

# Arithmetic Topology, Path integrals, and the Analogy between Function Fields and Number Fields

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## I. Weil's Trichotomy

# Analogy between Function Fields and Number Fields

Structural similarity:

$$F \sim k(X),$$

where  $F$  is an algebraic number field and  $X$  is a smooth projective curve over a finite field  $k = \mathbb{F}_q$ ,  $q = p^n$ .

Also,

$$\mathrm{Spec}(\mathcal{O}_F) \setminus T \sim X \setminus S$$

$S, T$  finite sets of closed points (possibly empty).

A better analogy is

$$\mathcal{C}^*(\mathrm{Spec}(\mathcal{O}_F) \setminus T) \sim \mathcal{C}^*(X \setminus S),$$

where  $\mathcal{C}^*$  are suitable categories of sheaves with conditions  $*$  that might need adjustment.

# Analogy between Function Fields and Number Fields

For example, if  $\mathcal{C}$  is a category of  $\mathbb{Q}_\ell$ -sheaves for  $\ell \neq p$  odd, then roughly

$$\mathcal{C}(\mathcal{O}_F)^{sm} \sim \mathcal{C}(X)^{sm},$$

where the superscript refers to 'lisse'  $\mathbb{Q}_\ell$ -sheaves satisfying crystalline conditions on the left at places dividing  $\ell$ .

# Analogy between Function Fields and Number Fields

Weil remarks that the analogy between  $F$  and  $k(X)$  is  
*so strict and obvious that there is neither an argument  
nor a result in arithmetic that cannot be translated  
almost word for word to the function fields.*

Substantial consequences, e.g.

- Riemann hypothesis for varieties over finite fields;
- Langlands correspondence for function fields;
- The Fundamental Lemma;
- Weight monodromy conjecture for complete intersections.

## Trichotomy ('Rosetta Stone')

Weil believed  $k(X)$  to be an intermediate point in a bridge linking  $F$  and

$$\mathbb{C}(\Sigma),$$

the field of meromorphic functions on a compact smooth Riemann surface  $\Sigma$ :

$$F \sim k(X) \sim \mathbb{C}(\Sigma).$$

However, his sense of the the similarity between  $k(X)$  and  $\mathbb{C}(\Sigma)$  is expressed more cautiously:

*The distance is not so large that a patient study would not teach us the art of passing from one to the other, and to profit in the study of the first from knowledge acquired about the second.*

Of course the analogy  $k(X) \sim \mathbb{C}(\Sigma)$  is not quite right.

## Trichotomy: Correction

A better analogy is

$$\bar{k}(X) \sim \mathbb{C}(\Sigma),$$

where  $\bar{k}(X)$  is the field of rational functions on  $\bar{X}$ , the base-change of  $X$  to the algebraic closure  $\bar{k}$  of  $k$ .

Thus, we actually have two separate analogies

$$\bar{k}(X) \sim \mathbb{C}(\Sigma)$$

$$F \sim k(X)$$

How to extend these to trichotomies

$$? \sim \bar{k}(X) \sim \mathbb{C}(\Sigma)$$

$$F \sim k(X) \sim ?$$

Will focus today mostly on the second.

## Trichotomy: Correction

Note that

$$\bar{X} \sim \Sigma,$$

an analogy of geometric objects and not just fields. Then we have

$$\begin{array}{ccc} \bar{X} & \hookrightarrow & X \\ \downarrow & & \downarrow \\ \text{Spec}(\bar{k}) & \longrightarrow & \text{Spec}(k) \sim S^1 \end{array}$$



## Trichotomy, Correction

We see that  $X$  itself is analogous to a fibered three manifold

$$\begin{array}{ccc} \Sigma & \hookrightarrow & M \\ \downarrow & & \downarrow \\ 1 & \longrightarrow & S^1 \end{array}$$

with fibre  $\Sigma$ .

This is compatible with an analogy between  $\text{Spec}(\mathcal{O}_F)$  and a three-manifold, not necessarily fibered, due to Mazur. In short, the original analogy between function fields and number fields was also three-dimensional in nature.

## II. Quantum Mechanics and Path Integrals

# Schroedinger's Equation

Time evolution in quantum mechanics is expressed by the differential equation

$$\frac{d\psi}{dt} = -iH\psi$$

Here,  $\psi$  is a time-dependent vector in a Hilbert space  $\mathcal{H}$ , while  $H$  is a self-adjoint operator called the Hamiltonian, representing energy.

When the space of classical states is  $T^*\Sigma$  for some manifold  $\Sigma$ , often

$$\psi \in \mathcal{H} = L^2(\Sigma)$$

$\mathcal{H}$  is the *quantisation* of the symplectic manifold  $T^*\Sigma$ .

