

CHAPTER 17

Applications

17.1. FIRST APPLICATION TO TENSOR PRODUCTS

17.1.1. In this chapter we shall give three applications of Proposition 16.3.5: two to tensor products, and one to \mathbf{f} .

17.1.2. Let $\tilde{M}, M \in \mathcal{C}'_i$. Then $\tilde{M} \otimes M$ is an object in \mathcal{C}'_i (see 5.3.1). Now let $P \in \mathcal{D}_i$ and $M \in \mathcal{C}'_i$. We define $\mathbf{Q}(v)$ -linear maps $\phi_i, \epsilon_i : P \otimes M \rightarrow P \otimes M$ by

$$\begin{aligned}\phi_i(x \otimes y) &= \phi_i(x) \otimes \tilde{K}_i^{-1}y + x \otimes F_i(y) \\ \epsilon_i(x \otimes y) &= \epsilon_i(x) \otimes \tilde{K}_i^{-1}y + (v_i - v_i^{-1})x \otimes \tilde{K}_i^{-1}E_i(y)\end{aligned}$$

where $\tilde{K}_i : M \rightarrow M$ is the linear map given by $\tilde{K}_i y = v_i^n y$ for $y \in M^n$. It is easy to check that $(P \otimes M, \phi_i, \epsilon_i)$ is an object of \mathcal{D}_i . (This also follows from 15.1.5.) Hence the linear maps $\tilde{\phi}_i, \tilde{\epsilon}_i : P \otimes M \rightarrow P \otimes M$ are well-defined.

From the definitions we deduce (using the quantum binomial formula) that

$$\phi_i^{(t)}(x \otimes y) = \sum v_i^{-t't''} \phi_i^{(t')}x \otimes \tilde{K}_i^{-t'} F_i^{(t'')}y$$

for all $x \in P, y \in M$ and $t \geq 0$; the sum is taken over all $t', t'' \in \mathbf{N}$ such that $t' + t'' = t$.

Lemma 17.1.3. (a) *If $(,) : P \times P \rightarrow \mathbf{Q}(v)$ and $(,) : M \times M \rightarrow \mathbf{Q}(v)$ are admissible symmetric bilinear forms in the sense of 16.2.2, then the symmetric bilinear form on $P \otimes M$ given by $(x \otimes y, x' \otimes y') = (x, x')(y, y')$ is admissible.*

(b) *If $(,) : \tilde{M} \times \tilde{M} \rightarrow \mathbf{Q}(v)$ and $(,) : M \times M \rightarrow \mathbf{Q}(v)$ are admissible symmetric bilinear forms in the sense of 16.2.2, then the symmetric bilinear form on $\tilde{M} \otimes M$ given by $(x \otimes y, x' \otimes y') = (x, x')(y, y')$ is admissible.*

We prove (a). Let $x, x' \in P, y \in M^n, y' \in M^{n'}$. We have

$$\begin{aligned}(x \otimes y, \epsilon_i(x' \otimes y')) &= (x \otimes y, \epsilon_i(x') \otimes \tilde{K}_i^{-1}y' + (v_i - v_i^{-1})x' \otimes \tilde{K}_i^{-1}E_i(y')) \\ &= (x, \epsilon_i(x'))(y, \tilde{K}_i^{-1}y') + (v_i - v_i^{-1})(x, x')(y, \tilde{K}_i^{-1}E_i(y')) \\ &= \delta_{n,n'} v_i^{-n} (1 - v_i^{-2})(\phi_i x, x')(y, y') \\ &\quad + \delta_{n-2,n'} v_i^{-n'-2} v_i^{n-1} (v_i - v_i^{-1})(x, x')(F_i(y), y').\end{aligned}$$

On the other hand, we have

$$\begin{aligned} (\phi_i(x \otimes y), x' \otimes y') &= (\phi_i(x) \otimes \tilde{K}_i^{-1}y + x \otimes F_i(y), x' \otimes y') \\ &= \delta_{n,n'} v_i^{-n} (\phi_i(x), x')(y, y') + \delta_{n-2,n'} (x, x')(F_i(y), y'). \end{aligned}$$

This proves (a). The proof of (b) is entirely similar.

17.1.4. We consider the following example. Let P_0 be the $\mathbf{Q}(v)$ -vector space with basis $\beta_0, \beta_1, \beta_2, \dots$. We define $\mathbf{Q}(v)$ -linear maps $\phi_i, \epsilon_i : P_0 \rightarrow P_0$ by $\phi_i(\beta_s) = [s+1]_i \beta_{s+1}$ for $s \geq 0$ and $\epsilon_i(\beta_s) = v_i^{s-1} \beta_{s-1}$ for $s \geq 0$ (with the convention $\beta_{-1} = 0$). It is easy to check that this makes P_0 into an object of \mathcal{D}_i .

