

# Counting points on curves

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(joint work with David Harvey, UNSW)

$p = \text{prime}$

$C \subset \mathbb{P}_{\mathbb{F}_p}^n = \text{algebraic curve defined over } \mathbb{F}_p$

The Hasse–Weil zeta function of  $C$  is the generating function

$$Z_C(t) := \exp \left( \sum_{a>0} \frac{\#C(\mathbb{F}_{p^a})t^a}{a} \right)$$

# Example

Let  $p = 5$  and  $C$  be genus 3 curve given in  $\mathbb{P}^2$  by

$$x^4 + xy^3 + 2y^4 - z^4 = 0$$

By naive point enumeration, we find that

$$\begin{array}{ll} \#C(\mathbb{F}_p) = 9, & \#C(\mathbb{F}_{p^4}) = 581, \\ \#C(\mathbb{F}_{p^2}) = 29, & \#C(\mathbb{F}_{p^5}) = 3309, \\ \#C(\mathbb{F}_{p^3}) = 156, & \#C(\mathbb{F}_{p^6}) = 15212, \\ \dots & \end{array}$$

thus:

$$Z_C(t) = 1 + 9t + 55t^2 + 304t^3 + 1579t^4 + 8029t^5 + 40404t^6 + \dots$$

# Weil conjectures for smooth curves

Indeed,  $\#C(\mathbb{F}_p), \#C(\mathbb{F}_{p^2}), \#C(\mathbb{F}_{p^3})$  is sufficient to deduce  $Z(t)$ !

If  $C$  is smooth, we have:

$$\begin{aligned} Z_C(t) &:= \exp\left(\sum_{a>0} \frac{\#C(\mathbb{F}_{p^a})t^a}{a}\right) \\ &= \frac{L_C(t)}{(1-t)(1-pt)} \end{aligned}$$

where  $L_C(t) \in \mathbb{Z}[t]$  has degree  $2g$ , and

$$L_C(t) = 1 + a_1t + a_2t^2 + \cdots + a_2p^{g-2}t^{2g-2} + a_1p^{g-1}t^{2g-1} + p^gt^{2g}.$$

In our example,

$$L_C(t) = 1 + 3t + 6t^2 + 19t^3 + 6 \cdot 5t^4 + 3 \cdot 5^2t^5 + 5^3t^6$$

Naively computing

$$\#C(\mathbb{F}_p), \#C(\mathbb{F}_{p^2}), \dots, \#C(\mathbb{F}_{p^g})$$

is not practical!

Looping over  $\mathbb{F}_{p^g}$  takes at least  $O(p^g)$  time.

$$\begin{aligned} \text{Can we rewrite } \#C(\mathbb{F}_{p^a}) &= \#\{x \in \mathbb{P}_{\mathbb{F}_{p^a}}^n : F(x) = 0\} ? \\ &\dots = \#\{x \in C(\overline{\mathbb{F}_p}) : \text{Frob}^a(x) = x\} \end{aligned}$$

One can then compute the last line with variety of methods.

- the group structure on the Jacobian
- $\ell$ -adic cohomology
- $p$ -adic cohomology

For curves there is a wide range of methods using Monsky–Washnitzer cohomology, a flavour of  $p$ -adic cohomology:

- Elliptic curves (Kato–Lubkin, 1982)
- Hyperelliptic curves (Kedlaya, 2001)
- Superelliptic curves,  $C_{ab}$  curves, . . .
- Smooth hypersurfaces (Abbott–Kedlaya–Roe, 2005)
- Nondegenerate curves (Denef–Castryck–Vercauteren, 2006)
- very generic variant (Tuitman, 2014)

Today I will overview a new method to compute  $L_C(t)$ .

