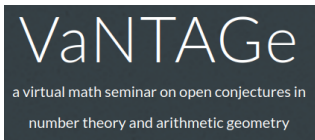


# Explicit isogenies of prime degree over number fields

Barinder Singh Banwait

Harish-Chandra Research Institute

VaNtAGe Seminar  
Tuesday, 29<sup>th</sup> June 2021



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# Isogenies

# Rational Isogenies

Let  $E_1, E_2$  be two elliptic curves over a number field  $K$ . Write  $G_K := \text{Gal}(\overline{K}/K)$ .

## Definition

- ▶ An **isogeny**  $\phi : E_1 \rightarrow E_2$  is a non-constant morphism of curves which
  - ⊙ maps  $O_{E_1}$  to  $O_{E_2}$ ;
  - ⇔ induces a group homomorphism from  $E_1(\overline{K})$  to  $E_2(\overline{K})$ ;
  - ⇔ has finite kernel.
- ▶ The **degree** of  $\phi = |\ker(\phi)| = [\overline{K}(E_1) : \phi^*\overline{K}(E_2)]$ .
- ▶  $\phi$  is  **$K$ -rational** if it is compatible with the  $G_K$ -action on  $E_1$  and  $E_2$ ; that is, if the following diagram commutes for all  $\sigma \in G_K$ :

$$\begin{array}{ccc}
 E_1 & \xrightarrow{\phi} & E_2 \\
 \sigma \downarrow & & \downarrow \sigma \\
 E_1 & \xrightarrow{\phi} & E_2
 \end{array}$$

Equivalently,  $\phi$  is  $K$ -rational if  $\ker(\phi)$  is  $G_K$ -stable.

- ▶  $\phi$  is said to be **cyclic** if  $\ker(\phi)$  is a cyclic group.

# The Dream

## Goal

“Understand rational isogenies.”

## Fact

*Every isogeny is the composition of a cyclic isogeny with the multiplication-by- $m$  map for some  $m \geq 1$ .*

## Reduced Goal

“Understand cyclic rational isogenies.”

# The Dream made precise

## Open Problem

*For a number field  $K$ , what possible degrees arise as the degree of a  $K$ -rational cyclic isogeny between elliptic curves over  $K$ ?*

Let's call this set of possible degrees  $\text{IsogCyclicDeg}(K)$ .

We write  $\text{IsogPrimeDeg}(K)$  for the primes in this set, and call them *isogeny primes for  $K$* .

*A priori* these could be infinite sets, so the above Open Problem should be interpreted as:

- ▶ Exactly determine  $\text{IsogCyclicDeg}(K)$  when it is finite;
- ▶ Classify the degrees in  $\text{IsogCyclicDeg}(K)$  when it is infinite.

## Question

*What happens for  $K = \mathbb{Q}$ ?*

# Mazur's Method

# The Theorems of Mazur and Kenku

Theorem (Mazur, 1978, [Maz78])

$$\text{IsogPrimeDeg}(\mathbb{Q}) = \{2, 3, 5, 7, 11, 13, 17, 19, 37, 43, 67, 163\}$$

Theorem (Kenku, 1981, [Ken79, Ken80a, Ken80b, Ken81])

$$\text{IsogCyclicDeg}(\mathbb{Q}) = \{1 \leq N \leq 19\} \cup \{21, 25, 27, 37, 43, 67, 163\}$$



Barry C. Mazur



Monsur A. Kenku

# Mazur's Formal Immersion Method

The method of proof of Theorem 1 is as follows.

*Step 1.* Let  $N$  be a prime number. We begin with a geometric analysis of the projection  $f: X_0(N)_{/\mathbb{Z}}^{\text{smooth}} \rightarrow \tilde{J}_{/\mathbb{Z}}$  where  $\tilde{J}_{/\mathbb{Z}}$  is the Néron model of the Eisenstein quotient of the jacobian of  $X_0(N)$ .