We have $\phi_i^{(t)}(\beta_s) = \begin{bmatrix} s+t \\ t \end{bmatrix}_i \beta_{s+t}$ for $s \geq 0, t \geq 0$, and $\epsilon_i^t(\beta_s) = v_i^{-t(t+1)/2+st} \beta_{s-t}$, for $s \geq 0, t \geq 0$, (with the convention $\beta_{-1} = \beta_{-2} = \dots = 0$). Let $(,)$ be the symmetric bilinear form on P_0 given by

$$(\beta_s, \beta_{s'}) = \delta_{s,s'} \prod_{t=1}^s (1 - v_i^{-2t})^{-1}.$$

It is easy to check that this bilinear form is admissible.

17.1.5. We fix an integer $n \geq 0$. Let M_n be the $\mathbf{Q}(v)$ -vector space with basis b_0, b_1, \dots, b_n with \mathbf{Z} -grading such that b_m has degree $n - 2m$. It will be convenient to define $b_m = 0$ for $m > n$ and for $m < 0$.

Let $E_i, F_i : M_n \rightarrow M_n$ be the linear maps given by $E_i(b_s) = [n-s+1]_i b_{s-1}$ and $F_i(b_s) = [s+1]_i b_{s+1}$ for all s . It is easy to check that in this way M_n is an object of \mathcal{C}'_i . Note that for $t \geq 0$, we have $E_i^{(t)}(b_s) = \begin{bmatrix} n-s+t \\ t \end{bmatrix}_i b_{s-t}$ and $F_i^{(t)}(b_s) = \begin{bmatrix} s+t \\ t \end{bmatrix}_i b_{s+t}$ for all s . Let $(,)$ be the bilinear form on M_n given by $(b_s, b_{s'}) = \delta_{s,s'} v_i^{-s(n-s)} \begin{bmatrix} n \\ s \end{bmatrix}_i$ for $0 \leq s \leq n$ and $0 \leq s' \leq n$. It is easy to check that $(,)$ is an admissible form on M_n .

17.1.6. Let P_0 be as in 17.1.4 and let M_n be as in 17.1.5 ($n \geq 0$). Then, as in 17.1.2, $P = P_0 \otimes M_n$ is a well-defined object of \mathcal{D}_i . Note that P has a basis $\{b_{s,s'} = \beta_s \otimes b_{s'} \mid s \geq 0, 0 \leq s' \leq n\}$.

For any $t \geq 0$ we have

$$\phi_i^{(t)} b_{s,s'} = \sum v_i^{-t'(n-2s'-t'')} \begin{bmatrix} t'+s \\ t' \end{bmatrix}_i \begin{bmatrix} t''+s' \\ t'' \end{bmatrix}_i b_{s+t',s'+t''}$$

where the sum is taken over all $t', t'' \in \mathbf{N}$ such that $t' + t'' = t$, and

$$\epsilon_i b_{s,s'} = v_i^{-n+2s'+s-1} b_{s-1,s'} + v_i^{-n+2s'-2} (v_i - v_i^{-1}) [n-s'+1]_i b_{s,s'-1}.$$

By convention, we set $b_{s,s'} = 0$ if either $s < 0$ or $s' < 0$ or $s' > n$.

For any $m \in \mathbf{Z}$, we define P^m to be the $\mathbf{Q}(v)$ -subspace of P spanned by the vectors $b_{s,s'}$ with $s + s' = m$. We have $P = \bigoplus_m P^m$, $\phi_i^{(t)} P^m \subset P^{m+t}$ and $\epsilon_i P^m \subset P^{m-1}$.

By definition, the operator $\tilde{\phi}_i : P \rightarrow P$ (resp. $\tilde{\epsilon}_i : P \rightarrow P$) is an (infinite) linear combination of operators $\phi_i^{(t+1)} \epsilon_i^t$ (resp. $\phi_i^{(t)} \epsilon_i^{t+1}$); it follows that

$$(a) \quad \tilde{\phi}_i(P^m) \subset P^{m+1} \text{ and } \tilde{\epsilon}_i(P^m) \subset P^{m-1}.$$

17.1.7. Let

$$\zeta_{s,s'} = \sum_{t=0}^{s'} v_i^{-t(n+t-s')} \begin{bmatrix} s+t \\ t \end{bmatrix}_i b_{s+t, s'-t}$$

for $s \geq 0, s' \geq 0, s + s' \leq n$,

$$\zeta_{s,s'} = \sum_{t=0}^{s'} v_i^{-t(s+t)} \begin{bmatrix} n+t-s' \\ t \end{bmatrix}_i b_{s+t, s'-t}$$

for $s \geq 0, 0 \leq s' \leq n, s + s' \geq n$; the two definitions agree if $s + s' = n$. Note that $\zeta_{s,s'} \in P^{s+s'}$.

