

# Perspectives on Hilbert's 13th Problem

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VaNTAGe  
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# Joint with Benson Farb and Mark Kisin



# Alex Sutherland and Claudio Gómez-González



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2. Entice more people to think about and work on H13 and surrounding problems.

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1. Share perspectives on Hilbert's 13th problem.
2. Entice more people to think about and work on H13 and surrounding problems. (more people, more progress)

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3. a finite extension  $K_0 \hookrightarrow L_0$ , and
4. an isomorphism  $K \otimes_{K_0} L_0 \cong L$  over  $K$ .

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Let  $k$  be a field of characteristic not 2. Let  $K \hookrightarrow L$  be a quadratic extension of  $k$ -fields. Then  $ed_k(L/K) = 1$ .

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(quadratic formula)



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**Theorem** (Italians)

Let  $k$  be a field of characteristic not 2 or 3. Let  $K \hookrightarrow L$  be an extension of  $k$ -fields with  $[L : K] \leq 4$ . Then

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**Theorem** (Hamilton, Klein)

Let  $k$  be a field of characteristic not 2, 3. Let  $K \hookrightarrow L$  be a sextic extension of  $k$ -fields. Then  $rd_k(L/K) \leq 2$ .



# Essence of H13

## **Problem** (Hilbert)

Prove there exists an extension of  $k$ -fields  $K \hookrightarrow L$  with  $rd_k(L/K) > 1$ .

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## **Problem** (Hilbert, Segre)

For each  $n > 0$ , prove there exists an extension of  $k$ -fields  $K \hookrightarrow L$  with  $rd_k(L/K) > n$ .

# Essence of H13

## **Problem** (Hilbert)

Prove there exists an extension of  $k$ -fields  $K \hookrightarrow L$  with  $rd_k(L/K) > 1$ .

What kind of problem is this?

