

# METRIC REALIZATION OF FUZZY SIMPLICIAL SETS

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ABSTRACT. We discuss fuzzy simplicial sets, and their relationship to (a mild generalization of) metric spaces. Namely, we present an adjunction between the categories: a metric realization functor and fuzzy singular complex functor that generalize the usual geometric realization and singular functors. Finally, we show how these constructions relate to persistent homology.

The following document is a rough draft and may have (substantial) errors.

## 1. FUZZY SIMPLICIAL SETS

Let  $I$  denote the topological space whose underlying set of points is  $(0, 1] \in \mathbb{R}$  and whose open sets are the intervals  $(0, a)$  where  $a \in (0, 1]$ . In other words, the category of open sets and inclusions in  $I$  is equivalent to the partially ordered set  $(0, 1]$  under the relation  $\leq$ . It has a Grothendieck topology given in the usual way, so  $I$  has an “underlying Grothendieck site.” We may abuse notation by writing  $I$  to denote the poset of open sets in the topological space  $I$ , either as a category or as a site.

A sheaf  $S \in \mathbf{Shv}(I)$  on  $I$  is a functor  $S: I^{\text{op}} \rightarrow \mathbf{Sets}$  satisfying the sheaf condition. Explicitly,  $S$  consists of a set  $S([0, a))$  for all  $a \in (0, 1]$ , which we choose to denote by  $S^{\geq a}$ , and restriction maps  $\rho_{b,a}: S^{\geq b} \rightarrow S^{\geq a}$  for all  $b \geq a$ , such that if  $c \geq b \geq a$  then  $\rho_{b,a} \circ \rho_{c,b} = \rho_{c,a}$ , and such that, for any non-empty subset  $A \subset (0, 1]$  with supremum  $a = \sup(A)$ , one has

$$S^{\geq a} \cong \lim_{a' \in A} S^{\geq a'}.$$

A sheaf  $S$  is called a *fuzzy set* if for each  $b \geq a$  in  $(0, 1]$ , the restriction map  $\rho_{b,a}$  is injective. Let  $\mathbf{Fuz}$  denote the full subcategory of  $\mathbf{Shv}(I)$  spanned by the fuzzy sets. This definition is slightly different than Goguen’s [Gog], but is closely related. See [Bar]. The difference between fuzzy sets  $T$  and arbitrary sheaves  $S \in \mathbf{Shv}(I)$  is that, in  $T$  two elements are either equal or they are not, whereas two elements  $x \neq y \in S^{\leq a}$  may be equal to a certain degree,  $\rho_{a,c}(x) = \rho_{a,c}(y)$  for some  $c < a$ .

Suppose  $S \in \mathbf{Shv}(I)$  is a sheaf. For  $a \in (0, 1]$ , let  $S(a) = S^{\geq a} - \text{colim}_{b > a} S^{\geq b}$ , and note that  $S^{\geq a} = \text{colim}_{b \geq a} \rho_{b,a}[S(b)]$ . If  $T$  is a fuzzy set, we can make this easier on the eyes:

$$T^{\geq a} = \coprod_{b \geq a} T(b).$$

We write  $x \in S$  and say that  $x$  is an element of  $S$ , if there exists  $a \in (0, 1]$  such that  $x \in S(a)$ ; in this case we may say that  $x$  is an element of  $S$  with strength  $a$ .

The following definition may bring things down to earth.

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**Definition 1.1.** A *classical fuzzy set* is a pair  $(X, \eta)$  where  $X$  is a set and  $\eta: X \rightarrow (0, 1]$  is a function. Given classical fuzzy sets  $(X, \eta_X)$  and  $(Y, \eta_Y)$ , *morphism of classical fuzzy sets* between them is a function  $f: X \rightarrow Y$  such that  $\eta_Y(f(x)) \geq \eta_X(x)$  for all  $x \in X$ .

