


VaNTAGe math 

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Density of rational points  
near/on compact manifolds  
with certain curvature conditions

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Dimension growth conjecture

Let  $n \geq 2$ ,  $V \subseteq \mathbb{P}_{\mathbb{Q}}^n$  an  
irreducible variety of degree  $d$ .

For  $\underline{x} = (x_0 : x_1 : \dots : x_n) \in \mathbb{P}_{\mathbb{Q}}^n(\mathbb{Q})$

with  $x_0, \dots, x_n \in \mathbb{Z}$  and

$\gcd(x_0, \dots, x_n) = 1$

define

$$H(\underline{x}) := \max_{0 \leq i \leq n} |x_i|$$

② Define for  $B \in \mathbb{R}_{\geq 0}$   
the counting function

$$N_V(B) := \{ \underline{x} \in V(\mathbb{Q}) : H(\underline{x}) \leq B \}$$

→ growth of  $N_V(B)$ ?

→ upper bounds for  $N_V(B)$ ?

First upper bound.

$$N_V(B) \ll_V B^{\dim V + 1 + \varepsilon}$$

→ proof by induction

→ is this optimal?

Ex 1 Let  $V \subseteq \mathbb{P}_{\mathbb{Q}}^n$  be given by

$$a_0 x_0 + a_1 x_1 + \dots + a_n x_n = 0$$

with  $a_i \in \mathbb{Z}$ ,  $0 \leq i \leq n$   
and  $a_0 \neq 0$ .

Then  $N_V(\mathbb{B}) \sim \mathbb{B}^n$

Ex 2 Let  $a_0, \dots, a_n \in \mathbb{Z} \setminus \{0\}$  and  
 $V \subseteq \mathbb{P}_{\mathbb{Q}}^n$  be given by

$$a_0 x_0^2 + a_1 x_1^2 + \dots + a_n x_n^2 = 0.$$

For  $n \geq 4$  we have

$$N_V(\mathbb{B}) \simeq \mathbb{B}^{n-1}$$

Ex 3 Let  $F_0(\underline{x}), F_1(\underline{x}) \in \mathbb{Z}[x_1, \dots, x_n]$   
 and  $V \subseteq \mathbb{P}_{\mathbb{Q}}^n$  be given by

$$x_0 F_0(\underline{x}) - x_1 F_1(\underline{x}) = 0$$

Then

$$N_V(\mathcal{B}) \geq \frac{1}{2} \# \{ (x_1, \dots, x_n) \in \mathbb{Z}^{n-1} :$$

$$\left. \begin{array}{l} \max_{25 \leq i \leq 2} |x_i| \in \mathcal{B}, \\ \gcd(x_1, \dots, x_n) = 1 \end{array} \right\}$$

$$\Rightarrow \mathcal{B}^{n-1}$$

Conjecture (weak dimension growth conjecture)

Let  $V \subseteq \mathbb{P}_{\mathbb{Q}}^n$  be an irreducible, projective variety of degree  $e$   
 $\deg(V) \geq 2$ . Then

$$N_V(\mathcal{B}) \ll_V \mathcal{B}^{\dim V + \varepsilon}$$

for any  $\varepsilon > 0$ .

solved in a series of  
articles by Brownings,  
Heath-Brown and  
Salberger

more precisely, for  $d \geq 4$   
Salberger obtains

$$N_V(B) \ll_{d, n, \varepsilon} B^{\dim V + \varepsilon}$$

Castro-Alamancos, Cluckers, Dittmann,  
Nguyen 2019

Let  $V \subseteq \mathbb{P}_{\mathbb{Q}}^n$ ,  $n > 1$ ,

irreducible of degree  $d \geq 5$

Then

$$N_V(B) \leq c d^e B^{\dim V}$$

with  $c, e \in \mathbb{R}$  independent of  $V$ .

(2) counting points on/close to manifolds/varieties?

Set-up:

Let  $M \subseteq \mathbb{R}^n$  be a bounded submanifold with  $\dim M = m$  (immersed submanifold)

Let  $Q > 1$ ,  $0 \leq \delta < \frac{1}{2}$

Define

$$N_M(Q, \delta)$$

$$= \# \left\{ \frac{p}{q} \in \mathbb{Q}^n : 1 \leq q \leq Q \right. \\ \left. \text{dist} \left( \frac{p}{q}, M \right) \leq \frac{\delta}{q} \right\}$$

with  $p \in \mathbb{Z}^n$ .

② Upper / Lower bounds,  
asymptotics for  $N_{\mu}(Q, S)$ ?

First upper bound

$$N_{\mu}(Q, S) \ll Q^{m+1}$$

Ex 1 Let  $M$  be a piece of  
a rational linear subspace,

then  $N_{\mu}(Q, S) \gg Q^{m+1}$



typical expectation for  $N_M(Q, S)$ ?

"volume computation"

Set  $k = n - m$ , then one might expect

$$\begin{aligned} N_M(Q, S) &\sim \left(\frac{S}{Q}\right)^k Q Q^n \\ &\sim S^k Q^{m+1} \end{aligned}$$

for what size of  $S$  could this be realistic to expect?

