

## CHAPTER 41

# Integrality Properties of the Symmetries

### 41.1 BRAID GROUP ACTION ON $\dot{\mathbf{U}}$

**41.1.1.** Let  $e = \pm 1$  and let  $i \in I$ . The symmetry  $T'_{i,e} : \mathbf{U} \rightarrow \mathbf{U}$  (resp.  $T''_{i,e} : \mathbf{U} \rightarrow \mathbf{U}$ ) induces for each  $\lambda', \lambda''$  a linear isomorphism  $\lambda' \mathbf{U}_{\lambda''} \rightarrow_{s_i(\lambda')} \mathbf{U}_{s_i(\lambda'')}$  (notation of 23.1.1;  $s_i : X \rightarrow X$  is as in 2.2.6). Taking direct sums, we obtain an algebra automorphism  $T'_{i,e} : \dot{\mathbf{U}} \rightarrow \dot{\mathbf{U}}$  (resp.  $T''_{i,e} : \dot{\mathbf{U}} \rightarrow \dot{\mathbf{U}}$ ) such that  $T'_{i,e}(1_\lambda) = 1_{s_i(\lambda)}$  (resp.  $T''_{i,e}(1_\lambda) = 1_{s_i(\lambda)}$ ) for all  $\lambda$  and  $T'_{i,e}(uxx'u') = T'_{i,e}(u)T'_{i,e}(x)T'_{i,e}(x')T'_{i,e}(u')$  (resp.  $T''_{i,e}(uxx'u') = T''_{i,e}(u)T''_{i,e}(x)T''_{i,e}(x')T''_{i,e}(u')$ ) for all  $u, u' \in \mathbf{U}$  and  $x, x' \in \dot{\mathbf{U}}$ . Then  $T'_{i,e}$  is an automorphism of the algebra  $\dot{\mathbf{U}}$  with inverse  $T''_{i,-e}$ . These automorphisms satisfy braid group relations just like those of  $\mathbf{U}$ .

**41.1.2.** From the formulas in 37.1.3, we deduce that

$$\begin{aligned}
 T'_{i,e}(E_i^{(n)} 1_\lambda) &= (-1)^n v_i^{-en(n+\langle i, \lambda \rangle + 1)} F_i^{(n)} 1_{s_i(\lambda)}; \\
 T'_{i,e}(F_i^{(n)} 1_\lambda) &= (-1)^n v_i^{-en(n-\langle i, \lambda \rangle - 1)} E_i^{(n)} 1_{s_i(\lambda)}; \\
 T'_{i,e}(E_j^{(n)} 1_\lambda) &= \sum_{r+s=-\langle i, j' \rangle n} (-1)^r v_i^{er} E_i^{(r)} E_j^{(n)} E_i^{(s)} 1_{s_i(\lambda)} \text{ for } j \neq i; \\
 T'_{i,e}(F_j^{(n)} 1_\lambda) &= \sum_{r+s=-\langle i, j' \rangle n} (-1)^r v_i^{-er} F_i^{(s)} F_j^{(n)} F_i^{(r)} 1_{s_i(\lambda)} \text{ for } j \neq i; \\
 T''_{i,-e}(E_i^{(n)} 1_\lambda) &= (-1)^n v_i^{en(n+\langle i, \lambda \rangle - 1)} F_i^{(n)} 1_{s_i(\lambda)}; \\
 T''_{i,-e}(F_i^{(n)} 1_\lambda) &= (-1)^n v_i^{en(n-\langle i, \lambda \rangle + 1)} E_i^{(n)} 1_{s_i(\lambda)}; \\
 T''_{i,-e}(E_j^{(n)} 1_\lambda) &= \sum_{r+s=-\langle i, j' \rangle n} (-1)^r v_i^{er} E_i^{(s)} E_j^{(n)} E_i^{(r)} 1_{s_i(\lambda)} \text{ for } j \neq i; \\
 T''_{i,-e}(F_j^{(n)} 1_\lambda) &= \sum_{r+s=-\langle i, j' \rangle n} (-1)^r v_i^{-er} F_i^{(r)} F_j^{(n)} F_i^{(s)} 1_{s_i(\lambda)} \text{ for } j \neq i.
 \end{aligned}$$

It follows that  $T'_{i,e}, T''_{i,e}$  restrict to  $\mathcal{A}$ -algebra automorphisms  ${}_{\mathcal{A}}\dot{\mathbf{U}} \rightarrow {}_{\mathcal{A}}\dot{\mathbf{U}}$ . They take  $1_\lambda$  to  $1_{s_i(\lambda)}$  for any  $\lambda \in X$ .

