

Cluster pictures for hyperelliptic curves

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Massachusetts Institute of Technology

Simons Collaboration on Arithmetic Geometry, Number Theory, and Computation

These slides can be downloaded at
raymondvanbommel.nl/talks/vantage.pdf



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Vladimir Dokchitser	(University College London)
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Sabrina Kunzweiler	(Universität Ulm)
Céline Maistret	(Boston University)
Adam Morgan	(Max-Planck-Institut für Mathematik)
Simone Muselli	(University of Bristol)
Sarah Nowell	(University College London)



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NTJ 3 Jul 2020

A USER'S GUIDE TO THE LOCAL ARITHMETIC OF HYPERELLIPTIC CURVES

ALEX J. BEST, L. ALEXANDER BETTS, MATTHEW BISATT, RAYMOND VAN BOMMEL, VLADIMIR DOKCHITSER, OMRI FARAGGI, SABRINA KUNZWEILER, CÉLINE MAISTRET, ADAM MORGAN, SIMONE MUSELLI, SARAH NOWELL

ABSTRACT. A new approach has been recently developed to study the arithmetic of hyperelliptic curves $y^2 = f(x)$ over local fields of odd residue characteristic via combinatorial data associated to the roots of f . Since its introduction, numerous papers have used this machinery of "cluster pictures" to compute a plethora of arithmetic invariants associated to these curves. The purpose of this user's guide is to summarise and centralise all of these results in a self-contained fashion, complemented by an abundance of examples.

Outline

This talk will consist of three parts:

- What is a cluster picture?
- What can you do with a cluster picture?
- Cluster pictures in SageMath and the LMFDB.



Definitions

In this talk, K is a local field of odd residue characteristic p , e.g. $K = \mathbb{Q}_p$.
Let C/K be a hyperelliptic curve of genus g given by the equation

$$y^2 = c \prod_{r \in \mathcal{R}} (x - r), \quad \text{where } \mathcal{R} \subset K^{\text{sep}} \text{ is finite.}$$

Definition (cluster, depth)

A non-empty subset of $\mathfrak{s} \subset \mathcal{R}$ is called a *cluster*, if it is of the form $D \cap \mathcal{R}$, where D is some p -adic disc inside K^{sep} . If $|\mathfrak{s}| \geq 2$, then the valuation of the radius of the smallest such disc D , is called the (absolute) *depth* $d_{\mathfrak{s}}$ of \mathfrak{s} .

Definition (parent, child)

If $\mathfrak{c} \subsetneq \mathfrak{p}$ are two clusters, such that there is no cluster \mathfrak{s} with $\mathfrak{c} \subsetneq \mathfrak{s} \subsetneq \mathfrak{p}$, then \mathfrak{p} is the *parent* of \mathfrak{c} , and \mathfrak{c} is a *child* of \mathfrak{p} .

Definition (relative depth)

For a cluster $\mathfrak{s} \subsetneq \mathcal{R}$ with parent \mathfrak{p} , the *relative depth* of \mathfrak{s} is $\delta_{\mathfrak{s}} := d_{\mathfrak{s}} - d_{\mathfrak{p}}$. By convention, we define $\delta_{\mathcal{R}} = d_{\mathcal{R}}$.

Example

Consider $C_1: y^2 = (x+2)(x-1)(x-2)(x-3)(x^2-27)$ over \mathbb{Q}_3 . It has the following cluster picture:



Here, the red dots depict the individual roots inside \mathcal{R} and the number written next to each cluster, except for \mathcal{R} , is its relative depth. The number next to the big cluster \mathcal{R} is the (absolute) depth of the cluster.

From the picture, it is immediately obvious that the Galois group has to fix each cluster of size > 1 .

Example

For $C_2: y^2 = 3(x^2-2)((x-3)^2-2)((x+3)^2-2)$ over \mathbb{Q}_3 , we get:



Here, Frobenius swaps the two clusters of size 3, but the inertia group acts trivially on the clusters.

Reduction types

Theorem (potential good reduction, [Theorem 5.5])

The curve C has potential good reduction (i.e. it obtains good reduction after an extension of the base field) if and only if there is no cluster \mathfrak{s} such that $1 < |\mathfrak{s}| < 2g + 1$. The Jacobian of C has potential good reduction if and only if every cluster $\mathfrak{s} \neq \mathcal{R}$ has odd cardinality.

