

§3. SEMICLASSICAL QUANTIZATION

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Goal of the next few lectures:

for a function $a(x, \xi)$, $(x, \xi) \in \mathbb{R}^{2n}$,
define the \hbar -dependent family of operators
$$Op_{\hbar}(a) = a(x, \hbar D_x), \quad D_x = \frac{1}{i} \partial_x$$

& establish properties of Op_{\hbar}

STANDARD QUANTIZATION:

$$(1) \quad Op_{\hbar}(a)u(x) = (2\pi\hbar)^{-n} \int_{\mathbb{R}^{2n}} e^{\frac{i}{\hbar} \langle x-y, \xi \rangle} a(x, \xi) u(y) dy d\xi$$

If $a \in \mathcal{S}(\mathbb{R}^{2n})$ and $u \in \mathcal{S}(\mathbb{R}^n)$ then
the integral in (1) converges and defines

$$Op_{\hbar}(a)u \in \mathcal{S}(\mathbb{R}^n).$$

It is useful to rewrite (1) as follows:

$$(2) \quad Op_{\hbar}(a)u(x) = (2\pi\hbar)^{-n} \int_{\mathbb{R}^n} e^{\frac{i}{\hbar} \langle x, \xi \rangle} a(x, \xi) \hat{u}\left(\frac{\xi}{\hbar}\right) d\xi$$

where \hat{u} is the Fourier transform of u

Using (2) we get better mapping properties:

- $a \in \mathcal{S}(\mathbb{R}^{2n}) \Rightarrow Op_{\hbar}(a) : \mathcal{S}'(\mathbb{R}^n) \rightarrow \mathcal{S}(\mathbb{R}^n)$
- $a \in C^{\infty}(\mathbb{R}^{2n})$, $|a(x, \xi)| \leq C \langle x \rangle^N \langle \xi \rangle^N$ for some C, N
 $Op_{\hbar}(a)$ can be defined $\mathcal{S}(\mathbb{R}^n) \rightarrow \langle x \rangle^N L^{\infty}(\mathbb{R}^n)$

NOTATION : $\langle x \rangle = \sqrt{1 + |x|^2}$

EXAMPLES:

• $a = 1 \Rightarrow \text{Op}_h(a)u(x) = (2\pi h)^{-n} \int_{\mathbb{R}^n} e^{i\langle x, \xi \rangle} \hat{u}(\xi/h) d\xi$
 $= (2\pi)^{-n} \int_{\mathbb{R}^n} e^{i\langle x, \xi \rangle} \hat{u}(\xi) d\xi = u(x)$ by Fourier inversion

Thus $\boxed{\text{Op}_h(1) = I}$ ← identity operator

• $a = a(\xi) \Rightarrow \text{Op}_h(a)$ is a Fourier multiplier:

$$\text{Op}_h(a) \hat{u}(\xi) = a(h\xi) \hat{u}(\xi)$$
$$\mathcal{F}_h(\text{Op}_h(a)u)(\xi) = a(\xi) \mathcal{F}_h u(\xi)$$

semiclassical
Fourier tr.

We denote $\text{Op}_h(a) = a(hD_x)$

In particular $\boxed{\text{Op}_h(\xi_j) = hD_{x_j}}$

• $a = a(x) \Rightarrow \text{Op}_h(a)u(x) = a(x)u(x)$

In particular $\boxed{\text{Op}_h(x_j) = x_j}$

• $a = \sum_{|\alpha| \leq k} a_\alpha(x) \xi^\alpha \Rightarrow \text{Op}_h(a) = \sum_{|\alpha| \leq k} a_\alpha(x) (hD_x)^\alpha$
these are called semiclassical differential operators of order k

In particular $\boxed{\text{Op}_h(|\xi|^2) = -h^2 \Delta}$

The above justify the notation

$$\text{Op}_h(a) = a(x, hD_x)$$

though this notation is only formal:

x, hD_x do not commute, so we cannot define a function of both...

To recover the symbol a of an operator $Op_h(a)$ we use the following

Theorem (Oscillatory testing) Put

$$e_{\xi}(x) = e^{\frac{i}{h}\langle x, \xi \rangle} \in S'(\mathbb{R}^n), \quad \xi \in \mathbb{R}^n.$$

Assume that $a \in S(\mathbb{R}^{2n})$ and $A: S'(\mathbb{R}^n) \rightarrow S(\mathbb{R}^n)$ is continuous. Then

$$A = Op_h(a) \Leftrightarrow \forall x, \xi \in \mathbb{R}^n, (Ae_{\xi})(x) = a(x, \xi)e_{\xi}(x)$$

Remark This lets us recover a by testing $Op_h(a)$ on oscillatory functions e_{ξ} .

Proof \Rightarrow We have $\forall u \in S'(\mathbb{R}^n)$

$$Au(x) = (2\pi h)^{-n} \int e^{\frac{i}{h}\langle x, \eta \rangle} a(x, \eta) \hat{u}(\frac{\eta}{h}) d\eta$$

$$= (2\pi)^{-n} \int_{\mathbb{R}^n} e^{i\langle x, \eta \rangle} a(x, h\eta) \hat{u}(\eta) d\eta$$

If $u = e_{\xi}$, then $\hat{u}(\eta) = (2\pi)^n \delta(\eta - \frac{\xi}{h})$
delta distribution

$$\text{So } Au(x) = \int_{\mathbb{R}^n} e^{i\langle x, \eta \rangle} a(x, h\eta) \delta(\eta - \frac{\xi}{h}) d\eta = e^{\frac{i}{h}\langle x, \xi \rangle} a(x, \xi)$$

\Leftarrow Put $B = A - Op_h(a)$, then

$B: S'(\mathbb{R}^n) \rightarrow S(\mathbb{R}^n)$ is continuous and

$$Be_{\xi} = 0 \quad \forall \xi \in \mathbb{R}^n.$$

This implies that $B = 0$

(see exercises) □

WEYL QUANTIZATION :

$$Op_h^w(a)u(x) = (2\pi\hbar)^{-n} \int_{\mathbb{R}^{2n}} e^{\frac{i}{\hbar}\langle x-y, \xi \rangle} \underbrace{a\left(\frac{x+y}{2}, \xi\right)}_{\substack{\uparrow \\ \text{in standard quantization} \\ \text{we had } a(x, \xi)}} u(y) dy d\xi$$

This is the quantization used in Zworski's book. It has the

same mapping properties as Op_h (see exercises) but does not have the Fourier representation (2) or oscillatory testing.

It is not always equal to Op_h :

$$Op_h^w(1) = Op_h(1) = I$$

$$Op_h^w(x_j) = Op_h(x_j) = x_j$$

$$Op_h^w(\xi_j) = Op_h(\xi_j) = \hbar D_{x_j}$$

but

$$Op_h^w(x_j \xi_j) = x_j (\hbar D_{x_j}) - \frac{i\hbar}{2} = Op_h(x_j \xi_j - \frac{i\hbar}{2})$$

However, the difference between the two quantizations is $O(\hbar)$ and they give the same class of operators.

So for basic applications it does not matter which one to use.