# Q-curves over odd degree number fields and sporadic points

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#### Definition

An isogeny of elliptic curves is a surjective homomorphism with finite kernel

We say that an isogeny  $\phi: E_1 \to E_2$  is defined over K if  $E_1, E_2$  and  $\phi$  are all defined over K.

An isogeny (if no field is stated) is in this talk defined over  $\overline{\mathbb{Q}}$ .

#### Definition

An elliptic curve is called a  $\mathbb{Q}$ -curve if it is isogenous to all of its  $Gal(\overline{\mathbb{Q}}/\mathbb{Q})$ -conjugates.

If E/K is a Q-curve, it is not necessarily isogenous over K to its conjugates.

Let E/K be an elliptic curve, K a number field and p a prime. Define

$$E[p] := \left\{ R \in E(\overline{K}) \mid pR = O \right\},$$

 $G_{\mathcal{K}} := \operatorname{Gal}(\overline{\mathcal{K}}/\mathcal{K}) \text{ acts on } E[p].$ 

This induces

$$\rho_{E,p} : \operatorname{Gal}(\overline{K}/K) \longrightarrow \operatorname{GL}_2(\mathbb{F}_p),$$

the mod p Galois representation attached to E.

**Serre's uniformity question/conjecture**: Does there exist a C > 0 such that for all primes p > C and for all elliptic curves  $E/\mathbb{Q}$  without CM we have  $\rho_{E,p}(G_{\mathbb{Q}}) = \operatorname{GL}_2(\mathbb{F}_p)$ ?

Ribet (1992) (assuming Serre's conjecture which was later proved):  $\mathbb{Q}$ -curves are exactly the elliptic curves over number fields that are modular, in the sense of being quotients of  $J_1(N)$  for some N.

 $\mathbb{Q}$ -curves have been extensively used in the "modular method" to solve Fermat-type equations. It is often crucial to understand their Galois representations.

An elliptic curve defined over  $\mathbb{Q}$  is a  $\mathbb{Q}$ -curve.

A base change of a  $\mathbb{Q}$ -curve is a  $\mathbb{Q}$ -curve.

A twist of a  $\mathbb{Q}$ -curve is a  $\mathbb{Q}$ -curve.

An elliptic curve E with  $j(E) \in \mathbb{Q}$  is a  $\mathbb{Q}$ -curve.

A curve that is isogenous to a  $\mathbb{Q}$ -curve is a  $\mathbb{Q}$ -curve.

Any CM elliptic curve is a  $\mathbb{Q}$ -curve.

Let  $\mathcal{E}$  be the set of all elliptic curves.

 $\mathcal{E} \supset \{\mathbb{Q} - \text{curves}\} \supset \{E \text{ isogenous to } E_1 \mid j(E_1) \in \mathbb{Q}\} \supset$  $\supset \{E \mid j(E) \in \mathbb{Q}\} \supset \{E/\mathbb{Q}\}.$ 

### Questions, questions

$$\begin{split} \mathcal{QC} &:= \{\mathbb{Q} - \mathsf{curves}\}\\ \mathcal{IJ} &:= \{E \text{ isogenous to } E_1 \mid j(E_1) \in \mathbb{Q}\}\\ \mathcal{J} &:= \{E \mid j(E) \in \mathbb{Q}\},\\ \mathcal{B} &:= \{E/\mathbb{Q}\}, \end{split}$$

Important tower of sets:  $\mathcal{E} \supset \mathcal{QC} \supset \mathcal{IJ} \supset \mathcal{J} \supset \mathcal{B}$ .

Which statements about Galois representaions of elliptic curves in each of these sets can we prove?

In particular are degrees of isogenies and sizes of torsion groups bounded?

I will not talk about CM elliptic curves. Their Galois representations are now well understood (Bourdon, Clark & collaborators, Lozano-Robledo). Our tower of sets:  $\mathcal{E} \supset \mathcal{QC} \supset \mathcal{IJ} \supset \mathcal{J} \supset \mathcal{B}$ .