Example

Both C_1 and C_2 , and the Jacobian of C_1 do not have potential good reduction, but the Jacobian of C_2 does have potential good reduction, as all the clusters except for \mathcal{R} have size 1 or 3.

We can also determine from the cluster picture:

- whether the curve C has good or semistable reduction,
- whether the Jacobian of C has good or semistable reduction,
- the potential toric rank of the Jacobian J of C (i.e. the dimension of the toric part of J , after a base extension which makes J semi-abelian).

Minimal regular model

Example

Consider $C_1: y^2 = f(x) = (x+2)(x-1)(x-2)(x-3)(x^2-27)$ over \mathbb{Q}_3 , and consider the cluster $\mathfrak{s} = \{-2, 1\}$ of depth $d_{\mathfrak{s}} = 1$. We then define

$$f_{\mathfrak{s}}(x) = \frac{1}{9} f(3^{d_{\mathfrak{s}}} \cdot x + 1) = \frac{1}{9} \cdot 3x(3x+3)(3x-1)(3x-2)((3x-1)^2 - 27),$$

where this factor $\frac{1}{9}$ is exactly chosen in such a way to make each of the factors primitive (i.e. the coefficients lie in \mathcal{O}_K , but not all in \mathfrak{m}_K).



We consider the subscheme of $\mathbb{A}_{\mathcal{O}_K}^2$ given by $y^2 = f_{\mathfrak{s}}(x)$. We let $\mathcal{U}_{\mathfrak{s}}$ be the open subscheme obtained by removing the points in the special fibre corresponding to double roots of $f_{\mathfrak{s}}$.

We can define such a scheme $\mathcal{U}_{\mathfrak{s}}$ for any cluster \mathfrak{s} . We can glue them to some other schemes $\mathcal{W}_{\mathfrak{s}}$ and $\mathcal{U}_{P, \mathfrak{s}}$ to obtain a regular model of C over \mathcal{O}_K , when C is semistable. It is exactly understood which components to blow down to obtain a minimal regular model. The dual graph, and the reduction map to the special fibre can all be expressed in terms of the cluster picture.

Conductor

Definition (even/übereven cluster)

A cluster \mathfrak{s} is called *even* if $|\mathfrak{s}|$ is even. Moreover, \mathfrak{s} is called *übereven* if all children of \mathfrak{s} are even.

Theorem (conductor, [Theorem 12.1])

Suppose C/K is semistable. The valuation of the conductor of $\text{Jac}(C)$ equals

$$n_C = \begin{cases} |A| - 1 & \text{if } \mathcal{R} \text{ is } \text{übereven}, \\ |A| & \text{otherwise,} \end{cases}$$

where $A = \{\text{even clusters } \mathfrak{s} \neq \mathcal{R} \mid \mathfrak{s} \text{ is not } \text{übereven}\}$.

Example

Consider a curve with the following cluster picture:



Then A consists of the three clusters of size 2. The cluster of size 4 and \mathcal{R} are both *übereven*. Hence, the conductor exponent equals $|A| - 1 = 2$.

Differentials

Let $\mathcal{C}/\mathcal{O}_K$ be a regular model. The standard differentials $\omega_i = x^i \frac{dx}{y}$ typically do not give rise to generators for $\omega_{\mathcal{C}/\mathcal{O}_K}(\mathcal{C})$ (i.e. the differentials used in the definition of the period in the BSD formula). However, there does exist a scalar $\frac{\omega^\circ}{\omega} \in K^*$ such that

$$\omega_0^\circ \wedge \cdots \wedge \omega_{g-1}^\circ = \frac{\omega^\circ}{\omega} \cdot \omega_0 \wedge \cdots \wedge \omega_{g-1} \quad \text{in } \bigwedge^g \Omega_{\mathcal{C}/K}^1(\mathcal{C}),$$

where $\omega_0^\circ, \dots, \omega_{g-1}^\circ$ is a basis of $\omega_{\mathcal{C}/\mathcal{O}_K}(\mathcal{C})$.

