

# Odd degree isolated points on $X_1(N)$ with rational $j$ -invariant

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The question “When can a curve have infinitely many rational points?” was answered in Faltings’s celebrated theorem.

### Theorem (Faltings)

*Let  $K$  be a number field. If  $C$  is a curve over  $K$  of genus  $g \geq 2$ , then there are only finitely many  $K$ -rational points.*

Given a curve  $C$  over  $\mathbb{Q}$ , we know that  $C(\mathbb{Q})$  can be infinite only if the genus  $g$  of  $C$  is 0 or 1 (this is unchanged if we consider  $C(K)$  for any number field  $K$ ).

By the degree of a closed point  $z \in C(\bar{K})$ , we mean  $[K(z) : K]$ , the degree of the residue field of  $z$  over  $K$ .

**Example:** Let  $C$  be the curve defined by  $y^2 = x^5 + x^2 + 1$ . Then the closed point  $\{(1, \sqrt{3}), (1, -\sqrt{3})\}$  is a degree 2 point over  $\mathbb{Q}$ .

Faltings's Theorem tells us that for any curve  $C$  of genus  $g > 1$  and any number field  $K$ , the set of degree 1 points is finite.

The story can change drastically when we consider points of degree  $d \geq 2$ .

**Example 1:** Consider again the curve  $C$  defined by  $y^2 = x^5 + x^2 + 1$ . This is a curve of genus 2, so there are only finitely many degree 1 points. If we fix  $a \in \mathbb{Q}$ , however, then  $\{(a, \pm\sqrt{a^5 + a^2 + 1})\}$  is a closed point over the field  $K_a = \mathbb{Q}(\sqrt{a^5 + a^2 + 1})$ .

For most  $a \in \mathbb{Q}$ ,  $a^5 + a^2 + 1$  is not a square, so  $[K_a : \mathbb{Q}] = 2$ . Letting  $a$  run through the rationals, we obtain infinitely many degree 2 closed points of  $C$ .

At work is the existence of a degree 2 morphism  $\pi : C \rightarrow \mathbb{P}^1$  defined over  $\mathbb{Q}$ . (The morphism in this case is defined by  $\pi(x, y) = x$ .) We have infinitely-many degree 2 points  $\pi^{-1}(a)$  coming from the infinitely many degree 1 points  $a \in \mathbb{P}^1$ .

This works for  $d > 2$  as well: if we have a degree  $d$  morphism  $f : C \rightarrow \mathbb{P}^1$ , then there will be infinitely many degree 1 points  $a \in \mathbb{P}^1$  such that  $f^{-1}(a)$  is a degree  $d$  point of  $C$ .



There is another way in which we can obtain infinitely many degree  $d$  points.

**Example 2:** Let  $C$  be the curve defined by  $y^2 = x^9 + x^3 + 1$ . As before,  $C$  admits a degree 2 morphism to  $\mathbb{P}^1$ , and so we expect infinitely many degree 2 points. But  $C$  also admits a degree 3 map to the elliptic curve  $E : y^2 = x^3 + x + 1$ ,  $f : C \rightarrow E$ ,  $f(x, y) = (x^3, y)$ . We can therefore expect cubic points  $f^{-1}(a, b)$  of  $C$ . As  $E(\mathbb{Q})$  has rank 1, there will be infinitely many such points.

So far, our examples have involved infinitely many degree  $d$  points parameterized by either  $\mathbb{P}^1$ , or a positive rank elliptic curve.

Debarre and Fahlaoui provided examples of curves  $C$  that admitted infinitely many degree  $d$  points, yet had no maps of degree  $\leq d$  to  $\mathbb{P}^1$  or an elliptic curve. Instead, their construction involves the  $d$ th symmetric product  $C^{(d)}$ .

Let  $C/K$  be a curve (and assume  $C(K) \neq \emptyset$ ). A closed point  $x \in C$  of degree  $d$  gives a  $K$ -rational point of  $C^{(d)}$ , and there is a natural map  $\phi : C^{(d)} \rightarrow J(C)$ .

If this natural map is not injective then there is a dominant morphism  $f : C \rightarrow \mathbb{P}^1$  of degree  $d$ .

Otherwise, Faltings's Theorem implies that there are finitely many  $K$ -rational abelian subvarieties  $A_i \subset J(C)$  and  $K$ -rational points  $x_i \in \text{im}\phi$  such that

$$(\text{im}\phi)(K) = \bigcup_{i=1}^n [x_i + A_i(K)].$$

Consequently, one of the  $A_i$  must have positive rank.

In order for  $C$  to admit infinitely many degree  $d$  closed points over  $K$ , one of two things must occur:

- (i)  $C$  admits a dominant morphism of degree  $d$  to  $\mathbb{P}^1$ , or
- (ii) The degree  $d$  points of  $C$  inject into the set of  $K$ -rational points of a translate of a positive rank abelian subvariety of the Jacobian  $J(C)$ .

This does not, however, tell the whole story of degree  $d$  points.