For each of these sets S and for  $d \in \mathbb{Z}_+$  denote by S(d) the set of all such elliptic curves defined over all number fields of degree d.

$$\begin{split} \mathcal{T}(S) &:= \text{set of all possible torsion groups of elliptic curves in } S. \\ \text{Obviously } \mathcal{E}(1) &= \mathcal{QC}(1) = \mathcal{IJ}(1) = \mathcal{J}(1) = \mathcal{B}(1). \\ \text{Mazur (1977):} \\ \mathcal{T}(\mathcal{E}(1)) &= \{C_n : n = 1, \dots, 10, 12\} \cup \{C_2 \times C_{2m} : m = 1, \dots, 4\} \end{split}$$

 $\text{Our tower of sets: } \mathcal{E} \supset \mathcal{QC} \supset \mathcal{IJ} \supset \mathcal{J} \supset \mathcal{B}.$ 

$$T(\mathcal{E}(2)) = \{C_n : n = 1, \dots, 16, 18\} \cup \{C_2 \times C_{2n} : n = 1, \dots, 6\}$$
$$\cup \{C_3 \times C_{3n}, n = 1, 2\} \cup \{C_4 \times C_4\} (\mathsf{Kenku}, \mathsf{Momose} \ \mathsf{'88}, \mathsf{Kamienny} \ \mathsf{'92}).$$

 $T(\mathcal{B}(2)) = T(\mathcal{E}(2)) \setminus \{C_n, n = 11, 13, 14, 18\}. (N. (2014)).$   $T(\mathcal{J}(2)) = T(\mathcal{B}(2)) \cup \{C_{13}\} \text{ (Tzortzakis (2018), Gužvić (2019))}.$   $T(\mathcal{QC}(2)) = T(\mathcal{J}(2)) \cup \{C_{14}, C_{18}\}. \text{ (Le Fourn, N. (2018))}.$ Le Fourn (2013): over any imaginary guadratic field Serre's

uniformity conjecture is true for curves in  $\mathcal{QC} \setminus (\mathcal{IJ} \cup \mathcal{CM})$ .

- $\text{Our tower of sets: } \mathcal{E} \supset \mathcal{QC} \supset \mathcal{IJ} \supset \mathcal{J} \supset \mathcal{B}.$
- Where do elliptic curves over quadratic fields with certain torsion groups and isogenies appear?
- Curves with  $C_{13}$  torsion are in  $\mathcal{J} \setminus \mathcal{B}$ . (Bosman, Bruin, Dujella, N. (2014))
- Curves wit  $C_{18}$  torsion are in  $\mathcal{QC} \setminus \mathcal{IJ}$ . (Bosman, Bruin, Dujella, N. (2014))
- Curves wit  $C_{16}$  torsion are in  ${\cal B}$  (Bruin, N. (2016).)

Similar results about elliptic curves with *n*-isogenies, for various *n*, over quadratic fields have been by Bruin, N. (2014), Ozman, Siksek(2016) and Box (2018).

Our tower of sets:  $\mathcal{E} \supset \mathcal{QC} \supset \mathcal{IJ} \supset \mathcal{J} \supset \mathcal{B}$ .

Derickx, Etropolski, van Hoeij, Morrow and Zureick-Brown (2020):  $T(\mathcal{E}(3)) = \{C_n : n = 1, ..., 16, 18, 21\} \cup \{C_2 \times C_{2n} : n = 1, ..., 7\}.$ N. (2014):  $T(\mathcal{B}(3)) = \{C_n : n = 1, ..., 10, 12, 13, 14, 18, 21\}$   $\cup \{C_2 \times C_{2n} : n = 1, ..., 4, 7\}.$ Gužvić (2019):  $T(\mathcal{J}(3)) = T(\mathcal{B}(3)).$ 

Open problem: Determine T(QC(3)) (this is equal to T(IJ(3)), as will be seen).

## Torsion bounds over general number fields

 $\mathsf{Our \ tower \ of \ sets:} \ \mathcal{E} \supset \mathcal{QC} \supset \mathcal{IJ} \supset \mathcal{J} \supset \mathcal{B}.$ 

Order of groups in  $T(\mathcal{E}(d))$  is bounded by some  $B_d$ . (Merel (1996))

Order of groups in  $T(\mathcal{B}(d))$  for d not divisible by primes  $\leq 7$  is bounded by 16. (Gonzalez-Jimenez and N. (2016))

Order of groups in  $T(\mathcal{J}(p))$ , for p prime is bounded by 28. (Gužvić (2019))

#### Theorem (Cremona, N. (2020))

Order of groups in T(QC(p)) for p > 7 prime is bounded by 16.

If one includes p = 2, 3, 5, 7 then the correct bound is almost certainly 28.

No such absolute bound can exist for  $T(\mathcal{E}(d))$  when d runs through any infinite set of positive integers.

Our tower of sets:  $\mathcal{E} \supset \mathcal{QC} \supset \mathcal{IJ} \supset \mathcal{J} \supset \mathcal{B}$ .

I(S) := set of all possible cyclic isogeny degrees of elliptic curves in S.

Note  $I(\mathcal{J}(d)) = I(\mathcal{B}(d)).$ 

Mazur (1978) and Kenku (1980s) determined  $I(\mathcal{B}(1))$ .

