# Q-curves over odd degree number fields and sporadic points 

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## Definitions

## Definition

An isogeny of elliptic curves is a surjective homomorphism with finite kernel

We say that an isogeny $\phi: E_{1} \rightarrow E_{2}$ is defined over $K$ if $E_{1}, E_{2}$ and $\phi$ are all defined over $K$.

An isogeny (if no field is stated) is in this talk defined over $\overline{\mathbb{Q}}$.

## Definition

An elliptic curve is called a $\mathbb{Q}$-curve if it is isogenous to all of its $\operatorname{Gal}(\overline{\mathbb{Q}} / \mathbb{Q})$-conjugates.

If $E / K$ is a $\mathbb{Q}$-curve, it is not necessarily isogenous over $K$ to its conjugates.

## Galois representations attached to elliptic curves

Let $E / K$ be an elliptic curve, $K$ a number field and $p$ a prime.
Define

$$
E[p]:=\{R \in E(\bar{K}) \mid p R=O\},
$$

$G_{K}:=\operatorname{Gal}(\bar{K} / K)$ acts on $E[p]$.
This induces

$$
\rho_{E, p}: \operatorname{Gal}(\bar{K} / K) \longrightarrow \mathrm{GL}_{2}\left(\mathbb{F}_{p}\right),
$$

the $\bmod p$ Galois representation attached to $E$.
Serre's uniformity question/conjecture: Does there exist a $C>0$ such that for all primes $p>C$ and for all elliptic curves $E / \mathbb{Q}$ without CM we have $\rho_{E, p}\left(G_{\mathbb{Q}}\right)=\mathrm{GL}_{2}\left(\mathbb{F}_{p}\right)$ ?

## Q-curves are the modular curves over number fields

Ribet (1992) (assuming Serre's conjecture which was later proved): $\mathbb{Q}$-curves are exactly the elliptic curves over number fields that are modular, in the sense of being quotients of $J_{1}(N)$ for some $N$.
$\mathbb{Q}$-curves have been extensively used in the "modular method" to solve Fermat-type equations. It is often crucial to understand their Galois representations.

## Which curves are $\mathbb{Q}$-curves?

An elliptic curve defined over $\mathbb{Q}$ is a $\mathbb{Q}$-curve.
A base change of a $\mathbb{Q}$-curve is a $\mathbb{Q}$-curve.
A twist of a $\mathbb{Q}$-curve is a $\mathbb{Q}$-curve.
An elliptic curve $E$ with $j(E) \in \mathbb{Q}$ is a $\mathbb{Q}$-curve.
A curve that is isogenous to a $\mathbb{Q}$-curve is a $\mathbb{Q}$-curve.
Any CM elliptic curve is a $\mathbb{Q}$-curve.
Let $\mathcal{E}$ be the set of all elliptic curves.

$$
\begin{gathered}
\mathcal{E} \supset\{\mathbb{Q}-\text { curves }\} \supset\left\{E \text { isogenous to } E_{1} \mid j\left(E_{1}\right) \in \mathbb{Q}\right\} \supset \\
\supset\{E \mid j(E) \in \mathbb{Q}\} \supset\{E / \mathbb{Q}\} .
\end{gathered}
$$

## Questions, questions

$$
\begin{aligned}
\mathcal{Q C} & :=\{\mathbb{Q}-\text { curves }\} \\
\mathcal{I J} & :=\left\{E \text { isogenous to } E_{1} \mid j\left(E_{1}\right) \in \mathbb{Q}\right\} \\
\mathcal{J} & :=\{E \mid j(E) \in \mathbb{Q}\}, \\
\mathcal{B} & :=\{E / \mathbb{Q}\},
\end{aligned}
$$

Important tower of sets: $\mathcal{E} \supset \mathcal{Q C} \supset \mathcal{I} \mathcal{J} \supset \mathcal{J} \supset \mathcal{B}$.
Which statements about Galois representaions of elliptic curves in each of these sets can we prove?

In particular are degrees of isogenies and sizes of torsion groups bounded?

I will not talk about CM elliptic curves. Their Galois representations are now well understood (Bourdon, Clark \& collaborators, Lozano-Robledo).

