

From geodesic flow to wave dynamics on an Anosov manifold

Based on [arxiv:2102.11196](https://arxiv.org/abs/2102.11196) about contact Anosov flows,
(and “work in progress” for some consequences for Anosov geodesic flows).

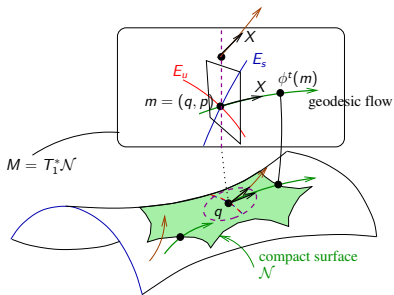
F.Faure (Grenoble) with M. Tsujii (Kyushu),

2024 May 29th, Orsay, conference in honor of **Maciej Zworski**

Definition

On (\mathcal{N}, g) closed Riemannian manifold, the **geodesic flow** $\phi^t : T^*\mathcal{N} \setminus \{0\} \rightarrow T^*\mathcal{N} \setminus \{0\}$ is generated by the **vector field** X , defined by $\Omega(X, \cdot) = dH$ with Hamiltonian function $H(q, p) = \|p\|_{g_q}$ with $p \in T_q^*\mathcal{N} \setminus \{0\}$.

- In local coord. $(q, p) \in T^*\mathbb{R}^{d+1} = \mathbb{R}^{d+1} \times \mathbb{R}^{d+1}$ we have $X = \left(\frac{\partial H}{\partial p_j}, -\frac{\partial H}{\partial q_j} \right)_{j=0 \dots d}$.



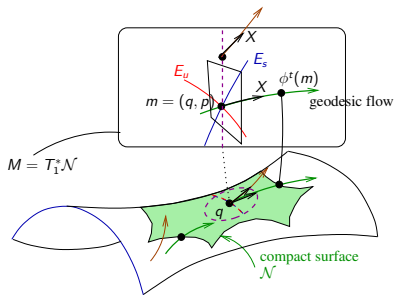
- Energy shell $M := T_1^*\mathcal{N} = \{(q, p), \|p\|_{g_q} = 1\}$ is invariant.
- “geodesic flow = motion of a free particle or adhesive tape without crease”

Anosov theorem: if curvature $\kappa < 0$ then $TM = \mathbb{R}X \oplus E_u \oplus E_s$.
called “sensitivity to initial conditions” in physics.

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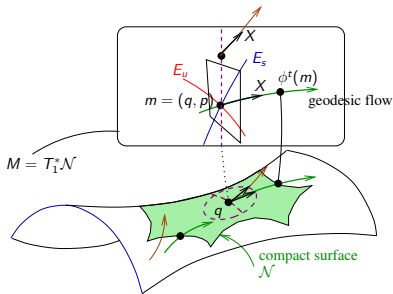
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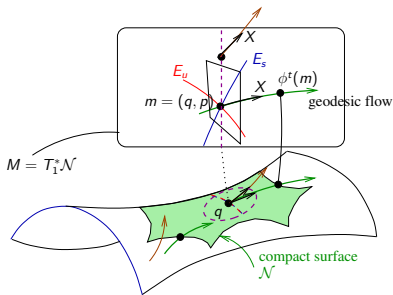
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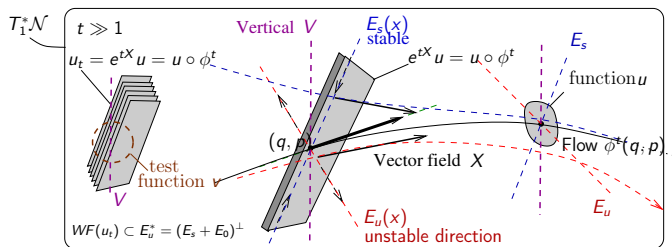
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Observation of the Anosov geodesic flow

The geodesic **vector field** $X = \sum_j X_j(x) \frac{\partial}{\partial x_j}$ on $M = T_1^* \mathcal{N}$ is a derivation operator, generator of the **pull back** of functions $u \in C^\infty(M)$ by the flow $\phi^t : M \rightarrow M, t \in \mathbb{R}$:

$$u_t = u \circ \phi^t = e^{tX} u \quad \Leftrightarrow \quad \frac{du_t}{dt} = Xu_t.$$



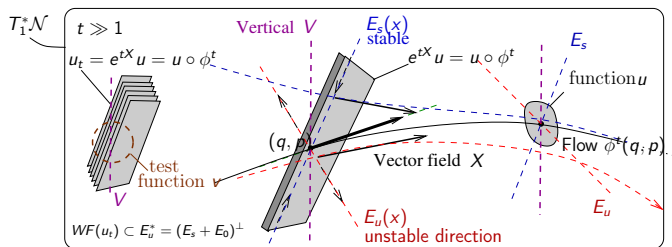
Rem: its dual $(e^{tX})^*$ called "**Ruelle transfer operator**", transports probabilities, e.g.

$$(e^{tX})^* \delta_m = \delta_{\phi^t(m)} \quad : \text{particle dynamics.}$$

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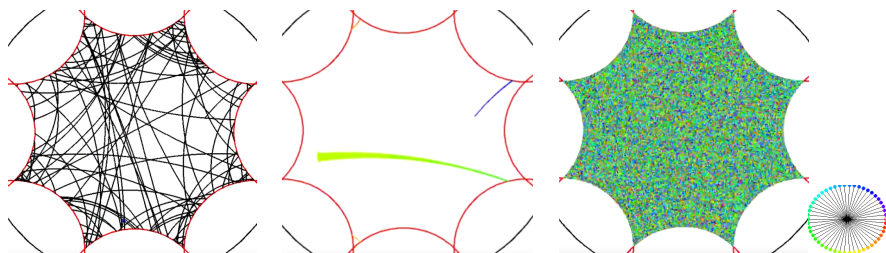