For $s + s' \geq n$, we have

$$(a) \quad b_{s,s'} = \sum_{t'=0}^{s'} v_i^{-t's-t'} \begin{bmatrix} -1-n+s' \\ t' \end{bmatrix}_i \zeta_{s+t', s'-t'}.$$

Indeed, the right hand side of this equality is, by definition,

$$\sum_{t'=0}^{s'} \sum_{t''=0}^{s'-t'} v_i^{-t''(s+t'+t'')-t's-t'} \begin{bmatrix} n+t''-s'+t' \\ t'' \end{bmatrix}_i \begin{bmatrix} -1-n+s' \\ t' \end{bmatrix}_i b_{s+t'+t'', s'-t'-t''}.$$

The coefficient of $b_{s+t, s'-t}$ (where $0 \leq t \leq s'$) is

$$\sum_{t'+t''=t} v_i^{-t''(s+t'+t'')-t's-t'} \begin{bmatrix} n+t-s' \\ t'' \end{bmatrix}_i \begin{bmatrix} -1-n+s' \\ t' \end{bmatrix}_i.$$

We replace the exponent $-t''(s+t'+t'')-t's-t'$ by $(n+t-s')t' - (-1-n+s')t'' + f$ where $f = t(-n-t-s+s'-1)$ depends on t', t'' only through their sum. Hence the coefficient of $b_{s+t, s'-t}$ is

$$\begin{aligned} & v_i^f \sum_{t'+t''=t} v_i^{(n+t-s')t' - (-1-n+s')t''} \begin{bmatrix} n+t-s' \\ t'' \end{bmatrix}_i \begin{bmatrix} -1-n+s' \\ t' \end{bmatrix}_i \\ &= v_i^f \begin{bmatrix} t-1 \\ t \end{bmatrix} = v_i^f \delta_{t,0} = \delta_{t,0}; \end{aligned}$$

(a) is proved.

From the definitions and from (a), we see that the subset B of P consisting of the vectors $\zeta_{s,s'}$ (with $s \geq 0$ and $0 \leq s' \leq n$) is a basis of P .

For $m \in \mathbf{Z}$, let \mathcal{L}^m be the $\mathbf{Z}[v^{-1}]$ -submodule of P generated by the vectors $b_{s,s'}$ with $s + s' = m$.

Lemma 17.1.8. (a) *For any $s \geq 0$ and $0 \leq s' \leq n$, we have*

$$\zeta_{s,s'} - b_{s,s'} \in v^{-1}\mathcal{L}^{s+s'}.$$

(b) *For $m \geq 0$, \mathcal{L}^m is the $\mathbf{Z}[v^{-1}]$ -submodule of P generated by the vectors $\zeta_{s,s'}$ with $s + s' = m$.*

Assume first that $s + s' \leq n$. The coefficient of $b_{s+t,s'-t}$ in $\zeta_{s,s'}$ is in $v_i^{-t(n+t-s')+st}(1 + v^{-1}\mathbf{Z}[v^{-1}])$. Here $t \geq 0$; hence $-t(n + t - s') + st = t(s + s' - n) - t^2 \leq 0$; the inequality becomes an equality only for $t = 0$.

Assume next that $s + s' \geq n$. The coefficient of $b_{s+t,s'-t}$ in $\zeta_{s,s'}$ is in

$$v_i^{-t(s+t)+t(n-s')}(1 + v^{-1}\mathbf{Z}[v^{-1}]).$$

Here $t \geq 0$; hence $-t(s+t) + t(n-s') = t(n-s-s') - t^2 \leq 0$; the inequality becomes an equality only for $t = 0$. This proves (a).

The previous proof also shows that the matrix expressing the vectors $\zeta_{s,s'}$ in terms of the vectors $b_{s,s'}$ (with $s + s' = m$ fixed) is upper triangular, with diagonal entries equal to 1 and with off-diagonal entries in $v^{-1}\mathbf{Z}[v^{-1}]$. This implies (b). The lemma is proved.

Lemma 17.1.9. *The \mathcal{A} -submodule $\mathcal{A}P$ of P generated by B is stable under $\epsilon_i, \phi_i^{(t)} : P \rightarrow P$ for all $t \geq 0$. (In other words, the basis B of P is integral.)*

The formulas in 17.1.6 show that $\epsilon_i(b_{s,s'}) \in \mathcal{A}P$ and $\phi_i^{(t)}(b_{s,s'}) \in \mathcal{A}P$ for all $t \geq 0$. The lemma follows.

Lemma 17.1.10. *Assume that $0 \leq s \leq n$ and $t \geq 0$. We have*

$$\phi_i^{(t)}b_{s,0} = \zeta_{s,t}$$

if $s + t \leq n$ and

$$\phi_i^{(t)}b_{s,0} = \sum_{u; u \geq 0; u \geq t-n; u \leq s+t-n} \begin{bmatrix} t+s-n \\ u \end{bmatrix}_i \zeta_{s+u,t-u}$$

if $s + t \geq n$.