- Cohomology free!
- Simple
- Practical



**Input:**  $F(x, y, z)$  homogeneous polynomial of degree  $d$

**Output:**  $L_C(t)$ , where  $C$  is the desingularization of the zero locus of  $F(x, y, z)$  in  $\mathbb{P}^2$

**Assumption:** The polynomials  $F(0, y, z)$ ,  $F(x, 0, y)$  and  $F(x, y, 0)$  have no repeated factors.

Geometrically, the curve given by  $F$  intersects the coordinate axes ( $x = 0$ ,  $y = 0$ , and  $z = 0$ ) transversally.

$C$  curve of genus  $g$  that is the desingularization of  $\{F = 0\} \subset \mathbb{P}^2$

**Goal:** compute  $\#C(\mathbb{F}_{p^a})$

**Approach:**

- Compute  $\#\{F(x, y, z) = 0 : (x, y, z) \in \mathbb{P}^2(\mathbb{F}_{p^a}), xyz \neq 0\}$
- Compute  $\#\{F(x, y, z) = 0 : (x, y, z) \in \mathbb{P}^2(\mathbb{F}_{p^a}), xyz = 0\}$
- Resolve the singularities of  $F(x, y, z) = 0$  (over  $\overline{\mathbb{F}_p}$ )

Altogether, we can deduce  $\#C(\mathbb{F}_{p^a})$ .

# New method - Trace formula

Let  $B_\ell = \{u \in \mathbb{N}^3 : \sum_i u_i = \ell\}$ .

For  $u \in B_{dn}$ , let  $(F^n)_u$  be the coefficient of  $x^{u_0}y^{u_1}z^{u_2}$  in  $F^n$ .

$$(M_s)_{v,u} := \left(F^{s(p-1)}\right)_{pv-u} \quad \text{for } v, u \in B_{ds}.$$

Harvey (2015)

For  $p$  not small:

$$\begin{aligned} \#\{F(x, y, z) = 0 : (x, y, z) \in \mathbb{P}^2(\mathbb{F}_{p^a}), xyz \neq 0\} \\ = (p^a - 1)^2 \sum_{s=0}^{\lambda} \binom{\lambda}{s} \text{Tr}(M_s^a) \pmod{p^\lambda} \end{aligned}$$

$$M_0, M_1, \dots, M_\lambda \rightsquigarrow \#C(\mathbb{F}_{p^a}) \pmod{p^\lambda} \quad \forall a$$

$$(M_s)_{v,u} := \left( F^{s(p-1)} \right)_{p^v - u} \quad v, u \in B_{ds}.$$

Harvey's trace formula gives us:

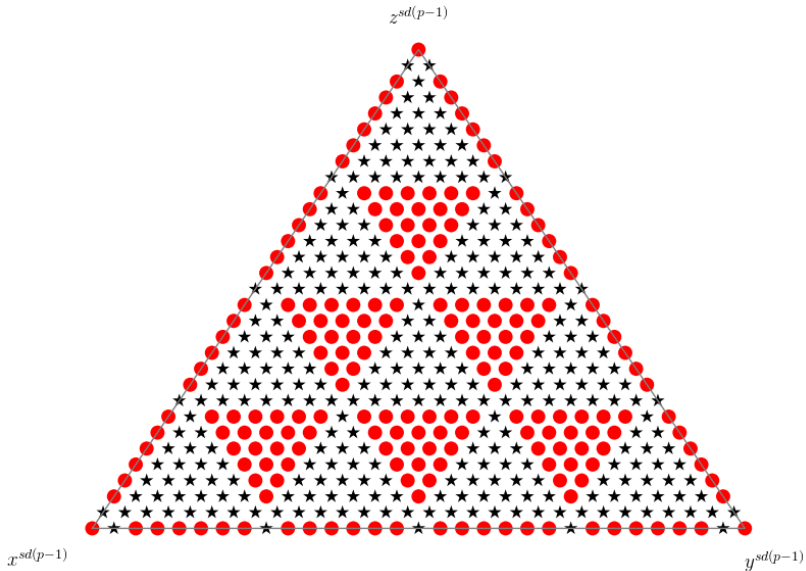
$$M_0, M_1, \dots, M_\lambda \rightsquigarrow \#C(\mathbb{F}_{p^a}) \bmod p^\lambda$$