Our tower of sets: $\mathcal{E} \supset \mathcal{Q C} \supset \mathcal{I J} \supset \mathcal{J} \supset \mathcal{B}$.
For each of these sets $S$ and for $d \in \mathbb{Z}_{+}$denote by $S(d)$ the set of all such elliptic curves defined over all number fields of degree $d$.
$T(S):=$ set of all possible torsion groups of elliptic curves in $S$.
Obviously $\mathcal{E}(1)=\mathcal{Q C}(1)=\mathcal{I J}(1)=\mathcal{J}(1)=\mathcal{B}(1)$.
Mazur (1977):
$T(\mathcal{E}(1))=\left\{C_{n}: n=1, \ldots, 10,12\right\} \cup\left\{C_{2} \times C_{2 m}: m=1, \ldots, 4\right\}$

## Torsion groups over quadratic fields

Our tower of sets: $\mathcal{E} \supset \mathcal{Q C} \supset \mathcal{I J} \supset \mathcal{J} \supset \mathcal{B}$.

$$
\begin{aligned}
& T(\mathcal{E}(2))=\left\{C_{n}: n=1, \ldots, 16,18\right\} \cup\left\{C_{2} \times C_{2 n}: n=1, \ldots, 6\right\} \\
& \cup\left\{C_{3} \times C_{3 n}, n=1,2\right\} \cup\left\{C_{4} \times C_{4}\right\}(\text { Kenku,Momose '88,Kamienny '92). }
\end{aligned}
$$

$$
T(\mathcal{B}(2))=T(\mathcal{E}(2)) \backslash\left\{C_{n}, n=11,13,14,18\right\} .(\mathrm{N} .(2014)) .
$$

$$
T(\mathcal{J}(2))=T(\mathcal{B}(2)) \cup\left\{C_{13}\right\} \text { (Tzortzakis (2018), Gužvić (2019)). }
$$

$$
T(\mathcal{Q C}(2))=T(\mathcal{J}(2)) \cup\left\{C_{14}, C_{18}\right\} .(\text { Le Fourn, N. (2018)) } .
$$

Le Fourn (2013): over any imaginary quadratic field Serre's uniformity conjecture is true for curves in $\mathcal{Q C} \backslash(\mathcal{I J} \cup \mathcal{C M})$.

## Where do torsion groups and isogenies appear?

Our tower of sets: $\mathcal{E} \supset \mathcal{Q C} \supset \mathcal{I} \mathcal{J} \supset \mathcal{J} \supset \mathcal{B}$.
Where do elliptic curves over quadratic fields with certain torsion groups and isogenies appear?

Curves with $C_{13}$ torsion are in $\mathcal{J} \backslash \mathcal{B}$. (Bosman, Bruin, Dujella, N. (2014))
Curves wit $C_{18}$ torsion are in $\mathcal{Q C} \backslash \mathcal{I} \mathcal{J}$. (Bosman, Bruin, Dujella, N. (2014))
Curves wit $C_{16}$ torsion are in $\mathcal{B}$ (Bruin, N. (2016).)
Similar results about elliptic curves with $n$-isogenies, for various $n$, over quadratic fields have been by Bruin, N. (2014), Ozman, Siksek(2016) and Box (2018).

Our tower of sets: $\mathcal{E} \supset \mathcal{Q C} \supset \mathcal{I} \mathcal{J} \supset \mathcal{J} \supset \mathcal{B}$.
Derickx, Etropolski, van Hoeij, Morrow and Zureick-Brown (2020): $T(\mathcal{E}(3))=\left\{C_{n}: n=1, \ldots, 16,18,21\right\} \cup\left\{C_{2} \times C_{2 n}: n=1, \ldots, 7\right\}$.
N. (2014): $T(\mathcal{B}(3))=\left\{C_{n}: n=1, \ldots, 10,12,13,14,18,21\right\}$
$\cup\left\{C_{2} \times C_{2 n}: n=1, \ldots, 4,7\right\}$.
Gužvić (2019): $T(\mathcal{J}(3))=T(\mathcal{B}(3))$.
Open problem: Determine $T(\mathcal{Q C}(3))$ (this is equal to $T(\mathcal{I J}(3))$, as will be seen).