We show that  $f$  is a formal immersion along the cuspidal section  $\infty$  at least away from characteristic 2. We show this when  $p \neq N$ , by noting that if  $f$

the section  $\infty_{/S'}$ . If  $f: X \rightarrow Y$  is a morphism of finite type between noetherian schemes, we shall say that  $f$  is a *formal immersion* at a point  $x$  if the induced map on the completions of local rings  $\hat{\mathcal{O}}_{Y, f(x)} \rightarrow \hat{\mathcal{O}}_{X, x}$  is surjective. This is equivalent to asking that the map induce an isomorphism between residue fields of  $x$  and  $f(x)$ , and that  $f$  be formally unramified at  $x$  ([44] EGA IV 17.4.4). Recall further that to check



# The work of Fumiyuki Momose

# Isogenies of prime degree over number fields

FUMIYUKI MOMOSE

*Department of Mathematics, Chuo University, 1-13-27 Kasuga, Bunkyo-ku, Tokyo 112, Japan*

Received 19 August 1993; accepted in final form 24 December 1993

In [Mom95], Momose classified isogenies into **three types** according to the **isogeny character**, which encodes the Galois action

$$\lambda : G_K \longrightarrow \text{Aut}V(\overline{K}) \cong \mathbb{F}_p^\times$$

on the kernel  $V$  of a  $K$ -rational  $p$ -isogeny.



**Fumiyuki Momose**

# Momose's Classification of Isogenies into three types

## Theorem (Momose)

Let  $K$  be a number field. Then there exists a constant  $C_0 = C_0(K)$  such that for any prime  $p > C_0$ , and for any elliptic curve admitting a  $K$ -rational  $p$ -isogeny, the isogeny character  $\lambda$  falls into one of the following three types:

Type 1.  $\lambda^{12}$  or  $(\lambda\theta_p^{-1})^{12}$  is unramified ( $\theta_p = \text{mod-}p$  cyclotomic character).

Type 2.  $\lambda^{12} = \theta_p^6$  and  $p \equiv 3 \pmod{4}$ .

Type 3.  $K$  contains the Hilbert class field  $H_L$  of an imaginary quadratic field  $L$ . The rational prime  $p$  splits in  $L$ :

$$p\mathcal{O}_L = \mathfrak{p}\bar{\mathfrak{p}}.$$

For any prime  $\mathfrak{q}$  of  $K$  prime to  $\mathfrak{p}$ ,

$$\lambda^{12}(\text{Frob}_{\mathfrak{q}}) = \alpha^{12} \pmod{\mathfrak{p}}$$

for any  $\alpha \in K^\times$  with  $\alpha\mathcal{O}_L = \text{Nm}_{K/L}(\mathfrak{q})$ .

# Type 1 isogenies

Theorem (Momose, Theorem 3 in *loc. cit.*)

Let  $K$  be a number field of degree  $\leq 12$ . Then there are only finitely many Type 1 primes for  $K$ .

Why the restriction  $d := [K : \mathbb{Q}] \leq 12$ ? The proof requires a **formal immersion criterion for the  $d^{\text{th}}$  symmetric power modular curve**:

$$X_0(p)^{(d)} := \overbrace{(X_0(p) \times \cdots \times X_0(p))}^{d\text{-times}} / S_d$$

$$X_0(p)^{(d)} \leftrightarrow \text{effective degree } d \text{ divisors on } X_0(p).$$

Define the map

$$f_p^{(d)} : X_0(p)_{\text{sm},/\mathbb{Z}}^{(d)} \longrightarrow J_0(p)_{/\mathbb{Z}} \longrightarrow \tilde{J}_{/\mathbb{Z}}$$

$$D \longmapsto [D - d(\infty)] \longmapsto [D - d(\infty)] \pmod{\gamma_{\mathfrak{J}} J_0(p)}$$

(See [Maz77, Chapter 2 Section 10] for the definition of  $\gamma_{\mathfrak{J}}$ .)