We can deduce  $L_C(t)$  from  $\#C(\mathbb{F}_{p^a}) \bmod p^{\lfloor g/2 \rfloor + 1}$ , i.e., we need to compute

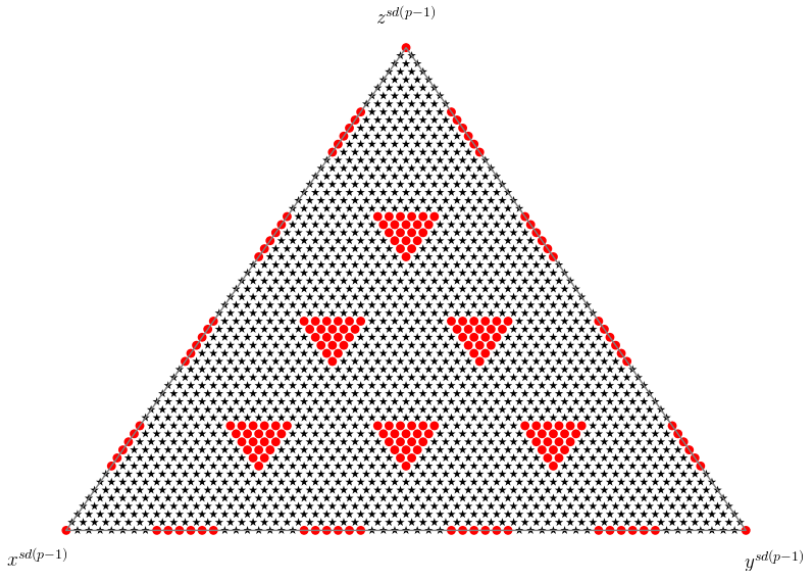
$$M_0, M_1, \dots, M_{\lfloor g/2 \rfloor + 1}.$$

The coefficients from  $F^{s(p-1)}$  needed to compute  $M_s$  are clustered around a "sublattice" of index  $p^2$ .