Theorem (differentials, [Theorem 14.6])

Suppose C is semistable. Then

$$8 \cdot \text{val}_K\left(\frac{\omega^\circ}{\omega}\right) = 4g \cdot \text{val}_K(c) + \sum_{|\mathfrak{s}| \text{ is even}} \delta_{\mathfrak{s}}(|\mathfrak{s}| - 2)|\mathfrak{s}| + \sum_{|\mathfrak{s}| \text{ is odd}} \delta_{\mathfrak{s}}(|\mathfrak{s}| - 1)^2.$$

In fact, we can explicitly describe generators for $\omega_{\mathcal{C}/\mathcal{O}_K}(\mathcal{C})$ in terms of $\omega_0, \dots, \omega_{g-1}$, and data extracted from the cluster picture of C .

Discriminant and minimal discriminant

Theorem (discriminant and minimal discriminant, [Theorem 15.1/15.2])

The valuation of the discriminant of the defining model for C is

$$\text{val}_K(\Delta_C) = \text{val}_K(c)(4g + 2) + \sum_s \delta_s |s| (|s| - 1).$$

If C/K is semistable and the residue field of K has more than $2g + 1$ elements, then

$$\frac{\text{val}_K(\Delta_C) - \text{val}_K(\Delta_C^{\min})}{4g + 2} = \text{val}_K(c) - E + \sum_{\substack{s \\ |s| > g+1}} \delta_s (|s| - g - 1),$$

where $E = 0$ unless there are two clusters of size $g + 1$ that are swapped by Frobenius and $\text{val}_K(c)$ is odd, in which case $E = 1$.

Example

For $C_2: y^2 = 3(x^2 - 2)((x - 3)^2 - 2)((x + 3)^2 - 2)$ of genus 2 over \mathbb{Q}_3 ,



we get $\text{val}_K(\Delta_C) = 10 + 0 + 6 + 6 = 22$ and $\text{val}_K(\Delta_C^{\min}) = 22$ as well.

Other things

Some other things that can be computed using cluster pictures:

- minimal regular strict normal crossings model (tame reduction case),
- Tamagawa numbers (semistable reduction case),
- decomposition of the ℓ -adic Galois representation $H_{\text{ét}}^1(C/\overline{K}, \mathbb{Q}_\ell)$ (when ℓ is invertible in the residue field),
- tame and wild part of the conductor exponent in the non-semistable case,
- root numbers, i.e. the sign occurring in the conjectured functional equation for the L -function of $\text{Jac}(C)$ (tame reduction case),
- different criteria to determine if a model is a minimal Weierstraß model.

Implementation in SageMath

Together with Alex J. Best, I implemented a package for SageMath.

The code can be found at github.com/alexjbest/cluster-pictures.

In [3]:

```

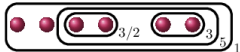
from sage_cluster_pictures.cluster_pictures import *
K = Qp(5)
x = polygen(K)
H = HyperellipticCurve((x^2 + 5^2)*(x^2 - 5^15)*(x - 5^6)*(x - 5^6 - 5^9))
R = Cluster.from_curve(H)
view(R)

```

Slide Type

-

Out[3]:



Slide Type

Slide

Creating clusters

From roots:

In [3]:

```
view(Cluster.from_roots([K(1), K(2), K(5), K(10), K(25), K(50)]))
```

Slide Type

-

Out[3]:



Slide Type

-

From valuations:

$$y^2 = (x - 1)(x - 2)(x - 3)(x - p^2)(x - p^7)(x + p^7)$$

In [4]:

```

view(Cluster([[oo, 0, 0, 0, 0, 0],
              [0, oo, 0, 0, 0, 0],
              [0, 0, oo, 0, 0, 0],
              [0, 0, 0, oo, 2, 2],
              [0, 0, 0, 2, oo, 7],
              [0, 0, 0, 2, 7, oo]]))

```

Slide Type

-

Out[4]:



Slide Type Slide ▾

Basic properties

In [5]:

Slide Type - ▾

```
print(R.children())
```

```
[Cluster with 4 roots and 2 children, Cluster with 1 roots and 0 children, Cluster with 1 roots and 0 children]
```

In [6]:

Slide Type - ▾

```
[unicode_art(D) for D in R.all_descendents()]
```

```
Out[6]: ['(((●●) 3/2 (●●) 3) 5 ●●)_1',
         '(((●●) 3/2 (●●) 3)_5',
         '(●●) 3/2',
         '●',
         '●',
         '(●●) 3',
         '●',
         '●',
         '●',
         '●',
         '●']
```

In [7]:

Slide Type ▾

```
R.is_semistable(K)
```

Out[7]: True

In [4]:

