



# AI-assisted Theoretical Discovery

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VaNTAGe online seminar



# Where are we with AI for Mathematical Discovery?

Bottom-Up as a formal logical system

Top-Down as a creative/intuitive art

Meta-Mathematics as a language

Reviews:

[YHH: Machine-Learning Mathematical Structures, 2101.06317 \*IJMSDS\* 2021](#)

[YHH: A Triumvirate of AI Driven Theoretical Discovery, 2405.19973 \*Nature Rev. Phys.\* 2024](#)



# I. Bottom-Up

## Proof Co-Pilots



# Building up from Foundations

Russell-Whitehead *Principia Mathematica* [1910s] programme (following: Euclid, Leibniz, Frege, ...) to **axiomatize mathematics**  
but ...



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Automated Theorem Proving (ATP) a long tradition

- Newell-Simon-Shaw [1956] Logical Theory Machine  $\leadsto$  proved some *Principia*
- Type Theory [1970s] Martin-Löf, Coquand
- Univalent Foundation / Homotopy Type Theory [2006-] Voevodsky
- Coq system: 4-color (2005); Feit-Thompson Thm (2012);



# Mathlib

- Hilbert, Russel-Whitehead: Formalism ("We must know, we will know")
- <https://leanprover-community.github.io/mathlib-overview.html>  
[Launched 2013 by L. de Moura]
- Buzzard, Commelin, Massot: *Formalising perfectoid spaces* [2019]
- Gowers-Green-Manner-Tao: Freiman-Rusza-Marton Conj [2023]
- Buzzard: ICM 2022: [XenaProject](#) (2013 - 2023) all of undergraduate maths
- Tao: [Machine-Assisted Proof](#) 2024



# Lean Community

2023- Lean Focused Research Organization: 2023 -

    towards auto-formalization & the future of formalization

- *Process-Driven Autoformalization in Lean 4*: Lu et al. [2024]; *130k Lines of Formal Topology in Two Weeks*: Urban [2026]

2024- Buzzard's [Formalizing Fermat](#) EPSRC Grant [2024 - 7]

2024- Tooby-Smith's [PhysLean](#)

2025- Buzzard-YHH-Mehta-Hill: London Lean Monthly: Imperial/UCL/LIMS

- Deepmind [AlphaProof](#) (vs. AlphaGeo & AlphaGeo2): incorporates Lean

## II. Top-Down



# Experimental Mathematics

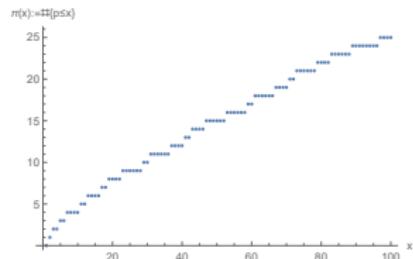


# AI-guided intuition

- (somewhat) Brouwer: Intuitionism (mathematics is a creation of the mind; no more law of excluded middle  $A \vee \neg A$ )
- Theoretical physics had never been a bottom-up process
- “Mathematics is a branch of physics where the experiments are cheap”
  - V. Arnol'd
- Conjecture Formulation from mathematical data
- M. Douglas: **Platonic Data**

# intuition, experience, experimentation

- C19th: Gauss



(w/o computer and before complex analysis [50 years before Hadamard-de la Vallée-Poussin's proof]): PNT  $\pi(x) \sim x / \log(x)$

- C20th: **BSD** computer experiment of Birch & Swinnerton-Dyer [1960's] on plots of rank  $r$  &  $N_p$  on elliptic curves
- 2 / 6 remaining Millennium Prize Problems (RH, BSD) came from experimentation

# Algebraic Geometry as Image Processing

A Stringy Origin



- **Original Motivation:** Plethora ( $10^N$  possible vacuum solutions in string theory compactifications), computationally prohibitive
- A typical calculation:

$$X = \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} \longrightarrow \text{What Bourbaki teaches us} \longrightarrow h^{2,1}(X) = 22$$

- [YHH 1706.02714] Deep-Learning the Landscape, *PLB* 774, 2017;  
(cf. Feature in *Science*, Aug, vol 365 issue 6452, 2019 ): think of it as an image processing problem


$$\longrightarrow \text{What Machine-Learning teaches us} \longrightarrow 22$$

Thank you! Since 2017-



## fantastic students

Jiakang Bao, Elli Heyes, Ed Hirst, Tejas Acharya, Daatta Aggrawal, Malik Amir, Kieran Bull, Lucille Calmon, Siqi Chen, Suvajit Majumder, Maks Manko, Toby Peterken, Juan Pérez-Ipiña, Max Sharnoff, Yan Xiao

## wonderful collaborators

**Physics:** Guillermo Arias-Tamargo, David Berman, Heng-Yu Chen, Andrei Constantin, Sebastián Franco, Vishnu Jejjala, Seung-Joo Lee, Andre Lukas, Shailesh Lal, Brent Nelson, Diego Rodriguez-Gomez, Zaid Zaz

**Algebraic Geometry:** Anthony Ashmore, Challenger Mishra, Rehan Deen, Burt Ovrut

**Number Theory:** Laura Alessandretti, Andrea Baronchelli, Kyu-Hwan Lee, Tom Oliver, Alexey Pozdnyakov, Drew Sutherland, Eldar Sultanow

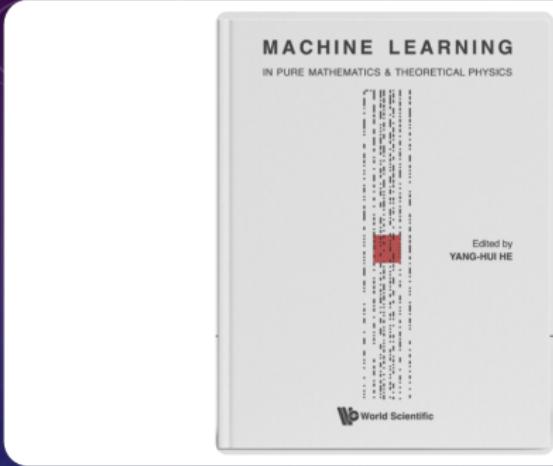
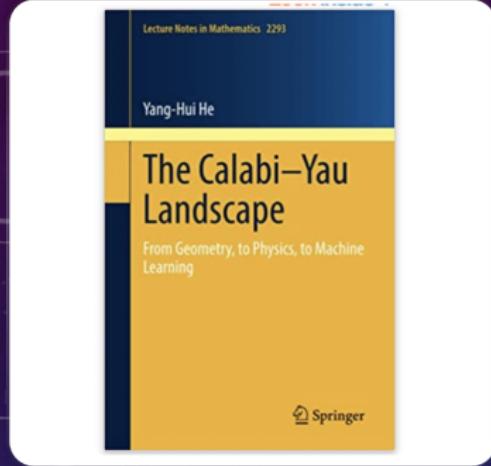
**Representation Theory:** Mandy Cheung, Pierre Dechant, Minhyong Kim, Jianrong Li, Gregg Musiker