**Example 3:** Let  $C$  be the curve  
 $C : y^2 = x^8 + 8x^6 - 2x^4 + 8x^2 + 1 = F(x)$ . Since  $C$  is hyperelliptic, we expect  $C$  to have infinitely many quadratic points. Most of these are of the form  $(a, \pm\sqrt{F(a)})$  with  $a \in \mathbb{Q}$ , but there is a point that does not arise in this fashion.

The point  $(i, \pm 4i)$  is a quadratic point of  $C$ , but as the  $x$ -coordinate is not rational, this point does not come from the dominant degree 2 morphism  $C \rightarrow \mathbb{P}^1$ .

Nor is it part of an infinite family of quadratic points of an abelian subvariety of  $J(C)$  – the rank of its Jacobian is 0.

The point  $(i, \pm 4i)$  is an example of an *isolated point*.

### Definition

Let  $C$  be a curve defined over a number field  $K$  and let  $x \in C$  be a closed point of degree  $d$ . We say  $x$  is **isolated** if it does not belong to an infinite family of degree  $d$  points parametrized by  $\mathbb{P}^1$  or a translate of a positive rank abelian subvariety of the curve's Jacobian.

The term isolated points was first defined in a paper of Bourdon, Ejder, Liu, Odumodu, and Viray, where the focus was on modular curves (though the construction had been studied earlier).

Theorem (Bourdon, Ejder, Liu, Odumodu, and Viray)

*Let  $C$  be a curve over a number field.*

*There are infinitely many degree  $d$  points on  $C$  if and only if there is a degree  $d$  point on  $C$  that is not isolated.*

## Sporadic Points on Modular Curves

There is a type of point that is guaranteed to be isolated.

### Definition

*Let  $C$  be a curve defined over a number field  $K$  and let  $x \in C$  be a closed point of degree  $\deg(x)$ . We say that  $x$  is **sporadic** if there are only finitely many closed points  $y$  with  $\deg(y) \leq \deg(x)$ .*

Some of the isolated points on modular curves first observed were sporadic points.



# Modular Curves

For fixed  $N \in \mathbb{Z}^+$ , the modular curve  $X_1(N)$  is an algebraic curve which can be defined over  $\mathbb{Q}$ . Each noncuspidal  $K$ -rational point on the curve corresponds up to isomorphism to a pair  $[E, P]$ , where  $E$  is an elliptic curve and  $P$  is a distinguished point of order  $N$  defined over  $K$ .

The noncuspidal  $K$ -rational points on the modular curve  $X_0(N)$  correspond up to isomorphism to a pair  $[E, \langle P \rangle]$ , where  $E$  is an elliptic curve and  $\langle P \rangle$  is a cyclic subgroup of order  $N$ .

## Examples

- CM points on  $X_1(\ell)$  for all sufficiently large primes  $\ell$  (Clark, Cook, and Stankewicz).
- A point of degree 6 on  $X_1(37)$  (van Hoeij)
- A point of degree 3 on  $X_1(21)$  (Najman)

**Example (Najman):** The curve  $X_1(21)$  has an isolated point of degree 3. The degree 3 point corresponds to an elliptic curve  $E$  with  $j$ -invariant  $-3^2 \cdot 5^5/2^3$  which has a point of order 21 over the field  $\mathbb{Q}(\zeta_9^+)$ .

For a fixed curve  $C$ , there are only finitely many isolated points of degree  $d$ , and when  $d \geq g + 1$ , no point of degree  $d$  is isolated. Thus, for a fixed integer  $N$ , there are only finitely many isolated points on  $X_1(N)$ .

On the other hand, the Clark, Cook, Stankewicz result shows the set of isolated points on all  $X_1(N)$  for all  $N \in \mathbb{Z}^+$  is infinite (and there are CM elliptic curves with rational  $j$ -invariant that give rise to isolated points of arbitrarily large degree).

It is expected that only finitely many rational  $j$ -invariants correspond to isolated points on  $X_1(N)$ .

# Isolated Points on Modular Curves

Theorem (Bourdon, Ejder, Liu, Odumodu, Viray (2019))

*Let  $\mathcal{I}$  denote the set of all isolated points on all modular curves  $X_1(N)$  for  $N \in \mathbb{Z}^+$ . Assume Serre's Uniformity Conjecture. Then  $j(\mathcal{I}) \cap \mathbb{Q}$  is finite.*

### Conjecture (Uniformity Conjecture)

*There exists a constant  $M$  such that for all non-CM elliptic curves  $E/\mathbb{Q}$  and for all primes  $p > M$ , the mod  $p$  Galois representation*

$$\rho_{E,p} : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{Aut}(E[p]) \cong \text{GL}_2(\mathbb{Z}/p\mathbb{Z})$$

*is surjective.*

By adding an assumption on the degree of an isolated point, we obtain the following unconditional result:



## Main Result

Theorem (Bourdon, Gill, Rouse, W.)