N. (2015) - the largest prime in  $I((\mathcal{IJ}\setminus C\mathcal{M})(d))$  is bounded by 3d-1 (and by d-1 if we assume a weaker version of Serre's uniformity conjecture, which has been proven by Le Fourn and Lemos (2020)).

#### Theorem (Cremona, N. (2020))

Let  $L = \{2, 3, 5, 7, 11, 13, 17, 37\}.$ 

- a) The primes in  $I((\mathcal{QC} \setminus \mathcal{CM})(d))$  for odd d are contained in L.
- b) If d is not divisible by any prime  $\ell \in L$ , then  $\max I((\mathcal{QC} \setminus \mathcal{CM})(d)) = 37$ .
- c) For odd d,  $\max I(QC(d)) \le B_d$  for some constant  $B_d$  depending only on d.

#### Theorem (Elkies(1994))

Every non-CM  $\mathbb{Q}$ -curve over a number field K is  $\overline{K}$ -isogenous to an elliptic curve defined over a polyquadratic field F.

#### Theorem (Cremona, N. (2020))

Every non-CM  $\mathbb{Q}$ -curve over a number field K is K-isogenous to an elliptic curve with j-invariant in a polyquadratic field F.

So  $F \subseteq K$  and moreover  $\mathbb{Q}$ -curve over an odd degree number field is isogenous to an elliptic curve with  $j(E) \in \mathbb{Q}$ .

Conjecturally (Elkies), the degree of the field F can be bounded by an absolute constant.

This means that for odd d we have  $\mathcal{QC}(d) = \mathcal{IJ}(d)$  and the Galois representations of curves in  $\mathcal{IJ}(d)$  are comparatively well understood and this allows us to obtain our results.

We also develop a quick algorithm to test whether a given curve E/K is a  $\mathbb{Q}$ -curve. It works (in the worst case) by computing the K-isogeny class.

Previously it was necessary to compute the K'-isogeny class, where K' is the Galois closure of K over  $\mathbb{Q}$ .

#### Definition

We say that a point x of degree d on a curve X is **sporadic** if there are only finitely many points of degree  $\leq d$ .

When trying to determine  $T(\mathcal{E}(d))$  and  $I(\mathcal{E}(d))$  it is determining what the "sporadic groups" (those that appear finitely many times) are that is the hardest obstacle.

The groups that appear infinitely often in  $T(\mathcal{E}(d))$  are known for  $d \leq 6$ : d = 3 proved by Jeon, Kim, Schweizer (2004), d = 4 by Jeon, Kim, Park (2006) and d = 5, 6 by Derickx, Sutherland (2016).

The sporadic groups in  $T(\mathcal{E}(d))$  are known only for  $d \leq 3$ .

The degrees that appear infinitely often in  $I(\mathcal{E}(d))$  are known for d = 2 (Bars, 1999) and d = 3 (Jeon, 2021), while the sporadic ones are known only for d = 1.

For large N and large degrees there is an abundance of CM sporadic points on  $X_1(N)$ .

Theorem (Clark, Genao, Pollack, Saia, 2019)

For all  $N \ge 721$ , the curve  $X_1(N)$  has a sporadic CM point.

Theorem (Bourdon, Ejder, Liu, Odumodu, Viray, 2019)

Let E be a CM elliptic curve. Then E corresponds to a sporadic point on  $X_1(N)$  for infinitely many N.

So every CM *j*-invariant is a "sporadic *j*-invariant."

#### Theorem (Bourdon, Ejder, Liu, Odumodu, Viray, 2019)

Assuming Serre's Uniformity Conjecture, there are only finitely many rational j-invariants giving rise to a sporadic point in  $\bigcup_{N \in \mathbb{Z}_+} X_1(N)$ .

The set of "sporadic *j*-invariants" in  $\mathbb{Q}$  contains  $-3^2 \cdot 5^6/2^3$ ,  $-7 \cdot 11^3$  and all CM *j*-invariants.

Proposition (Bourdon, Ejder, Liu, Odumodu, Viray, 2019)

Suppose there is a point  $x \in X_1(N)$  with

$$\deg(x) < \frac{7}{1600} [\mathbb{PSL}_2(\mathbb{Z}) : \Gamma_1(N)].$$

Then x is sporadic and for any positive integer d and any point  $y \in X_1(dN)$  with  $\pi(y) = x$ , the point y is sporadic, where  $\pi$  denotes the natural map  $X_1(dN) \rightarrow X_1(N)$ .

N. 2012: The elliptic curve  $E: y^2 + xy + y = x^3 - x^2 - 5x + 5$ with  $j = -3^2 \cdot 5^6/2^3$  and LMFDB label 162.c3 has a point of order 21 over the cubic field  $\mathbb{Q}(\zeta_9)^+$ , while  $X_1(21)$  has finitely many points of degree  $\leq 3$ .