**Combinatorics:** Johannes Hofscheier, Alexander Kasprzyk, Shiing-Tung Yau



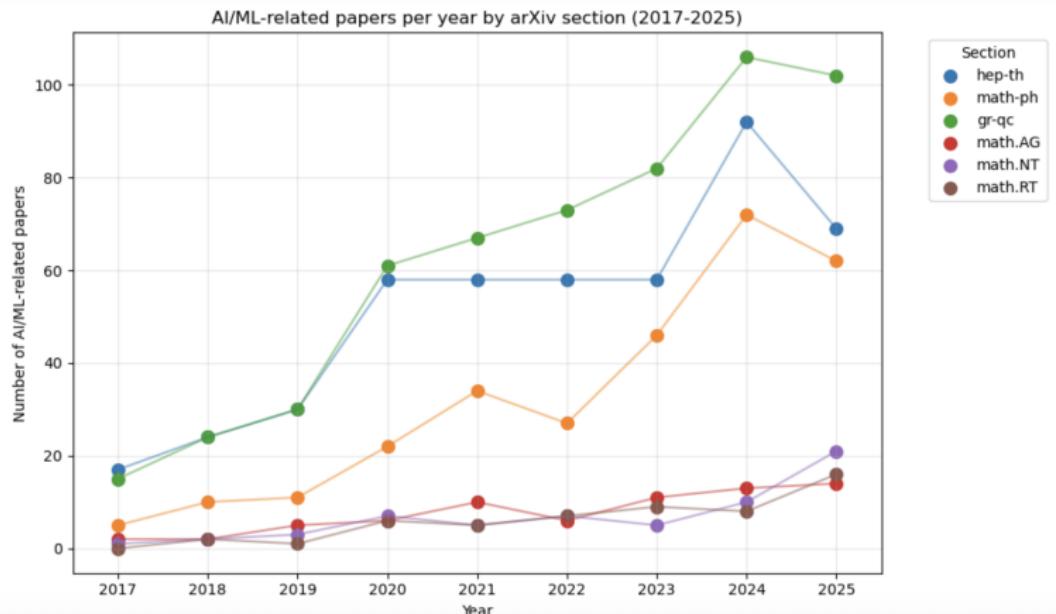
2018: Springer, Lecture Notes in Maths 2293

2020: World Scientific, editorial



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# A Growing Field



# AI4Maths Annual Series



- 2017 - String\_Data (w/ Krippendorf, Mishra, Halverson, Ruehle, Grimm, Hashimoto, Gukov, et al)
- 2020 - DANGER (w/ Kasprzyk, Hirst, Heyes, Oliver, Lee)
- 2021 - Math-AI @ NeurIPS
- 2024 - ICMS, Harvard (Douglas et al)
- 2024 - AI for Math Workshop @ ICML
- 2025 - AI4x @ NUS Singapore

# The Birch Test: “AI + N” @ “AI”

With Buzzard, Cardoso, Klemm, Nampuri, et al, inspired by a talk by Birch, we (half-jokingly) formulated the *Birch Test* (cf. chatGPT passed Turing test in 2022)

**YHH, M. Burtsev, *Nature*, Jan 2024.**

The screenshot shows the homepage of the Isaac Newton Institute for Mathematical Sciences. The main title is 'Black holes: bridges between number theory and holographic quantum information'. Below the title, it says '4 September 2023 to 26 December 2023'. The navigation bar includes 'Home', 'What's On', 'Videos', 'Documents', 'Meetings', 'Media', 'About', 'Support', and 'Contact'. The background features a dark blue image of a black hole with a bright accretion disk and a grid of numbers.

## Programme theme

String theory of quantum gravity involves one of the most challenging problems at the cutting edge of research in mathematics and theoretical physics. Unsolvable this problem implies constructing a quantum field theoretic description of gravity which has no solutions. Now a quantum field theory involves gravitational anomalies (background anomalies).

Most of the progress in changing the language and the framework of this problem has to do with a specific subset of problems in quantum gravity, namely, those dealing with understanding the organization of information in black holes.

These problems, in turn, can be readily divided into two streams of research, each dealing with a different class of black holes as systems of interest.

The examination of the various properties of a special class of black holes, called (P) black holes, is experiencing theoretical progress. Mock modular forms and automorphic forms. This has led to uncovering a multitude of exciting connections between string theory and mathematical structures in the fields of number theory, finite group theory and algebraic geometry, such as the relation of (P) black holes and mock modular forms, the relation of Moonshine and the K3 elliptic genus, and produced significant new results in the theory of automorphic forms.



## Organizers

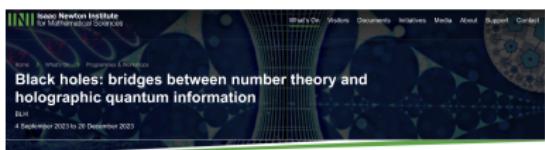
- Jonathan Achter (University of Colorado)
- Pieter de Bruyn (KU Leuven)
- Yang-Hui He (London Institute for Mathematical Sciences)
- Michael Hindmarsh (University of Oxford)
- Gabriele La Nave (CERN)
- Samir K. Narain (Tata Institute of Fundamental Research)
- Daniel Persson (KTH Royal Institute of Technology)
- Laius Thostensen (University of Oxford)

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**YHH, M. Burtsev, *Nature*, Jan 2024.**

- (Automaticity) be generated by AI



#### Programme theme

Delving into a theory of quantum gravity involves one of the most challenging problems at the cutting edge of research in mathematics and theoretical physics. Unsolvable this problem implies constructing a quantum field theoretic description of gravity which has no solutions. Now a quantum field theory involves gravitational quantities (background and sources).

Most of the progress in changing the language and the framework of this problem has to generate a specific subset of problems in quantum gravity, namely, those dealing with understanding the organization of information in black holes.

These problems, in turn, can be readily divided into two streams of research, each dealing with a different class of black holes as systems of interest.