Assume first that $s + t \leq n$. We have

$$\phi_i^{(t)} b_{s,0} = \sum_{t'=0}^t v_i^{-t'(n-t+t')} \begin{bmatrix} t' + s \\ t' \end{bmatrix}_i b_{s+t', t-t'} = \zeta_{s,t}.$$

Assume now that $s + t \geq n$. We have

$$\begin{aligned} \phi_i^{(t)} b_{s,0} &= \sum_{t'; t' \geq 0; t' \leq t; t-t' \leq n} v_i^{-t'(n-t+t')} \begin{bmatrix} t' + s \\ t' \end{bmatrix}_i b_{s+t', t-t'} \\ &= \sum_{t', t'' \in \mathbf{N}; t' + t'' \leq t; t-t' \leq n} v_i^{-t''(s+t')-t''} v_i^{-t'(n-t+t')} \\ &\quad \times \begin{bmatrix} -1 - n + t - t' \\ t'' \end{bmatrix}_i \begin{bmatrix} t' + s \\ t' \end{bmatrix}_i \zeta_{s+t'+t'', t-t'-t''} \\ &= \sum_{u=0}^t \left(\sum_{t', t''; t' + t'' = u; t' \geq 0; t'' \geq 0; t' \geq t-n} v_i^{-t''(s+t')-t''-t'(n-t+t')} \right. \\ &\quad \left. \times \begin{bmatrix} -1 - n + t - t' \\ t'' \end{bmatrix}_i \begin{bmatrix} t' + s \\ t' \end{bmatrix}_i \zeta_{s+u, t-u} \right). \end{aligned}$$

Since the index t' satisfies $t' \geq t-n$ and $u \geq t'$, the index u must satisfy $u \geq t-n$. We substitute $\begin{bmatrix} -1 - n + t - t' \\ t'' \end{bmatrix}_i = (-1)^{t''} \begin{bmatrix} n-t+u \\ t'' \end{bmatrix}_i$, $\begin{bmatrix} t' + s \\ t' \end{bmatrix}_i = (-1)^{t'} \begin{bmatrix} -s-1 \\ t' \end{bmatrix}_i$ and $v_i^{-t''(s+t')-t''-t'(n-t+t')} = v_i^{-(n-t+u)t'+(-s-1)t''}$.

The condition on u implies $n-t+u \geq 0$; hence $\begin{bmatrix} n-t+u \\ t'' \end{bmatrix}_i$ is automatically zero unless $n-t+u \geq t''$, i.e., if $t' \geq t-n$. Thus the condition $t' \geq t-n$ can be omitted in the summation and we obtain

$$\begin{aligned} &\sum_{\substack{0 \leq u \leq t \\ u \geq t-n}} \sum_{\substack{t', t'' \geq 0 \\ t' + t'' = u}} (-1)^u v^{-(n-t+u)t'+(-s-1)t''} \\ &\quad \times \begin{bmatrix} n-t+u \\ t'' \end{bmatrix}_i \begin{bmatrix} -s-1 \\ t' \end{bmatrix}_i \zeta_{s+u, t-u} \\ &= \sum_{u; 0 \leq u \leq t; u \geq t-n} (-1)^u \begin{bmatrix} n-t+u-s-1 \\ u \end{bmatrix}_i \zeta_{s+u, t-u} \\ &= \sum_{u; 0 \leq u \leq t; u \geq t-n} \begin{bmatrix} -n+t+s \\ u \end{bmatrix}_i \zeta_{s+u, t-u}. \end{aligned}$$

(We have used 1.3.1(e), 1.3.1(a).) Recall that $s + t \geq n$. It follows that $\begin{bmatrix} -n+t+s \\ u \end{bmatrix}_i = 0$ unless $u \leq t + s - n$ and then the condition $u \leq t$ is automatic. Hence our sum becomes $\sum_{u; u \geq 0; u \geq t-n; u \leq s+t-n} \begin{bmatrix} t+s-n \\ u \end{bmatrix}_i \zeta_{s+u, t-u}$. The lemma is proved.

Lemma 17.1.11. *We consider the partition of B into the subsets*

$$B(t) = \{\zeta_{s,t} | s+t \leq n\} \cup \{\zeta_{t+2s-n,n-s} | s+t > n\}$$

where $t = 0, 1, 2, \dots$

(a) *For any $t \geq 0$, the set $B(t) \cup B(t+1) \cup B(t+2) \cup \dots$ is a basis of $\phi_i^{(t)}P$.*

(b) *The basis B of P is adapted.*

From 17.1.10, we see that

$$\zeta_{s,t} \in \phi_i^{(t)}P$$

if $s+t \leq n$ and

$$\zeta_{s,t} \in \phi_i^{(2t+s-n)}P$$

if $s+t \geq n$. (The last inclusion is seen by induction on t .) It follows that

$$(c) \ B(t) \cup B(t+1) \cup B(t+2) \cup \dots \subset \phi_i^{(t)}P.$$

Hence $X(t) \subset \phi_i^{(t)}P$ where $X(t)$ is the subspace of P spanned by $B(t) \cup B(t+1) \cup B(t+2) \cup \dots$. We now prove the inclusion

$$(d) \ \phi_i^{(t)}b \subset X(t)$$

for any $b \in B \cap P^m$, by induction on $m \geq 0$.

Note that $B(0) = \{\zeta_{s,0} | 0 \leq s \leq n\} = \{b_{s,0} | 0 \leq s \leq n\}$. If $b \in B(0)$, then (d) follows from 17.1.10. If $m = 0$, then $b = b_{0,0} \in B(0)$, hence (d) holds. Assume now that $m \geq 1$. If $b \in B(0)$, then (d) holds; hence we may assume that $b \in B - B(0)$. Then $b \in X(1)$ and by (c) we have $b = \phi_i y$ where $y \in P^{m-1}$. By the induction hypothesis we have $\phi_i^{(t+1)}y \in X(t+1) \subset X(t)$, hence $\phi_i^{(t)}b \in X(t)$. This proves (d). Thus (a) is proved.

