

# Iwasawa Theory for Class Group Schemes Bryden CALS in characteristic p VaNTAGE, 2023

$k$  - finite field of char  $p > 0$

$X/k$  **curve** (smooth, proj. geom conn'd)

$$Cl_X = \frac{\{\text{degree 0 divisors on } X\}}{\{\text{principal divisors}\}} = J_X(k)$$

= finite, abelian group. ↑  
Jacobian

Iwasawa theory: How do class groups grow in  $\mathbb{Z}_\ell$ -towers of curves?

Def:  $\ell$  prime. A  $\mathbb{Z}_\ell$ -tower over  $X$  is:  
 $\{X_n\}_{n \geq 0}$ ,  $X_n \rightarrow X_0 = X$  branched Galois cover of curves with group  $\mathbb{Z}/\ell^n \mathbb{Z}$ , unram'd outside  $S \subseteq X(k)$ , totally ram'd over  $S$ .

CFT: No such towers if  $\ell \neq p$  or  $S = \emptyset$ !

$$\left\{ \begin{array}{l} \mathbb{Z}_p\text{-towers } / X \\ \text{ram'd over } S \neq \emptyset \end{array} \right\} \leftrightarrow \left\{ p: \pi_1^{\text{ét}}(\underbrace{X_{\bar{k}} - S}_{\text{Affine!}}) \rightarrow \mathbb{Z}_p \right\}$$

$$\pi_1^{\text{ét}}(\text{Affine})^{(p)} \uparrow \text{max'l pro-} p \text{ qt.} = \text{free pro-} p \text{ on countably infinite gens!}$$

Thm (Gold-Kisilevsky '84; Mazur-Wiles '86)

Let  $\{X_n\}$  be a  $\mathbb{Z}_p$ -tower. Then

$$|Cl_{X_n}[p]| = p^{mp^n+r} \text{ for some } m, r \in \mathbb{Z} \\ \text{and all } n \gg 0$$

$$Cl_{X_n}[p] = J_{X_n}[p](k) = k\text{-points of}$$

$$J_{X_n}[p] := \text{Ker}(p: J_{X_n} \rightarrow J_{X_n})$$

finite group scheme  $\uparrow$  Algebraic group!

Any finite gp. scheme  $G/k$  decomposes

$$G = G^{\text{ét}} \times G^0$$

$$G(k) = G^{\text{ét}}(k) \text{ totally misses } G^0!$$

Ex: Any  $\mathbb{Z}_p$ -tower  $\{X_n\}$  with  $X_0 = \mathbb{P}^1$  and  $S = \{\infty\}$  has  $Cl_{X_n}[p] = 0$ , all  $n$ , yet  $J_{X_n}[p]$  has dimension  $g_n = \text{genus } X_n$  and  $g_n \geq cp^{2n}$ , some  $c > 0$ .

Refined Iwasawa Theory: How do the group schemes  $J_{X_n}[p]$  grow in  $\mathbb{Z}_p$ -towers of curves? No analogue for number fields!

# Basic tool: Dieudonné Theory

$$\left\{ \begin{array}{l} \text{finite gp. sch}/k \\ \text{killed by } p \end{array} \right\} \xrightarrow{\cong} \left\{ \begin{array}{l} \text{finite dim'l } k\text{-v.s. } M \\ F, V: M \rightarrow M \\ F\lambda = \lambda^p F, \lambda V = V\lambda^p, FV = VF = 0 \end{array} \right\}$$

$G \rightsquigarrow \mathbb{D}(G)$

Thm (Oda)  $X/k$  curve.

$$0 \leftarrow J_X[F] \xleftarrow{V} J_X^{(p)}[P] \xleftarrow{F} J_X^{(p)}[V] \leftarrow 0$$

$\Downarrow \mathbb{D}(-)$

$$0 \rightarrow H^0(\Omega_X^1) \xrightarrow{F} H^1_{\text{dR}}(X) \otimes_{k^{\mathbb{Z}_p}} k \xrightarrow{V} H^1(\mathcal{O}_X) \otimes_{k^{\mathbb{Z}_p}} k \rightarrow 0$$

$F=0$                        $V=0$

$F = \text{Frobenius}$ ,  
 $V = \text{Cartier} = F^{\vee}$

$k[V]$ -module str. of  $H^0(\Omega_X^1)$   
determines  $J_X[F], J_X[V]$

How does the  $k[V]$ -module  $M_n = H^0(\Omega_{X_n}^1)$  grow in a  $\mathbb{Z}_p$ -tower  $\{X_n\}$  of curves?