The following result is an integral version of 40.1.3.

**Proposition 41.1.3.** *Let  $\mathbf{h} = (i_1, i_2, \dots, i_n)$  be a sequence in  $I$  such that  $s_{i_1}s_{i_2}\cdots s_{i_n}$  is a reduced expression for some  $w \in W$ ; let  $t \in \mathbf{Z}$ . Then*

- (a)  $T''_{i_1,e}T''_{i_2,e}\cdots T''_{i_{n-1},e}(E_{i_n}^{(t)}) \in \mathcal{A}\mathbf{U}^+$ ;
- (b)  $T'_{i_1,e}T'_{i_2,e}\cdots T'_{i_{n-1},e}(E_{i_n}^{(t)}) \in \mathcal{A}\mathbf{U}^+$ .

Let  $u$  be the left hand side of (a). Let  $\zeta' \in X$ ; define  $\zeta \in X$  by  $\zeta = s_{i_1}s_{i_2}\cdots s_{i_{n-1}}(\zeta')$ . We have  $u1_\zeta = T''_{i_1,e}T''_{i_2,e}\cdots T''_{i_{n-1},e}(E_{i_n}^{(t)}1_{\zeta'})$ . Hence, by 41.1.2, we have  $u1_\zeta \in \mathcal{A}\dot{\mathbf{U}}$ . On the other hand, by 40.1.3, we have  $u \in \mathbf{U}^+$ . Thus, to prove (a), it suffices to prove the following statement: if  $x \in \mathbf{f}$  and  $\zeta \in X$  satisfy  $x^+1_\zeta \in \mathcal{A}\dot{\mathbf{U}}$ , then  $x \in \mathbf{f}$ . This follows immediately from 23.2.2. The proof of (b) is entirely similar.

The following result is an integral version of 40.2.1.

**Proposition 41.1.4.** *Let  $w \in W$  and let  $e = \pm 1$ . Let  $\mathbf{h} = (i_1, i_2, \dots, i_n)$  be a sequence in  $I$  such that  $s_{i_1}s_{i_2}\cdots s_{i_n}$  is a reduced expression for  $w$ . Then*

- (a) *the elements  $E_{i_1}^{(c_1)}T'_{i_1,e}(E_{i_2}^{(c_2)})\cdots T'_{i_1,e}T'_{i_2,e}\cdots T'_{i_{n-1},e}(E_{i_n}^{(c_n)})$  (for various sequences  $\mathbf{c} = (c_1, c_2, \dots, c_n) \in \mathbf{N}^n$ ) form an  $\mathcal{A}$ -basis for an  $\mathcal{A}$ -submodule  $\mathcal{A}\mathbf{U}^+(w, e)$  of  $\mathbf{U}^+(w, e)$  which does not depend on  $\mathbf{h}$ ;*
- (b) *the elements  $E_{i_1}^{(c_1)}T''_{i_1,e}(E_{i_2}^{(c_2)})\cdots T''_{i_1,e}T''_{i_2,e}\cdots T''_{i_{n-1},e}(E_{i_n}^{(c_n)})$  (for various sequences  $\mathbf{c} = (c_1, c_2, \dots, c_n) \in \mathbf{N}^n$ ) form an  $\mathcal{A}$ -basis for  $\mathcal{A}\mathbf{U}^+(w, e)$  in (a).*
- (c) *Let  $i \in I$  be such that  $l(s_i w) = l(w) - 1$  and let  $t \in \mathbf{Z}$ . Then  $E_i^{(t)}\mathcal{A}\mathbf{U}^+(w, e) \subset \mathcal{A}\mathbf{U}^+(w, e)$ .*

Using the method of 40.2.1, we see that it suffices to prove (a) in the case where  $I$  consists of two elements  $i, j$  and  $h(i, j) < \infty$ . In that case, the result follows from the analysis in [7]. (If  $i \cdot i = j \cdot j$ , this can also be deduced from Lemma 42.1.2.)