Time evolution can also be expressed via an integral kernel:

$$[e^{-iHT}\psi](x) = \int K_T(x, y)\psi(y)dy$$

for some kernel function  $K_t(x, y)$  when you start with the initial condition  $\psi$ .

# Schroedinger's Equation: Path Integral

Path integral interpretation:

$$K_t(x, y) = \int_{P(x, y)} e^{iA(q)} dq,$$

where  $A(q)$  is the classical action defined on paths

$$P(x, y) := \{q : [0, t] \longrightarrow \Sigma \mid q(0) = y, q(t) = x\}$$

## Schroedinger's Equation: Path Integral

For example, for a single particle, we might have

$$A(q) = \int_0^T [(m/2)q'(t)^2 - V(q(t))]dt,$$

for some potential function  $V$  on  $\Sigma$ .

The classical Hamiltonian in this case is

$$h(q, p) = (1/2m)p^2 + V(q),$$

while the quantum Hamiltonian is the operator

$$H = (-1/2m)\Delta + V(q)$$

acting on  $L^2(\Sigma)$ .

## Schroedinger's Equation: Path Integral

The usual interpretation of the kernel is as matrix coefficients, so one has informally

$$\text{Tr}(e^{-iHT}) = \int K_T(x, x) dx.$$

But

$$K_T(x, x) = \int_{P(x, x)} e^{iA(\gamma)} d\gamma$$

an integral over loops based at  $x$ .

Hence,

$$\text{Tr}(e^{-iHT}) = \int \int_{P(x, x)} e^{iA(q)} dq dx = \int_{\Omega} e^{iA(q)} dq,$$

the last being an integral over all loops

$$S^1 \longrightarrow \Sigma.$$

## Schroedinger's Equation: Path Integral

Physicists tend to assume that you can put  $T = -i\beta$ , leading to

$$\text{Tr}(e^{-\beta H}) = \int \int_{P(x,x)} e^{-S(q)} dq dx = \int_{\Omega} e^{-S(q)} dq,$$

for a function  $S(q)$  call the Euclidean action.

With this substitution, this is called the *partition function* of the system.

## Path Integrals: Twists

Maps from  $S^1$  to  $\Sigma$  are sections of the trivial bundle

$$S^1 \times \Sigma \longrightarrow S^1.$$

Will interpret integral over a space of sections of a non-trivial fibre bundle

$$M \longrightarrow S^1$$

In fact, write

$$M = ([0, T] \times \Sigma)/F,$$

the mapping cylinder, where the monodromy map  $F : \Sigma \longrightarrow \Sigma$  is used to glue  $T \times \Sigma$  to  $0 \times \Sigma$ .



## Path Integrals: Twists

Sections can be identified with  $c : [0, T] \longrightarrow \Sigma$  such that  $Fc(T) = c(0)$  or  $c(T) = F^{-1}c(0)$ .

Integral over sections:

$$\int \int_{P(F^{-1}x, x)} e^{-iA(c)} dc dx.$$

Recall that

$$\int_{P(F^{-1}x, x)} e^{iA(c)} dc = K_T(F^{-1}x, x)$$

where  $K_T(x, y)$  is the integral kernel of the operator  $e^{-iTH}$  acting on  $L^2(\Sigma)$ .

That is,

$$[e^{-iTH}\psi](x) = \int K_T(x, y)\psi(y)dy.$$

## Path Integrals: Twists

The diffeomorphism  $F$  acts on functions as

$$F\psi(x) = \psi(F^{-1}x).$$

Hence,

$$[Fe^{-iTH}\psi](x) = [e^{-iTH}\psi](F^{-1}x) = \int K_T(F^{-1}x, y)\psi(y)dy.$$

That is,  $K_T(F^{-1}x, y)$  is the integral kernel for the operator

$$Fe^{-iTH}.$$

## Path Integrals: Twists

Therefore,

$$\begin{aligned}\mathrm{Tr}(Fe^{-iTH}) &= \int K_T(F^{-1}x, x)dx = \int \int_{P(F^{-1}x, x)} e^{iA(c)} dc dx, \\ &= \int_{\mathcal{F}} e^{iA(s)} ds\end{aligned}$$

an integral over the space  $\mathcal{F}$  of sections of  $M \longrightarrow S^1$ .

When the theory is *topological* so that the Hamiltonian is zero, we get

$$\mathrm{Tr}(F) = \int_{\mathcal{F}} e^{iA(s)} ds.$$

## More on Quantum Hilbert Spaces

When the space of classical states is a Kaehler manifold  $X$  (symplectic, Riemannian, complex in a compatible way), often have *geometric quantisation*, where

$$\mathcal{H} = \Gamma(X, \mathcal{L})$$

for some holomorphic line bundle  $\mathcal{L}$ .