## Torsion bounds over general number fields

Our tower of sets: $\mathcal{E} \supset \mathcal{Q C} \supset \mathcal{I J} \supset \mathcal{J} \supset \mathcal{B}$.
Order of groups in $T\left(\mathcal{E}(d)\right.$ ) is bounded by some $B_{d}$. (Merel (1996))
Order of groups in $T(\mathcal{B}(d))$ for $d$ not divisible by primes $\leq 7$ is bounded by 16. (Gonzalez-Jimenez and N. (2016))
Order of groups in $T(\mathcal{J}(p)$ ), for $p$ prime is bounded by 28 . (Guzuvić (2019))

## Theorem (Cremona, N. (2020))

Order of groups in $T(\mathcal{Q C}(p))$ for $p>7$ prime is bounded by 16 .
If one includes $p=2,3,5,7$ then the correct bound is almost certainly 28.

No such absolute bound can exist for $T(\mathcal{E}(d))$ when $d$ runs through any infinite set of positive integers.

## Isogeny bounds

Our tower of sets: $\mathcal{E} \supset \mathcal{Q C} \supset \mathcal{I} \mathcal{J} \supset \mathcal{J} \supset \mathcal{B}$.
$I(S):=$ set of all possible cyclic isogeny degrees of elliptic curves in $S$.

Note $I(\mathcal{J}(d))=I(\mathcal{B}(d))$.
Mazur (1978) and Kenku (1980s) determined $I(\mathcal{B}(1))$.
N. (2015) - the largest prime in $I((\mathcal{I} \mathcal{J} \backslash \mathcal{C} \mathcal{M})(d))$ is bounded by $3 d-1$ (and by $d-1$ if we assume a weaker version of Serre's uniformity conjecture, which has been proven by Le Fourn and Lemos (2020)).

## Isogeny bounds for $\mathbb{Q}$-curves

## Theorem (Cremona, N. (2020))

Let $L=\{2,3,5,7,11,13,17,37\}$.
a) The primes in $I((\mathcal{Q C} \backslash \mathcal{C} \mathcal{M})(d))$ for odd $d$ are contained in $L$.
b) If $d$ is not divisible by any prime $\ell \in L$, then $\max I((\mathcal{Q C} \backslash \mathcal{C M})(d))=37$.
c) For odd d, $\max I(\mathcal{Q C}(d)) \leq B_{d}$ for some constant $B_{d}$ depending only on $d$.

## Fields of defintion: Removing the bar

## Theorem (Elkies(1994))

Every non-CM $\mathbb{Q}$-curve over a number field $K$ is $\bar{K}$-isogenous to an elliptic curve defined over a polyquadratic field $F$.

## Theorem (Cremona, N. (2020))

Every non-CM $\mathbb{Q}$-curve over a number field $K$ is $K$-isogenous to an elliptic curve with j-invariant in a polyquadratic field $F$.

So $F \subseteq K$ and moreover $\mathbb{Q}$-curve over an odd degree number field is isogenous to an elliptic curve with $j(E) \in \mathbb{Q}$.

Conjecturally (Elkies), the degree of the field $F$ can be bounded by an absolute constant.

## Proving these results

This means that for odd $d$ we have $\mathcal{Q C}(d)=\mathcal{I J}(d)$ and the Galois representations of curves in $\mathcal{I J}(d)$ are comparatively well understood and this allows us to obtain our results.

We also develop a quick algorithm to test whether a given curve $E / K$ is a $\mathbb{Q}$-curve. It works (in the worst case) by computing the $K$-isogeny class.

Previously it was necessary to compute the $K^{\prime}$-isogeny class, where $K^{\prime}$ is the Galois closure of $K$ over $\mathbb{Q}$.

## Sporadic points

## Definition

We say that a point $x$ of degree $d$ on a curve $X$ is sporadic if there are only finitely many points of degree $\leq d$.

When trying to determine $T(\mathcal{E}(d))$ and $I(\mathcal{E}(d))$ it is determining what the "sporadic groups" (those that appear finitely many times) are that is the hardest obstacle.