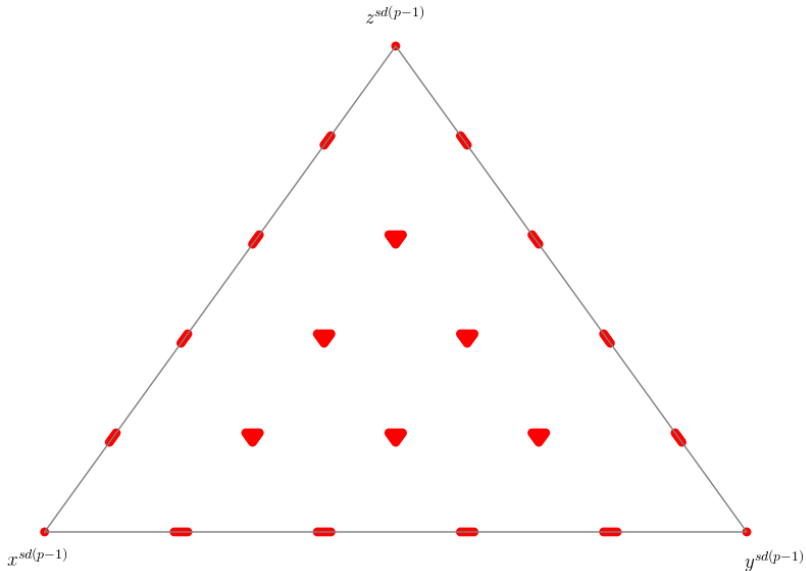
# $M_1$ for $p = 7, d = 5$



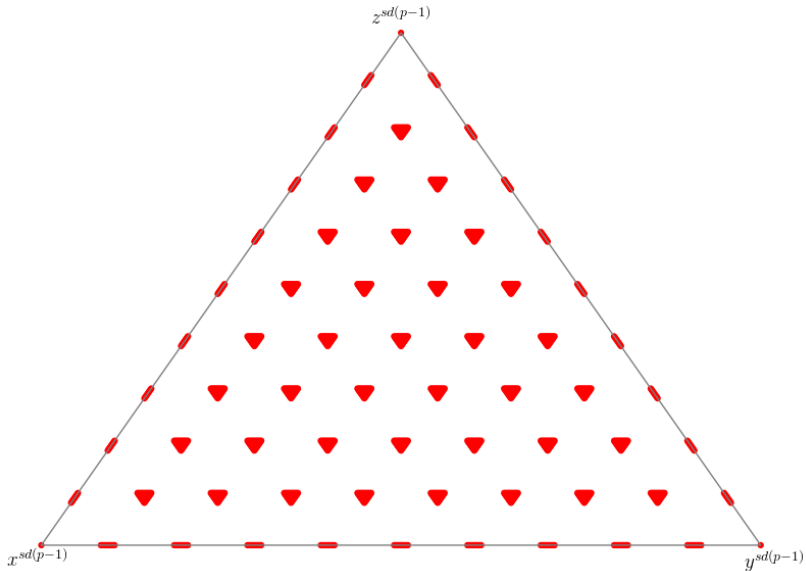
# $M_1$ for $p = 13, d = 5$



# $M_1$ for $p = 53, d = 5$

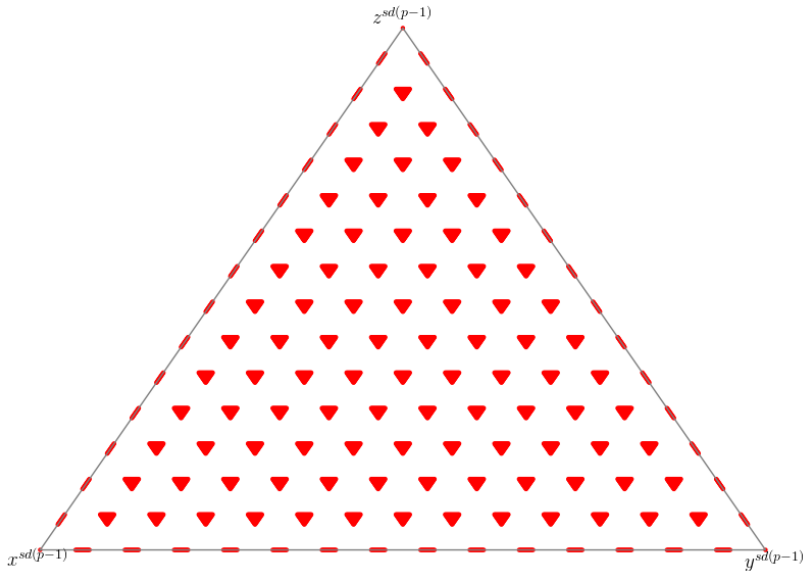


# $M_2$ for $p = 53, d = 5$

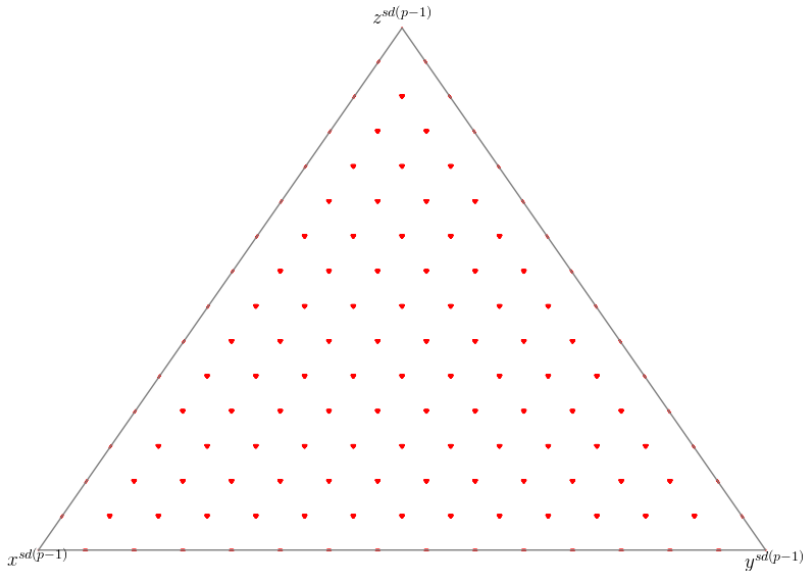




# $M_3$ for $p = 53, d = 5$



# $M_3$ for $p = 199, d = 5$



There are relations between neighbouring coefficients of  $F^n$ .