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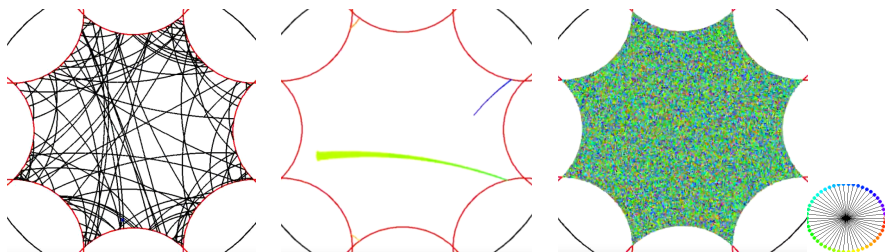


- **Mixing property:** (Anosov 60', Liverani 04, Tsujii 08, Nonnenmacher-Zworski 13) ON $M = T_1^* \mathcal{N}$, $\forall u \in C^\infty(M)$, $v \in C^\infty(M; \det(TM))$,

$$\langle v | u \circ \phi^t \rangle \xrightarrow{t \rightarrow +\infty} \langle v | 1 \rangle \langle \frac{1}{\text{Vol}(M)} | u \rangle + O_{u,v}(e^{-t/2}) \quad (\text{for Bolza})$$

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- **Question:** What is in the remainder $O_{u,v}(e^{-t/2})$?
- Can we **describe the “fluctuations”** around equilibrium?
(idem waves and storms on a deep ocean)

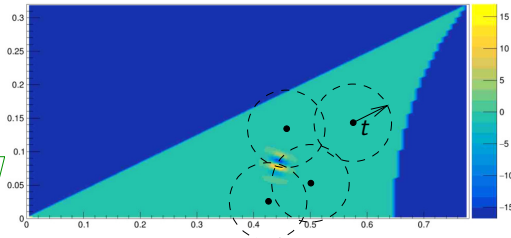
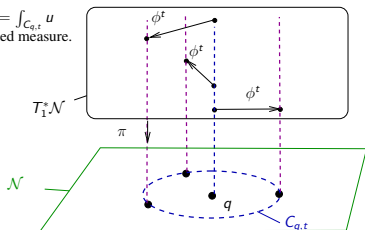
- On (\mathcal{N}, g) closed, let $\pi : M = T_1^* \mathcal{N} \rightarrow \mathcal{N}$,
- Pull back by π : for $u \in C^\infty(\mathcal{N})$, let $v = (\pi^* u) = u \circ \pi \in C^\infty(M)$
- Pull-back by the flow: for $v \in C^\infty(M)$, $w = e^{tX} v = v \circ \phi^t \in C^\infty(M)$
- Average on fibers: for $w \in C^\infty(M)$, $((\pi^*)^\dagger w)(q) = \int_{\pi^{-1}(q)} w \in C^\infty(\mathcal{N})$

Definition

“Spherical mean operator”. For $t \in \mathbb{R}$, let

$$\mathcal{L}_t := (\pi^*)^\dagger e^{tX} \pi^* : L^2(\mathcal{N}) \rightarrow L^2(\mathcal{N}).$$

$(\mathcal{L}_t u)(q) = \int_{C_{q,t}} u$
with induced measure.



- Mixing for Bolza gives (Ratner 87): $\mathcal{L}_t = 1 \langle \frac{1}{\text{Vol}(\mathcal{N})} | \cdot \rangle + O_{L^2 \rightarrow L^2} (e^{-t/2})$.
- but what is in this remainder $O_{L^2 \rightarrow L^2} (e^{-t/2})$?

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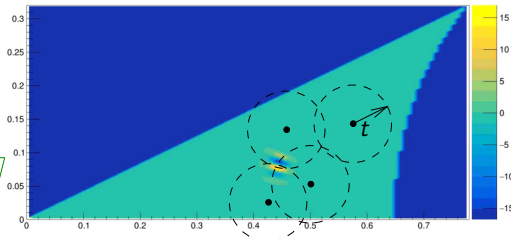
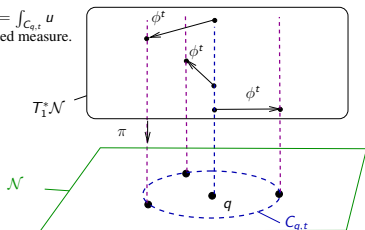
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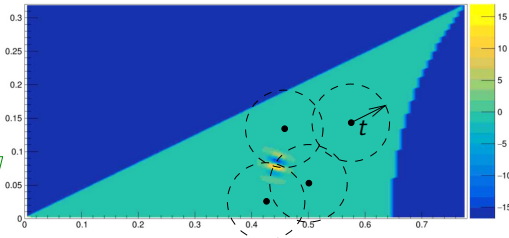
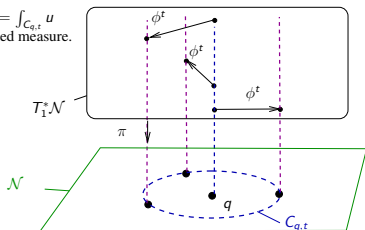
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On hyperbolic surfaces (special case)

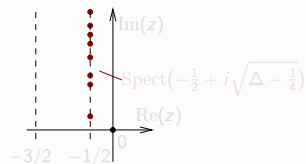
On an hyperbolic surface $\mathcal{N} = \Gamma \backslash \mathrm{SL}_2 \mathbb{R} / \mathrm{SO}_2$, with co-compact Γ ,

Theorem (Spherical mean on hyperbolic surface)

For $t \gg 1$, on $L^2(\mathcal{N})$,

$$\mathcal{L}_t = \underbrace{R_t}_{\text{finite rank}} + e^{-\frac{1}{2}t} \left(\underbrace{W}_{\text{wave propagator}} \underbrace{e^{it\sqrt{\Delta - \frac{1}{4}}}}_{\text{wave propagator}} + e^{-it\sqrt{\Delta - \frac{1}{4}}} W^\dagger + O_{L^2 \rightarrow L^2}(e^{-t}) \right)$$

- $W : H^s(\mathcal{N}) \rightarrow H^{s+1/2}(\mathcal{N}), \forall s \in \mathbb{R}$,
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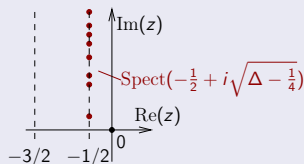
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- Proof: use representation theory, **principal series** of $sl_2\mathbb{R}$.

