

General Shimura Varieties

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Abelian Motives

Definition (Abelian motive)

An abelian motive over \mathbb{C} is a triple (V, e, m) such that V is a variety over \mathbb{C} whose connected components are abelian varieties, $e \in \text{Corr}^0(V, V)$ is an idempotent, and $m \in \mathbb{Z}$.

Conjecture ([Mil13, Conjecture C], Murre, 1993)

In the ring $\text{End}(hX) = \text{Corr}^0(X, X) = A_{\dim X}(X \times X)$, the diagonal Δ_X has a canonical decomposition into a sum of orthogonal idempotents

$$\Delta_X = \pi_0 + \dots + \pi_{2n}$$

This induces a decomposition

$$hX = h^0X \oplus h^1X \oplus \dots \oplus h^{2n}X$$

which maps to

$$H^\bullet(X) = H^0(X) \oplus H^1(X) \oplus \dots \oplus H^{2n}(X)$$

Category of abelian motives

Example (Projectors)

Let A be an abelian variety. Let $\pi_i \in \text{Corr}^0(X, X)$ be the idempotent from Murre's conjecture. Then it induces a projection

$$H^\bullet(A, \mathbb{Q}) \rightarrow H^i(A, \mathbb{Q}) \subseteq H^\bullet(A, \mathbb{Q})$$

Denote $h^i(A) = (A, \pi_i, 0)$.

Proposition (Properties)

The category of abelian motives, AM , admits biproducts, tensor products and duals, which satisfy

$$(V, e, m) \oplus (V', e', m) = (V \sqcup V', e + e', m)$$

$$(V, e, m) \otimes (V', e', m') = (V \times V', e \cdot e', m + m')$$

$$(V, e, m)^\vee = (V, e^t, d - m)$$

Polarizable Hodge Structures

Proposition

$\text{Hod}(\mathbb{Q})$ the category of polarizable rational Hodge structures is abelian, closed under tensor products and duals. Moreover, it is semisimple. $\implies \text{Hod}(\mathbb{Q}) \simeq \text{Rep}_{\mathbb{Q}}(G_{\text{Hod}})$, $h_{\text{Hod}} : \mathbb{S} \rightarrow G_{\text{Hod}}$.

Proof.

Let $\mathcal{C} = \text{Rep}_{\mathbb{Q}}(\mathbb{S})$ be the category of all rational Hodge structures. As a category of rep., it is abelian with tensor products and duals. $(0, \phi)$ is the zero object. (polarizable condition is empty).

Biproducts are polarizable by $\psi_V + \psi_W$, kernels are polarizable by restriction. Have to check cokernels. But polarization induces $f(V)^\perp \cong W/f(V)$. This also shows semisimplicity.

Tensor products by taking $\psi_V \otimes \psi_W$ on pure weights.

Duals since polarization induces $V^\vee \simeq V$. □

Abelian Hodge Structures

Definition

(V, e, m) abelian motive. $H(V, e, m) = eH^\bullet(V, \mathbb{Q})(m)$.

Proposition

The functor

$$(V, e, m) \rightsquigarrow H(V, e, m) : AM \rightarrow \text{Hod}(\mathbb{Q})$$

commutes with \oplus, \otimes, \vee .

Proof.

If V is connected,

$$H^\bullet(V, \mathbb{Q}) \simeq \bigwedge H^1(V, \mathbb{Q}) \simeq \text{Hom}_{\mathbb{Q}} \left(\bigwedge H_1(V, \mathbb{Q}), \mathbb{Q} \right)$$

inducing a polarizable Hodge structure.

The rest is additivity, Künneth and Poincare for cohomology. \square

Abelian Hodge Structures

Definition (Abelian Hodge structure)

(W, h) is abelian if it is in the essential image, iso. to $H(V, e, m)$.

Example (Tate)

E elliptic curve, then $\bigwedge^2 H_1(E, \mathbb{Q}) \simeq \mathbb{Q}(1)$, hence $\mathbb{Q}(1)$ is abelian.

Proposition ([Mil05, Proposition 9.1])

The category $\text{Hod}^{ab}(\mathbb{Q})$ is the smallest strictly full subcategory of $\text{Hod}(\mathbb{Q})$ containing $H_1(A, \mathbb{Q})$ for each abelian variety A and closed under direct sums, subquotients, duals and tensor products.

Moreover, $H : \text{AM} \rightarrow \text{Hod}^{ab}(\mathbb{Q})$ is an equivalence of categories.

$\implies \text{Hod}^{ab}(\mathbb{Q}) \simeq \text{Rep}_{\mathbb{Q}}(G_{\text{Mab}}), \rho : G_{\text{Hod}} \rightarrow G_{\text{Mab}}.$

Hodge Structures of CM-type

Definition (CM-type)

$(V, h) \in \text{Hod}(\mathbb{Q})$ is of CM-type if $MT(V, h)$ is a torus.