From (a) we see that $\{b \in B | b \notin \phi_i P\} = B(0)$. Let $\pi_t : B_0 \rightarrow B_t$ be the bijection given by

$$(e) \ \pi_t \zeta_{s,0} = \zeta_{s,t} \text{ if } s+t \leq n \text{ and } \pi_t \zeta_{s,0} = \zeta_{t+2s-n,n-s} \text{ if } s+t \geq n.$$

From 17.1.10, we see that $\phi_i^{(t)}\zeta_{s,0} - \pi_t \zeta_{s,0} \in X(t+1)$, hence

$$(f) \ \phi_i^{(t)}\zeta_{s,0} = \pi_t \zeta_{s,0} \mod \phi_i^{(t+1)}P.$$

The lemma is proved.

Lemma 17.1.12. *Consider the admissible form $(,)$ on P defined as in 17.1.3, in terms of the admissible forms 17.1.4, 17.1.5, on M_n and P_0 . Then B is almost orthonormal with respect to $(,)$.*

From the definition it is clear that the basis $(b_{s,s'})$ of P is almost orthonormal (actually different elements in this basis are orthogonal to each other). Since B is related to this basis as described in 17.1.8, it follows that B is also almost orthonormal.

We now see that the hypotheses of 16.3.5 are verified in our case. Applying Proposition 16.3.5 to B , and taking into account 17.1.11(e),(f), we obtain the following result.

Proposition 17.1.13. *We have*

$$\begin{aligned}\tilde{\phi}_i(\zeta_{s,s'}) &= \zeta_{s,s'+1} \mod v^{-1}\mathcal{L}(P) \text{ if } s + s' < n, \\ \tilde{\phi}_i(\zeta_{s,s'}) &= \zeta_{s+1,s'} \mod v^{-1}\mathcal{L}(P) \text{ if } s + s' \geq n, \\ \tilde{\epsilon}_i(\zeta_{s,s'}) &= \zeta_{s,s'-1} \mod v^{-1}\mathcal{L}(P) \text{ if } s + s' \leq n \text{ and } s' \geq 1, \\ \tilde{\epsilon}_i(\zeta_{s,s'}) &= \zeta_{s-1,s'} \mod v^{-1}\mathcal{L}(P) \text{ if } s + s' > n, \\ \tilde{\epsilon}_i(\zeta_{s,0}) &= 0 \mod v^{-1}\mathcal{L}(P) \text{ if } s \leq n.\end{aligned}$$

Using Lemma 17.1.8, we can restate the proposition as follows.

Corollary 17.1.14. $\tilde{\phi}_i(b_{s,s'}) = b_{s,s'+1} \mod v^{-1}\mathcal{L}(P) \cap P^{s+s'+1}$ if $s + s' < n$,
 $\tilde{\phi}_i(b_{s,s'}) = b_{s+1,s'} \mod v^{-1}\mathcal{L}(P) \cap P^{s+s'+1}$ if $s + s' \geq n$,
 $\tilde{\epsilon}_i(b_{s,s'}) = b_{s,s'-1} \mod v^{-1}\mathcal{L}(P) \cap P^{s+s'-1}$ if $s + s' \leq n$,
 $\tilde{\epsilon}_i(b_{s,s'}) = b_{s-1,s'} \mod v^{-1}\mathcal{L}(P) \cap P^{s+s'-1}$ if $s + s' > n$.

What we actually get are the statements of the corollary with $\mathcal{L}(P) \cap P^{s+s'\pm 1}$ replaced by $\mathcal{L}(P)$. But $b_{s,s'} \in P^{s+s'}$; hence from 17.1.6(a), $\tilde{\phi}_i(b_{s,s'}) \in P^{s+s'+1}$ and $\tilde{\epsilon}_i(b_{s,s'}) \in P^{s+s'-1}$. The corollary follows.

Corollary 17.1.15. *Let $P \in \mathcal{D}_i$, $M \in \mathcal{C}'_i$ and let $(P \otimes M, \phi_i, \epsilon_i) \in \mathcal{D}_i$ be defined as in 17.1.2. Let $x \in P$ and $y \in M^n$ be such that $\epsilon_i x = 0, E_i y = 0$. (Then $n \geq 0$.) For any $m \geq 0$, let \mathcal{L}_m be the $\mathbf{Z}[v^{-1}]$ -submodule of $P \otimes M$ generated by the vectors $\phi_i^{(s)} x \otimes F_i^{(s')} y$ with $s + s' = m$. We set $\mathcal{L}_{-1} = 0$. We have*