Let  $T$  denote a fuzzy set. Let  $\bar{T}$  denote the set  $\bigcup_{a \in (0, 1]} T^{\geq a}$ , and define  $\eta: \bar{T} \rightarrow (0, 1]$  by  $\eta(x) = a$  if the following condition holds:  $x \in T^{\geq a}$  and for all  $a' \geq a$  one has  $x \notin T^{\geq a'}$ . We refer to the pair  $(\bar{T}, \eta)$  as the *classical form for  $T$* . We refer to  $\bar{T}$  as the *underlying set* of  $T$  and to  $\eta$  as the *characteristic function* for  $T$ . This construction is functorial and induces an isomorphism of categories between fuzzy sets and classical fuzzy sets.

The following lemma says that, under a map of fuzzy sets, an element cannot be sent to an element of lower strength.

**Lemma 1.2.** *Suppose that  $S$  and  $T$  are fuzzy sets. If  $f: S \rightarrow T$  is a morphism of fuzzy sets, then for all  $a, b \in (0, 1]$ , if  $x \in S(a)$  then  $f(x) \in T(b)$  for some  $b \geq a$ .*

*Proof.* Since  $x \in S^{\geq a}$ , we have by definition that  $f(x) \in T^{\geq a}$ , so  $x \in T(b)$  for some  $b \geq a$ . □

**Lemma 1.3.** *The forgetful functor  $\mathbf{Fuz} \rightarrow \mathbf{Shv}(I)$  is fully faithful and has a left adjoint  $m$ . Thus  $\mathbf{Fuz}$  is closed under taking colimits.*

*Proof.* Given a sheaf  $S: I^{\text{op}} \rightarrow \mathbf{Sets}$  and  $a \in (0, 1]$ , let  $(mS)^{\geq a} = S^{\geq a} / \sim$ , where for  $x, x' \in S^{\geq a}$ , we set  $x \sim x'$  if there exists  $b \leq a$  such that  $\rho_{a,b}(x) = \rho_{a,b}(x')$ . Clearly,  $mS$  is a fuzzy set, and one checks that  $m$  is left adjoint to the forgetful functor.

To compute the colimit of a diagram in  $\mathbf{Fuz}$ , one applies the forgetful functor, takes the colimit in  $\mathbf{Shv}(I)$ , and applies the left adjoint. □

Let  $\Delta$  denote the simplicial indexing category, and denote its objects by  $[n]$  for  $n \in \mathbb{N}$ .

**Definition 1.4.** A *fuzzy simplicial set* is a functor  $\Delta^{\text{op}} \rightarrow \mathbf{Fuz}$ . A *morphism of fuzzy simplicial sets* is a natural transformation of functors. The category of fuzzy simplicial sets is denoted  $\mathbf{sFuz}$ .

A fuzzy simplicial set is a simplicial set in which every simplex has a strength. A simplex has strength at most the minimum of its faces. All degeneracies of a simplex have the same strength as the simplex.

A fuzzy simplicial set  $X: \Delta^{\text{op}} \rightarrow \mathbf{Fuz}$  can be rewritten as a sheaf  $X: (\Delta \times I)^{\text{op}} \rightarrow \mathbf{Sets}$ , where  $\Delta$  has the trivial Grothendieck topology and  $\Delta \times I$  has the product Grothendieck topology. We write  $X_n^{<a}$  to denote the set  $X([n], [0, a))$ .

For  $n \in \mathbb{N}$  and  $i \in I$ , let  $\Delta_i^n \in \mathbf{sFuz}$  denote the functor represented by  $(n, i)$ . If  $i = [0, a)$  we may also write  $\Delta_{<a}^n$  to denote  $\Delta_i^n$ . Note that a map  $f: [n] \rightarrow [m]$  induces a unique map  $F: \Delta_{<a}^n \rightarrow \Delta_{<b}^m$  if and only if  $a \leq b$ ; otherwise there can be no such  $F$ .