This is the least degree of sporadic point on  $X_1(N)$  for any N.

There exists a positive finite number of elliptic curves (up to  $\overline{\mathbb{Q}}$ -isomorphism ) with *n*-isogenies over  $\mathbb{Q}$  for

n = 11, 14, 15, 17, 19, 21, 27, 37, 43, 67, 163.

So the lowest degree of a sporadic point on  $X_0(n)$  is 1.

Bourdon, Gill, Rouse and Watson (2020):  $j = -3^2 \cdot 5^6/2^3$  is the unique non-CM rational *j*-invariant giving rise to a sporadic point of odd degree on  $X_1(N)$ .

van Hoeij has a huge list of sporadic points on  $X_1(N)$  for  $N \leq 80$ .

There are no sporadic points of degree 1 and 2, while there exist sporadic points of degree 3 and all  $5 \le d \le 30$  (follows also from Derickx and van Hoeij's results on gonality of  $X_1(N)$ , 2014).

#### Question

Are there any sporadic points on  $X_1(N)$  (or more generally  $X_1(M, N)$ ) of degree 4?

#### Theorem (Bourdon, N., 2021)

Suppose that all non-CM  $\mathbb{Q}$ -curves corresponding to sporadic points on  $X_1(p^2)$  lie in finitely many isogeny classes, as p varies through all primes. Then Serre's Uniformity Conjecture holds.

- Suppose  $E/\mathbb{Q}$  is non-CM and  $\rho_{E,p}$  non-surjective for p > 37. Then im  $\rho_{E,p} \leq C_{ns}^+(p)$ , so  $F := \mathbb{Q}(E[p])$  is of degree dividing  $2(p^2 - 1)$ .
- E has two independent p-isogenies over F, and so is F-isogenous to an elliptic curve E' with a F-rational cyclic p<sup>2</sup>-isogeny and a F-rational point of order p which is in the kernel of this isogeny.
- E' has a point of order  $p^2$  over an extension F'/F of degree dividing p, so at most  $2p(p^2 1)$ .
- For large enough p is always sporadic by Abramovich's bound.

Basically the same argument also proves:

#### Theorem (Bourdon, N., 2021)

Suppose that there are finitely many sporadic non-CM points on X(p) corresponding to elliptic curves defined over  $\mathbb{Q}$ , as p varies through all primes. Then Serre's Uniformity Conjecture holds.

#### Question (Bourdon, N. (2021))

Does there exist only finitely many (isogeny classes of) non-CM  $\mathbb{Q}$ -curves giving rise to sporadic points on  $X_1(N)$  for some N?

If yes, this would imply Serre's uniformity conjecture.

#### Theorem (Bourdon, N., 2021)

All the odd degree sporadic points on  $X_1(N)$  corresponding to non-CM  $\mathbb{Q}$ -curves lie in the isogeny classes of  $j = -3^2 \cdot 5^6/2^3$ .

#### Theorem (Bourdon, N., 2021)

Let p be a prime. If  $x = [E, P] \in X_1(p^k)$  is a sporadic point of odd degree corresponding to a  $\mathbb{Q}$ -curve, then E has CM.

#### Proposition (Bourdon, N. 2021)

Suppose that there is a non-CM point  $x=[E,P]\in X_1(N)$  corresponding to a  $\mathbb{Q}\text{-curve}$  with

$$\deg(x) < \frac{7}{1600} [\mathbb{PSL}_2(\mathbb{Z}) : \Gamma_1(N)]. \tag{1}$$

Then there exists infinitely many sporadic points x' = (E', P') on the curves  $X_1(dN)$  (with d varying), such that E' is isogenous to E and that all the j(E') are pairwise distinct. If deg(x) is odd, we can obtain infinitely many sporadic points such x' such that deg(x') is odd.

The sporadic *j*-invariant  $-7 \cdot 11^3$ , which corresponds to a degree 6 point on  $X_1(37)$  (which is of gonality 18) almost satisfies this.

If an elliptic curve with this j-invariant was non-surjective at any other prime apart from 37, it would satisfy (1).

#### Question

Does there exist a non-CM j-invariant that satisfies (1)?

#### Question

Does there exist a non-CM isogeny class with infinitely many sporadic points on  $\cup_{N \in \mathbb{Z}_+} X_1(N)$ ?

#### Question

Does every non-CM isogeny class that has 1 sporadic point in  $\bigcup_{N \in \mathbb{Z}_+} X_1(N)$  have infinitely many?

#### Question

What can we say about sporadic points on  $X_1(N)$  (or  $X_1(p^2)$ ) of even degree?

# Thanks for listening!