For example, when  $X = \mathbb{R}^2 = \mathbb{C}$ , could take

$$\mathcal{H} = L^2(\mathbb{R}, dx)$$

or

$$\mathcal{H} = L_{hol}^2(\mathbb{C}, e^{-|z|^2} idzd\bar{z}).$$

### III. Return to Trichotomy

## Return to Trichotomy

$X/\mathbb{F}_q$ , a smooth projective curve over a finite field.

$$X \sim M \simeq (\Sigma \times [0, 1])/f,$$

where  $f : \Sigma \simeq \Sigma$  is a monodromy diffeomorphism.

The analogy is that

$$X \sim (\bar{X} \times [0, 1])/Fr_q.$$

Similarly, for the Jacobian,

$$J \sim (\bar{J} \times [0, 1])/Fr_q.$$

The Jacobian  $\bar{J}$  arises as the state space for many kinds of quantum field theories.

## Trichotomy: Hilbert Space

Let  $Y$  be a lift of  $X$  to  $W = W(k)$ .

Let  $L \longrightarrow J_Y$  a theta line bundle on the Jacobian of  $Y$ , giving a principal polarisation.

Let  $N$  be an odd prime such that  $q \equiv 1 \pmod{N}$  and

$$\mathcal{H} := \Gamma(J_Y, L^N) \otimes \mathbb{C}$$

## Trichotomy: Hilbert Space

The vector space  $\mathcal{H}$  is acted on by the finite Heisenberg group with centre  $\mu_N$ :

$$\text{Heis}_N = \mu_N \times J[N]$$

with group structure given by

$$(\lambda, a) \circ (\mu, b) = (\langle a, b \rangle^{1/2} \lambda \mu, a + b).$$

It is the unique irreducible representation of  $\text{Heis}_N$  with  $\mu_N$  acting as scalar multiplication via identity character.

Thus,  $\mathcal{H}$  is the quantisation of the symplectic vector space  $J[N]$ .



## Trichotomy: Hilbert Space

There is also an action of the finite symplectic group of  $J[N]$  (Gurevich and Hadani).

The Frobenius  $Fr_q$  acts on  $J[N]$  by symplectic transformations, so that  $Fr_q$  acts on  $\mathcal{H}$ .

Formula (with Y. Cheng, A. Venkatesh):

Assume either (i) the Frobenius action on  $J[N]$  is semi-simple and  $\sqrt{-1} \in \mathbb{F}_q$ , or (ii) there is a Lagrangian subspace in  $J[N]$  stabilised by  $Fr_q$ . Then

$$\mathrm{Tr}(Fr_q|\mathcal{H}) = \pm \sqrt{|Cl(X)[N]|}$$

## Trichotomy: Application

*Proof of Formula:*

Assume there is a Lagrangian subspace  $L \subset J[N]$ .

$\mathcal{H}$  is the unique (up to almost unique isomorphism) irreducible representation of  $\text{Heis}_N$  with identity central character.

Thus,

$$\mathcal{H} \simeq C_{L^\circ} = \text{Fun}(J[N]/L, \mathbb{C}),$$

where  $L^\circ$  denotes  $L$  with some fixed basis of  $\wedge^{\text{top}} L$ . Hadani and Gurevich show that there are canonical isomorphisms

$$T_{L^\circ, (L')^\circ} : C_{L^\circ} \simeq C_{(L')^\circ},$$

for any pair of oriented Lagrangians.

This is used to define the action of the symplectic group: Given  $g \in \text{Sp}(J[N])$ ,

$$C_{L^\circ} \simeq {}^{\circ}g^{-1} C_{gL^\circ} \simeq T_{(gL^\circ), L^\circ} C_{L^\circ}.$$

## Trichotomy: Application

*Proof of Formula (continued):*

When  $gL = L$ , then  $T_{g(L^\circ), L^\circ} = \pm 1$ . Thus,

$$\text{Tr}(Fr_q|\mathcal{H}) = \pm \text{Tr}(Fr_q|C_L) = \pm \text{Tr}(Fr_q|\text{Fun}(L', \mathbb{C})),$$

where  $L' \subset J[N]$  is a complementary subspace.