Any fuzzy simplicial set  $X$  can be canonically written as the colimit of its diagram of simplices:

$$\text{colim}_{\Delta_{<a}^n \rightarrow X} \Delta_{<a}^n \xrightarrow{\cong} X$$

## 2. UBER-METRIC SPACES

We define a category of uber-metric spaces, which are metric spaces except with the possibility of  $d(x, y) = \infty$  or  $d(x, y) = 0$  for  $x \neq y$ .

**Definition 2.1.** An *uber-metric space* is a pair  $(X, d)$ , where  $X$  is a set and  $d: X \times X \rightarrow [0, \infty]$ , such that for all  $x, y, z \in X$ ,

- (1)  $d(x, x) = 0$ ,
- (2)  $d(x, y) = d(y, x)$ , and
- (3)  $d(x, z) \leq d(x, y) + d(y, z)$ .

Here we consider  $x \leq \infty$  and  $x + \infty = \infty + x = \infty$  for all  $x \in [0, \infty]$ . We call  $d$  an *uber-metric* or just a *metric* on  $X$ .

A *morphism of uber-metric spaces*, denoted  $f: (X, d_X) \rightarrow (Y, d_Y)$  is a function  $f: X \rightarrow Y$  such that  $d_Y(f(x_1), f(x_2)) \leq d_X(x_1, x_2)$  for all  $x_1, x_2 \in X$ . Such functions are also called *non-expansive*.

These objects and morphisms define a category called *the category of uber-metric spaces* and denoted **UM**.

**Lemma 2.2.** *The category **UM** is closed under colimits.*

*Proof.* We must show that **UM** has an initial object, arbitrary coproducts, and coequalizers. The set  $\emptyset$  is the initial object in **UM**.

Let  $A$  be a set and for all  $a \in A$ , let  $(X_a, d_a)$  denote a metric space. Let  $X_A$  denote the set  $\coprod_{a \in A} X_a$ ; and let  $d_A$  denote the metric such that for all  $y, y' \in X_A$ , if there exists  $a \in A$  such that  $y, y' \in X_a$  then  $d_A(y, y') = d_a(y, y')$ , but if instead  $y$  and  $y'$  are in separate components then  $d_A(y, y') = \infty$ . One checks that  $(X_A, d_A)$  is an uber-metric space and that it satisfies the universal property for a coproduct.

Finally, suppose that

$$A \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} X \xrightarrow{[-]} Y$$

is a coequalizer diagram of sets. Write  $x \sim x'$  if there exists  $a \in A$  with  $x = f(a), y = g(a)$ ; then  $Y = X / \sim$  is the set of equivalence classes. If  $y = m(x)$ . If  $d_X$  is a metric on  $X$ , we define a metric ([Wik])  $d_Y$  on  $Y$  by

$$d_Y([x], [x']) = \inf(d_X(p_1, q_1) + d_X(p_2, q_2) + \cdots + d_X(p_n, q_n)),$$

where the infimum is taken over all pairs of sequences  $(p_1, \dots, p_n), (q_1, \dots, q_n)$  of elements of  $X$ , such that  $p_1 \sim x, q_n \sim x'$ , and  $p_{i+1} \sim q_i$  for all  $1 \leq i \leq n-1$ . Again, one checks that  $(Y, d_Y)$  is an uber-metric space which satisfies the universal property of a coequalizer.  $\square$

## 3. METRIC REALIZATION

In order to define a metric realization functor  $Re: \mathbf{sFuz} \rightarrow \mathbf{UM}$ , we first define it on the representable sheaves in **sFuz** and then extend to the whole category using colimits (i.e. using a left Kan extension).

Recall the usual metric on Euclidean space  $\mathbb{R}^m$  and let  $\mathbb{R}_{\geq 0}^m$  denote the  $m$ -tuples all of whose entries are non-negative. Recall also that objects of  $I$  are of the form  $[0, a)$  for  $0 < a \leq 1$ . For an object  $([n], [0, a)) \in \mathbb{N} \times I$ , define  $Re(\Delta_{\geq a}^n)$ , as a set, to be

$$\{(x_0, x_1, \dots, x_n) \in \mathbb{R}^{n+1} \mid x_0 + x_1 + \cdots + x_n = -\lg(a)\},$$

where  $\lg(a)$  means the log (base 2) of  $a$ . We take as our metric on  $Re(\Delta_{<a}^n)$  to be that induced by its inclusion as a subspace of  $\mathbb{R}^{n+1}$ .