# Arnold's Mushroom

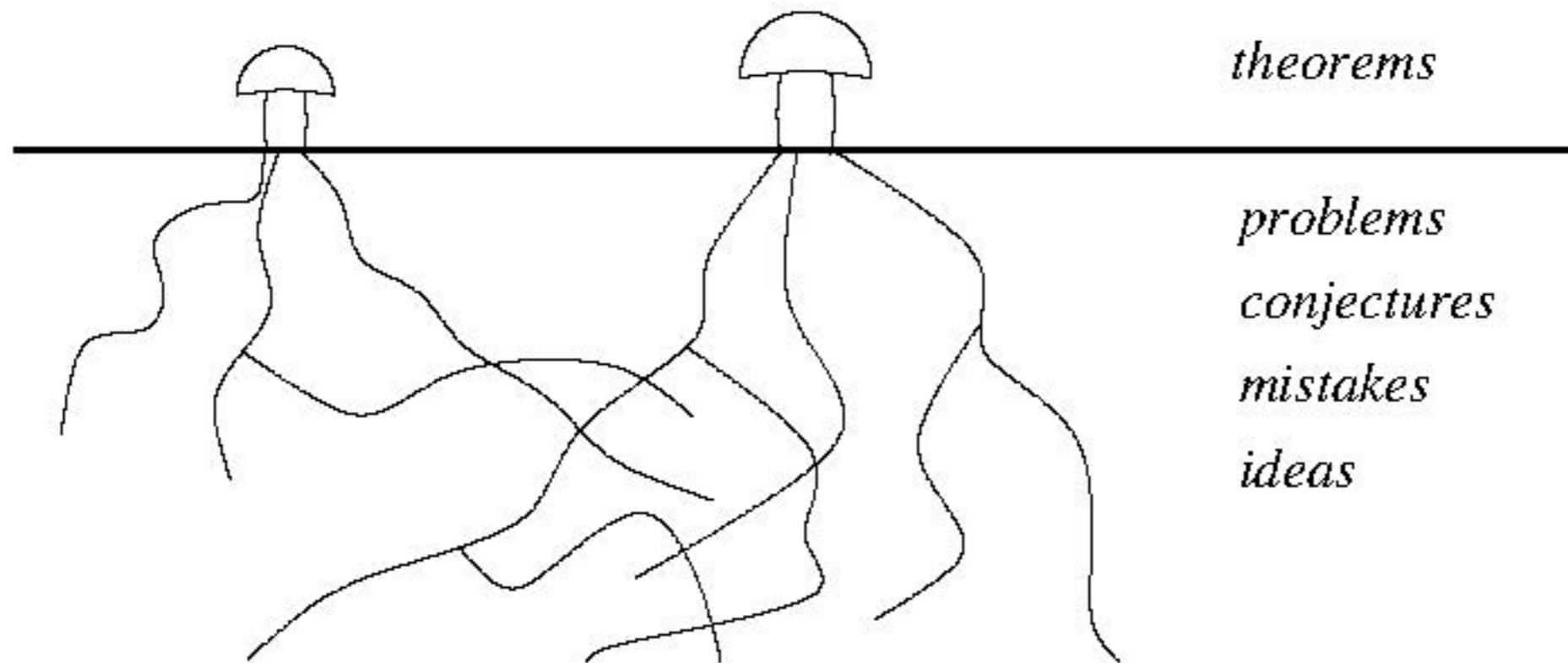


Figure 1: The Mathematical Mushroom

# H13 as Galois theory

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$\exists$  *resolvent filtration*  $K \hookrightarrow K^0 \hookrightarrow K^1 \hookrightarrow \dots \hookrightarrow K^d = \bar{K}$  where  
 $d = tr \cdot deg_k(K)$ .



# H13 as Galois theory

Resolvent filtration  $K \hookrightarrow K^0 \hookrightarrow K^1 \hookrightarrow \dots \hookrightarrow K^d = \bar{K}$

## **Properties**

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3. Let  $\varphi: \bar{K} \rightarrow \bar{K}'$  be a map of  $k$ -fields with  $\varphi(K) \subset K'$ .  
Then  $\varphi(K^i) \subset (K')^i$ .

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Resolvent filtration  $\Gamma_K \supseteq \Gamma_{K\bar{k}} \supseteq \Gamma_K^1 \supseteq \dots \supseteq \Gamma_K^d = 1.$

## Properties

1. Maps of  $k$ -fields preserve the filtration.

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## Properties

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2.  $rd_k > 1 \Leftrightarrow \exists K$  s.t.  $\Gamma_K^1 \neq 1.$

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Resolvent filtration:

$$\pi_1^{et}(X, \bar{\eta}) \supseteq \pi_1^{et}(X_{\bar{k}}, \bar{\eta}) \supseteq \pi_1^{et}(X, \bar{\eta})^1 \supseteq \cdots \supseteq \pi_1^{et}(X, \bar{\eta})^d = 1.$$



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For  $f: (X, \bar{\eta}) \rightarrow (Y, \bar{\eta})$  over  $k$ ,

$$f_*(\pi_1^{et}(X, \bar{\eta})^i) \subset \pi_1^{et}(Y, \bar{\eta})^i.$$

# H13 as Galois theory

**Theorem** (Farb-Kisin-W)

Let  $N \geq 3$ , and let  $A_{g,N}$  be the (fine) moduli space of PPAVs.

Let  $\bar{\eta} \rightarrow A_{g,N}$  be a geometric point. Let  $p \nmid N$  be a prime.

Then  $\pi_1^{et}(A_{g,N/\mathbb{C}}, \bar{\eta})^{\binom{g+1}{2}^{-1}} \neq 1$ .

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**Proof:** 1) Reduce to showing that for any étale  $E \rightarrow A_{g,N/\mathbb{C}}$  such that  $\pi_1^{et}(E, \bar{\eta}) \rightarrow \pi_1^{et}(A_{g,N/\mathbb{C}}, \bar{\eta}) \rightarrow Sp_{2g}(\mathbb{F}_p)$  is surjective,

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2) Congruence Subgroup Property  $\Rightarrow \exists \ell$  with  $p \nmid \ell$  s.t.

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3) FKW2021  $\Rightarrow \nexists f: A_{g,\ell N/\mathbb{C}} \rightarrow Z, \tilde{Z} \rightarrow Z$  étale, and  $f^*\tilde{Z} \simeq A_{g,pN}|_{A_{g,\ell N/\mathbb{C}}}$  if  $\dim Z < A_{g,N}$ . ■

# H13 as Galois theory

**Theorem** (Farb-Kisin-W)

Let  $N \geq 3$ , and  $p \nmid N$ . Then  $\pi_1^{et}(A_{g,N}, \bar{\eta})^{\binom{g+1}{2}^{-1}} \neq 1$ .

$\therefore$  Core challenge is birational!



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**Counterpoint:**  $rd_k$  minimizes over accessory irrationalities.  
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**Definition** (Buhler-Reichstein)

Let  $Y \rightarrow X$  be a finite map of  $k$ -schemes. The *essential dimension*  $ed_k(Y/X)$  is the least  $d$  for which there exists:

1. a rational map  $f: X \dashrightarrow Z$  with  $\dim_k Z \leq d$ ,
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$\therefore$  For every  $n$ ,  $\exists Y \rightarrow X$  with  $ed_k(Y/X) > n$ .