Easy to see that

$$\text{Tr}(Fr_q|\text{Fun}(L', \mathbb{C})) = |(L')^{Fr_q}|.$$

Via duality given by the Weil pairing

$$|(L')^{Fr_q}| = |L^{Fr_q}|,$$

so that

$$|(L')^{Fr_q}| = \sqrt{|(L \times L')^{Fr_q}|} = \sqrt{|J[N]^{Fr_q}|} = \sqrt{|Cl(X)[N]|}.$$

## IV. An Arithmetic Path Integral

# Arithmetic Path Integral

Once again,

$$\begin{array}{c} X \\ \downarrow \\ \text{Spec}(\mathbb{F}_q) \end{array}$$

is a smooth projective curve and

$$\begin{array}{c} J \\ \downarrow \\ \text{Spec}(\mathbb{F}_q) \end{array}$$

is its Jacobian.

# Arithmetic Path Integral

Once again,

$$\begin{array}{c} X \\ \downarrow \\ \text{Spec}(\mathbb{F}_q) \end{array}$$

is a smooth projective curve and

$$\begin{array}{c} J[N] \\ \downarrow \\ \text{Spec}(\mathbb{F}_q) \end{array}$$

is a finite group scheme over  $\mathbb{F}_q$ .

# Arithmetic Path Integral

A rational point  $\gamma \in J[N](\mathbb{F}_q)$  is a section of the 'fibre bundle over  $S^1$ '

$$\begin{array}{ccc} & J[N] & \\ & \downarrow & \uparrow \\ & \text{Spec}(\mathbb{F}_q) & \end{array} \quad \gamma$$

Will define an 'arithmetic action'

$$A : J[N](\mathbb{F}_q) \longrightarrow \frac{1}{N}\mathbb{Z}/\mathbb{Z}$$

Recall that  $\mu_N \subset \mathbb{F}_q$ . Choose an isomorphism  $\mu_N \simeq \frac{1}{N}\mathbb{Z}/\mathbb{Z}$ .

# Arithmetic Path Integral

There is the reciprocity map

$$\text{rec} : J(\mathbb{F}_q) = CH_0(X)^0 \longrightarrow \pi_1^{ab}(X)^0,$$

where the target corresponds to

$$0 \longrightarrow \pi_1^{ab}(X)^0 \longrightarrow \pi_1^{ab}(X) \longrightarrow \text{Gal}(\bar{\mathbb{F}}_q/\mathbb{F}_q) \longrightarrow 0.$$

Hence, also

$$\text{rec} : J(\mathbb{F}_q)[N] = CH_0(X)^0[N] \longrightarrow \pi_1^{ab}(X)^0[N].$$



## Arithmetic Path Integral

However,  $\gamma \in J(\mathbb{F}_q)[N]$  also defines a class in

$$c_\gamma \in H^1(X, \frac{1}{N}\mathbb{Z}/\mathbb{Z})/H^1(\text{Spec}(\mathbb{F}_q), \frac{1}{N}\mathbb{Z}/\mathbb{Z}).$$

This is because  $\gamma$  determines a line bundle  $L_\gamma$  on  $X$  such that

$$L_\gamma^N \simeq^f \mathcal{O}_X.$$

generating a  $\frac{1}{N}\mathbb{Z}/\mathbb{Z}$ -torsor

$$c_\gamma = \{y \in L_\gamma \mid f(y^N) = 1.\}.$$

$$H^1(X, \frac{1}{N}\mathbb{Z}/\mathbb{Z}) = \text{Hom}(\pi_1(X)^{ab}, \frac{1}{N}\mathbb{Z}/\mathbb{Z})$$

# Arithmetic Path Integral

Thus, we can define the quadratic function

$$A(\gamma) := c_\gamma(\text{rec}(\gamma))$$

and 'path integral'

$$\sum_{\gamma \in J[M](\mathbb{F}_q)} e^{2\pi i A(\gamma)}$$

Theorem (with H. Chung, D. Kim G. Pappas, J. Park, H. Yoo  
supplemented by Y. Cheng)

$$\sum_{\gamma \in J[M](\mathbb{F}_q)} e^{2\pi i A(\gamma)} = \sqrt{|J(\mathbb{F}_q)[M]|} \left( \frac{\det(A)}{N} \right)^{[i^{(N-1)^2/4}]} \dim(J(\mathbb{F}_q)[M]).$$

## Arithmetic Path Integral: Comments

Note that

$$\mathrm{Tr}(F|\mathcal{H}) = \pm \sum_{\gamma \in J[M](\mathbb{F}_q)} e^{iA(\gamma)}$$

Proof of formula is a simple consequence of result of Neretin on Gaussian integrals over finite fields.

Compare to

$$\int e^{-\underline{x}^T A \underline{x}} d^n \underline{x} = \frac{\pi^{n/2}}{\sqrt{\det(A)}}.$$

Finite field case reduces to

$$\sum_{k=1}^{p-1} \left(\frac{k}{p}\right) e^{2\pi i/k} = \sqrt{\left(\frac{-1}{p}\right)^p}.$$