The examination of the various properties of a special class of black holes, called BPS black holes, is experiencing theoretical progress. Moduli forms and automorphic forms. This has led to uncovering a cornucopia of exciting connections between string theory and mathematical structures in the fields of number theory, finite group theory and algebraic geometry, such as the relation of BPS black holes and black modular forms, the relation of Moonshine and the IC3 elliptic genus, and produced significant new results in the theory of automorphic forms.



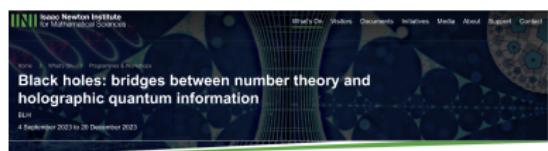
#### Organizers

- David Cvetičić (University of Cambridge)
- Pieter de Bruyn (KU Leuven)
- Ying-Hui He (Isaac Institute for Mathematical Sciences)
- Michael Hindmarsh (University of Oxford)
- Gabriele La Nave (CERN)
- Samir K. Narain (Tata Institute of Fundamental Research)
- David Tong (University of Cambridge)
- Lukas Thaler (University of Oxford)

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**YHH, M. Burtsev, *Nature*, Jan 2024.**



- (**Automaticity**) be generated by AI
- (**Interpretability**) concrete enough to be a conjecture

## Programme theme

Defining a theory of quantum gravity remains one of the most challenging problems at the cutting edge of research in mathematics and theoretical physics. Unveiling this problem implies constructing a quantum field theoretic description of gravity which has 4 dimensions. Now a quantum field theory involves gravitational quantities (background and sources).

Most of the progress in shaping the language and the framework of this problem has to generate to a specific subset of problems in quantum gravity, namely, those dealing with understanding the organization of information in black holes.

These problems, in turn, can be readily divided into two streams of research, each dealing with a different class of black holes as systems of interest.

The examination of the various manifestations of a special class of black holes, called BPS black holes, is representing theoretical progress. Moduli forms and automorphic forms. This has led to uncovering a cornucopia of exciting connections between string theory and mathematical structures in the fields of number theory, finite group theory and algebraic geometry, such as the relation of BPS black holes and black modular forms, the relation of Moonshine and the IC3 elliptic genus, and produced significant new results in the theory of automorphic forms.



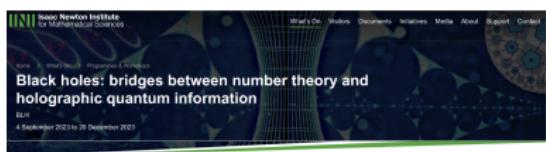
## Organizers

- Don Zagier (Centre for Mathematical Sciences)
- Pieter de Bruyn (KU Leuven)
- Yong Hu (The London Institute for Mathematical Sciences)
- Michaela Henn (The London Institute for Mathematical Sciences)
- Gabriele Laumon (CNRS Institut Supérieur Théorie de la Physique)
- Samir Kabilani (King's College London)
- Tomasz Rybicki (University of Warsaw)
- Laius Thomek (University of Oxford)

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## Programme theme

Defining a theory of quantum gravity remains one of the most challenging problems at the cutting edge of research in mathematics and theoretical physics. Unveiling this problem implies constructing a quantum field theoretic description of gravity which has 10 dimensions. Now a quantum field theory involves gravitational operators (background and sources).

Most of the progress in shaping the language and the framework of this problem has to generate a specific subset of problems in quantum gravity, namely, those dealing with understanding the organization of interaction in black holes.

These problems, in turn, can be readily divided into two streams of research, each dealing with a different class of black holes as systems of interest.

The examination of the various manifestations of a special class of black holes, called EPIC black holes, is representing theoretical physics' most basic forms and automorphic forms. This has led to uncovering a cornucopia of exciting connections between string theory and mathematical structures in the fields of number theory, finite group theory and algebraic geometry, such as the relation of EPIC black holes and black modular forms, the relation of Moonshine and the IC3 elliptic genus, and produced significant new results in the theory of automorphic forms.



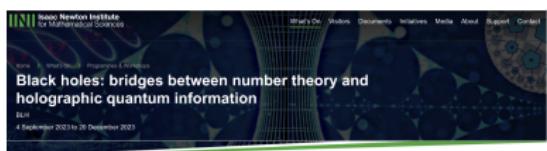
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- Pieter de Bruyn, Royal Holloway
- Ying-Hui He, London Institute for Mathematical Sciences
- Michael Hindmarsh, University of Oxford
- Gabriele La Pergola, Cardiff Institute for Quantum Theory
- Samuele Peroni, King's College London
- Daniel Persson, University of Cambridge
- Lavinia Tomescu, University of Oxford

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## Programme theme

Delving into the theory of quantum gravity involves one of the most challenging problems at the cutting edge of research in mathematics and theoretical physics. Unsolvable this problem implies constructing a quantum field theoretic description of gravity which has no solutions. Now a quantum field theory involves gravitational operators (background and sources).

Most of the progress in shaping the language and the framework of this problem has to generate a specific subset of problems in quantum gravity, namely, those dealing with understanding the organization of interaction in black holes.

These problems, in turn, can be readily divided into two streams of research, each dealing with a different class of black holes as systems of interest.

The examination of the various manifestations of a special class of black holes, called EPS (Eguchi, Pilat, Spence) black holes, or, respectively, holomorphic and automorphic forms. This has led to uncovering a multitude of exciting connections between string theory and mathematical structures in the fields of number theory, finite group theory and algebraic geometry, such as the relation of EPS black holes and black modular forms, the relation of Moonshine and the IC3 elliptic genus, and produced significant new results in the theory of automorphic forms.