Let us sketch how to derive these relations.

$$F^{n+1} = F \cdot F^n$$

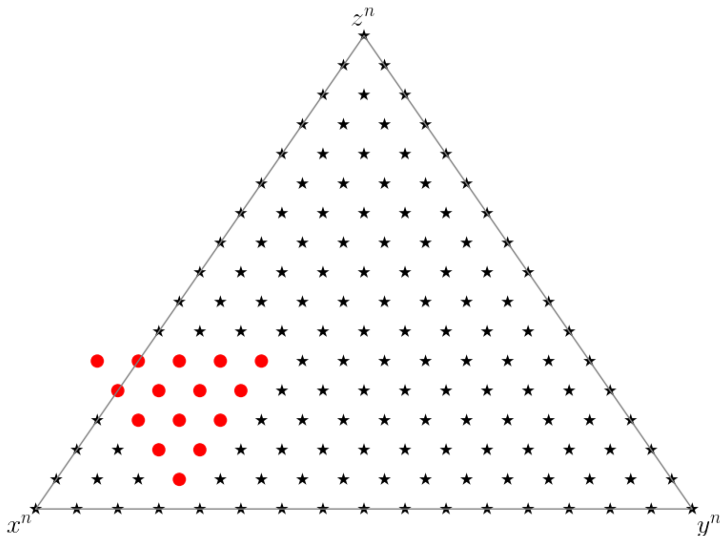
in terms of coefficients

$$(F^{n+1})_u = \sum_{t \in B_d} F_t (F^n)_{u-t}$$

this expresses a given coefficient of  $F^{n+1}$  as a linear combination of a bunch of nearby coefficients of  $F^n$ .

# Example, $d = 4$ and $n = 4$

The coefficient  $(F^{n+1})_{(12,3,5)}$  is known if given  $F^n$  on:



There are more relations.

Take  $\partial_x = x \frac{\partial}{\partial x}$ , then

$$\partial_x F^{n+1} = (n+1) \partial_x F \cdot F^n$$

in terms of coefficients

$$u_0(F^{n+1})_u = (n+1) \sum_{t \in B_d} t_0 F_t(F^n)_{u-t}$$

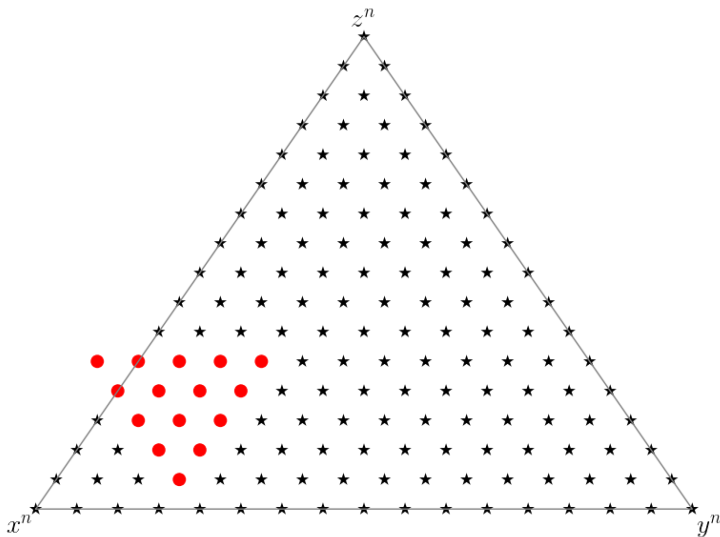
Combining both coefficient equations we can eliminate the coefficient  $(F^{n+1})_u$  and we get

$$u_0 \left( \sum_{t \in B_d} F_t (F^n)_{u-t} \right) = (n+1) \sum_{t \in B_d} t_0 F_t (F^n)_{u-t}$$

for each  $u \in B_{(n+1)d}$ , involving the coefficients at  $u - t$  for  $t \in B_d$