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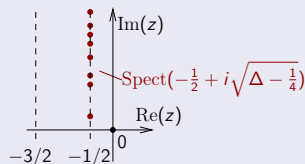
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- Rem: $R_t = 1 \langle \frac{1}{\mathrm{Vol}(\mathcal{N})} | \cdot \rangle + \text{other terms (compl. series)}$,
- Rem: $u_t = e^{\pm it\sqrt{\Delta - \frac{1}{4}}} u_0$ implies $\frac{\partial^2 u_t}{\partial t^2} = -(\Delta - \frac{1}{4}) u_t$: **“wave equation”**

On Anosov manifold (more general case)

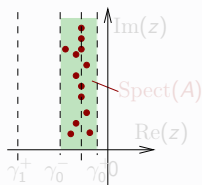
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Theorem (Spherical mean on Anosov manifold (F.T. 21 and in progress))

With pinching conditions $\gamma_1^+ < \gamma_0^- \leq \gamma_0^+$ (discussed later), for $t \gg 1$,

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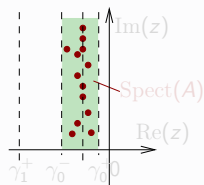
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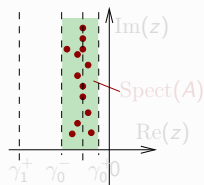
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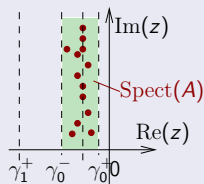
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 $\|e^{tA}\|_{L^2} \leq Ce^{t(\gamma_0^+ + \epsilon)}$, $\|e^{-tA}\|_{L^2}^{-1} \geq \frac{1}{C} e^{t(\gamma_0^- - \epsilon)}$, $\|e^{itA}\|_{L^2} \leq C$
- Operators W, A are **unique** (up to finite rank), given later.



On Anosov manifold (more general case)

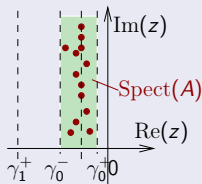
- Let (\mathcal{N}, g) be a closed Riemannian manifold with an **Anosov geodesic flow** e^{tX}

Theorem (Spherical mean on Anosov manifold (F.T. 21 and in progress))

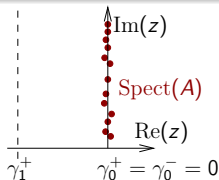
With pinching conditions $\gamma_1^+ < \gamma_0^- \leq \gamma_0^+$, for $t \gg 1$,

$$\mathcal{L}_t = (\pi^\circ)^\dagger e^{tX} \pi^\circ = \underbrace{R_t}_{\text{finite rank}} + We^{tA} + e^{tA^\dagger} W^\dagger + O_{L^2 \rightarrow L^2} \left(e^{(\gamma_1^+ + \forall \epsilon)t} \right)$$

- $W : H^s(\mathcal{N}) \rightarrow H^{s+1/2}(\mathcal{N}), \forall s \in \mathbb{R}$, invertible.
- $A = i\sqrt{\Delta} + O(H^s \rightarrow H^{s-\frac{1}{2}})$
- Operators W, A are **unique** (up to finite rank).



- “by twisting” with the bundle $F = |\det E_s|^{1/2}$, we get $\gamma_1^+ < \gamma_0^\pm = 0$ (F.-Tsuji 2013)
- More internal bands:** assuming $\gamma_{K+1}^+ < \gamma_K^-$, we can get remainder $O_{L^2 \rightarrow L^2} \left(e^{(\gamma_{K+1}^+ + \forall \epsilon)t} \right)$, $\forall K \in \mathbb{N}$.



On Anosov manifold (more general case)

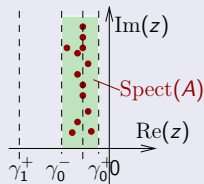
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- Operators W, A are **unique** (up to finite rank).



- Eigenfunctions of A are in $C^\infty(\mathcal{N})$.**

We will see that $\text{Spect}(A) =$ first band of **Pollicott-Ruelle spectrum** of X (discrete poles of $(z - X)^{-1} : C^\infty(M) \rightarrow \mathcal{D}'(M)$). (Ruelle, Pollicott, Baladi, Tsujii, Gouzel, Liverani, F. - Sjostrand, Datchev-Zworski Dyatlov, ...)

- So discrete **“Pollicott-Ruelle spectrum”** has an **intrinsic existence and manifestation in $L^2(\mathcal{N})$** (no anisotropic Sobolev space here!).

On Anosov manifold (more general case)

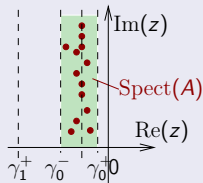
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- From Atiyah-Bott trace formula, $\text{Spect}(A)$ are **zeroes of a semi-classical zeta function** determined from the periodic orbits (Giulietti-Liverani-Pollicott 2012, Dyatlov-Zworski 2013, F.-Tsuji 2013).