$$\begin{aligned}\tilde{\phi}_i(\phi_i^{(s)} x \otimes F_i^{(s')} y) &= \phi_i^{(s)} x \otimes F_i^{(s'+1)} y \mod v^{-1}\mathcal{L}_{s+s'+1} \text{ if } s + s' < n; \\ \tilde{\phi}_i(\phi_i^{(s)} x \otimes F_i^{(s')} y) &= \phi_i^{(s+1)} x \otimes F_i^{(s')} y \mod v^{-1}\mathcal{L}_{s+s'+1} \text{ if } s + s' \geq n; \\ \tilde{\epsilon}_i(\phi_i^{(s)} x \otimes F_i^{(s')} y) &= \phi_i^{(s)} x \otimes F_i^{(s'-1)} y \mod v^{-1}\mathcal{L}_{s+s'-1} \text{ if } s + s' \leq n; \\ \tilde{\epsilon}_i(\phi_i^{(s)} x \otimes F_i^{(s')} y) &= \phi_i^{(s-1)} x \otimes F_i^{(s')} y \mod v^{-1}\mathcal{L}_{s+s'-1} \text{ if } s + s' > n.\end{aligned}$$

We may identify $P_0 \otimes M_n$ with the subspace of $P \otimes M$ spanned by the vectors $\phi_i^{(s)} x \otimes F_i^{(s')} y$ with $s \geq 0$ and $0 \leq s' \leq n$. It is in fact a subobject in \mathcal{D}_i . Therefore the result follows from the previous corollary.

17.2. SECOND APPLICATION TO TENSOR PRODUCTS

17.2.1. We consider two integers $p \geq 0$ and $n \geq 0$ and form the tensor product $M = M_p \otimes M_n$. This is again an object of \mathcal{C}'_i ; hence the operators

$\tilde{E}_i, \tilde{F}_i : M_p \otimes M_n \rightarrow M_p \otimes M_n$ are well-defined. Now M_n has a basis $\{b_{s'} | 0 \leq s' \leq n\}$ as in 17.1.5; similarly, M_p has a basis $\{b_s | 0 \leq s \leq p\}$ as in 17.1.5; Then

$$\{b_{s,s'} = b_s \otimes b_{s'} | 0 \leq s \leq p, 0 \leq s' \leq n\}$$

is a basis of M . As in 17.1.7, we define

$$\zeta_{s,s'} = \sum_{t=0}^{s'} v_i^{-t(n+t-s')} \begin{bmatrix} s+t \\ t \end{bmatrix}_i b_{s+t,s'-t}$$

for $0 \leq s \leq p, s' \geq 0, s+s' \leq n$,

$$\zeta_{s,s'} = \sum_{t=0}^{s'} v_i^{-t(s+t)} \begin{bmatrix} n+t-s' \\ t \end{bmatrix}_i b_{s+t,s'-t}$$

for $0 \leq s \leq p, 0 \leq s' \leq n, s+s' \geq n$; the two definitions agree if $s+s' = n$.

The vectors $\zeta_{s,s'}$ just described form a basis B of the vector space M , which is related to the basis $(b_{s,s'})$ by a matrix with entries in $\mathbf{Z}[v^{-1}]$ whose constant terms form the identity matrix. (This is seen as in 17.1.8 or can be deduced from that lemma, using the natural surjective map $P \rightarrow M$ which takes $b_{s,s'}$ to $b_{s,s'}$ if $s \leq p$ and to zero if $s > p$; that map also takes $\zeta_{s,s'}$ to $\zeta_{s,s'}$ if $s \leq p$ and to zero if $s > p$.) Hence the $\mathbf{A}(\mathbf{Z})$ -submodule of M , generated by the elements $(b_{s,s'})$, coincides with the $\mathbf{A}(\mathbf{Z})$ -submodule generated by the elements $(\zeta_{s,s'})$; we denote it by $\mathcal{L}(M)$.

As in 17.1.9, we see that the \mathcal{A} -submodule of M generated by B is stable under $E_i^{(n)}, F_i^{(n)}$; hence B is an integral basis. As in 17.1.12, we see that B is almost orthonormal with respect to the form $(,)$ on M defined as in 17.1.3 in terms of the forms $(,)$ on M_p, M_n (see 17.1.5). As in 17.1.11, we see that the basis B of M is adapted. (Again, this could be deduced from the corresponding result for P .)

We now see that the hypotheses of 16.3.5 are verified in our case. Applying Proposition 16.3.5 to B , we obtain the following result, analogous to 17.1.13.

Proposition 17.2.2. *We have*

$$\begin{aligned} \tilde{F}_i(\zeta_{s,s'}) &= \zeta_{s,s'+1} \mod v^{-1}\mathcal{L}(M) \text{ if } s+s' < n; \\ \tilde{F}_i(\zeta_{s,s'}) &= \zeta_{s+1,s'} \mod v^{-1}\mathcal{L}(M) \text{ if } s < p \text{ and } s+s' \geq n; \\ \tilde{F}_i(\zeta_{p,s'}) &= 0 \mod v^{-1}\mathcal{L}(M) \text{ if } s+s' \geq n; \\ \tilde{E}_i(\zeta_{s,s'}) &= \zeta_{s,s'-1} \mod v^{-1}\mathcal{L}(M) \text{ if } s+s' \leq n \text{ and } s' \geq 1; \\ \tilde{E}_i(\zeta_{s,s'}) &= \zeta_{s-1,s'} \mod v^{-1}\mathcal{L}(M) \text{ if } s+s' > n; \\ \tilde{E}_i(\zeta_{s,0}) &= 0 \mod v^{-1}\mathcal{L}(M) \text{ if } s \leq n. \end{aligned}$$

As in 17.1.4, we can restate the proposition as follows.