Slide Type ▾

```
(R.jacobian_has_potentially_good_reduction(), R.potential_toric_rank())
```

Out[4]: (False, 2)

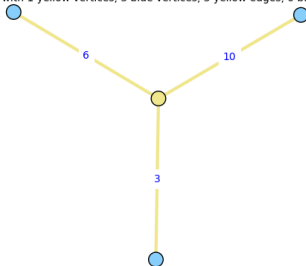
In [11]:

Slide Type Slide ▾

```
T = R.BY_tree(); T
```

Out[11]:

BY-tree with 1 yellow vertices, 3 blue vertices, 3 yellow edges, 0 blue edges



In [12]:

Slide Type ▾

```
T.vertices()
```

Out[12]:

```
[Cluster with 6 roots and 3 children,
Cluster with 4 roots and 2 children,
Cluster with 2 roots and 2 children,
Cluster with 2 roots and 2 children]
```


Slide Type Slide ▾

Via the cluster picture and the homology of the dual graph of the special fibre:

In [13]:

Slide Type - ▾

`R.root_number()`

Out[13]: 1

Slide Type ▾

Via the associated BY-tree:

In [14]:

Slide Type ▾

`R.tamagawa_number()`

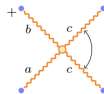
Out[14]: 108

In [15]:

Slide Type ▾

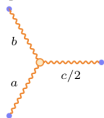
`T, F = R.BY_tree(with_frob=True)`
`T.tamagawa_number(F)`

Out[15]: 108

FIGURE 1. The BY tree associated to X_f 

In this diagram, the whole graph represents the tree T , while the blue/solid vertices represent the vertices of S (which has no edges in this example) – by contrast, the vertices of T not in S are represented by yellow/open circles and the edges of T not in S are represented by yellow/squiggly lines. The lengths of the edges are indicated by the parameters a , b and c , while the signed automorphism is indicated both with double-headed arrows for the underlying unsigned automorphism of (T, S) (which here has order 2) and with \pm signs next to each connected component¹⁰ of $T \setminus S$ (so here the sign is $+$).

Since $T \setminus S$ is connected, there is only one term in the formula from theorem 3.0.1, and since $\epsilon(C_i) = +1$ for the unique component C_i , we have $(T'_i, S'_i) = (T, S)$ and $\tilde{c}_{1,i} = 1$. By inspection, $Q_i = 2$ and the quotient tree T''_i , along with its subgraph S''_i , are given by the following diagram



where again the blue/solid vertices indicate the subset $S' \subseteq T'$ and the labels indicate edge-lengths. The removal of any two of the three edges of this graph disconnects the three points of S' from one another, and hence the formula in theorem 3.0.1 provides that the Tamagawa number of X_f is

$$c_{X_f} = 2 \cdot \left(ab + b\frac{c}{2} + \frac{c}{2}a \right) = 2ab + bc + ca.$$

Example from a paper by L. Alexander Betts

In [40]:

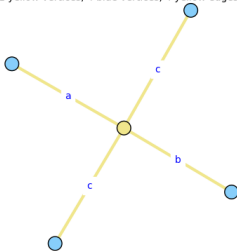
```

a, b, c = var('a b c', domain="positive")
R = Cluster([[oo, a/2, 0, 0, 0, 0, 0, 0],
            [a/2, oo, 0, 0, 0, 0, 0, 0],
            [0, 0, oo, b/2, 0, 0, 0, 0],
            [0, 0, b/2, oo, 0, 0, 0, 0],
            [0, 0, 0, 0, oo, c/2, 0, 0],
            [0, 0, 0, 0, c/2, oo, 0, 0],
            [0, 0, 0, 0, 0, 0, oo, c/2],
            [0, 0, 0, 0, 0, 0, c/2, oo], ])
A, B = [x for x in R.children() if x.depth() in {a/2, b/2}]
C1, C2 = [x for x in R.children() if x.depth() == c/2]
T = R.BY_tree(); T

```

Out[40]:

BY tree with 1 yellow vertices, 4 blue vertices, 4 yellow edges, 0 blue edges



In [44]:

```

F = BYTreeIsomorphism(T, T,
                      lambda x: {A:A, B:B, C1:C2, C2:C1, R:R}[x],
                      lambda Y: 1)
T.tamagawa_number(F)

```

Out[44]:

$2ab + ac + bc$

Cluster pictures in the LMFDB

Cluster pictures have been computed for the following curves in the LMFDB:

- elliptic curves over \mathbb{Q} ,
- elliptic curves over number fields,
- curves of genus 2 over \mathbb{Q} .