The groups that appear infinitely often in $T(\mathcal{E}(d))$ are known for $d \leq 6$ : $d=3$ proved by Jeon, Kim, Schweizer (2004), $d=4$ by Jeon, Kim, Park (2006) and $d=5,6$ by Derickx, Sutherland (2016).

The sporadic groups in $T(\mathcal{E}(d))$ are known only for $d \leq 3$.
The degrees that appear infinitely often in $I(\mathcal{E}(d))$ are known for $d=2$ (Bars, 1999) and $d=3$ (Jeon, 2021), while the sporadic ones are known only for $d=1$.

## CM sporadic points

For large $N$ and large degrees there is an abundance of CM sporadic points on $X_{1}(N)$.

## Theorem (Clark, Genao, Pollack, Saia, 2019)

For all $N \geq 721$, the curve $X_{1}(N)$ has a sporadic CM point.

## Theorem (Bourdon, Ejder, Liu, Odumodu, Viray, 2019)

Let $E$ be a CM elliptic curve. Then $E$ corresponds to a sporadic point on $X_{1}(N)$ for infinitely many $N$.

So every CM $j$-invariant is a "sporadic $j$-invariant."

## Theorem (Bourdon, Ejder, Liu, Odumodu, Viray, 2019)

Assuming Serre's Uniformity Conjecture, there are only finitely many rational j-invariants giving rise to a sporadic point in $\cup_{N \in \mathbb{Z}_{+}} X_{1}(N)$.

The set of "sporadic $j$-invariants" in $\mathbb{Q}$ contains $-3^{2} \cdot 5^{6} / 2^{3}$, $-7 \cdot 11^{3}$ and all CM $j$-invariants.

## Proposition (Bourdon, Ejder, Liu, Odumodu, Viray, 2019)

Suppose there is a point $x \in X_{1}(N)$ with

$$
\operatorname{deg}(x)<\frac{7}{1600}\left[\mathbb{P S L}_{2}(\mathbb{Z}): \Gamma_{1}(N)\right]
$$

Then $x$ is sporadic and for any positive integer $d$ and any point $y \in X_{1}(d N)$ with $\pi(y)=x$, the point $y$ is sporadic, where $\pi$ denotes the natural map $X_{1}(d N) \rightarrow X_{1}(N)$.

## Sporadic points of small degree

N. 2012: The elliptic curve $E: y^{2}+x y+y=x^{3}-x^{2}-5 x+5$ with $j=-3^{2} \cdot 5^{6} / 2^{3}$ and LMFDB label $162 . c 3$ has a point of order 21 over the cubic field $\mathbb{Q}\left(\zeta_{9}\right)^{+}$, while $X_{1}(21)$ has finitely many points of degree $\leq 3$.

This is the least degree of sporadic point on $X_{1}(N)$ for any $N$.
There exists a positive finite number of elliptic curves (up to $\overline{\mathbb{Q}}$-isomorphism ) with $n$-isogenies over $\mathbb{Q}$ for

$$
n=11,14,15,17,19,21,27,37,43,67,163 .
$$

So the lowest degree of a sporadic point on $X_{0}(n)$ is 1 .
Bourdon, Gill, Rouse and Watson (2020): $j=-3^{2} \cdot 5^{6} / 2^{3}$ is the unique non-CM rational $j$-invariant giving rise to a sporadic point of odd degree on $X_{1}(N)$.

## van Hoeij's list of sporadic points.

van Hoeij has a huge list of sporadic points on $X_{1}(N)$ for $N \leq 80$.
There are no sporadic points of degree 1 and 2, while there exist sporadic points of degree 3 and all $5 \leq d \leq 30$ (follows also from Derickx and van Hoeij's results on gonality of $\left.X_{1}(N), 2014\right)$.

## Question

Are there any sporadic points on $X_{1}(N)$ (or more generally $X_{1}(M, N)$ ) of degree 4?

## Relationship to Serre's Uniformity Conjecture

## Theorem (Bourdon, N., 2021)

Suppose that all non-CM $\mathbb{Q}$-curves corresponding to sporadic points on $X_{1}\left(p^{2}\right)$ lie in finitely many isogeny classes, as $p$ varies through all primes. Then Serre's Uniformity Conjecture holds.