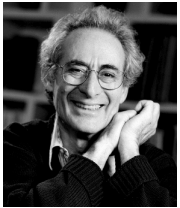
Theorem (Kamienny, Kamienny-Mazur [KM95], Abramovich [Abr95])

*For  $d \leq 12$ , there are constants  $A_d$  and  $B_d$  such that, for all primes  $p > A_d$  and  $q > B_d$ , the map  $f_p^{(d)}$  is a formal immersion along the section  $(\infty, \dots, \infty)$  in characteristic  $q$ .*

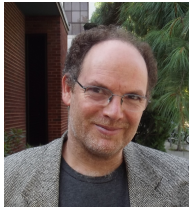
The constants  $A_d$  and  $B_d$  were not made explicit (except for  $d = 2$ , more on this later). This theorem was approached via Kamienny's reformulation of the formal immersion criterion in terms of **linear independence of Hecke operators in positive characteristic**.



Sheldon Kamienny



Barry C. Mazur



Dan Abramovich

PROPOSITION 3.1. *The following are equivalent.*

(1) *The map  $f: X_{|S'}^{(d)} \rightarrow J_{|S'}$  is a formal immersion along the section  $(\infty, \dots, \infty)$  in characteristic  $p$  (possibly zero).*

(2) *There exist  $d$  weight-two cusp forms, associated to  $J$ , that satisfy the linear independence condition (mod  $p$ ).*

from [Kam92b]

Why did they care about such statements?

*Equivalently, suppose that the images of the first  $d$  Hecke operators  $T_1, \dots, T_d$  in the  $\mathbf{l}$ -adic completion  $\mathbf{T}_1$  of the Hecke algebra  $\mathbf{T}$  are  $\mathbb{Z}$ -linearly independent. Then the uniform boundedness conjecture is true for all number fields of degree  $d$ .*

from [Kam92b]

We'll revisit this in the 'Merel' section. For now we frame the following:

## SLOGAN

Explicit formal immersion criteria defeat Type One primes.

# Type 2 isogenies

## Condition CC (Momose + B.-Derickx)

Let  $K$  be a number field, and  $E/K$  an elliptic curve admitting a  $K$ -rational  $p$ -isogeny, with  $p$  of Type 2. Let  $q$  be a rational prime admitting a prime ideal  $\mathfrak{q} \mid q$  of odd residue degree  $f$  satisfying:

- 1  $q^f < p/4$ ;
- 2  $q^{2f} + q^f + 1 \not\equiv 0 \pmod{p}$ .

Then  $q$  does not split in  $\mathbb{Q}(\sqrt{-p})$ .

*Claim.* If the above case occurs then for all odd primes  $p < N/4$  we have  $\left(\frac{p}{N}\right) = -1$ .



**Barry C. Mazur  
receives National  
Medal of Science from  
US President Barack  
H. Obama**

To conclude our theorem, we shall now prove that the above *claim* implies that  $\mathbf{Q}(\sqrt{-N})$  has class number 1 and hence (by Baker-Stark-Heegner [3, 37, 38]) we have  $N = 11, 19, 43, 67,$  or  $163$  (ignoring the genus 0 cases).

Since  $N \equiv -1 \pmod{4}$ , quadratic reciprocity applied to (7.1) implies that for  $2 < p < N/4$ ,  $p$  remains prime in  $\mathbf{Q}(\sqrt{-N})$ .