$\dim_k M_n = g_n$ . By Riemann-Hurwitz:

$$2g_n - 2 = p^n(2g_0 - 2) + \sum_{Q \in S} \sum_{i=1}^n \varphi(p^i) s_i(Q)$$

$i^{\text{th}}$  upper ram. break

Rem: All ramification is wild!  $\Rightarrow$   
 $\frac{S_{n+1}(\mathbb{Q})}{S_n(\mathbb{Q})} \gg p$  and can be unbounded!

$\Rightarrow g_n \gg cp^{2n}$ ,  $c > 0$  and can grow arbitrarily fast!

Def: A  $\mathbb{Z}_p$ -tower  $\{X_n\}$  has **stable monodromy** if  $S_n(\mathbb{Q}) = d_{\mathbb{Q}} \cdot p^{n-1} + c_{\mathbb{Q}}$  for all  $\mathbb{Q}$ ,  $n \gg 0$ .

As  $k[V]$ -mods:  $M_n = M_n^{\text{bij}} \oplus M_n^{\text{nil}}$

and  $M_n^{\text{bij}} \otimes_{\mathbb{R}} \bar{k} \cong \left( \frac{\bar{k}[V]}{(V-1)} \right)^{\oplus s_n}$  with

$$s_n = p^n (s_0 + |S| - 1) - |S| + 1$$

by the **Deuring-Shafarevich** formula.

Since  $V$  nilpotent on  $M_n^{\text{nil}}$ , the integers

$$a_n^{(r)} = \dim_k \ker \left( V^r: M_n \rightarrow M_n \right)$$

completely determine  $M_n^{\text{nil}}$ .

The numbers  $a_n^{(r)}$  are mysterious, and is no analogue of **RH** or **DS** for them!

Ex  $p=13$ ,  $X_1 \rightarrow X_0 = \mathbb{P}^1$  given by  $y^p - y = f$   
 with  $S = \{\infty\}$  and  $d_1(\infty) = 7$

$f$	$a_1^{(1)}$	$g_1$	$s_1$
$t^{-7} + 2t^{-6} - 6t^{-5}$	21	36	0
$t^{-7} + t^{-2} + t^{-1}$	23	↓	↓
$t^{-7} - 5t^{-2}$	24	RH	DS
$t^{-7} + t^{-1}$	27		
$t^{-7}$	36		

Rem:  $\mathbb{Z}_p$ -towers  $\{X_n\}$  can be made "explicit":  $K_n =$  function field of  $X_n$

$$K_n = k(y_1, y_2, \dots, y_n), \quad (y_1^p, y_2^p, \dots) - (y_1, y_2, \dots) = w \in W(K_0)$$

↑ in  $W(K_0)$  Witt ring ↗

If  $w = (w_1, w_2, \dots)$  has  $w_n = 0$  for  $n \gg 0$ , then  $\{X_n\}$  has stable monodromy.

Based on extensive computations in MAGMA:

Conjecture (Bocher-C): Assume  $\{X_n\}$  has stable monodromy:  $S_{\mathbb{Q}}(n) = d_{\mathbb{Q}} p^{n-1} + C_{\mathbb{Q}}$ ,  $n \gg 0$ .

Then

$$a_n^{(r)} = \frac{r}{r + \frac{p+1}{p-1}} \cdot \frac{\sum_{\mathbb{Q} \in S} d_{\mathbb{Q}}}{2(p+1)} \cdot p^{2n} + O(p^n) \text{ as } n \rightarrow \infty$$

Def: A **basic tower** is one given by

$$(y_1^p, y_2^p, \dots) - (y_1, y_2, \dots) = \sum_{i=1}^d [a_i t^{-i}]$$

↑ Teichmüller

$a_i \in k$ ,  $a_i = 0$  if  $i \nmid p$ ,  $a_d \neq 0$ .