Ex 1 Let  $M$  be given by

$$z_1^2 + \dots + z_n^2 = 1$$

Then  $N_M(Q, 0) \sim Q^{n-1}$

might expect the term  $\delta Q^n$

to dominate for

$$\delta \rightarrow Q^{-1}$$

② How large can  $N_M(Q, 0)$   
in general be?

Idea: compare to dimension growth,

then might expect

$$N_M(Q, 0) \ll_{\epsilon, M} Q^{m+\epsilon}$$

$$m = \dim M$$

if  $M$  is "not flat"

Comparing the exp bound

$$N_M(\mathbb{Q}, 0) \ll \mathbb{Q}^{m+\varepsilon}$$

and

$$N_M(\mathbb{Q}, S) \sim S^{\frac{d}{2}} \mathbb{Q}^{m+\frac{1}{2}}$$

may obtain the following

### Conjecture 1 (Huang)

Let  $M \subseteq \mathbb{R}^n$  be a bdd immersed submanifold with boundary,  $m = \dim M$ ,  $h = n - m$

If  $M$  satisfies 'proper' curvature conditions, then

$\exists c_M > 0$  st

$$N_M(Q, S) \sim c_M S^{\frac{1}{2}} Q^{m+1}$$

for  $S \geq Q^{-\frac{1}{2} + \varepsilon}$

Rh (1) by monotonicity in  $S$   
obtain

$$N_M(Q, S) \ll S^{\frac{1}{2}} Q^{m+1} + Q^{m+2}$$

for all suitable  $M$  and  $S \gg 0$ .

(2) some curvature condition is  
needed

$\rightarrow$  linear subspaces

$\rightarrow$  example of the Fermat  
curve  
(also locally)

② What is known towards  
Conjecture 1?

## Curves

$\mathcal{C}$  curve with non-vanishing  
curvature ( $\mathbb{C}^2$ )

Huxley 1994

$$N_{\mathcal{C}}(Q, S) \ll S^{1-\varepsilon} Q^2 + Q \log Q$$

Vaughan and Velani 2006

$$N_{\mathcal{C}}(Q, S) \ll S Q^2 + Q^{1+\varepsilon}$$

Beresnevich, Dickinson & Velami  
2007

$$N_c(Q, \delta) \rightarrow S\mathbb{Q}^2$$

$$\text{for } \delta \rightarrow \mathbb{Q}^{-1}$$

general manifolds

Beresnevich 2012

$M$  non-degenerate, analytic

$$N_M(Q, \delta) \rightarrow S^{\mathbb{R}} \mathbb{Q}^{m+1}$$

$$\text{for } \delta \rightarrow \mathbb{Q}^{-\frac{1}{\mathbb{R}}}$$

Thm (Huang 2017)

Let  $S \subseteq \mathbb{R}^n$  be a smooth compact hypersurface,  $C^k$

with

$$k = \max \left( \left\lfloor \frac{n-1}{2} \right\rfloor + 6, n+2 \right)$$

and Gaussian curvature  $K$  bounded away from 0.

then

$$N_S(Q, \delta)$$

$$= c_S \delta Q^{n+1} + O(E_n(Q) Q^n)$$

with

$$E_n(Q) = \begin{cases} e^{c_1 \sqrt{\cos Q}} & n=2 \\ (\cos Q)^{c_2} & n \geq 3 \end{cases}$$

$c_1, c_2$  constant dep on  $S$ .

② - examples in higher codimension?

- assuming even stronger curvature conditions?

Let  $l \geq 2$ ,  $f_r \in C^l(\mathbb{R}^n)$

$1 \leq r \leq R$

$\underline{x}_0 \in \mathbb{R}^n$ ,  $\varepsilon > 0$

Let  $M \subseteq \mathbb{R}^{n+R}$  be given by

$$M = \left\{ \left( \underline{x}, f_1(\underline{x}), \dots, f_R(\underline{x}) \right) \in \mathbb{R}^{n+R} \right. \\ \left. \underline{x} \in \overline{B_\varepsilon(\underline{x}_0)} \right\}$$



Consider  $\omega \in C_0^\infty(\mathbb{R}^n)$

$\omega \geq 0$  with  $\underline{x}_0 \in \text{supp } \omega$

and  $\text{supp } \omega$  suff. small  
compactness

$$Q \in \mathbb{N}, \quad 0 \leq \delta \leq \frac{1}{2}$$

Define

$$N_\omega(Q, \delta) = \sum_{\substack{a \in \mathbb{Z}^n \\ q \leq Q \\ \|q - r(\frac{a}{q})\| \leq \delta \\ 1 \leq r \leq Q}}$$

$$\text{Set } N_0 := \sum_{\substack{a \in \mathbb{Z}^n \\ q \leq Q}}$$

Case  $R=1$

$M$  having non-zero Gaussian curvature in  $\underline{x}_0$

$$\updownarrow$$
$$\det H_{f_1}(\underline{x}_0) \neq 0$$

Condition (\*)

$$\det H_{t_1 f_1 + \dots + t_R f_R}(\underline{x}_0) \neq 0$$

$$\forall (t_1, \dots, t_R) \in \mathbb{R}^R \setminus \{0\}$$

Thm 1 (S. - Yamagishi 2020)

$$n \geq 2, \quad \ell > \max \left\{ n+1, \frac{n}{2} + 4 \right\}$$

Suppose that  $\text{Con}(\ast)$  holds and  
that  $\varepsilon > 0$  is suff. small.