Thus all ideals  $I$  of odd norm  $< N/4$  are principal in the ring of integers of  $\mathbf{Q}(\sqrt{-N})$ . To be sure, if we had the stronger assertion that *all* ideals of norm  $< N/4$  were principal, then  $\mathbf{Q}(\sqrt{-N})$  would have class number 1 by Minkowski's theorem: the absolute value of the discriminant of  $\mathbf{Q}(\sqrt{-N})$  is  $N$ ; the Minkowski constant is  $2/\pi$ ; and  $2/\pi \cdot \sqrt{N} < N/4$  for  $N \geq 11$ . We shall prove this stronger assertion. If 2 does not split in  $\mathbf{Q}(\sqrt{-N})$ , there is nothing to prove. Suppose, then, that 2 does split, in which case  $N \equiv -1$  or  $7 \pmod{16}$ . We must show that one (and hence both) of the primes of norm 2 are principal. If  $N \equiv -1 \pmod{16}$ , consider the element  $\alpha = (3 + \sqrt{-N})/2$ . One sees that the norm of  $\alpha$  is twice an odd number; hence  $(\alpha) = \mathfrak{p} \cdot I$  where  $\mathfrak{p}$  is one of the primes of norm 2, and  $I$  is an "odd" ideal, with norm  $(9 + N)/8$ . Since  $N \geq 11$ , the norm of  $I$  is less than  $N/4$ , and therefore  $I$  is principal. Consequently so is  $\mathfrak{p}$ . If  $N \equiv 7 \pmod{16}$ , take the element  $\alpha = (1 + \sqrt{-N})/2$ , and repeat the above argument.



Determining the Type 2 primes is harder for general  $K$ .  
However, Momose was able to establish the following two results:

**PROPOSITION 1.** *For any quadratic field  $k$ , there are only finitely many prime numbers  $p$  which satisfy the condition C.*

---

**REMARK 8.** K. Murty taught me that the G.R.H. leads Goldfeld conjecture. In fact, G.R.H. implies that Condition C is satisfied only for finitely many prime numbers  $p$  for a given algebraic number field  $k$  of finite degree.



**Vijaya Kumar Murty**

# Type 3 isogenies

algebraic number field of *odd* degree (cf. Theorem 6). The  $k$ -rational points of Type 3 are expected to be the C.M. points for almost all prime numbers  $p$ . But, even for the imaginary quadratic field  $k$  of class number one, we have not solved this case. We add a result under a strong condition on reduction. The classification of algebraic points on  $X_0(p)$ 's can be applied

## Open Problem (Momose's Conjecture)

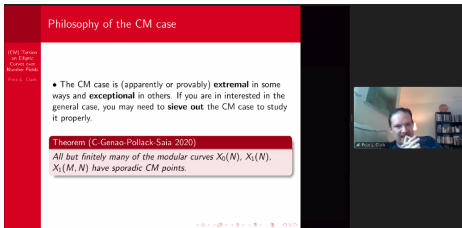
*Let  $K$  be a number field containing the Hilbert class field of an imaginary quadratic field  $L$ . Then there is a constant  $C_K$  such that, for prime  $p > C_K$ , if there exists an elliptic curve  $E$  over  $K$  admitting a  $K$ -rational  $p$ -isogeny of Type 3, then  $E$  has CM by an order  $\mathcal{O}$  in  $L$ , and  $p$  splits or ramifies in  $\mathcal{O}$ .*

After **Lori Watson**'s talk in this series, I wondered the following:

Question

*Does Momose's conjecture follow from recent developments in the realm of isolated or sporadic points on modular curves?*

**Pete Clark** also mentioned "sporadic CM points" which might be relevant here. One place to start with this is to watch their respective VaNTAGe talks.



**Pete L. Clark**  
**VaNTAGe talk:**

<https://youtu.be/4cX8amfVr8M>



**Lori D. Watson**  
**VaNTAGe talk:**

<https://youtu.be/S4ZX3CUIzLE>

# In summary ...

## Theorem (Momose)

*Assume GRH. Let  $K$  be a number field of degree  $\leq 12$  which does not contain the Hilbert class field of an imaginary quadratic field. Then  $\text{IsogPrimeDeg}(K)$  is finite.*

## Theorem (Momose)

*Let  $K$  be a quadratic field which is not imaginary quadratic of class number 1. Then  $\text{IsogPrimeDeg}(K)$  is finite.*

These results of Momose were not effective.