For the curves of genus 2, they are already visible on the webpages.



[Δ](#) → [Genus 2 curves](#) → [Q](#) → [39690](#) → [a](#) → [277830](#) → [1](#)

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Genus 2 curve 39690.a.277830.1

Introduction

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L-functions

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[Degree 3](#) [Degree 4](#)
[ζ zeros](#)

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Minimal equation

$$y^2 + (x^3 + x)y = x^5 - 5x^3 - 7x^2 + 3x + 15 \quad (\text{homogenize, simplify})$$

Invariants

$$\begin{aligned} \text{Conductor: } N &= 39690 = 2 \cdot 3^4 \cdot 5 \cdot 7^2 \\ \text{Discriminant: } \Delta &= 277830 = 2 \cdot 3^4 \cdot 5 \cdot 7^3 \end{aligned}$$

Igusa-Clebsch invariants

$$\begin{aligned} I_2 &= 2304 = 2^8 \cdot 3^2 \\ I_4 &= 428472 = 2^3 \cdot 3^3 \cdot 11 \cdot 541 \\ I_6 &= 241891497 = 3^2 \cdot 26876833 \\ I_{10} &= -1111320 = -2^3 \cdot 3^4 \cdot 5 \cdot 7^3 \end{aligned}$$

(Igusa invariants, G2 invariants)

Automorphism group

$$\begin{aligned} \text{Aut}(X) &\simeq C_2 \\ \text{Aut}(X_{\overline{\mathbb{Q}}}) &\simeq C_2 \end{aligned}$$

Rational points

All points: $(1 : 0 : 0)$, $(1 : -1 : 0)$, $(5 : 20 : 4)$, $(5 : -225 : 4)$

Number of rational [Weierstrass points](#): 0

This curve is [locally solvable](#) everywhere.

Mordell-Weil group of the Jacobian

Group structure: \mathbb{Z}

Generator	D_0	Height	Order
$D_0 - (1 : -1 : 0) - (1 : 0 : 0)$	$2x^2 + xz - 7z^2 = 0$	$2y = -7xz^2 + 5z^3$	$0.042240 \quad \infty$

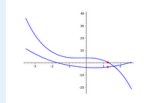
2-torsion field: [6.2.576108288000.65](#)

BSD invariants

Hasse-Weil conjecture: [unverified](#)

Properties

Label: 39690.a.277830.1



Conductor 39690
Discriminant 277830
Mordell-Weil group \mathbb{Z}
Sato-Tate group $\text{USp}(4)$
 $\text{End}(J_{\overline{\mathbb{Q}}}) \otimes \mathbb{R}$ \mathbb{R}
 $\text{End}(J_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ \mathbb{Q}
 $\text{End}(J) \otimes \mathbb{Q}$ \mathbb{Q}
 $\overline{\mathbb{Q}}$ -simple yes
 GL₂-type no

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Genus 2 curve 39690.a.277830.1

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Simplified equation

$$y^2 = x^6 + 4x^5 + 2x^4 - 20x^3 - 27x^2 + 12x + 60 \quad (\text{minimize, homogenize})$$

Invariants

[Conductor](#): $N = 39690 = 2 \cdot 3^4 \cdot 5 \cdot 7^2$
[Discriminant](#): $\Delta = 277830 = 2 \cdot 3^4 \cdot 5 \cdot 7^3$

Igusa-Clebsch invariants

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(Igusa invariants, G2 invariants)

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$\text{Aut}(X) \simeq C_2$
 $\text{Aut}(X_{\overline{\mathbb{Q}}}) \simeq C_2$

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All points: $(1 : 0 : 0)$, $(1 : -1 : 0)$, $(5 : 20 : 4)$, $(5 : -225 : 4)$ Number of rational [Weierstrass points](#): 0This curve is [locally solvable](#) everywhere.