## Organizers

- David Cvetičić, University of Cambridge
- Pieter de Bruyn, Royal Holloway, University of London
- Yong-Hui He, London Institute for Mathematical Sciences
- Michael Hindmarsh, University of Oxford
- Daniel Laza, Cambridge Institute for Mathematical Sciences
- Samir Khashgi, King's College London
- Daniel Persson, Royal Holloway, University of London
- Lucas Thesleff, University of Oxford

- (**Automaticity**) be generated by AI
- (**Interpretability**) concrete enough to be a conjecture
- (**Non-Triviality**) for the community to work on it
- **make Birch happy**



# Four Closest Examples

2022 new knot invariants relations from saliency [Davies et al] Deepmind (fails N)

2022 Murmuration Conjectures (fails A) [YHH-Lee-Oliver-Podznyakov, 2022,  
YHH-Lee-Oliver-Podznyakov-Sutherland, tbc] A pattern in L-functions  
reflecting Chebyshev's bias in the primes

2025 Discovering Singularities [Wang et al, DeepMind] (fails A)

Aristole@HarmonicMath: Erdos problem #124, 481: zero intervention (A, I,  
almost-N, no conjecture auto-raised)

Rmk: Graffiti (1990s), TxGraffiti (2017) have been raising conjectures from tables  
for graph theory, but no AI yet

# AI-Driven Mathematical Discovery:

Murmuration Conjectures

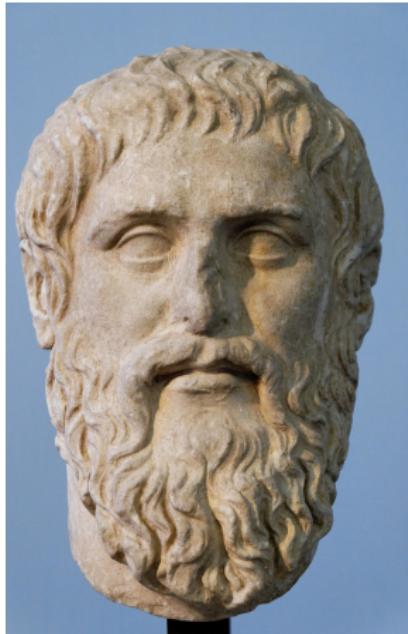


2022 - [YHH](#), Kyu-Hwan Lee, Tom Oliver, Alexey Pozdnyakov (2204.10140),  
Experimental Mathematics, Volume 34, 2025 - Issue 3



Quanta Feature 2024:

# Platonic Data



- $\sim 1$  Terabyte free online
- Finite Groups: [GAP](#)
- Algebraic Geometry: [GrDB](#) , [CY](#) Databases
- Number Theory: [ImfDB](#)
- Knot Theory: [SnaPY](#)
- ...



# Patterns

*A mathematician, like a painter or a poet, is a maker of patterns. If his patterns are more permanent than theirs, it is because they are made with ideas...*

*G. Hardy, A Mathematician's Apology*



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One (only?) sure thing that AI can do better than humans is pattern detection.

# Pattern Recognition: Human Eye

- $[0, 0, 1, 0, 0, 1, 0, 0, 1, 0, 0, 1, \dots]$



## Pattern Recognition: Human Eye



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- $[0, 0, 1, 0, 0, 1, 0, 0, 1, 0, 0, 1, \dots]$

multiple of 3 or not.

Prime or Not for odd integers.



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- $[0, 0, 1, 0, 0, 1, 0, 0, 1, 0, 0, 1, \dots]$

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Prime or Not for odd integers.

## Even/Odd of number of prime factors (Liouville Lambda)



# Machine-Learning of Platonic Data: Since 2017

2017 (YHH) Binary Classification of a Binary Vector (sliding window of, say, length 100); supervised learning: predict next one, e.g., Prime/Not becomes:

$$\begin{array}{lll} \{0, 0, 0, 0, 0, 0, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, \dots, 0\} & \longrightarrow & 1 \\ \{1, 0, 0, 0, 1, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, \dots, 1\} & \longrightarrow & 0 \\ \{0, 0, 0, 1, 0, 0, 0, 0, 0, 1, 0, 0, 1, 0, 0, 0, 0, 0, 1, 1, 0, 0, \dots, 0\} & \longrightarrow & 1 \\ & \dots & \dots \end{array}$$



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- pass to standard classifiers: SVW, Bayes, Nearest Neighbour; NN of the form  $\mathbb{R}^{100} \xrightarrow{\text{linear}} \mathbb{R}^{20} \xrightarrow{\tanh} \mathbb{R}^{20} \xrightarrow{\text{Round}} \sum \mathbb{Z}$ , your kitchen sink, ...
- take 50,000 samples, 20-80 cross-validation, record (precision, MCC)
- similar performance for most: Mod3: (1.0, 1.0); PrimeQ, after balancing: (0.8, 0.6); Liouville  $\Lambda$ : (0.5, 0.001)

### III. Meta-Mathematics



LLMs @ Math



# Rapid Progress

2022 ChatGPT passes the Turing Test

2023 DeepMind's [FunSearch](#), Meta-AI's [LLama](#)

2024 DeepMind's [AlphaGeometry](#), [AlphaGeometry2](#),

2024-5 [AlphaProof](#) (incoporates Lean)

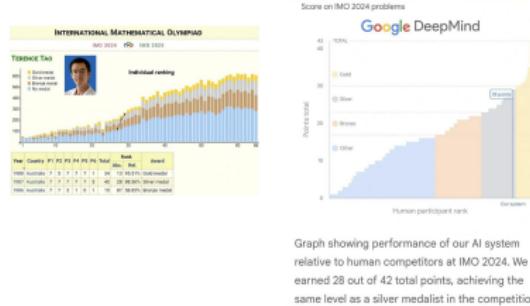
# July 2025: AI $\simeq$ 12 year old Terry Tao



Graph showing performance of our AI system relative to human competitors at IMO 2024. We earned 28 out of 42 total points, achieving the same level as a silver medalist in the competition.

Terence Tao, 28 points - Silver Medal; AlphaGeo2, 28 points - Silver Medal (2024), 35 points - Gold (2025)

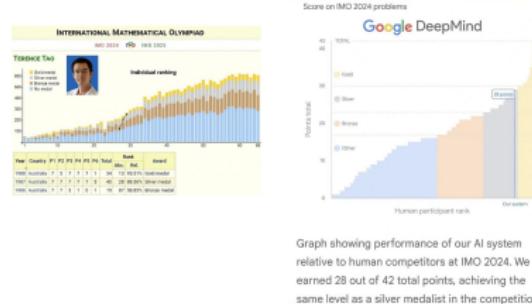
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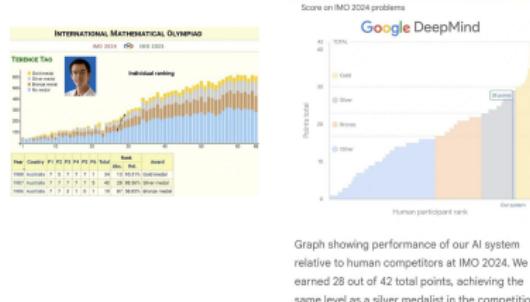
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- but ... Mar 2025, **Tier 1-3**, 10-25% of 125 + 75 + 100 graduate-level problems solved <https://epoch.ai/frontiermath/>
- and May 2025, 30 of us  $\rightarrow$  Berkeley HQ for **Tier 4** (Oct 2025, 10%)