# Example, $d = 4$ and $n = 4$

There are 2 independent equations involving the red dots



Combining enough neighbouring relations we have the following.

Given

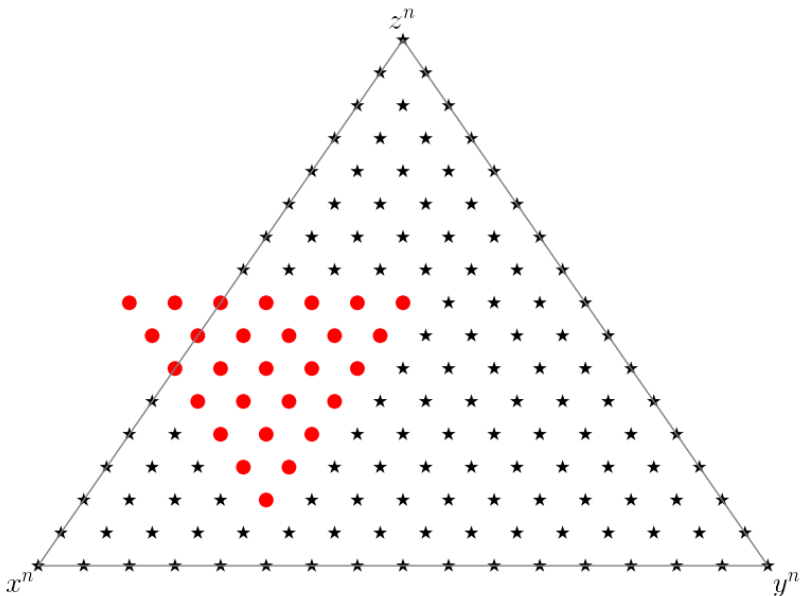
$$(F^n)_{u-t} \quad t \in B_{2d-2}$$

We can deduce

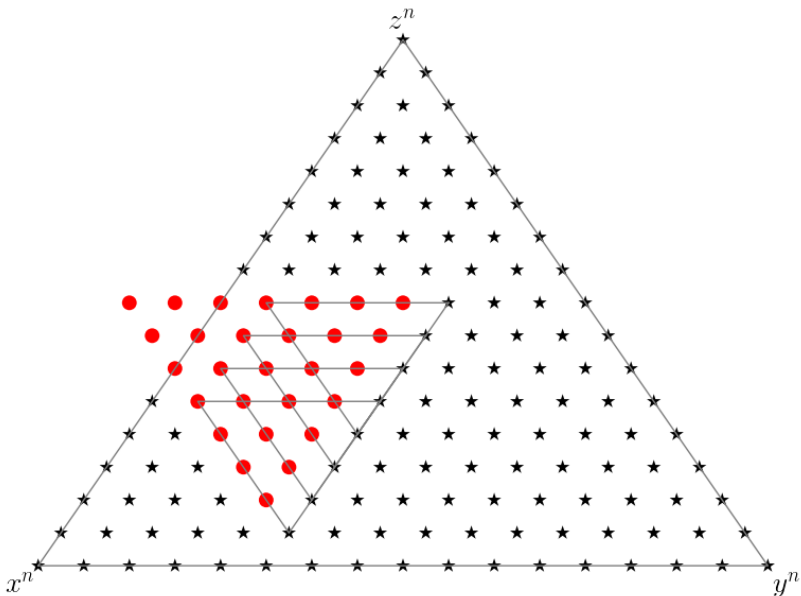
$$(F^n)_{(u+e_i-e_j)-t} \quad t \in B_{2d-2}$$