## Question

*Can one exactly determine  $\text{IsogPrimeDeg}(K)$  for a single number field  $K \neq \mathbb{Q}$ ?*

The work of Loïc Merel

# Strong Uniform Boundedness for torsion on elliptic curves

## Conjecture

*Let  $d \geq 1$  be an integer. Then there is a constant  $B_d$  such that, if  $E$  is an elliptic curve over any number field  $K$  of degree  $\leq d$ , then*

$$|E(K)_{tors}| \leq B_d.$$

# Strong Uniform Boundedness for torsion on elliptic curves

Theorem (Merel, 1996, [Mer96])

*Let  $d \geq 1$  be an integer. Then there is a constant  $B_d$  such that, if  $E$  is an elliptic curve over any number field  $K$  of degree  $\leq d$ , then*

$$|E(K)_{tors}| \leq B_d.$$


**Loïc Merel**

Merel proved the **linear independence of Hecke operators** for general  $d$ :

**Proposition 3.** *Soient  $d$  un nombre entier  $\geq 1$  et  $p$  un nombre premier vérifiant  $\frac{p}{\log^4 p} > \text{Sup}(400d^4, d^8)$ . C'est le cas lorsqu'on a  $p > 2^{d+1}(d!)^{5d/2}$  et  $d \geq 4$ , ou encore lorsque  $p > d^{3d^2}$  et  $d \geq 3$ . Alors  $T_1 \mathbf{e}, \dots, T_d \mathbf{e}$  sont linéairement indépendants dans  $\mathbf{H} \otimes \mathbf{Q}$ .*

This was achieved by working with the **Winding quotient** rather than the **Eisenstein quotient**.

$\Omega^1$ ),  $\mathbf{C}$ ), qui à  $c \otimes 1$  associe  $\hat{\omega} \mapsto \int_c \omega$ , d'un élément  $\mathbf{e}$  de  $\mathbf{H} \otimes \mathbf{R}$ , que nous appellerons *élément d'enroulement* (winding element dans [12]). En fait on a  $(p - 1)\mathbf{e} \in \mathbf{H}^+ \otimes 1$  ([12]).

The winding quotient is the largest rank 0 quotient of  $J_0(N)$ , and it allows one to get **explicit formal immersion criteria** (i.e. the  $A_d$  and  $B_d$  from earlier can be written down).



## In summary ...

### Theorem (Momose)

*Assume GRH. Let  $K$  be a number field of degree  $\leq 12$  which does not contain the Hilbert class field of an imaginary quadratic field. Then  $\text{IsogPrimeDeg}(K)$  is finite.*

### Theorem (Momose)

*Let  $K$  be a quadratic field which is not imaginary quadratic of class number 1. Then  $\text{IsogPrimeDeg}(K)$  is finite.*

These results of Momose were not effective.

### Question

*Can one exactly determine  $\text{IsogPrimeDeg}(K)$  for a single number field  $K \neq \mathbb{Q}$ ?*

## In summary ...

### Theorem (Momose + Merel)

*Assume GRH. Let  $K$  be a number field of ~~degree  $\leq 12$~~  which does not contain the Hilbert class field of an imaginary quadratic field. Then  $\text{IsogPrimeDeg}(K)$  is finite.*

### Theorem (Momose)

*Let  $K$  be a quadratic field which is not imaginary quadratic of class number 1. Then  $\text{IsogPrimeDeg}(K)$  is finite.*

These results of Momose were not effective.