Scientific American



Financial Times



Define functions  $F(z)$  and  $G(z)$  on the upper-half of the complex plane by

$$F(z) := 1 + \sum_{n=1}^{\infty} \frac{e^{2\pi i n^2 z}}{\prod_{j=1}^n (1 + e^{2\pi i j z})^2} \quad \text{and}$$

$$G(z) := \prod_{n=1}^{\infty} \frac{(1 - e^{2\pi i n z})(1 - e^{2\pi i (2n-1) z})}{(1 + e^{2\pi i n z})}.$$

Let  $\ell_1$  be the smallest prime for which all of the following hold:

1.  $D_{\ell_1} := -\ell_1$  is the discriminant of the ring of integers of  $\mathbb{Q}(\sqrt{-\ell_1})$ .
2. The class number  $h(D_{\ell_1})$  of this quadratic field is a prime number  $\ell_2 \geq 5$ .
3. The class  $\ell_2 \pmod{\ell_1}$  is a primitive root of the multiplicative group  $(\mathbb{Z}/\ell_1\mathbb{Z})^\times$ .
4. The Mordell-Weil group over  $\mathbb{Q}$  of the elliptic curve

$$E(\mathbb{Q}) : Y^2 = X^3 - \ell_1^2 X$$

is  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ .

With this pair of primes  $(\ell_1, \ell_2)$ , we define the algebraic number  $\alpha$  by the limit

$$\alpha := \lim_{y \rightarrow 0^+} \left( F\left(\frac{\ell_1}{4\ell_2} + iy\right) - G\left(\frac{\ell_1}{4\ell_2} + iy\right) + \frac{F\left(\frac{\ell_1}{4\ell_2} + iy\right)}{G\left(\frac{\ell_1}{4\ell_2} + iy\right)} - \frac{G\left(\frac{\ell_1}{4\ell_2} + iy\right)}{F\left(\frac{\ell_1}{4\ell_2} + iy\right)} \right).$$

If  $P_\alpha(X) \in \mathbb{Q}[X]$  is its *minimal polynomial* (i.e the monic irreducible polynomial with  $P_\alpha(\alpha) = 0$ ) and  $K_\alpha$  is its *splitting field* (a.k.a. the *normal closure* of  $P_\alpha(X)$ ), then compute

$$\Omega := \frac{1}{[K_\alpha : \mathbb{Q}]} \cdot (P_\alpha(\ell_1) + P_\alpha(\ell_2))^{\ell_2-1}.$$

**Subject:** Analytic and algebraic number theory, quantum modular forms

**Technique:** Class numbers, elliptic curves, harmonic Maass forms, cyclotomic fields

**Answer:** 73948492097301691765464030714938921180352979774791349212169829996615700482.





# Methods of Proof

- STANDARD: Proof by contradiction, induction, syllogism



# Methods of Proof

- STANDARD: Proof by contradiction, induction, syllogism
- IN PRACTICE: Proof by intimidation, authority, citation, obfuscation, vibe

...



# Methods of Proof

- STANDARD: Proof by contradiction, induction, syllogism
- IN PRACTICE: Proof by intimidation, authority, citation, obfuscation, vibe
- ...
- LLMs: Proof by **vibe**, by citation, by authority

# The London Institute for Mathematical Sciences



- UK's only indep research institute for maths; after IAS, Princeton est. 2011 Dr. Thomas Fink
- Housed in Faraday Suites of Royal Institution of GB
- **1 of 4 themes: AI for Maths Discovery**

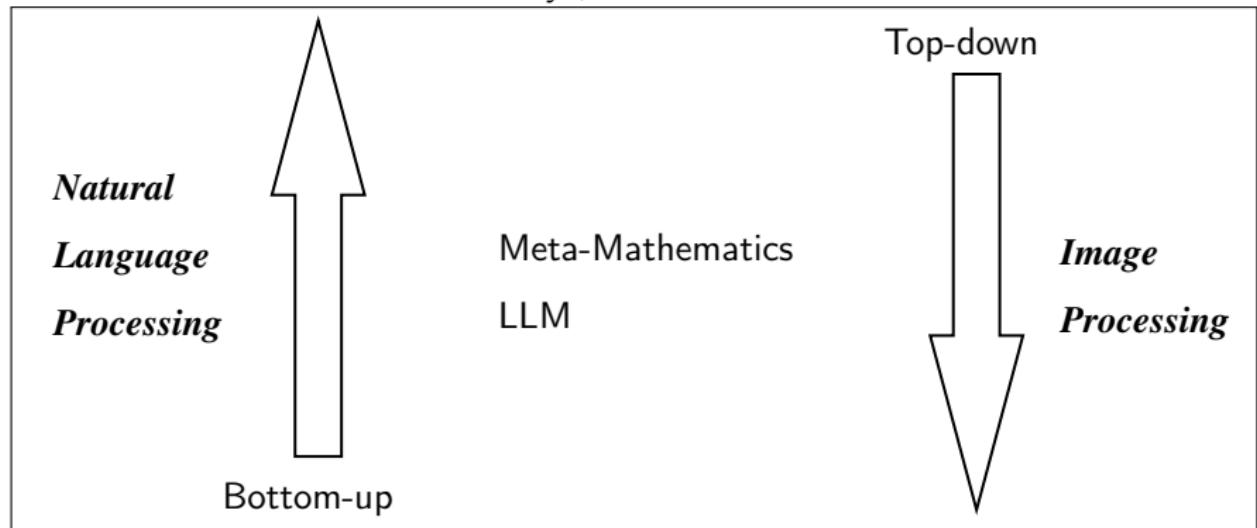
<https://lims.ac.uk/event/ai-assisted-maths-discovery/>

- Nebius AI for Theory series: launch 2026
- Apply to our Cognia AI/Math JRF
- In process: **LIMS-India Fellowship** supported by Indian High Commission to the UK