Corollary 17.2.3. $\tilde{F}_i(b_{s,s'}) = b_{s,s'+1} \pmod{v^{-1}\mathcal{L}(M)}$ if $s + s' < n$;

$\tilde{F}_i(b_{s,s'}) = b_{s+1,s'} \pmod{v^{-1}\mathcal{L}(M)}$ if $s < p$ and $s + s' \geq n$;

$\tilde{F}_i(b_{p,s'}) = 0 \pmod{v^{-1}\mathcal{L}(M)}$ if $s + s' \geq n$;

$\tilde{E}_i(b_{s,s'}) = b_{s,s'-1} \pmod{v^{-1}\mathcal{L}(M)}$ if $s + s' \leq n$ and $s' \geq 1$;

$\tilde{E}_i(b_{s,s'}) = b_{s-1,s'} \pmod{v^{-1}\mathcal{L}(M)}$ if $s + s' > n$;

$\tilde{E}_i(b_{s,0}) = 0 \pmod{v^{-1}\mathcal{L}(M)}$ if $s \leq n$.

Corollary 17.2.4. Let $\tilde{M} \in C'_i$, $M \in C'_i$ and let $\tilde{M} \otimes M \in C'_i$ be defined as in 5.3.1. Let $x \in \tilde{M}^p$ and $y \in M^n$ be such that $E_i x = 0, E_i y = 0$. (Then $p \geq 0, n \geq 0$.) For any $m \geq 0$, let \mathcal{L} be the $\mathbf{A}(\mathbf{Z})$ -submodule of $\tilde{M} \otimes M$ generated by the vectors $F_i^{(s)} x \otimes F_i^{(s')} y$. We have

$\tilde{F}_i(F_i^{(s)} x \otimes F_i^{(s')} y) = F_i^{(s)} x \otimes F_i^{(s'+1)} y \pmod{v^{-1}\mathcal{L}}$ if $s + s' < n$;

$\tilde{F}_i(F_i^{(s)} x \otimes F_i^{(s')} y) = F_i^{(s+1)} x \otimes F_i^{(s')} y \pmod{v^{-1}\mathcal{L}}$ if $s + s' \geq n$;

$\tilde{E}_i(F_i^{(s)} x \otimes F_i^{(s')} y) = F_i^{(s)} x \otimes F_i^{(s'-1)} y \pmod{v^{-1}\mathcal{L}}$ if $s + s' \leq n$;

$\tilde{E}_i(F_i^{(s)} x \otimes F_i^{(s')} y) = F_i^{(s-1)} x \otimes F_i^{(s')} y \pmod{v^{-1}\mathcal{L}}$ if $s + s' > n$.

We may identify $M_p \otimes M_n$ with the subspace of $\tilde{M} \otimes M$ spanned by the vectors $F_i^{(s)} x \otimes F_i^{(s')} y$ with $0 \leq s \leq p$ and $0 \leq s' \leq n$. It is in fact a subobject in C'_i . Therefore the result follows from the previous corollary.

17.3. THE OPERATORS $\tilde{\phi}_i, \tilde{\epsilon}_i : \mathbf{f} \rightarrow \mathbf{f}$

17.3.1. We shall regard \mathbf{f} as a \mathcal{U} -module as in 15.1.4. Thus, for each $i \in I$, $\phi_i : \mathbf{f} \rightarrow \mathbf{f}$ acts as left multiplication by θ_i and $\epsilon_i : \mathbf{f} \rightarrow \mathbf{f}$ is the linear map ${}_i r$ in 1.2.13. For any $i \in I$, \mathbf{f} with the operators $\phi_i, \epsilon_i : \mathbf{f} \rightarrow \mathbf{f}$ is then an object of \mathcal{D}_i . (See 16.1.1.) Hence the operators $\tilde{\phi}_i, \tilde{\epsilon}_i : \mathbf{f} \rightarrow \mathbf{f}$ (see 16.1.3) are well-defined.

Note that the form $(,)$ on \mathbf{f} is admissible in the sense of 16.2.2 for any i .

17.3.2. For a fixed $i \in I$, we define a $\mathbf{Q}(v)$ -basis B^i of \mathbf{f} as follows. By definition, $B^i = \sqcup_{t \geq 0} B^i(t)$ where $B^i(0)$ is any subset of $B_{i,0}$ such that $B_{i,0} = B^i(0) \sqcup (-B^i(0))$ and, for $t > 0$, $B^i(t)$ is the image of $B^i(0)$ under $\pi_{i,t} : B_{i,0} \cong B_{i,t}$ (see 14.3.2(c)). By definition, we have $\mathcal{B} = B^i \cup (-B^i)$ and B^i is adapted (in the sense of 16.3.1) to $\mathbf{f} \in \mathcal{D}_i$.