- Suppose $E / \mathbb{Q}$ is non-CM and $\rho_{E, p}$ non-surjective for $p>37$. Then $\operatorname{im} \rho_{E, p} \leq C_{n s}^{+}(p)$, so $F:=\mathbb{Q}(E[p])$ is of degree dividing $2\left(p^{2}-1\right)$.
- $E$ has two independent $p$-isogenies over $F$, and so is $F$-isogenous to an elliptic curve $E^{\prime}$ with a $F$-rational cyclic $p^{2}$-isogeny and a $F$-rational point of order $p$ which is in the kernel of this isogeny.
- $E^{\prime}$ has a point of order $p^{2}$ over an extension $F^{\prime} / F$ of degree dividing $p$, so at most $2 p\left(p^{2}-1\right)$.
- For large enough $p$ is always sporadic by Abramovich's bound.


## Relationship to Serre's Uniformity Conjecture

Basically the same argument also proves:

## Theorem (Bourdon, N., 2021)

Suppose that there are finitely many sporadic non-CM points on $X(p)$ corresponding to elliptic curves defined over $\mathbb{Q}$, as $p$ varies through all primes. Then Serre's Uniformity Conjecture holds.

## Odd degree sporadic points on $\mathbb{Q}$-curves

## Question (Bourdon, N. (2021))

Does there exist only finitely many (isogeny classes of) non-CM $\mathbb{Q}$-curves giving rise to sporadic points on $X_{1}(N)$ for some $N$ ?

If yes, this would imply Serre's uniformity conjecture.

## Theorem (Bourdon, N., 2021)

All the odd degree sporadic points on $X_{1}(N)$ corresponding to non-CM $\mathbb{Q}$-curves lie in the isogeny classes of $j=-3^{2} \cdot 5^{6} / 2^{3}$.

## Theorem (Bourdon, N., 2021)

Let $p$ be a prime. If $x=[E, P] \in X_{1}\left(p^{k}\right)$ is a sporadic point of odd degree corresponding to a $\mathbb{Q}$-curve, then $E$ has CM.

## Isogeny classes giving infinitely many sporadic points

## Proposition (Bourdon, N. 2021)

Suppose that there is a non-CM point $x=[E, P] \in X_{1}(N)$ corresponding to a $\mathbb{Q}$-curve with

$$
\begin{equation*}
\operatorname{deg}(x)<\frac{7}{1600}\left[\mathbb{P S L}_{2}(\mathbb{Z}): \Gamma_{1}(N)\right] . \tag{1}
\end{equation*}
$$

Then there exists infinitely many sporadic points $x^{\prime}=\left(E^{\prime}, P^{\prime}\right)$ on the curves $X_{1}(d N)$ (with d varying), such that $E^{\prime}$ is isogenous to $E$ and that all the $j\left(E^{\prime}\right)$ are pairwise distinct. If $\operatorname{deg}(x)$ is odd, we can obtain infinitely many sporadic points such $x^{\prime}$ such that $\operatorname{deg}\left(x^{\prime}\right)$ is odd.

The sporadic $j$-invariant $-7 \cdot 11^{3}$, which corresponds to a degree 6 point on $X_{1}(37)$ (which is of gonality 18 ) almost satisfies this.
If an elliptic curve with this $j$-invariant was non-surjective at any other prime apart from 37 , it would satisfy (1).

## Questions

## Question

Does there exist a non-CM j-invariant that satisfies (1)?

## Question

Does there exist a non-CM isogeny class with infinitely many sporadic points on $\cup_{N \in \mathbb{Z}_{+}} X_{1}(N)$ ?

## Question

Does every non-CM isogeny class that has 1 sporadic point in $\cup_{N \in \mathbb{Z}_{+}} X_{1}(N)$ have infinitely many?

## Question

What can we say about sporadic points on $X_{1}(N)\left(\right.$ or $X_{1}\left(p^{2}\right)$ ) of even degree?

## The end

## Thanks for listening!