These are monodromy stable, with  $X_0 = \mathbb{P}^1$ ,  $S = \{\infty\}$ .

Thm (Booher, Kramer-Miller, Upton, C):  
Conjecture is true for basic towers.

Rem For basic towers, Booher-C conjecture:

$$a_n^{(r)} = \frac{r}{r + \frac{p+1}{p-1}} \cdot \frac{d}{2(p+1)} \cdot p^{2n} + \lambda n + c(n)$$

for some  $\lambda \in \mathbb{Q}$  and periodic  $c: \mathbb{Z}/m\mathbb{Z} \rightarrow \mathbb{Q}$ .

Sketch of proof: Fix  $\{X_n\}$ ,  $\pi: X_n \rightarrow X_m$

$d_n = n^{\text{th}}$  upper ram. break @  $\infty$ . For  $D = \text{divisor on } X_n$ ,

$$M_n(D) := H^0(\Omega^1_{X_n}(D)), \quad M_n = M_n(0)$$

Let  $M = \varprojlim_{n, \pi_x} M_n$ , a  $\Lambda = k[[T]]$ -module

via  $T = \gamma - 1$ ,  $\text{Gal}(K_\infty/K_0) = \langle \gamma \rangle$ .

## Magic of char. $p$ :

$$T^{p^m} = (\gamma - 1)^{p^m} = \gamma^{p^m} - 1, \quad \text{Gal}(K_0/K_m) = \langle \gamma^{p^m} \rangle$$

$$\pi^* \pi_* = \sum_{i=1}^{p^{n-m}} \gamma^{p^m i} = \frac{\gamma^{p^m \cdot p^{n-m}} - 1}{\gamma^{p^m} - 1} = \frac{(\gamma - 1)^{p^n}}{(\gamma - 1)^{p^m}} = T^{p^n - p^m}$$

$$\pi_* \pi^* = \text{deg}(\pi) = p^{n-m} = 0$$

①  $M$  is a countable product of copies of  $\Lambda$ .  
Let  $\mathbb{Q} = \text{Frac}(\Lambda) = k((T))$ . We can make  $M \hat{\otimes} \mathbb{Q}$  into a  $\mathbb{Q}$ -Banach space by declaring  $M = \text{unit ball}$ .

②  $V: M \rightarrow M$  is completely continuous.  
Crucially uses monodromy stable hyp.

$\Rightarrow$  Fredholm determinant

$L(s) = \det(1 - sV|_M) \in \mathbb{A}[[s]]$  is defined

③  $\{X_n\} \leftrightarrow \rho: \pi_1^{\text{ét}}(P^1 - \infty) \rightarrow \mathbb{Z}_p = \Gamma \hookrightarrow \Lambda^{\times}$   
 $\sigma \mapsto 1+T$

$$L(s) = L(P, s) := \prod_{v \in |\Lambda|} \frac{1}{(1 - \rho(\text{Frob}_v) s^{\text{deg } v})}$$

via crystalline interpretation of  $L$ -fns.

④  $V \subset M$  has:

- Hodge polygon HP encoding SNF of  $V$
- Newton polygon  $NP = NP_T(L(s))$

Work of Upton + Kramer-Miller using ③:  
\*  $NP \geq HP$ , and touch periodically

⑤ Explicit calculation of  $NP$ , using ③, then use ④ to infer info about  $HP$ .

### Further Directions

① Study  $H^1_{\text{dR}}(X_n) \leftrightarrow J_{X_n}[\mathbb{P}]$

② Study  $H^1_{\text{crys}}(X_n) \leftrightarrow J_{X_n}[\mathbb{P}^{\otimes}]$

③ Prove conjecture in general

④ Weaken monodromy-stable hypothesis

⑤  $\Gamma$ -towers of curves,  $\Gamma = p$ -adic Lie gp.

Thank You!