By definition of \mathcal{B} , we see that B^i is almost orthonormal for $(,)$ and the \mathcal{A} -module it generates is ${}_A \mathbf{f}$.

17.3.3. Let $\mathcal{L}(\mathbf{f}) = \{x \in \mathcal{A}\mathbf{f} \mid (x, x) \in \mathbf{A}\}$. From Theorem 14.2.3 and Lemma 14.2.2, it follows that $\mathcal{L}(\mathbf{f})$ is the $\mathbf{Z}[v^{-1}]$ -submodule of \mathbf{f} generated by B^i .

Lemma 17.3.4. (a) $\mathcal{A}\mathbf{f}$ is stable under the operators $\epsilon_i, \phi_i^{(t)} : \mathbf{f} \rightarrow \mathbf{f}$, for any $i \in I$.

(b) $\mathcal{L}(\mathbf{f})$ is stable under the operators $\tilde{\phi}_i, \tilde{\epsilon}_i : \mathbf{f} \rightarrow \mathbf{f}$, for any $i \in I$.

The stability under $\phi_i^{(t)}$ is clear from definitions. The stability under ϵ_i follows from 13.2.4. This gives (a). Now (b) follows from Lemma 16.2.8(a) applied to $\mathbf{f}, (,)$ and B^i .

Applying Proposition 16.3.5 to our case, we see that the following holds.

Proposition 17.3.5. Let $b \in B^i(t)$. Let $b_0 \in B^i(0)$ be the unique element such that $\pi_{i,t}b_0 = b$. We have $\tilde{\phi}_i(b) = \pi_{i,t+1}b_0 \bmod v^{-1}\mathcal{L}(\mathbf{f})$. We have $\tilde{\epsilon}_i(b) = \pi_{i,t-1}b_0 \bmod v^{-1}\mathcal{L}(\mathbf{f})$ if $t \geq 1$ and $\tilde{\epsilon}_i(b) = 0 \bmod v^{-1}\mathcal{L}(\mathbf{f})$ if $t = 0$.

17.3.6. The following result shows that the endomorphisms of the \mathbf{Z} -module $\mathcal{L}(\mathbf{f})/v^{-1}\mathcal{L}(\mathbf{f})$ induced by $\tilde{\phi}_i, \tilde{\epsilon}_i$ act, with respect to the signed basis given by the image of \mathcal{B} , in a very simple way, described in terms of the bijections $\pi_{i,n}$ in 14.3.2(c).

Corollary 17.3.7. Let $i \in I$ and let $b \in B_{i,t}$. Let $b_0 \in B_{i,0}$ be the unique element such that $\pi_{i,t}b_0 = b$. We have

(a) $\tilde{\phi}_i(b) = \pi_{i,t+1}b_0 \bmod v^{-1}\mathcal{L}(\mathbf{f})$;

(b) $\tilde{\epsilon}_i(b) = \pi_{i,t-1}b_0 \bmod v^{-1}\mathcal{L}(\mathbf{f})$ if $t \geq 1$ and $\tilde{\epsilon}_i(b) = 0 \bmod v^{-1}\mathcal{L}(\mathbf{f})$ if $t = 0$.

(c) If $i \in I$ and $b \in \mathcal{B}$, then we have $\tilde{\phi}_i(b) = b' \bmod v^{-1}\mathcal{L}(\mathbf{f})$ for a unique $b' \in \mathcal{B}$. Moreover, $\tilde{\epsilon}_i b' = b \bmod v^{-1}\mathcal{L}(\mathbf{f})$.

(d) If $i \in I$ and $b \in B_{i,n}$ for some $n > 0$, then we have $\tilde{\epsilon}_i(b) = b'' \bmod v^{-1}\mathcal{L}(\mathbf{f})$ for a unique $b'' \in \mathcal{B}$. Moreover, $\tilde{\phi}_i b'' = b \bmod v^{-1}\mathcal{L}(\mathbf{f})$.

We apply 17.3.5 to b if $b \in B_t^i$ or to $-b$ if $-b \in B_t^i$. This gives (a) and (b).

Let $b' = \pi_{i,n+1}b_0 \in B_{i,n+1}$. We have $\tilde{\phi}_i(b) = b' \bmod v^{-1}\mathcal{L}(\mathbf{f})$ by (a) and $\tilde{\epsilon}_i(b') = b \bmod v^{-1}\mathcal{L}(\mathbf{f})$ by (b). This proves (c).

Assume now that $b \in B_{i,n}$ with $n > 0$. Let $b'' = \pi_{i,n-1}b_0 \in B_{i,n-1}$. We have $\tilde{\epsilon}_i(b) = b'' \bmod v^{-1}\mathcal{L}(\mathbf{f})$ by (b) and $\tilde{\phi}_i(b'') = b \bmod v^{-1}\mathcal{L}(\mathbf{f})$ by (a). This proves (d).