### Question

*Can one exactly determine  $\text{IsogPrimeDeg}(K)$  for a single number field  $K \neq \mathbb{Q}$ ?*

# Explicit isogenies of prime degree over quadratic fields

[arxiv.org/abs/2101.02673](https://arxiv.org/abs/2101.02673) - submitted

## Question

*Can one exactly determine  $\text{IsogPrimeDeg}(K)$  for a single number field  $K \neq \mathbb{Q}$ ?*

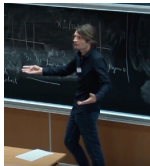
Makes sense to start at quadratic fields  $K$  which are not imaginary quadratic of class number one (called **isogeny-finite** in the sequel). I tried to approach this via a two-step process:

- 1 Find an upper bound for  $\text{IsogPrimeDeg}(K)$ ;
- 2 For each prime up to this upper bound, decide whether or not it is in  $\text{IsogPrimeDeg}(K)$ .

Note that this is the same approach used by Yuri Bilu, Pierre Parent, and Marusia Rebolledo to show that the split Cartan primes over the rationals are  $\{2, 3, 5, 7, 13\}$  [BPR13].



Yuri Bilu



Pierre Parent



Marusia Rebolledo

# Upper bound for IsogPrimeDeg(K)

There are 3 components of IsogPrimeDeg(K):

	$C_0$	TypeOnePrimes	TypeTwoPrimes
Bound	$C(K, 2(\Delta_K)^{Ah_K})$	$(1 + 3^{6d_K h_K})^2$	$\prod_{\ell \in S_K} \ell \leq e^{c_2^{d_K} (R_K d_K^{r_K} + (h_K \log \Delta_K)^2)}$
Found by	Agnès David	Joseph Oesterlé	Eric Larson & Dmitry Vaintrob
Reference	[Dav12]	[DKSS17, Section 6]	[LV14]



This wasn't quite explicit because of the unknown constants  $A$  and  $c_2$  which arise from applying Effective Chebotarev Density.

However, we replaced these steps with the absolute best possible Effective Chebotarev Density bound of Bach and Sorenson [BS96] (which is free of unknown constants):



Eric Bach

5.1. An explicit version of the main result.

**Theorem 5.1** (ERH). *Let  $E/K$  be a Galois extension of number fields, with  $E \neq \mathbb{Q}$ . Let  $\Delta$  denote the absolute value of  $E$ 's discriminant. Let  $n$  denote the degree of  $E$ . Let  $\sigma \in G$ , the Galois group of  $E/K$ .*

*Then there is a prime ideal  $\mathfrak{p}$  of  $K$  with  $\left(\frac{\mathfrak{p}}{E/K}\right) = \sigma$ , of residue degree 1, satisfying*

$$N\mathfrak{p} \leq (4 \log \Delta + 2.5n + 5)^2.$$



Jonathan Sorenson

# The DLMV bound

## Proposition (B.)

*Assume GRH. Then there is an algorithm which, given a quadratic field  $K$  which is not imaginary quadratic of class number 1, computes an upper bound on  $\text{IsogPrimeDeg}(K)$ .*

```
def DLMV(K):
    """Compute the DLMV bound"""

    # First compute David's C_0

    Delta_K = K.discriminant().abs()
    h_K = K.class_number()
    R_K = K.regulator()
    r_K = K.unit_group().rank()
    delta_K = log(2)/(r_K + 1)
    C_1_K = r_K ** (r_K + 1) * delta_K**(-(r_K - 1)) / 2
    C_2_K = exp(24 * C_1_K * R_K)
    CHEB_DEN_BOUND = (4*log(Delta_K**h_K) + 5*h_K + 5)**2
    C_0 = ((CHEB_DEN_BOUND**(12*h_K))*C_2_K + CHEB_DEN_BOUND**(6*h_K))**4

    # Now the Type 1 and 2 bounds

    type_1_bound = (1 + 3**(12 * h_K))**2
    type_2_bound = get_type_2_bound(K)

    return max(C_0, type_1_bound, type_2_bound)
```