# H13 as Galois theory

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**Problem** Does there exist a local ring  $A$  such that  $\pi_1^{et}(\text{Spec}(A))^1 \neq 1$ ?

# H13 as Group Theory

## **Definition**

Let  $k$  be a field. Define the *resolvent degree* of a finite group  $G$  by

$$rd_k(G) := \sup_{L/K \text{ Galois } G\text{-ext.}} rd_k(L/K)$$

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## Properties

1.  $H \subset G \Rightarrow rd_k(H) \leq rd_k(G)$
2.  $rd_k(G) \leq \max\{rd_k(G_i) \mid G_i \text{ simple factor of } G\}$
3.  $rd_k(G) \leq \min\{\dim_k V \mid \exists \rho: G \rightarrow GL(V)\}$ .

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4.  $rd_k(A_8), rd_k(A_9), rd_k(W(E_7)^+) \leq 4. rd_k(W(E_8)^+) \leq 5.$
5. Upper bounds for sporadic groups.

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2.  $rd_k(A_6), rd_k(PSL_2(\mathbb{F}_{11})) \leq 2.$
3.  $rd_k(A_7), rd_k(W(E_6)^+) \leq 3.$
4.  $rd_k(A_8), rd_k(A_9), rd_k(W(E_7)^+) \leq 4. rd_k(W(E_8)^+) \leq 5.$
5. Upper bounds for sporadic groups.
6.  $\lim_n(n - rd_k(A_n)) = \infty .$

# H13 as Group Theory

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5. Upper bounds for sporadic groups.
6.  $\lim_{n \rightarrow \infty} (n - rd_k(A_n)) = \infty .$

## Conjectures (Hilbert)

1.  $rd_{\mathbb{C}}(A_6) = 2.$  (Sextic conj.)
2.  $rd_{\mathbb{C}}(A_7) = 3.$  (H13)
3.  $rd_{\mathbb{C}}(A_8) = rd_{\mathbb{C}}(A_9) = 4.$  (Octic and Nonic conj.)



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**Problem** Find *any* finite group  $G$  with  $rd_k(G) > 1.$

# H13 as Group Theory

**Idea** expand the context!

# H13 as Group Theory

## **Definition**

Let  $F: \mathit{Fields}_k \rightarrow \mathit{Set}$  be a functor. Let  $\alpha \in F(K)$ .

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1. The *essential dimension* of  $\alpha$ ,  $ed_k(\alpha)$ , is the least  $d$  such that  $\exists L$  with  $tr.deg_k(L) \leq d$  and  $\alpha \in \mathit{Im}(F(L) \rightarrow F(K))$ .

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# H13 as Group Theory

## **Examples**



# H13 as Group Theory

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1.  $Fin(K) := \{\alpha: K \hookrightarrow A \mid \alpha \text{ fin. s.s. comm.}\} / \cong$ . Then  $rd_k(\alpha) = rd_k(A/K)$  when  $A$  is a field.

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2.  $G$  algebraic group.  $rd_k(G) := rd_k(H^1(-; G))$ .
3.  $\Gamma$  arithmetic group.  $rd_k(\Gamma) := rd_k(H^1(-; \hat{\Gamma}))$ .

# H13 as Group Theory

## **Theorem** (Reichstein)

Let  $G$  be a connected algebraic group. Then  $rd_k(G) \leq 5$ ,  
and  $rd_k(G) = 1$  if  $G$  has no simple factors of type  $E_8$ .

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## **Conjecture** (Tits)

Let  $G$  be a connected algebraic group. Then every  $G$ -torsor over a solvably closed field splits.

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Let  $G$  be a connected algebraic group. Then every  $G$ -torsor over a solvably closed field splits. (Type  $E_8$  only remaining open case)



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Conjecture  $\Rightarrow rd_k(G) = 1$  for  $G$  connected.

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## **Theorem** (Farb-Kisin-W)

Let  $G$  be a reductive group over  $\mathbb{Q}$  with hermitian symmetric domain  $X$ . Let  $\Gamma \subset G(\mathbb{R})$  be a cocompact arithmetic group.

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**Question** Is a finite group more like a connected algebraic group or an arithmetic lattice?

# H13 via Hodge Theory?



“Perhaps there is some kind of a mixed Hodge structure whose weight filtration provides the information . . .”

# H<sup>1,3</sup> via Hodge Theory?

**Theorem** (Farb-Kisin-W)

Let  $X$  be a smooth complex variety.

