mathfrak{S}_{\mathfrak{g}} \subset \mathcal{T}^p_{\mathbf{W}}$  we note that:

if G is of type  $E_8$  (p = 2) then  $50_x$ ,  $700_{xx}$  in  $\mathfrak{S}_{\mathfrak{g}} - \mathfrak{S}_G$  are obtained by applying  $j_{\mathbf{W}_J}^{\mathbf{W}}$  (where  $\mathbf{W}_J$  is of type  $E_7 \times A_1$ ) to  $15'_a \boxtimes \operatorname{sgn}$ ,  $84'_a \boxtimes \operatorname{sgn}$  (which belong to  $\mathcal{T}_{\mathbf{W}_J}^2 - \mathcal{S}_{\mathbf{W}_J}^2, \mathcal{S}_{\mathbf{W}_J}^2 - \mathcal{S}_{\mathbf{W}_J}^1$ , respectively);

if G is of type  $F_4$  (p = 2) then  $1_3, 2_3$  in  $\mathfrak{S}_{\mathfrak{g}} - \mathfrak{S}_G$  are obtained by applying  $j_{\mathbf{W}_J}^{\mathbf{W}}$ (where  $\mathbf{W}_J$  is of type  $B_4, C_3 \times A_1$ ) to an object in  $\mathcal{S}_{\mathbf{W}_J}^2 - \mathcal{S}_{\mathbf{W}_J}^1$ .

**3.6.** If G is of type  $B_n$  or  $C_n$ ,  $n \ge 2$  (p = 2), then, according to [LS],  $\mathfrak{S}_G = \{[a_*, a'_*] \in \operatorname{Irr}(\mathbf{W}); (a_*, a'_*) \in {}^{b_2}\mathcal{C}^n_k\}$ . (Here k is large and fixed.) If G is of type  $D_n, n \ge 4$  (p = 2), then according to [LS],  $\mathfrak{S}_G = \zeta^{-1}({}^{d_2}\mathcal{D}^n_k)$ .

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