Some related works

- In different situations, **band structure and Weyl law** for the spectrum of resonances:
 - ▶ Stefanov 1995, Sjöstrand-Zworski 1999 for **convex obstacles**
 - ▶ S. Dyatlov 2013 for **regular normally hyperbolic trapped sets**.
- **Emergence of quantum dynamics, band structure of Pollicott-Ruelle spectrum, using “Contact and Anosov properties”.**
 - ▶ for **contact extension of linear cat map** on \mathbb{T}^2 (F. 2006)
(this is a “normal form”, and shows the main mechanism with symplectic spinors)
 - ▶ for **contact extension of Anosov diffeom.** (F.-Tsuji 2012)
 - ▶ for **geodesic flow on hyperbolic manifolds** (Dyatlov-F-Guillarmou 2014, Guillarmou-Hilgert-Weich 2016)
 - ▶ for **contact Anosov flows** (F-Tsuji 2016, 2021, Guillarmou-Cekic 2020)
- **Spherical mean**
 - ▶ on **Euclidean space with obstacles** (Y. Bonthonneau, Y. Chaubet, V.Dang, M.Léautaud, G.Riviere 2022-24)
 - ▶ ...

General remarks on “quantization” in mathematics

- **Quantization** $\text{Op}(\cdot)$, (e.g. $\text{Op}(p_j) = -i \frac{\partial}{\partial q_j}$) applied to the geodesic flow gives the “**wave operator**” $\sqrt{\Delta} \approx \text{Op}(\|p\|_g)$ (with the Hodge Laplacian $\Delta = d^\dagger d$), that generates the **wave equation**, for $u_t \in C^\infty(\mathcal{N})$, $t \in \mathbb{R}$:

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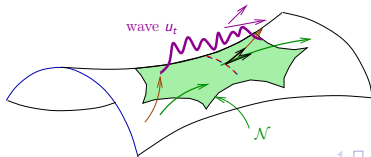
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- **Semi-classical analysis** (WKB theory, Egorov’s Theorem etc) shows that for small wave-length $\lambda \ll 1$, function u_t is approximately transported by the geodesics:

$$\text{wave equation} \quad \xrightarrow[t \text{ fixed}, \lambda \rightarrow 0]{} \quad \text{geodesic flow}$$

- **Ex:** geometrical optics is a limit of wave optics with $\lambda \approx 0.5 \mu\text{m}$.
Classical Newtonian mechanics is a limit of quantum Schrödinger mechanics. **movie of wave packet**



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- Curiously, Thm 4 concerns the **opposite direction**:

$$\text{geodesic flow} \underset{t \gg 1}{\Longrightarrow} \text{wave equation}$$

What does it mean?

General remarks on quantization(s) in mathematics

- Quantization is not unique: **many quantum operators (PDO) have the same classical limit (principal symbol)** but have **different spectra**.
 - ▶ For example with Weyl quantization, the operator depends on the choice of coordinates. In geometric quantization, the operator depends on the choice of polarization.
 - ▶ Hence the **classical dynamics does not determine the quantum spectrum** in general.
- The operator A in Thm 4 is **one quantization among others** but **uniquely defined from the Anosov geodesic flow** and has therefore **special properties w.r.t. the dynamics**, e.g. almost exact Trace formula, Van-Vleck formula (remainders are $O_{\hbar}(e^{-Ct}) \xrightarrow{t \rightarrow \infty} 0$), Exact Egorov ...
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Physical meaning? (informal discussion)

Let us observe the following similarities:

- 1 Thm 4 shows that the **propagation of probability measures** under a **deterministic but chaotic dynamics** (Anosov geodesic flow) is an equilibrium measure + small fluctuations governed by the Schrödinger wave equation, i.e. **“quantum dynamics emerges”**.
- 2 In physics, **experimental phenomena** are explained by **“quantum waves formalism”** with a **probabilistic interpretation**: $p(x) dx = |\psi(x)|^2 dx$. Physicists wonder if there is a underlying deterministic model for this.

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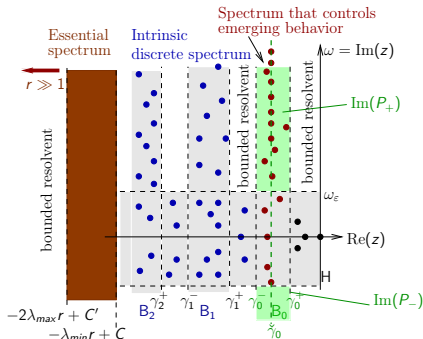
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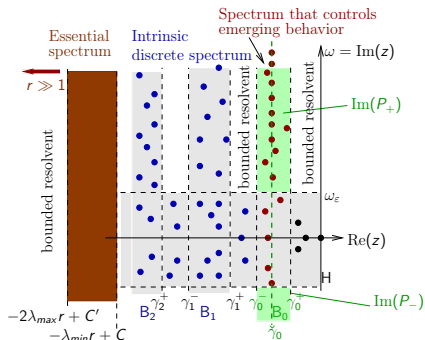
Ingredients of proof of thm 4



Based on:

- 1 [arxiv:2102.11196](https://arxiv.org/abs/2102.11196), with M. Tsujii that concerns **contact Anosov flows**
- 2 (Work in progress) “spherical mean” for **geodesic Anosov flows**

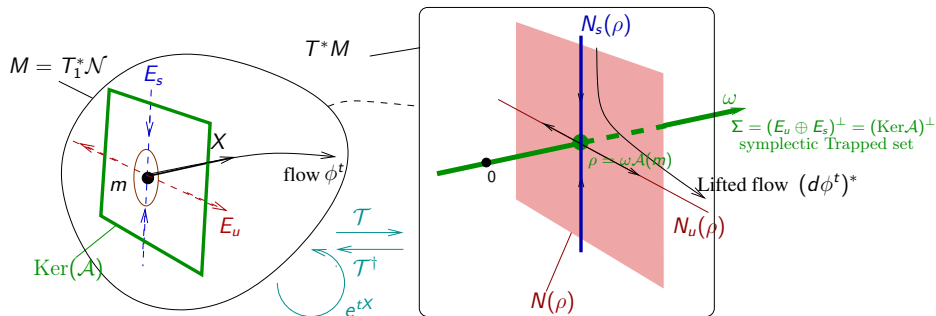
Ingredients of proof of thm 4



• Step 1: “discrete Pollicott-Ruelle spectrum with bands”.