**41.1.5.** With the notations of 41.1.4, let  $\theta_{\mathbf{h}}^{\mathbf{c}} \in \mathbf{f}$  be the element corresponding to  $E_{i_1}^{(c_1)}T'_{i_1,-1}(E_{i_2}^{(c_2)})\cdots T'_{i_1,-1}T'_{i_2,-1}\cdots T'_{i_{n-1},-1}(E_{i_n}^{(c_n)})$  under the isomorphism  $\mathbf{f} \rightarrow \mathbf{U}^+$  given by  $x \mapsto x^+$ .

**Proposition 41.1.6.** *Let  $w, n, \mathbf{h}, \mathbf{c}$  be as in 41.1.4. Let  $\pi : \mathcal{L}(\mathbf{f}) \rightarrow \mathcal{L}(\mathbf{f})/v^{-1}\mathcal{L}(\mathbf{f})$  be the canonical projection. We have  $\theta_{\mathbf{h}}^{\mathbf{c}} \in \mathcal{L}(\mathbf{f})$  and there is a unique element  $b$  of the canonical basis  $\mathbf{B}$  such that  $\pi(\theta_{\mathbf{h}}^{\mathbf{c}}) = \pm\pi(b)$ .*

From 38.2.3, we have  $(\theta_{\mathbf{h}}^{\mathbf{c}}, \theta_{\mathbf{h}}^{\mathbf{c}}) \in 1 + v^{-1}\mathbf{Z}[[v^{-1}]] \cap \mathbf{Q}(v)$ . This implies the proposition by 14.2.2(a).

**Proposition 41.1.7.** *Assume that the Cartan datum is of finite type. Then*

$$(a) \mathcal{A}\mathbf{U}^+(w_0, e) = \mathcal{A}\mathbf{U}^+.$$

This follows from 41.1.4 in the same way as 40.2.2 follows from 40.2.1.

**41.1.8. Braid group action on  ${}_R\dot{\mathbf{U}}$ .** Let  $R$  be as in 31.1.1. Let  $e = \pm 1$ . For any  $i \in I$ , the  $\mathcal{A}$ -algebra automorphism  $T'_{i,e} : \mathcal{A}\dot{\mathbf{U}} \rightarrow \mathcal{A}\dot{\mathbf{U}}$  (resp.  $T''_{i,e} : \mathcal{A}\dot{\mathbf{U}} \rightarrow \mathcal{A}\dot{\mathbf{U}}$ ) induces, upon tensoring with  $R$ , an  $R$ -algebra automorphism  $T'_{i,e} : {}_R\dot{\mathbf{U}} \rightarrow {}_R\dot{\mathbf{U}}$  (resp.  $T''_{i,e} : {}_R\dot{\mathbf{U}} \rightarrow {}_R\dot{\mathbf{U}}$ ). These automorphisms satisfy the braid group relations on  ${}_R\dot{\mathbf{U}}$  just like they did over  $\mathbf{Q}(v)$  (this holds over for  $\mathcal{A}$  by reduction to  $\mathbf{Q}(v)$ , since  $\mathcal{A}\dot{\mathbf{U}}$  is imbedded in  $\dot{\mathbf{U}}$ , and then it holds in general by change of rings from  $\mathcal{A}$  to  $R$ ). Similarly, we have  $T'_{i,e}{}^{-1} = T''_{i,-e}$  as automorphisms of  ${}_R\dot{\mathbf{U}}$ .