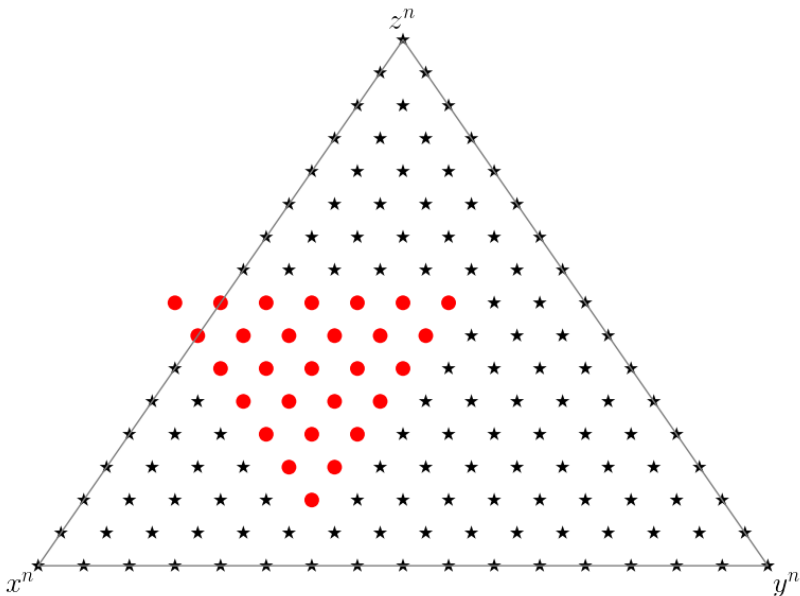
# Example, $d = 4$ and $n = 4$



# Example, $d = 4$ and $n = 4$



# Example, $d = 4$ and $n = 4$

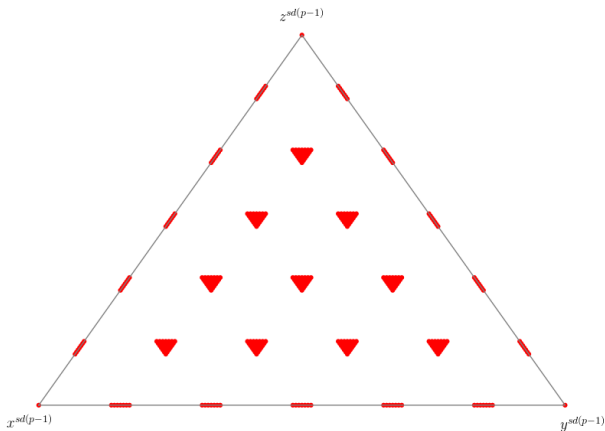


# New method - Altogether

Start with a triangle at one of the vertices, where the coefficients of  $F^{s(p-1)}$  are trivial to compute.

Move it around until we have computed by all the target coefficients.

Assemble  $M_S$ .



Moving a triangle one step  $O(d^3)$  operations.

There are  $O(s^2 d^2)$  clusters.

Going between clusters involves  $O(p)$  steps.

Altogether, computing  $M_s$  involves  $O(ps^2 d^5 + s^4 d^6)$  ring operations

The second factor it is for computing all the coefficients in each cluster.

Computing  $M_s$  involves dividing by  $p$  numerous times.

However, for  $p$  not small, we experimentally observe that we only need work with two spare digits.

We have not yet been able to prove this.

There are also some (expensive) alternatives to avoid dividing by  $p$ .

Setup:  $d = 6$  and genus = 5

$p = 1009$  : 7.5 minutes

$p = 1999$  : 14 minutes

Tuitman's algorithm in Magma: 8 and 24 minutes respectively.

Disclaimer: this is NOT a fair comparison.

Short term:

- C++ implementation
- precision bounds

Long term, perhaps consider the two obvious improvements:

- reduce the running time to  $p^{1/2+o(1)}$ .
- average polynomial time