Mordell-Weil group of the Jacobian

[Group structure](#): Z

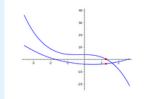
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2-torsion field: [6.2.576108288000.65](#)

BSD invariants

Hasse-Weil conjecture: [unverified](#)

Properties

Label 39690.a.277830.1

Conductor 39690
Discriminant 277830
Mordell-Weil group Z
Sato-Tate group $USp(4)$
 $\text{End}(J_{\overline{\mathbb{Q}}}) \otimes \mathbb{R}$ \mathbb{R}
 $\text{End}(J_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ \mathbb{Q}
 $\text{End}(J) \otimes \mathbb{Q}$ \mathbb{Q}
 $\overline{\mathbb{Q}}$ -simple yes
 GL_2 -type no

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2-torsion field: [6.2.576108288000.65](#)**BSD invariants**

[Hasse-Weil conjecture](#): unverified
[Analytic rank](#): 1
[Mordell-Weil rank](#): 1
[2-Selmer rank](#): 1
[Regulator](#): 0.042240
[Real period](#): 11.33844
[Tamagawa product](#): 2
[Torsion order](#): 1
[Leading coefficient](#): 0.957883
[Analytic order of \$\mathbb{W}\$](#) : 1 (rounded)
[Order of \$\mathbb{W}\$](#) : square

Local invariants

Prime	$\text{ord}(N)$	$\text{ord}(\Delta)$	Tamagawa	L-factor	Cluster picture
2	1	1	1	$(1+T)(1+2T^2)$	
3	4	4	1	$1+T+3T^2$	
5	1	1	1	$(1-T)(1+2T+5T^2)$	
7	2	3	2	$(1+T)^2$	

Sato-Tate groupST \simeq USp(4)ST⁰ \simeq USp(4)**Decomposition of the Jacobian**Simple over $\bar{\mathbb{Q}}$ **Endomorphisms of the Jacobian**Not of GL_2 -type over \mathbb{Q} Endomorphism ring over \mathbb{Q} :End(J) \simeq \mathbb{Z} End(J) $\otimes \mathbb{Q} \simeq \mathbb{Q}$ End(J) $\otimes \mathbb{R} \simeq \mathbb{R}$ All $\bar{\mathbb{Q}}$ endomorphisms of the Jacobian are defined over \mathbb{Q} .

Prime	$\text{ord}(N)$	$\text{ord}(\Delta)$	Tamagawa	L-factor	Cluster picture
2	1	1	1	$(1+T)(1+2T^2)$	
3	4	4	1	$1+T+3T^2$	
Data for a cluster picture					
Cluster picture c3c3_2~3_0					
Depth: 0					
Size: 6					
Potential toric rank of reduction of curve: 0					
Potential good reduction of curve: False					
Potential good reduction of jacobian: True					
permalink: (awaiting review)					
5	1	1	1	$(1-T)(1+2T+5T^2)$	
Data for a cluster picture					
Cluster picture c4c2_1~2_0					
Depth: 0					
Size: 6					
Potential toric rank of reduction of curve: 1					
Potential good reduction of curve: False					
Potential good reduction of jacobian: False					
permalink: (awaiting review)					
7	2	3	2	$(1+T)^2$	
Data for a cluster picture					
Cluster picture c2c2_1~2c2_1_0					
Depth: 0					
Size: 6					
Potential toric rank of reduction of curve: 2					
Potential good reduction of curve: False					
Potential good reduction of jacobian: False					
permalink: (awaiting review)					

Cluster pictures for curve 39690.a.277830.1

Prime $\text{ord}(N)$ $\text{ord}(\Delta)$ Tamagawa L-factor Cluster picture

3 4 6 5 $1 - T$

Data for a cluster picture

Cluster picture cc2_1-2c1c3_1-6_1-3_0



Depth: 0

Size: 6

Potential toric rank of reduction of curve: 1

Potential good reduction of curve: False

Potential good reduction of jacobian: False

permalink: (awaiting review)

5 1 1 1 $(1 - T)(1 - T + 5T^2)$

Data for a cluster picture

Cluster picture c4c2_1-2_0



Depth: 0

Size: 6

Potential toric rank of reduction of curve: 1

Potential good reduction of curve: False

Potential good reduction of jacobian: False

permalink: (awaiting review)

7 2 2 1 $1 + T^2$

Data for a cluster picture

Cluster picture c2c2_1-2c2_1-2_0



Depth: 0

Size: 6

Potential toric rank of reduction of curve: 2

Potential good reduction of curve: False

Potential good reduction of jacobian: False

permalink: (awaiting review)

Cluster pictures for curve 19845.b.178605.1