**41.1.9. Braid group action and the quantum Frobenius homomorphism.** Let  $R$  be as in 35.1.3. In the setup of 35.1.9, the homomorphism  $Fr : {}_R\dot{\mathbf{U}} \rightarrow {}_R\dot{\mathbf{U}}^*$  is compatible with the braid group actions on  ${}_R\dot{\mathbf{U}}$  and  ${}_R\dot{\mathbf{U}}^*$ . The proof is by checking on generators.

## 41.2. BRAID GROUP ACTION ON INTEGRABLE ${}_R\dot{\mathbf{U}}$ -MODULES

**41.2.1.** In the following proposition we assume that the root datum is  $Y$ -regular and we consider  $\lambda, \lambda' \in X^+$ .

**Proposition 41.2.2.** *The symmetries  $T'_{i,e}, T''_{i,e}$  of the  $\dot{\mathbf{U}}$ -module  ${}^\omega\Lambda_\lambda \otimes \Lambda_{\lambda'}$  map the  $\mathcal{A}\dot{\mathbf{U}}$ -submodule  ${}^\omega\Lambda_\lambda \otimes_{\mathcal{A}} (\mathcal{A}\Lambda_{\lambda'})$  into itself.*

Let  $m \in {}^\omega\Lambda_\lambda \otimes_{\mathcal{A}} (\mathcal{A}\Lambda_{\lambda'})$ . By definition (see 5.2.1), the vector  $T'_{i,e}(m)$  is given by a sum of infinitely many terms such that all but a finite number of terms (depending on  $m$ ) are zero. The finitely many terms that can be non-zero are of the form  $um$  where  $u \in \mathcal{A}\dot{\mathbf{U}}$ . They belong to  ${}^\omega\Lambda_\lambda \otimes_{\mathcal{A}} (\mathcal{A}\Lambda_{\lambda'})$  since this is an  $\mathcal{A}\dot{\mathbf{U}}$ -submodule. Thus this submodule is stable under  $T'_{i,e}$ . The same argument shows that it is stable under  $T''_{i,e}$ . The proposition is proved.

**41.2.3.** Let  $R$  be as in 31.1.1. Let  $M$  be an integrable object in  ${}_R\mathcal{C}$ . We define  $R$ -linear maps  $T'_{i,e} : M \rightarrow M$  and  $T''_{i,e} : M \rightarrow M$  by the formulas in 5.2.1, in which  $v$  is regarded as an element of  $R$ , by the  $\mathcal{A}$ -algebra structure on  $R$ . It is clear that these operators are well-defined.

**Proposition 41.2.4.** (a) *The operators  $T'_{i,e} : M \rightarrow M$  satisfy the braid group relations. The same holds for the operators  $T''_{i,e} : M \rightarrow M$ .*

(b) *We have  $T'_{i,e}{}^{-1} = T''_{i,-e}$  as operators  $M \rightarrow M$ .*

(c) *For any  $u \in {}_R\dot{\mathbf{U}}$  and any  $m \in M$ , we have  $T'_{i,e}(um) = T'_{i,e}(u)T'_{i,e}(m)$  and  $T''_{i,e}(um) = T''_{i,e}(u)T''_{i,e}(m)$ .*

Using the functor in 31.1.12 in the case where  $(Y', X', \dots)$  is the simply connected root datum of type  $(I, \cdot)$ , we can reduce the general case to the case where the root datum is simply connected, hence  $Y$ -regular. In that case, using the characterization of integrable objects given in 31.2.7, we are reduced to the special case where  $M = {}^\omega_R\Lambda_\lambda \otimes_R ({}_R\Lambda_{\lambda'})$  with  $\lambda, \lambda' \in X^+$ . Indeed, suppose that  $M$  is a sum of  ${}_R\dot{\mathbf{U}}$ -submodules  $M_\alpha$  and that the proposition holds for each  $M_\alpha$ . Then it clearly holds for  $M$ . Suppose that  $M$  is a quotient of an integrable object  $M'$  such that the proposition holds for  $M'$ ; then it clearly holds for  $M$ .

In this special case, the proposition follows by change of scalars from the case  $R = \mathcal{A}$  which in turn follows from the already known case where  $R = \mathbf{Q}(v)$ . The proposition is proved.