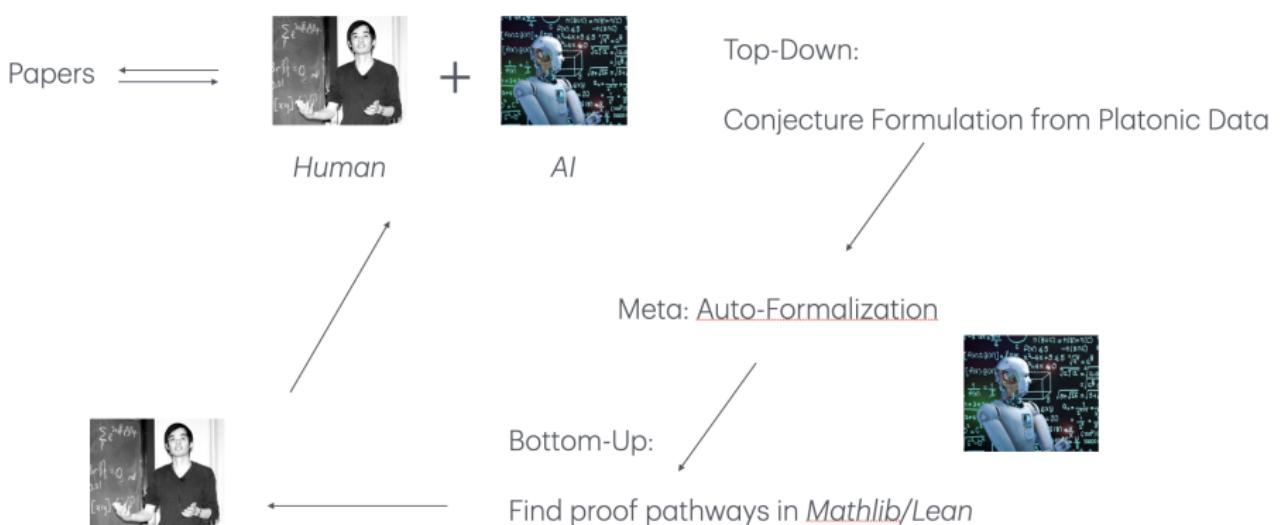
# AI-guided theoretical/mathematical Discovery

YHH: 2405.19973 *Nature Rev. Phys.*, 2024:



# The Future of Maths

## The Future of Mathematical Research



# THANK YOU



"I have often wondered over the roles of knowledge or experience, on the one hand, and imagination or intuition, on the other, in the process of discovery. I believe that there is a certain fundamental conflict between the two, and knowledge, by advocating caution, tends to inhibit the flight of imagination. Therefore, a certain naivete, unburdened by conventional wisdom, can sometimes be a positive asset."

- Harish-Chandra Mehrotra



+++++



# Initial Motivation: A Classic Problem (since 1736)

- Trichotomy classification of (connected compact orientable) surfaces  $\Sigma$

Euler: topological classification of  $\dim_{\mathbb{R}} = 2$  Euler number  $\chi(\Sigma)$ , genus  $g(\Sigma)$

Gauss: relates topology to metric geometry

Riemann: complexify  $\rightsquigarrow$  Riemann surfaces or complex curves:  $\dim_{\mathbb{C}} = 1$

					...
$g(\Sigma) = 0$	$g(\Sigma) = 1$		$g(\Sigma) > 1$		
$\chi(\Sigma) = 2$	$\chi(\Sigma) = 0$		$\chi(\Sigma) < 0$		
Spherical	Ricci-Flat		Hyperbolic		
+ curvature	0 curvature		- curvature		



# Classical Results for Riemann Surface $\Sigma$

$\chi(\Sigma) = 2 - 2g(\Sigma) =$	$= [c_1(\Sigma)] \cdot [\Sigma] =$	$= \frac{1}{2\pi} \int_{\Sigma} R =$	$= \sum_{i=0}^2 (-1)^i h^i(\Sigma)$
Topology	Algebraic Geometry	Differential Geometry	Index Theorem (co-)Homology
Invariants	Characteristic classes	Curvature	Betti Numbers

# Going up in Complex Dimension

- $\dim_{\mathbb{R}} > 2$  manifolds extremely complicated
- Luckily, for a special class of complex manifolds called **Kähler**

$$g_{\mu\bar{\nu}} = \partial_\mu \partial_{\bar{\nu}} K(z, \bar{z})$$

all  $\Sigma$  in  $\dim_{\mathbb{C}} = 1$  automatically Kähler

- **CONJECTURE [E. Calabi, 1954, 1957]:**  $M$  compact Kähler manifold  $(g, \omega)$  and  $([R] = [c_1(M)])_{H^{1,1}(M)}$ .  
Then  $\exists! (\tilde{g}, \tilde{\omega})$  such that  $([\omega] = [\tilde{\omega}])_{H^2(M; \mathbb{R})}$  and  $Ricci(\tilde{\omega}) = R$ .

Rmk:  $c_1(M) = 0 \Leftrightarrow$  Ricci-flat (rmk: Ricci-flat familiar to physicists through GR)

- **THEOREM [S-T Yau, 1977-8; Fields 1982]** Existence Proof



# Calabi-Yaus in Physics

Complex, Ricci-flat (vacuum Einstein) manifolds with  $g_{\mu\nu}$  coming from a potential

- String Pheno [Candelas-Horowitz-Strominger-Witten] Nuc Phys B 1985

$$10 = 4 + 6$$

Coined the term “Calabi-Yau”, and began the perhaps the largest dialogue between theoretical physics and algebraic geometry for the past 1/2 century



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- elsewhere
  - Space of vacua of electro-weak sector of MSSM is Calabi-Yau cone ([He-Jejjala-Matti-Nelson] PLB 2014)
  - Ordinary  $\phi^4$ , L-loop Feynman integral  $\leadsto$  integration over Calab-Yau ( $L - 1$ )-fold [Bourjaily-He-McLeod-von Hippel-Wilhelm] PRL 2018

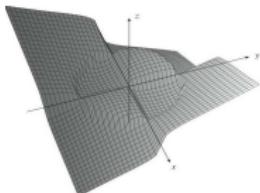
# Explicit Examples of Calabi-Yau Spaces

An interesting sequence: 1,2, ??? ...

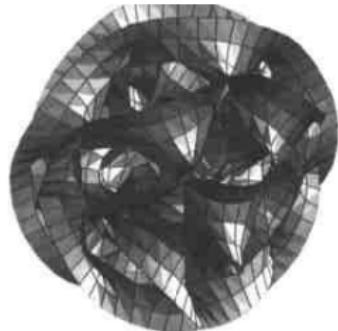
$\dim_{\mathbb{C}} = 1$  Torus  $T^2 = S^1 \times S^1$



$\dim_{\mathbb{C}} = 2$  (1) 4-Torus  $T^4 = S^1 \times S^1 \times S^1 \times S^1$   
 (2) K3 surface



$\dim_{\mathbb{C}} = 3$  Billions Known (Candelas, de la Ossa, Lutken, Kreuzer, Skarke ...)  
 ???