- ▶ Anisotropic Sobolev spaces $\mathcal{H}_W(M)$ (from a weight function W on $T^*T_1^*\mathcal{N}$ adapted to the dynamics)
- ▶ We deduce **discrete Pollicott-Ruelle spectrum** of X in $\mathcal{H}_W(M)$, with gaps if $\gamma_1^+ < \gamma_0^-$.

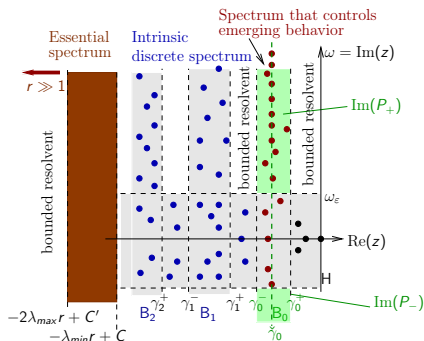
Ingredients of proof of thm 4



- We use **microlocal analysis** of X using **symplectic geometry**,
- At the heart of the proof (more details later): **symplectic spinors** and **emergence of quantum dynamics** for the bundle of linear symplectic maps

$$d(d\phi^t)^* : TT^*M \circlearrowright$$

Steps of the proof

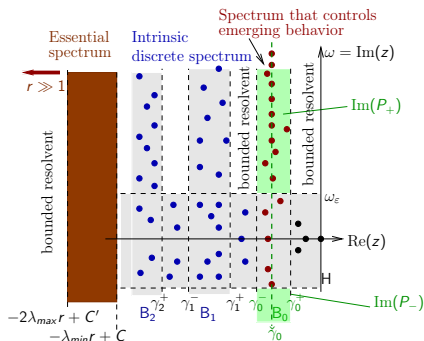


- **Step 2: “spherical mean”.** Deduce asymptotics $t \gg 1$ of

$$\mathcal{L}_t = (\pi^\circ)^\dagger e^{tX} \pi^\circ$$

- ▶ Use that the vertical direction $V = \text{Ker}(d\pi)$ is transverse to E_u, E_s (Klingenberg 74). Hence **averaging erases the wave-front set of Pollicott-Ruelle distributions.**

Steps of the proof



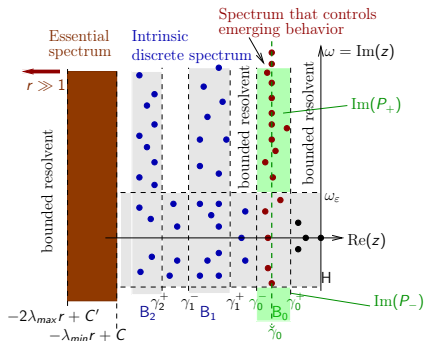
- Define a spectral (bounded) projector for the first band

$$P_{\pm} : \mathcal{H}_W(M) \rightarrow \text{Im}(P_{\pm}) \subset \mathcal{H}_W(M).$$

- Using transversality $V \perp (E_u, E_s)$, the **pull back is Fredholm**:

$$B_{\pm} := P_{\pm} \pi^{\circ} : L^2(\mathcal{N}) \rightarrow \text{Im}(P_{\pm})$$

Steps of the proof



Then (roughly),

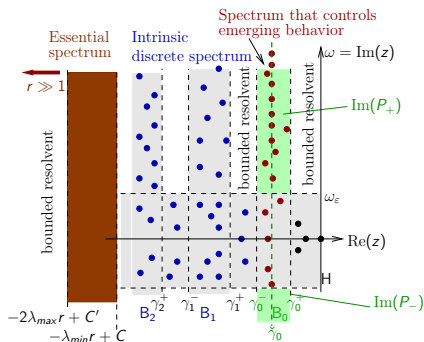
$$\mathcal{L}_t = (\pi^\circ)^\dagger e^{tX} \pi^\circ = \mathcal{L}_t^+ + \mathcal{L}_t^- + R_t + O_{L^2} \left(e^{\gamma_1^\dagger t} \right)$$

with

$$\mathcal{L}_t^\pm = (\pi^\circ)^\dagger e^{tX} P_\pm \pi^\circ = (\pi^\circ)^\dagger B_\pm B_\pm^{-1} e^{tX} B_\pm = W_\pm e^{tA_\pm}$$

$$B_\pm := P_\pm \pi^\circ, \quad A_\pm := B_\pm^{-1} X B_\pm, \quad W_\pm = (\pi^\circ)^\dagger B_\pm.$$