# H13 via Hodge Theory?

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In fact, theorem of the fixed part implies:

## **Theorem** (Farb-Kisin-W)

Let  $X$  be a smooth complex variety. Let  $H_{\mathbb{Z}} \rightarrow X$  be an integral VHS with period map  $\pi: X \rightarrow \Gamma \backslash D$ . Let  $E \rightarrow X$  be any quasifinite map. Then  $rd_{\mathbb{C}}(H_{\mathbb{Z}}|_E) \geq \dim_{\mathbb{C}} \pi(X)$ .

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**Idea** use  $p$ -adic Hodge theory to adapt this argument to finite covers, e.g.  $A_{g,p} \rightarrow A_g$ .

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## **Proposition**

Let  $G$  be a finite group, and  $\tilde{X} \rightarrow X$  a connected  $G$ -cover.

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## **Proposition**

Let  $G$  be a finite group, and  $\tilde{X} \rightarrow X$  a connected  $G$ -cover. There exists  $E \rightarrow X$  gen. finite, such that  $\tilde{X}|_E \rightarrow E$  is connected, and  $rd_{\mathbb{C}}(\tilde{X}|_E/E) = 1$ .

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## **Theorem** (Farb-Kisin-W)

Let  $N \geq 3$  and  $p \nmid N$ . Let  $E \rightarrow A_{g,N}$  be quasifinite of degree prime to  $p$ . Then  $ed_{\mathbb{C}}(A_{g,pN}|_E/E) = \dim_{\mathbb{C}} A_{g,N}$ .

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**Question** Is there a different Hodge theoretic approach?

# H13 via Characteristic Classes?



Trudy Moskov. Mat. Obšč.  
Tom 21 (1970)

Trans. Moscow Math. Soc.  
Vol. 21 (1970)

ON SOME TOPOLOGICAL INVARIANTS OF ALGEBRAIC FUNCTIONS<sup>1)</sup>  
UDC 513.83

*V. I. ARNOL'D*

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There are some interesting connections between the theory of algebraic functions and Artin's theory of braids. For instance, the space  $G_n$  of polynomials of



# H13 via Characteristic Classes?

Arnold's Dictionary

Algebraic Function

$B_n$

$Conf_n(\mathbb{C})$

Fox-Neuwirth cells

Characteristic classes

Vector bundle

$GL_n$

$BGL_n$

Schubert cells

Characteristic classes

# H13 via Characteristic Classes?

Can characteristic classes detect  $rd > 1$ ?

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No :(

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**Norm-Residue Isomorphism** (Bloch-Kato)

$$\Rightarrow rd_k(H^*(-; \mathbb{F}_p)) = 1.$$

# H13 via Characteristic Classes?

Can characteristic classes detect  $rd > 1$ ?

No :(

**Norm-Residue Isom.**  $\Rightarrow$  every mod  $p$  Galois cohomology class dies after after pulling back along a  $\sqrt[p]{\phantom{x}}$ -cover.

# H13 via Characteristic Classes?

Can characteristic classes detect  $rd > 1$ ?

No :(

**Work-in-progress** Let  $X$  be a complex variety. For any  $p$ , there exists a  $p$ -power branched cover  $E \rightarrow X$  such that  $\text{Im}(H^*(X; \mathbb{F}_p) \rightarrow H^*(E; \mathbb{F}_p)) = 0$ .

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$\therefore$  Characteristic classes can't obstruct (enough) accessory irrationalities.

# H13 via "Special" Points



"[We must] fathom the nature and significance of the necessary accessory irrationalities."



# H13 via "Special" Points

## **Definition**

*A saturated class of accessory irrationalities*

$\mathcal{E} : \mathit{Fields}_k \rightarrow \mathit{Set}$  is a sub-functor  $\mathcal{E} \subset \mathit{Fin}$  such that

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3.  $\forall K \hookrightarrow L \text{ fin.}, E \in \mathit{Fin}(L)$  s.t.  $(K \hookrightarrow L \hookrightarrow E) \in \mathcal{E}(K)$ , then  $E \in \mathcal{E}(L)$ .

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1.  $Ab, K \hookrightarrow K^{Ab}$  - usual abelian closure.



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1.  $Ab, K \hookrightarrow K^{Ab}$  - usual abelian closure.
2.  $Sol, K \hookrightarrow K^{Sol}$  - usual solvable closure.
3.  $rd_k^{\leq d}(K) := \{K \hookrightarrow A \mid rd_k(A/K) \leq d\} / \cong$ ,  
 $K \hookrightarrow K^{rd_k^{\leq d}} = K^d$  (resolvent filtration).

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**Theorem** (Gómez-González-Sutherland-W.)