Proposition

The category $\text{Hod}^{cm}(\mathbb{Q})$ is a Tannakian subcategory of $\text{Hod}(\mathbb{Q})$.

Proposition ([Mil94a, Proposition 4.6])

Every Hodge structure of CM-type is abelian.

Corollary

$$\text{Ker } \rho : G_{\text{Hod}} \rightarrow G_{\text{Mab}} \subseteq G_{\text{Hod}}^{\text{der}}$$

$$\text{Hod}_{\mathbb{Q}}^{cm} \hookrightarrow \text{Hod}_{\mathbb{Q}}^{abc} \hookrightarrow \text{Hod}_{\mathbb{Q}}$$

$$S \longleftarrow G_{\text{Mab}} \longleftarrow G_{\text{Hod}}$$

Shimura varieties of abelian type

Definition (abelian type)

1. (H, X^+) is of primitive abelian type if H is simple, $\exists(V, \psi)$, $H \hookrightarrow S(\psi)$ mapping X^+ to $X(\psi)$.
2. (H, X^+) is of abelian type if $\exists(H_i, X_i^+)$ primitive abelian, isogeny $\prod_i H_i \rightarrow H$, mapping $\prod_i X_i^+$ to X^+ .
3. (G, X) is of abelian type if (G^{der}, X^+) is of abelian type.

Theorem ([Mil94b, Theorem 1.27])

$h : \mathbb{S} \rightarrow G_{\mathbb{R}}$ s.t.

- (SV1) $\text{Ad} \circ h$ is of type $\{(1, -1), (0, 0), (-1, 1)\}$.
- (SV2*) $\text{ad } h(i)$ is a Cartan involution of $G/w_h(G_m)$.
- (SV4) $w_h : G_m \rightarrow G_{\mathbb{R}}$ is defined over \mathbb{Q} , and maps to $Z(G)$.

$G = MT(V, h)$ for $(V, h) \in \text{Hod}^{ab} \mathbb{Q}$ iff (G, h) is of abelian type.

Proof.

Since h satisfies (SV2*), (SV4), $\exists! \rho(h) : G_{\text{Hod}} \rightarrow G$ s.t.

$$h = \rho(h)_{\mathbb{R}} \circ h_{\text{Hod}}.$$

$\rho(h)$ factors through G_{Mab} iff $\rho(h)|_{G^{\text{der}}}$ factors through $G_{\text{Mab}}^{\text{der}}$.

If (G, h) abelian, $\exists \alpha : \prod G_i^{\text{der}} \rightarrow G^{\text{der}} : G_i^{\text{der}} \hookrightarrow S_i(\psi)$,

$\alpha \circ \prod h_i = h$. $\rho(h_i)$ factors through G_{Mab} , so $\rho(h_i)|_{G_i^{\text{der}}}$ factors through $G_{\text{Mab}}^{\text{der}}$, hence so does $\rho(h)|_{G^{\text{der}}} = \alpha \circ \rho(\prod h_i)|_{\prod G_i^{\text{der}}}$.

Category where G_{Mab} action factors through G is in $\langle h_1(A) \rangle$, so can replace G by $MT(A)$ showing (\Leftarrow) , (\Rightarrow) holds for $MT(A)$. \square

Proposition ([Mil05, Proposition 9.3])

1. (SV4) $w_X : \mathbb{G}_m \rightarrow G$ is rational.
2. (SV6) $Z(G)^\circ$ splits over a CM-field.
3. $\exists \nu : G \rightarrow \mathbb{G}_m$ s.t. $\nu \circ w_X = -2$. (so $\mathbb{Q}(1) \in \langle (V, h) \rangle$)

If (G, X) abelian, $(V, \rho \circ h)$ abelian $\forall (V, \rho) \in \text{Rep}(G)$, $h \in X$.

If $(V, \rho \circ h)$ abelian, ρ faithful, (G, X) abelian.

Proof.

$G' = \langle X \rangle$. (SV3) $\implies \{ \text{ad} \circ h \mid h \in X \}$ generates G^{ad} , hence $G'/G' \cap Z(G) = G^{\text{ad}}$, so $[G^{\text{der}} : G'^{\text{der}}] < \infty$.

Both connected $\implies G^{\text{der}} = G'^{\text{der}}$.

By Prop. 5.9, $(V, \rho \circ h)$ is a VHS, so $G' = MT(V, \rho \circ h_0)$.

By the theorem, $(V, \rho \circ h_0) \in \text{Hod}^{ab}(\mathbb{Q})$ iff (G', h_0) abelian iff (G, X) abelian. Can eliminate ρ .