Let  $\mathcal{I}_{\text{odd}}$  denote the set of all isolated points of odd degree on all modular curves  $X_1(N)$  for  $N \in \mathbb{Z}^+$ . Then  $j(\mathcal{I}_{\text{odd}}) \cap \mathbb{Q}$  contains at most the  $j$ -invariants in the following list:

<i>non-CM <math>j</math>-invariants</i>	<i>CM <math>j</math>-invariants</i>
$-3^2 \cdot 5^6 / 2^3$	$-2^{18} \cdot 3^3 \cdot 5^3$
$3^3 \cdot 13 / 2^2$	$-2^{15} \cdot 3^3 \cdot 5^3 \cdot 11^3$
	$-2^{18} \cdot 3^3 \cdot 5^3 \cdot 23^3 \cdot 29^3$

Conversely,  $j(\mathcal{I}_{\text{odd}}) \cap \mathbb{Q}$  contains  $-3^2 \cdot 5^6 / 2^3$  and  $3^3 \cdot 13 / 2^2$ .

## Connection with rational cyclic isogenies

The key advantage in adding the hypothesis that  $\deg(x)$  is odd for  $x \in X_1(N)$  is that it allows us to establish a connection with rational cyclic isogenies.

In particular, we show that if  $x$  is a point of odd degree on  $X_1(N)$  with  $p|N$  an odd prime and  $3^3 \cdot 5 \cdot 7^5/2^7 \neq j(x) \in \mathbb{Q}$ , then there is some  $y \in X_0(p)(\mathbb{Q})$  with  $j(x) = j(y)$ .

In addition,  $p \in \{3, 5, 7, 11, 13, 19, 43, 67, 163\}$  and  $N = 2^a p^b q^c$  (with bounds on  $a$ ).

We treat CM and non-CM points (mostly) separately in the paper.

One key result, which we use throughout the paper, is again due to Bourdon, Ejder, Liu, Odumodu, and Viray.

### Theorem

*Let  $f : C \rightarrow D$  be a finite map of curves and let  $x \in C$  be an isolated point. If  $\deg(x) = \deg(f(x)) \cdot \deg(f)$ , then  $f(x)$  is an isolated point of  $D$ .*

This theorem gives one approach for identifying isolated points on  $X_1(N)$ : use the natural map  $f : X_1(N) \rightarrow X_1(m)$  for some  $m|N$ .

To this end, we use results of Greenberg and Greenberg, Rubin, Silverberg, and Stoll on the images of  $p$ -adic Galois representations for primes  $p \geq 5$  with cyclic  $p$ -isogenies to determine values of  $m$  for which the degree condition on residue fields holds.

**Example:** To show that there are no non-CM isolated points of odd degree on  $X_1(2 \cdot 7^b)$  with rational  $j$ -invariant for any  $b \geq 1$ , we show that an such odd degree isolated point on  $X_1(2 \cdot 7^b)$  would map to a non-cuspidal isolated point on  $X_1(14)$ . By Mazur, there are no non-cuspidal  $\mathbb{Q}$ -rational points on  $X_1(14)$ , so a non-cuspidal odd degree point must have degree  $\geq 3$ , and hence cannot be isolated.

Though the techniques for addressing specific  $N$  vary, the approach (broadly speaking) is to push isolated points on  $X_1(N)$  down to isolated points on other curves which are either known to have no isolated points, or which are amenable to computations.

**Example:** Let  $x \in X_1(2^a 3^b)$  be an isolated point of odd degree corresponding to a non-CM elliptic curve with  $j(x) \in \mathbb{Q}$ . Then  $x$  maps to an isolated point on either  $X_1(54)$  or  $X_1(162)$ . We show that these curves have no non-CM isolated points of odd degree using the 3-adic classification due to Rouse, Sutherland, and Zureick-Brown, as well as a characterization of certain elliptic curves  $E/\mathbb{Q}$  with “entanglement” of torsion point fields.

If  $E/\mathbb{Q}$  has CM by an order  $\mathcal{O}$ , then the discriminant  $\Delta$  of  $\mathcal{O}$  is one of only 13 integers.

We show that there is no isolated point  $x \in X_1(N)$  of odd degree corresponding to an elliptic curve with CM by the order of discriminant

$$\Delta \in \{-3, -4, -7, -8, -11, -12, -16, -19, -27, -28\}.$$

The remaining three discriminants are  $-43, -67, -163$ , and it is the  $j$ -invariants corresponding to these discriminants that appear in the main theorem.



To complete the classification of odd degree isolated points, it remains to determine whether these points are isolated. These  $j$ -invariants are in  $j(\mathcal{I}_{odd}) \cap \mathbb{Q}$  if and only if they correspond to isolated points of degree 21, 33, and 81 on  $X_1(43)$ ,  $X_1(67)$  and  $X_1(163)$ , respectively... unfortunately the Jacobians of each of these curves has positive rank.

## Remaining Problems/Questions

- Unconditional results for isolated points of even degree on  $X_1(N)$ .
- Are there non-CM  $j$ -invariants giving rise to infinitely many isolated points?
- What is the proportion of non-CM to CM isolated  $j$ -invariants?

Thank you!

## References

- Bourdon, Ejder, Liu, Odumodu, Viray. *On the level of modular curves that give rise to isolated  $j$ -invariants.*
- Bourdon, Gill, Rouse, Watson. *Odd degree isolated points on  $X_1(N)$  with rational  $j$ -invariant.*