# The String Landscape

Perhaps the biggest theoretical challenge to string theory:

selection criterion??? metric on the landscape???

- Douglas (2003): Statistics of String vacua
- Kachru-Kallosh-Linde-Trivedi (2003): type II/CY estimates of  $10^{500}$
- Taylor-YN Wang (2015-7): F-theory estimates  $10^{3000}$  to  $10^{10^5}$
- Basic Reason: [Algebraic Geometry  \$\rightsquigarrow\$  Combinatorial Geometry  \$\rightsquigarrow\$  Exp Growth in dim](#)

Even in the CY landscape:

- compact + hyper-surface in toric variety: 1, 16, 4319, 473800776, ???
- Conjecture [Yau]: finite topological type for CYn [Back to ML for Maths](#)

# Computing Hodge Numbers: Sketch

- Recall Hodge decomposition  $H^{p,q}(X) \simeq H^q(X, \wedge^p T^* X) \rightsquigarrow$

$$H^{1,1}(X) = H^1(X, T_X^*), \quad H^{2,1}(X) \simeq H^{1,2} = H^2(X, T_X^*) \simeq H^1(X, T_X)$$

- Euler Sequence for subvariety  $X \subset A$  is short exact:

$$0 \rightarrow T_X \rightarrow T_M|_X \rightarrow N_X \rightarrow 0$$

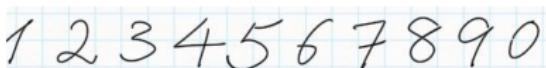
- Induces long exact sequence in cohomology:

$$\begin{array}{ccccccc}
 0 & \rightarrow & \cancel{H^0(X, T_X)}^0 & \rightarrow & H^0(X, T_A|_X) & \rightarrow & H^0(X, N_X) \rightarrow \\
 & \rightarrow & \boxed{H^1(X, T_X)} & \xrightarrow{d} & H^1(X, T_A|_X) & \rightarrow & H^1(X, N_X) \rightarrow \\
 & \rightarrow & H^2(X, T_X) & \rightarrow & \dots
 \end{array}$$

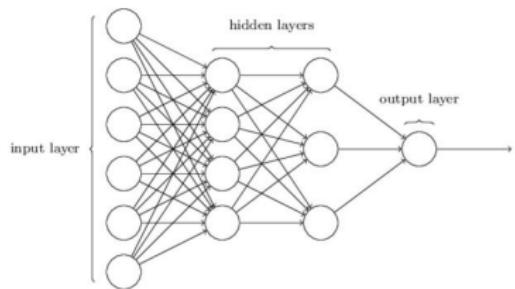
- Need to compute  $\text{Rk}(d)$ , cohomology and  $H^i(X, T_A|_X)$  (Cf. Hübsch)

[Back to AG](#)

# The Neural Network Approach

- Bijection from  to  $\{1, 2, \dots, 9, 0\}$  ?
- Take large sample, take a few hundred thousand (e.g. NIST database)

$6 \rightarrow 6, 8 \rightarrow 8, 2 \rightarrow 2, 4 \rightarrow 4, 8 \rightarrow 8, 7 \rightarrow 7, 8 \rightarrow 8,$   
 $0 \rightarrow 0, 4 \rightarrow 4, 2 \rightarrow 2, 5 \rightarrow 5, 6 \rightarrow 6, 3 \rightarrow 3, 2 \rightarrow 2,$   
 $9 \rightarrow 9, 0 \rightarrow 0, 3 \rightarrow 3, 8 \rightarrow 8, 8 \rightarrow 8, 1 \rightarrow 1, 0 \rightarrow 0,$



- Data = Training Data  $\sqcup$  Validation Data

Test trained NN on validation data to see accuracy performance

# Universal Approximation Theorems

**Large Depth Thm: (Cybenko-Hornik)** For every continuous function  $f : \mathbb{R}^d \rightarrow \mathbb{R}^D$ , every compact subset  $K \subset \mathbb{R}^d$ , and every  $\epsilon > 0$ , there exists a continuous function  $f_\epsilon : \mathbb{R}^d \rightarrow \mathbb{R}^D$  such that  $f_\epsilon = W_2(\sigma(W_1))$ , where  $\sigma$  is a fixed continuous function,  $W_{1,2}$  affine transformations and composition appropriately defined, so that  $\sup_{x \in K} |f(x) - f_\epsilon(x)| < \epsilon$ .

**Large Width Thm: (Kidger-Lyons)** Consider a feed-forward NN with  $n$  input neurons,  $m$  output neuron and an arbitrary number of hidden layers each with  $n + m + 2$  neurons, such that every hidden neuron has activation function  $\varphi$  and every output neuron has activation function the identity. Then, given any vector-valued function  $f$  from a compact subset  $K \subset \mathbb{R}^m$ , and any  $\epsilon > 0$ , one can find an  $F$ , a NN of the above type, so that  $|F(x) - f(x)| < \epsilon$  for all  $x \in K$ .

**ReLU Thm: (Hanin)** For any Lebesgue-integral function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  and any  $\epsilon > 0$ , there exists a fully connected ReLU NN  $F$  with width of all layers less than  $n + 4$  such that  $\int_{\mathbb{R}^n} |f(x) - F(x)| dx < \epsilon$ .