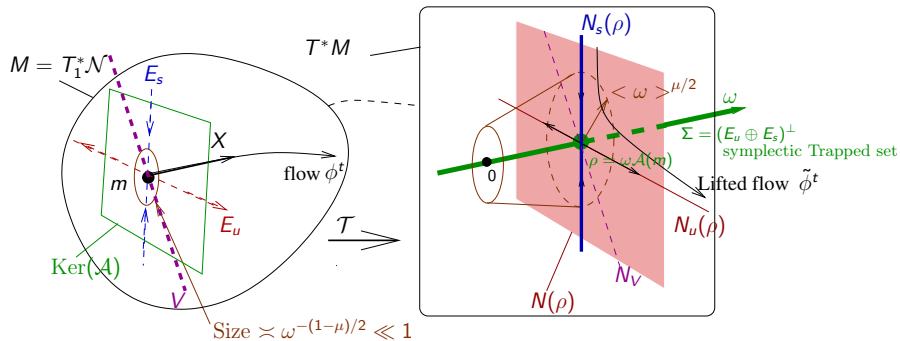
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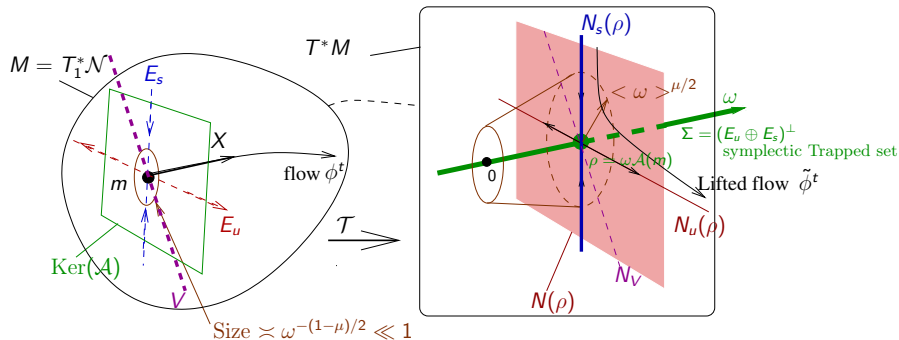
- **“Exact Egorov property”**: for $a \in C^\infty(M)$, we have $e^{tX} \mathcal{M}_a e^{-tX} = \mathcal{M}_{a \circ \phi^t}$. Define $\text{Op}(a) := B^{-1} \mathcal{M}_a B : L^2(\mathcal{N}) \rightarrow L^2(\mathcal{N})$. Then

$$\begin{aligned} e^{tA} \text{Op}(a) e^{-tA} &= (B^{-1} e^{tX} B) (B^{-1} \mathcal{M}_a B) (B^{-1} e^{-tX} B) \\ &= \text{Op}(a \circ \phi^t) \end{aligned}$$

Step 1 of the proof (more details)

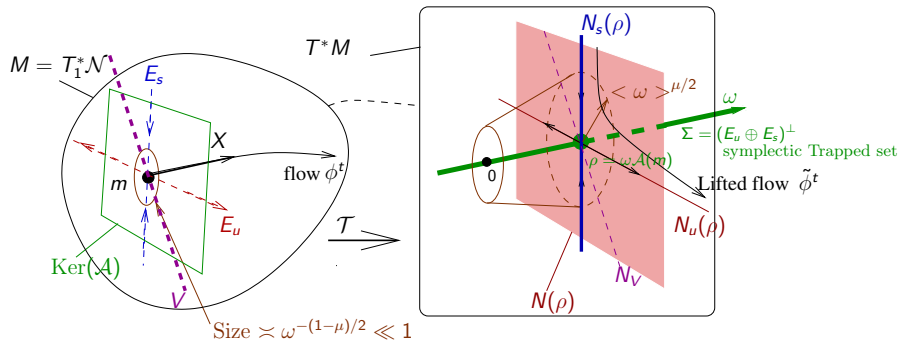


Step 1 of the proof (more details)



- e^{tX} is a **Fourier integral operator**: in the limit of high frequencies, its action is well described on the cotangent bundle T^*M with the induced flow $\tilde{\phi}^t := (d\phi^t)^*$, $t \in \mathbb{R}$.

Step 1 of the proof (more details)



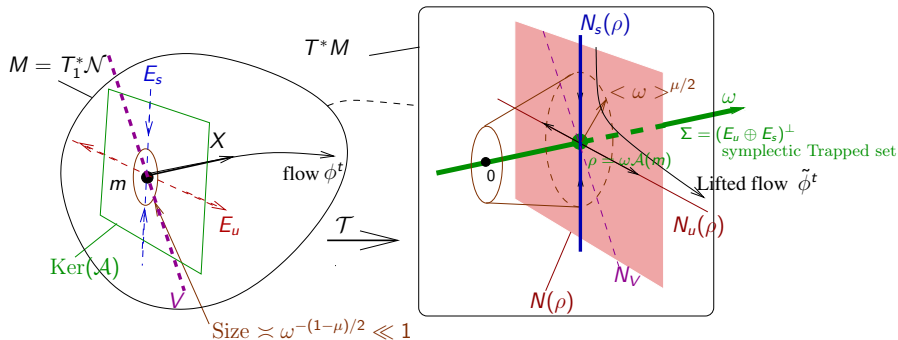
- Introduce a **Hörmander metric** g on T^*M , Ω -compatible.
- define an L^2 -isometric “**wave-packet transform**”

$$T : C^\infty(M; F) \rightarrow \mathcal{S}(T^*M; F)$$

to use **micro-local analysis** on T^*M for the pull back operator e^{tX} .

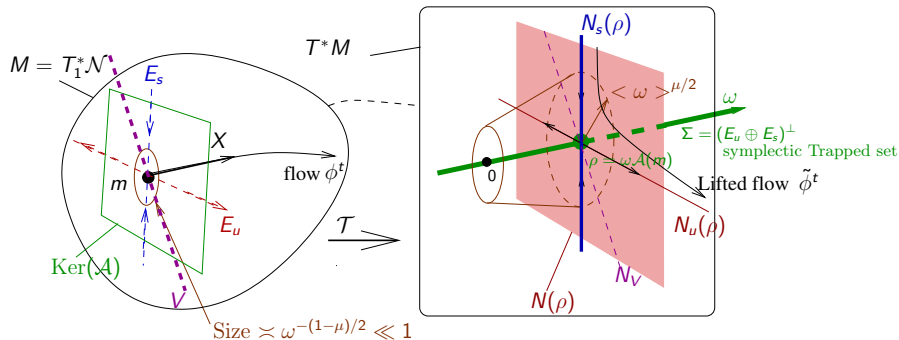
- The unit boxes for the metric g correspond to the effective size of wave-packets and reflect the **uncertainty principle**.