Then

$$|N_w(Q, S) - (2S)^R N_0|$$

$$\ll \begin{cases} \delta \frac{(R-1)(n-2)}{n} Q^n \varepsilon_n(Q) \\ \delta \geq Q^{-\frac{n}{n+2(R-1)}} \\ Q^n - \frac{(n-2)(R-1)}{n+2(R-1)} \Sigma_n(Q) \\ \delta \leq Q^{-\frac{n}{n+2(R-1)}} \end{cases}$$

where

$$\Sigma_n(Q) = \begin{cases} e^{c_1 \sqrt{\log Q}} & n=2 \\ (\log Q)^{c_2} & n \geq 3 \end{cases}$$

for  $c_1, c_2 > 0$  constants.

$$\underline{Plh} \circ N_0 = \sigma Q^{n+1} + O(Q^n)$$

for some  $\sigma > 0$

$$\circ \quad Q^{-\frac{n}{n+2(R-1)}} \leq Q^{-\frac{1}{R}}$$

Corollary 2 Aspts as in Thm 1.

Thm  $\exists c_M > 0$  st

$$N_M(Q, \delta) \sim c_M \delta^R Q^{n+1}$$

for  $Q \rightarrow \infty$  and

$$\delta \geq Q^{-\frac{n}{n+2(R-1)} + \varepsilon}$$

compare to  $Q^{-\frac{1}{R} + \varepsilon}$

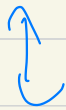
in the main conjecture

Corollary 3  $n \geq 3$

Asspts as in Thm 1. Then

$$N_M(Q, O) \ll Q^{n - \frac{(n-2)(R-1)}{n+2(R-1)}} (\log Q)^c$$

for some constant  $c > 0$



- compare to  $N_M(Q, O) \ll Q^n$

in the main conjecture

- for  $n$  very large comp to  $R$   
s.t

$$N_M(Q, O) \ll Q^{n+1-R+\delta}$$

② Expectations in the  
dimension growth conjecture  
in higher codimension  
assuming "suitable"  
curvature conditions??

Ex: System of  $\underbrace{R}_{\text{generic}}$  quadrics in  $\mathbb{P}^{n+R}$

$$Q_1(x_1, \dots, x_{n+R+1}) = 0$$

$$\vdots$$
$$Q_R(x_1, \dots, x_{n+R+1}) = 0$$

count integer solns  $x_1, \dots, x_{n+R+1}$   
with  $|x_i| \leq Q \forall i$ .

circle method exp.

$$N_n(Q, 0) \sim c Q^{n+R+1-2R}$$

$$\sim c Q^{n+1-R}$$



Examples for which Condition (\*) holds

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find real symmetric  $n \times n$   
matrices  $A_1, \dots, A_r$

such that

$$(*) \left\{ \begin{array}{l} \det (t_1 A_1 + \dots + t_r A_r) \neq 0 \\ \forall (t_1, \dots, t_r) \in \mathbb{R}^r \setminus \{0\}. \end{array} \right.$$

then take

$$f_r(\underline{x}) = \frac{1}{2} \sum_{i,j} A_{r,ij} x_i x_j + g_r(\underline{x})$$

$$\text{with } H_{g_r}(\underline{x}_0) = \underline{0}$$

Ex  $R=2$  (Sustion 10577)

$$\text{Set } M_2(t_1, t_2) = \begin{pmatrix} t_2 & t_1 \\ t_1 & -t_2 \end{pmatrix}$$

and set

$$A_1 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, A_2 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$R \geq 3$  define

$$M_R(t_1, \dots, t_R)$$

$$= \begin{pmatrix} t_R I_{2^{R-2}} & M_{R-1}(t_1, \dots, t_{R-1}) \\ M_{R-1}(t_1, \dots, t_{R-1}) & -t_R I_{2^{R-2}} \end{pmatrix}$$

then show inductively

$$\begin{aligned} & M_R (t_1, \dots, t_R)^2 \\ &= (t_1^2 + \dots + t_R^2) \mathbb{I}_{2^{R-1}} \end{aligned}$$

Set

$$A_1 = M_R (1, 0, \dots, 0)$$

$$A_2 = M_R (0, 1, 0, \dots, 0)$$

$\vdots$

$$A_R = M_R (0, \dots, 0, 1)$$

alt use eg work of Netzer  
& Thom 2012 on

determinantal representations