[Back to NN@Alg Geo](#)

# A minute waltz on BSD

## Diophantine Equations (rational $\mathbb{Q}$ solutions to polynomials)

- quadratic (Pythagoras)  $x^2 + y^2 = 1$ , many e.g.  $(x, y) = (\frac{3}{5}, \frac{4}{5})$



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## Diophantine Equations (rational $\mathbb{Q}$ solutions to polynomials)

- quadratic (Pythagoras)  $x^2 + y^2 = 1$ , many e.g.  $(x, y) = (\frac{3}{5}, \frac{4}{5})$
- cubic (Elliptic Curve) HARD!!!!
  - e.g.,  $E : x^3 + y^2 = 1$  (Thm: Weierstrass form  $y^2 = x^3 - g_2x - g_4$ )
  - has enormous implications: e.g. Fermat's Last Theorem
  - Thm:  $E(\mathbb{Q}) \simeq \mathbb{Z}^r \times Z_{tor}$  ( $r$  rank = # infinite families of solutions)
  - Work modulo prime  $p$ , e.g.,  $2^3 + 4^2 \equiv 1 \pmod{23}$ ,  $3^3 + 2^2 \equiv 1 \pmod{5}$
  - Euler Coeffcients  $a_p = p + 1 - \#E(\mathbb{F}_p)$

# ML on BSD

- $E$  an elliptic curve, local zeta-function & L-function:

$$Z(E/\mathbb{F}_p; T) = \exp \left( \sum_{k=1}^{\infty} \frac{\#E(\mathbb{F}_{p^k}) T^k}{k} \right) = \frac{L_p(E, T)}{(1-T)(1-pT)} ;$$

$$L_p(E, T) = 1 - a_p T + p T^2; \quad a_p = p + 1 - \#E(\mathbb{F}_p).$$

Fix  $N$  and define vector  $v_L(E) = (a_{p_1}, \dots, a_{p_N}) \in \mathbb{Z}^N$ ;

$\sim 10^5$  balanced data from [www.lmfdb.org](http://www.lmfdb.org); 50-50 cross validation.

- Labeled data:  $v_L(E) \longrightarrow$  rank, torsion, ... ([Birch-Swinnerton-Dyer: ])

$$L(E, s) := \prod_p L^{-1}(E, T := p^{-s}); \quad \frac{L^{(r)}(E, 1)}{r!} \stackrel{???}{=} \frac{|\text{III}| \Omega \text{Reg} \prod_p c_p}{(\#E(\mathbb{Q})_{\text{tors}})^2},$$

$r$ =rank;  $\text{III}$ =Shafarevich group;  $\text{Reg}$ =regulator;  $c_p$ =Tamagawa;  $\text{tors}$ =Torsion

# A simple PCA!

Q: YHH, Lee, Oliver, Pozdnyakov on HLOP results from 2020 - 22: **WHY** is ML so good at telling ranks apart by looking at  $a_p$  coefficients?? e.g., PCA:

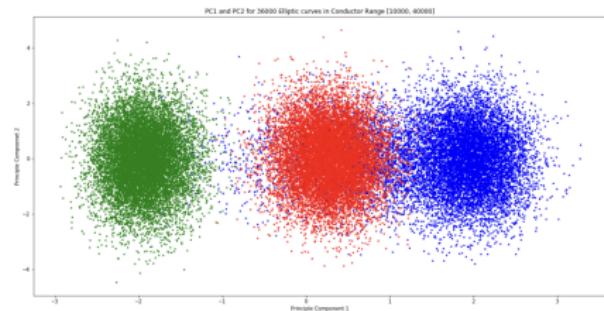


Figure 2: A plot of PC1 ( $x$ -axis) against PC2 ( $y$ -axis) for elliptic curves in the balanced dataset of 36,000 randomly chosen elliptic curves with rank  $r_E \in \{0, 1, 2\}$  and conductor  $N_E \in [10000, 40000]$ . The blue (resp. red, green) points are the images of the vectors  $v_L(E)$  corresponding to the elliptic curves in our dataset with rank 0 (resp. 1, 2) under a map  $\mathbb{R}^{1000} \rightarrow \mathbb{R}^2$  constructed using PCA.

# Murmuration function

construct a **vertical average**

(rank  $r$ , conductor range

$[N_1, N_2]$ ,  $n$ -th prime  $p_n$ )

$$f_r(n) :=$$

$$\frac{1}{\#\mathcal{E}_r[N_1, N_2]} \sum_{E \in \mathcal{E}_r[N_1, N_2]} a_{p_n}(E)$$

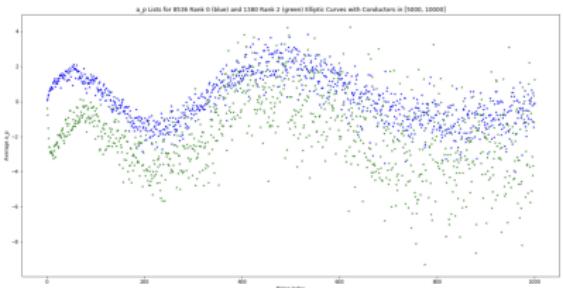
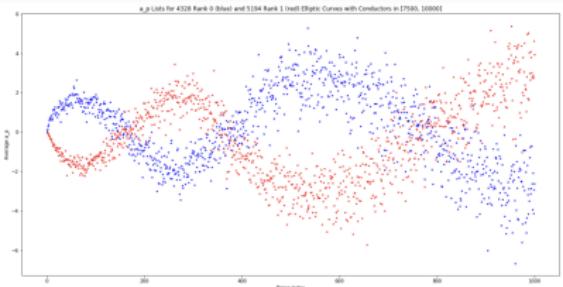


Figure 1: (Top) Plots of the functions  $f_0(n)$  (blue) and  $f_1(n)$  (red) for  $1 \leq n \leq 1000$  and  $[N_1, N_2] = [7500, 10000]$ . (Bottom) Plots of the functions  $f_0(n)$  (blue) and  $f_2(n)$  (green) for  $1 \leq n \leq 1000$  and  $[N_1, N_2] = [5000, 10000]$ . Further details are given in Example 1.



# Murmurations: An interesting Phenomenon

- To appear [HLOP + Sutherland]
  - Does not work if ordered by height (Weierstrass coef)
  - Take dyadic conductor range:  $[N^x, N^{x+1}]$  : **scale invariant** (indep of  $x$ )
  - Taking more data ( $10^{7\sim 8}$ ) at high  $N$ : converges to oscillatory curve
- A General Phenomenon that reflects **biases in distribution of primes**
  - L-function for Dirichlet characters (Lee-Oliver-Podznyakov 2023)
  - Zubrilina, Cowan: for weight 2 modular forms (2023)
  - conference at ICERM in July

<https://icerm.brown.edu/events/htw-23-ma/>

# Important Lesson: HYBRID human-AI math

## Importance of Representation

(Alessandretti-Baronchelli-YHH 1911.02008, *New Scientist* feature 2019 used Weierstrass coefficients of elliptic curves: useless in predicting any of the BSD quantities  
needed insights from Oliver+Lee to use  $a_p$  coefficients

## Importance of Human Interpretation

**Murmurations of elliptic curves:** YHH, Lee, Oliver, Pozdnyakov, 2204.10140  
A new mathematical phenomenon [Back to AI for Maths](#)

*Q: is deviation from random distribution a measure of ML of mathematical structure?*