Step 1 of the proof (more details)



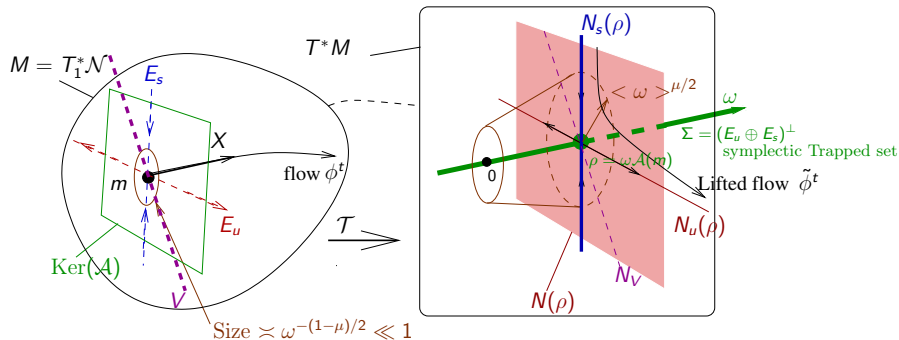
- The dynamics $\tilde{\phi}^t$ is a “**scattering dynamics**” on the **trapped set** $\Sigma = \mathbb{R}^*\mathcal{A} \subset T^*M$ (Liouville 1-form)
- Σ is **symplectic** and **normally hyperbolic**.
- **In the outer part of Σ** , we put a weight W such that $W(\tilde{\phi}^t(\rho))$ decays with $t \rightarrow +\infty$. Hence the operator e^{tX} has a negligible contribution in some anisotropic Sobolev space \mathcal{H}_W .
- So only the **dynamics in a vicinity of Σ** plays a role for our purpose.

Step 1 of the proof (more details)



- We consider a **vicinity of Σ** of a given g -size $\omega^{\mu/2}$, at $\rho = \omega A(m) \in \Sigma$, with some $0 < \mu < 1$.
- The projection on M has size $\asymp \omega^{-(1-\mu)/2} \ll 1$ if $\omega \gg 1$.
- This will allow us to use the **linearization of the dynamics $\tilde{\phi}^t$** as a local approximation.

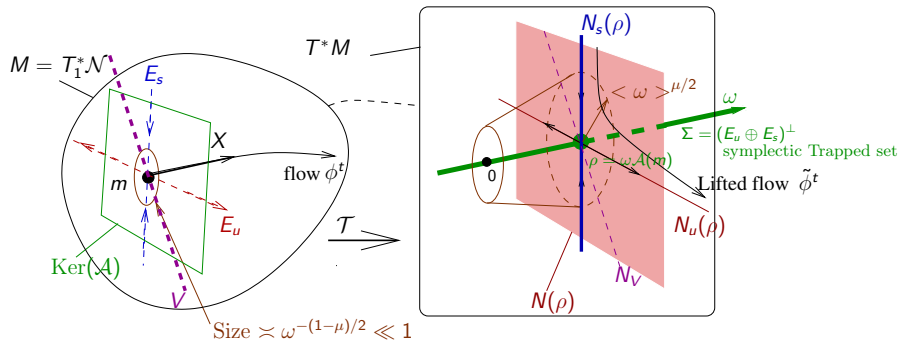
Step 1 of the proof (more details)



- At $\rho = \omega \mathcal{A}(m) \in \Sigma$, there is a **micro-local decoupling** (idem symplectic spinors)

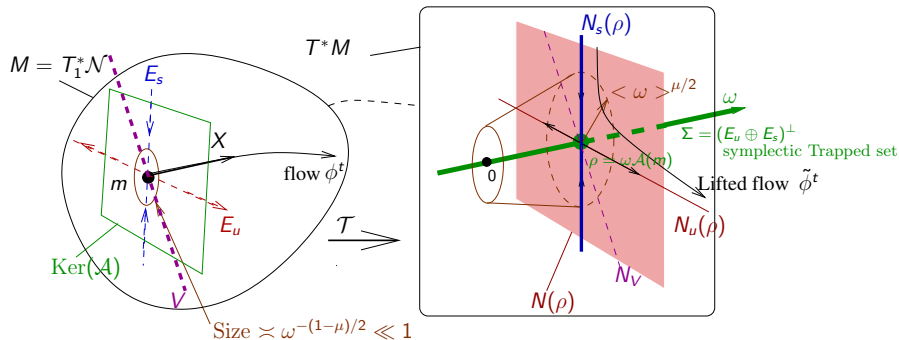
$$T_\rho T^*M = \underbrace{T_\rho \Sigma}_{\text{Tangent}} \oplus^\perp \underbrace{(N_u(\rho) \oplus N_s(\rho))}_{\text{normal}} \quad : \text{invariant decomp.}$$

Step 1 of the proof (more details)



- The dynamics on the normal direction N is hyperbolic and responsible for the emergence of polynomial functions along the stable direction $N_s \equiv E_s$ idem $V = -x \frac{d}{dx}$, $Vx^k = (-k)x^k$ on \mathbb{R} .
- We introduce **approximate projectors** $\text{Op}_\Sigma(T_k)$ that restricts functions to the symplectic trapped set Σ and that are polynomial valued along N_s with degree k .
- Rem: this projector plays a similar role as the Bergman projector (or Szegő projector) in **geometric quantization**.