$h = \text{ad } g \circ h_0$, $g \in G'(\mathbb{R})^+$, $(V, h_0) \in \langle h_1(A) \rangle$. $(G_1, h_1) = MT(A)$.

$$(G', h_0) \leftarrow (G_1, h_1) \hookrightarrow (G(\psi), X(\psi))$$

Lift g to $g_1 \in G_1(\mathbb{R})^+$. Then

$$(G', h) \leftarrow (G_1, \text{ad}(g_1) \circ h_1) \hookrightarrow (G(\psi), X(\psi))$$

Set $G_h = MT(V, h)$, $G_{h,1}$ its preimage in G_1 . So G_h is a quotient of $G_{h,1}$, the MT of an abelian variety. \square

Moduli Space

Theorem ([Mil05, Theorem 9.4])

(G, X) abelian s.t. (SV4), (SV6), $\exists \nu : G \rightarrow \mathbb{G}_m$ with $\nu \circ w_X = -2$. $\rho : G \hookrightarrow GL(V)$, $\exists \psi : V \times V \rightarrow \mathbb{Q}$ s.t. $g\psi = \nu(g)^m \psi$, ψ is a polarization of $(V, \rho \circ h)$.

Fix $t_i : V \times \dots \times V \rightarrow \mathbb{Q}(r_i)$, $1 \leq i \leq n$ s.t.

$$G = \{g \text{ in } GL(V) \mid g\psi = \nu(g)^m \psi, gt_i = t_i\}$$

$\text{Sh}_K(G, X)$ classifies $(A, (s_i)_{i=0}^n, \eta K) / \sim$ s.t.

- A is an abelian motive.
- $\pm s_0$ is a polarization for $H(A)$.
- s_1, \dots, s_n are Hodge tensors for A .
- ηK is a K -orbit of \mathbb{A}_f -linear isom. $V(\mathbb{A}_f) \rightarrow V_f(A)$, sending ψ to an \mathbb{A}_f^\times multiple of s_0 , and t_i to s_i .
- $\exists a : H(A) \rightarrow V$ sending s_0 to a \mathbb{Q}^\times -multiple of ψ , each s_i to t_i , and h onto an element of X .

Classification

Theorem (Deligne, 1979)

(G, X^+) connected, G simple.

If G^{ad} of type A, B, C , then (G, X^+) abelian.

If G^{ad} of type E_6, E_7 , then (G, X^+) not abelian.

G^{ad} of type D , can have both. (no $G \rightarrow S(\psi)$ or none injective.)

Conjecture (Deligne, 1979)

If (G, X) satisfies (SV4), $\text{Sh}_K(G, X)$ classifies isom. classes of motives with additional structure.

Shimura Varieties of Type A_1

Example (Hilbert Modular Variety)

B quat. over F tot.real. $G = B^\times$.

$$G(\mathbb{R}) \approx \prod_{v \in I_c} \mathbb{H}^\times \times \prod_{v \in I_{nc}} GL_2(\mathbb{R})$$

- $B = M_2(F)$, then (G, X) is of PEL-type (Type (C)):

$$W = F^2, \phi = 1, \alpha^\star = \alpha^T, V_0 = F^2, \psi_0 = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

$\text{End}_B(W \otimes V_0) = \text{End}_F(V_0) = B$, so $G = B^\times$.

Classifies $(A, i, t, \eta K)$, A abelian variety of dim. $d = [F : \mathbb{Q}]$,
 $i : F \rightarrow \text{End}_{\mathbb{Q}}(A)$ is RM.

Example

- B division algebra, $I_c = \emptyset$ (split at all infinite places). again PEL-type - if L is the splitting field then $V = V(M_2(L))$. Classifies $(A, i, t, \eta K)$, A abelian variety of dim. $d = 2[F : \mathbb{Q}]$, $i : B \rightarrow \text{End}_{\mathbb{Q}}(A)$ is QM.
- B division algebra, $I_c \neq \emptyset$. Then (G, X) abelian, not (SV4).

$$w_{X, \mathbb{R}} : \mathbb{R} \rightarrow (F \otimes \mathbb{R})^{\times} \cong \prod_{v: F \rightarrow \mathbb{R}} \mathbb{R}$$

$$a \mapsto (\dots, a_i, \dots)_{i \in I}, \quad a_i = \begin{cases} 1 & i \in I_c \\ a & i \in I_{nc} \end{cases}$$

$T = \text{Res}_{F/\mathbb{Q}} \mathbb{G}_m$, so $w_X : \mathbb{G}_m \rightarrow T_{\mathbb{R}}$ is defined over $\bar{\mathbb{Q}}^{G_{I_c}}$.

Then $\text{Sh}_K(G, X)$ classifies Hodge structures, but not motivic.

- When $|I_{nc}| = 1$, Shimura curves.



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