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**Conjecture** (Hilbert's Sextic)

Let  $T \rightarrow \text{Spec}(\mathbb{C}(x, y))$  be the  $A_6$ -torsor associated to the Valentiner action  $A_6 \curvearrowright \mathbb{CP}^2$ . Let  $A_6 \curvearrowright X$  be a faithful action on a smooth, irreducible curve. Then

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**Question** Can we show  $T_X(\mathbb{C}(x, y)^{Sol}) = \emptyset$ ?



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# H13 via "Special" Points

**Conjecture** (Hilbert's Sextic)

Let  $T \rightarrow \text{Spec}(\mathbb{C}(x, y))$  be the  $A_6$ -torsor associated to the Valentiner action  $A_6 \curvearrowright \mathbb{CP}^2$ . Let  $A_6 \curvearrowright X$  be a faithful action on a smooth, irreducible curve. Then  $T_X(\mathbb{C}(x, y)^1) = \emptyset$ .

**Question** Can we show  $T_X(\mathbb{C}(x, y)^{\text{Sol}}) = \emptyset$ ?

**Problem** How do we obstruct solvable points?

# Arnold's Mushroom

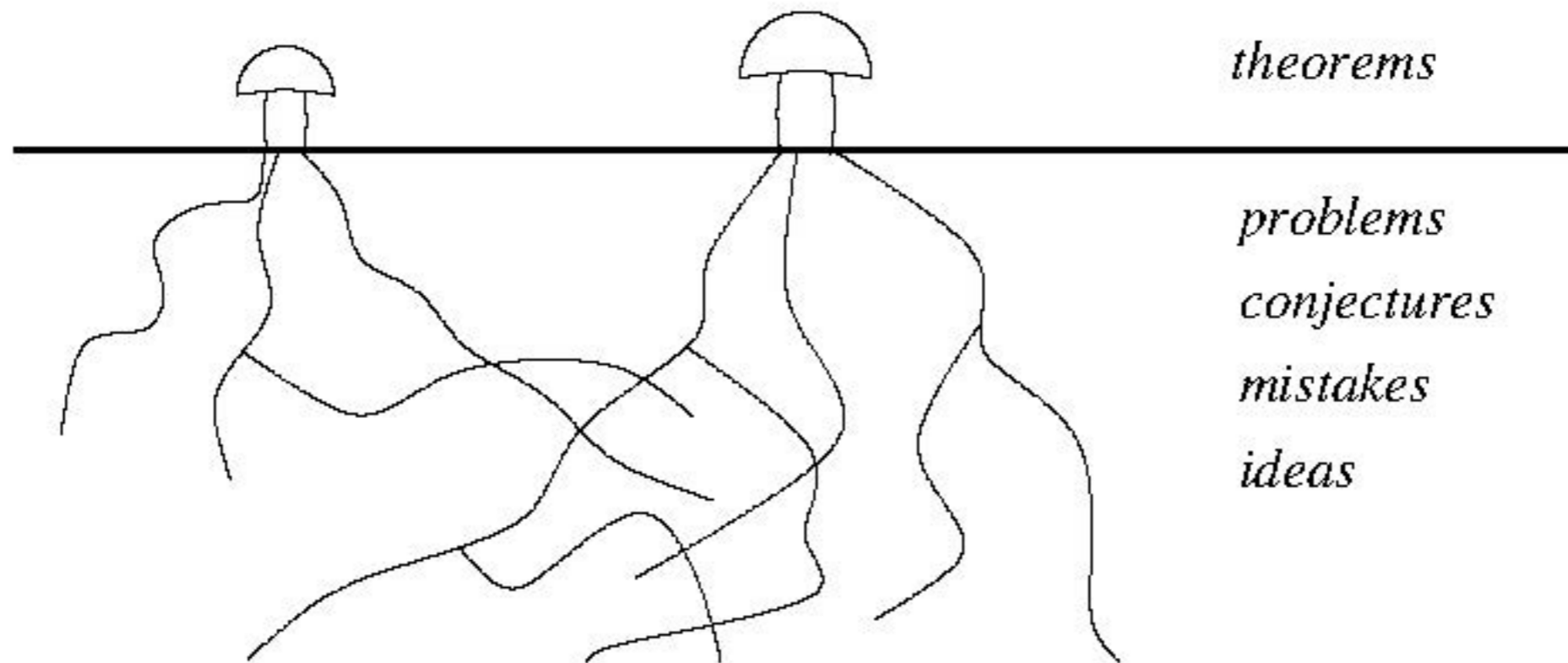


Figure 1: The Mathematical Mushroom



Thank you!