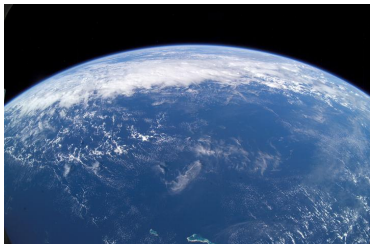
Step 1 of the proof (more details)



- What remains for large time, is an **effective Hilbert space** of functions (or quantum waves) that live on the trapped set Σ , valued in the vector bundle $\mathcal{F}_k = |\det E_s|^{-1/2} \otimes \text{Pol}_k(E_s)$.
- We deduce band structure of X and other properties.
- Rem: the **main geometrical object** considered in this paper is this fibration $N_s \rightarrow \Sigma \rightarrow M = T_1^*\mathcal{N} \rightarrow \mathcal{N}$.

What is the meaning of going beyond the equilibrium description for the Pollicott-Ruelle spectrum?

Illustration: **the ocean** is quite, deep, flat, gentle \equiv **Equilibrium state**, but with a better look, the behavior at the surface may be furious, wavy, and never stop to move. Are they neglectible?

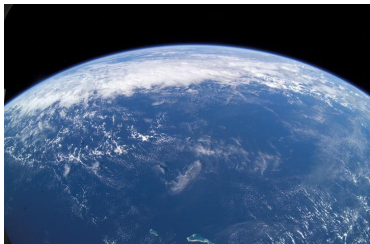


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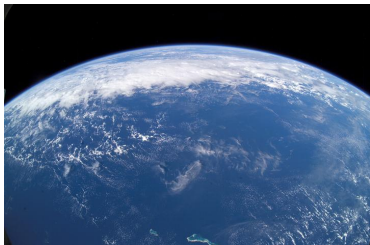


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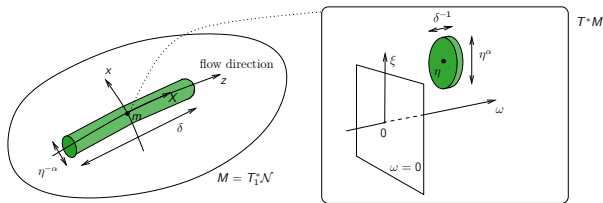


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(*) Wave packets

- Local flow box coordinates on M : $y = (x, z) \in \mathbb{R}^n \times \mathbb{R}$ s.t. $X = \frac{\partial}{\partial z}$ and dual coordinates $\eta = (\xi, \omega) \in \mathbb{R}^n \times \mathbb{R}$ on T_y^*M .



- Let $\frac{1}{2} \leq \alpha < 1$ and $0 < \delta \ll 1$. **Wave packet function** is:

$$\varphi_{(y, \eta)}(y') \Big|_{|\eta| \gg 1} \approx a \exp \left(i\eta \cdot y' - \left| \frac{x' - x}{\langle \eta \rangle^{-\alpha}} \right|^2 - \left| \frac{z' - z}{\delta} \right|^2 \right), \quad \|\varphi_{(y, \eta)}\|_{L^2(M)} \Big|_{|\eta| \gg 1} \approx 1$$

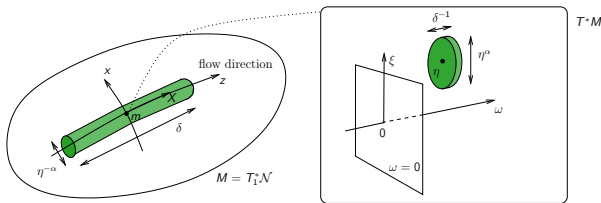
- Metric g on T^*M** , compatible with $\Omega = dy \wedge d\eta$:

$$g_{y, \eta} = \left(\frac{dx}{\langle \eta \rangle^{-\alpha}} \right)^2 + \left(\frac{d\xi}{\langle \eta \rangle^\alpha} \right)^2 + \left(\frac{dz}{\delta} \right)^2 + \left(\frac{d\omega}{\delta^{-1}} \right)^2$$

- Rem: $\alpha \geq \frac{1}{2} \Leftrightarrow g$ remains equivalent uniformly/ η after change of flow box coordinates.

(*) Wave packets

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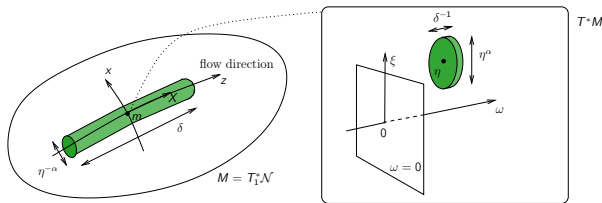
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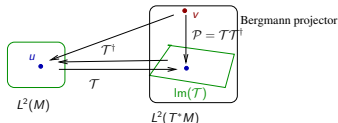
(* Wave packet transform (or FBI, wavelet, Bargmann, Anti-Wick... transform)

(Abuse of notations that forget charts and partitions of unity.)

$$\mathcal{T} : \begin{cases} C^\infty(M) & \rightarrow \mathcal{S}(T^*M) \\ u(y') & \rightarrow (\mathcal{T}u)(y, \eta) := \langle \varphi_{y, \eta}, u \rangle_{L^2(M)} \end{cases}$$

Lemma (fundamental 1. "Resolution of identity")

$$\mathcal{T}^* \circ \mathcal{T} = \text{Id}$$



Remarks: $\forall u \in C^\infty(M)$, $u(y') = \int_{T^*M} \varphi_{y, \eta}(y') \langle \varphi_{y, \eta}, u \rangle \frac{dy d\eta}{(2\pi)^{n+1}}$.

$\mathcal{T} : L^2(M) \rightarrow \text{Im}(\mathcal{T}) \subset L^2(T^*M)$ is an isomorphism. Hence **we "lift the analysis to T^*M "**.

$\Pi = \mathcal{T} \circ \mathcal{T}^* : L^2(T^*M) \rightarrow \text{Im}(\mathcal{T})$ is an orthogonal projector.