A morphism  $([n], [0, a]) \rightarrow ([m], [0, b])$  exists if  $a \leq b$ , and in that case consists of a morphism  $\sigma: [n] \rightarrow [m]$ . We define  $Re(\sigma, a \leq b): Re(\Delta_{<a}^n) \rightarrow Re(\Delta_{<b}^m)$  to be the map

$$(x_0, x_1, \dots, x_n) \mapsto \frac{\lg(b)}{\lg(a)} \left( \sum_{i_0 \in \sigma^{-1}(0)} x_{i_0}, \sum_{i_1 \in \sigma^{-1}(1)} x_{i_1}, \dots, \sum_{i_m \in \sigma^{-1}(m)} x_{i_m} \right).$$

Note that this map is non-expansive because  $0 < a \leq b \leq 1$  implies that  $\frac{\lg(b)}{\lg(a)} \leq 1$ .

We are ready to define  $Re$  on a general  $X$  as

$$Re(X) := \operatorname{colim}_{\Delta_{<a}^n \rightarrow X} Re(\Delta_{<a}^n).$$

This functor preserves colimits, so it has a right adjoint, which we denote  $Sing: \mathbf{UM} \rightarrow \mathbf{sFuz}$ . It is given on  $Y \in \mathbf{UM}$  by

$$Sing(Y)_n^{<a} = \operatorname{Hom}_{\mathbf{UM}}(Re(\Delta_{<a}^n), Y).$$

#### 4. PERSISTENT HOMOLOGY

Let  $Ch_{\geq 0}$  denote the category of non-negatively graded chain complexes of abelian groups (whose differential decreases degree). Given a fuzzy simplicial set  $X$ , its *persistence complex* is a functor  $P_X: I^{\text{op}} \rightarrow Ch_{\geq 0}$ , which in degree  $n$  is

$$P_X([0, a])_n = \mathbb{Z}\langle X_n^{\geq a} \rangle,$$

the free  $\mathbb{Z}$ -module generated by the  $n$ -simplices of strength at least  $a$  in  $X$ . The boundary maps are computed in the usual way. The homology of  $P_X$  is the functor

$$H_*(-; \mathbb{Z}) \circ \operatorname{Ob}(P_X): I^{\text{op}} \rightarrow \mathbf{gVect},$$

where  $\mathbf{gVect}$  is the category of graded vector spaces. In other words, for every  $a \in (0, 1]$ , one has homology groups  $H_n^{\geq a}(X; \mathbb{Z})$ , and if  $a \geq b$ , one has a map  $H_n^{\geq a}(X; \mathbb{Z}) \rightarrow H_n^{\geq b}(X; \mathbb{Z})$ .

Given a finite metric space  $(M, d_M)$ , one can form a fuzzy graph  $G(M)$  as follows. On vertices, take  $G(M)_0^{\geq a} = M$ , for all  $a \in (0, 1]$ . For edges, take

$$G(M)_1^{\geq a} = \{(m_1, m_2) \in M \times M \mid d_M(m_1, m_2) \leq -\lg(a)\}$$

Now of course, there is a left adjoint that builds a fuzzy simplicial set  $F(G)$  out of a fuzzy graph  $G$ , using cliques. Precisely, we have

$$F(G)_n^{\geq a} = \operatorname{Hom}(sk_1(\Delta_{<a}^n), G),$$

where  $sk_1$  denotes the 1-skeleton functor from fuzzy simplicial sets to fuzzy graphs.

Taking our original finite metric space  $M$ , form  $F(G(M))$ , take its persistent homology in the above sense, and I conjecture that this agrees with its persistent homology in the sense I heard about from Gunnar Carlsson.

#### REFERENCES

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