$\Delta_K$	$K$	DLMV( $K$ )
-40	$\mathbb{Q}(\sqrt{-10})$	$3.20 \times 10^{316}$
-24	$\mathbb{Q}(\sqrt{-6})$	$2.99 \times 10^{308}$
-20	$\mathbb{Q}(\sqrt{-5})$	$2.58 \times 10^{305}$
8	$\mathbb{Q}(\sqrt{2})$	$4.06 \times 10^{139}$
12	$\mathbb{Q}(\sqrt{3})$	$1.68 \times 10^{152}$
5	$\mathbb{Q}(\sqrt{5})$	$5.65 \times 10^{126}$
24	$\mathbb{Q}(\sqrt{6})$	$9.76 \times 10^{177}$
28	$\mathbb{Q}(\sqrt{7})$	$1.08 \times 10^{189}$
40	$\mathbb{Q}(\sqrt{10})$	$2.59 \times 10^{354}$

Clearly the bounds had to be improved ... so I returned to Momose's paper ... *after 10 years!*



# The backstory

**John Cremona** suggests the problem to me in about June 2010.

**Jim Stankewicz** tells me about Momose's paper in about November 2010.

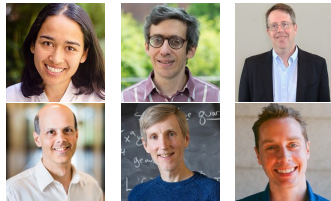
In June 2020 I attend the Simons AGNTC workshop organised by **Jennifer Balakrishnan**, **Noam Elkies**, **Brendan Hassett**, **Bjorn Poonen**, **Drew Sutherland**, and **John Voight**.



John Cremona



Jim Stankewicz and I take selfie with Bryan Birch



The organisers of Simons AGNTC 2020

On the first day of that workshop I have a zoom call with **Isabel Vogt** who clearly explains to me an idea of **Luis Dieulefait** who has a method to compute *non-surjective primes* of a generic genus 2 curve over  $\mathbb{Q}$  [Die02].

Very roughly, the idea is to choose a finite set of **auxiliary primes**  $q$ , compute a **handful of integers**  $A_i(q)$  whose supports in union is a superset for the non-surjective primes, then take GCDs over  $q$  of the LCMs of  $A_i(q)$ .



**Isabel Vogt**



**Luis V. Dieulefait**

## Back to the problem ...

When I returned to Momose's paper in November 2020, I had the following question in mind:

### Question

*Instead of finding upper bounds for  $\text{IsogPrimeDeg}(K)$ , can you instead find a tight superset for it by computing a handful of integers associated to auxiliary primes  $q$  of  $K$ ?*

This led to the integers

$$A(\epsilon, q) := \text{Nm}_{K/\mathbb{Q}}(\alpha^\epsilon - 1);$$

$$B(\epsilon, q) := \text{Nm}_{K/\mathbb{Q}}(\alpha^\epsilon - q^{12h_K});$$

$$C(\epsilon, q) := \text{lcm}\left\{\text{Nm}_{K(\beta)/\mathbb{Q}}(\alpha^\epsilon - \beta^{12h_K}) \mid \beta \text{ is a Frobenius root over } \mathbb{F}_q\right\};$$

$$D(q) := \text{lcm}\left\{1 + \text{Nm}(q)^{12h_K} - \beta^{12h_K} - \bar{\beta}^{12h_K} \mid \beta \text{ is a Frobenius root over } \mathbb{F}_q\right\}.$$

See the paper for the definitions, or attend my upcoming talk at [Universität Bayreuth](https://www.uni-bayreuth.de):

<http://www.mathe2.uni-bayreuth.de/oberseminar/index.html>

Coding it all up led to

### Theorem (B.)

*Let  $K$  be a quadratic field which is not imaginary quadratic of class number 1. Then there is an algorithm which computes a superset of  $\text{IsogPrimeDeg}(K)$  as the union of three sets:*

$$\text{IsogPrimeDeg}(K) \subseteq \text{PreTypeOneTwoPrimes}(K) \cup \text{TypeOnePrimes}(K) \cup \text{TypeTwoPrimes}(K).$$

# Quadratic Isogeny Primes

Sage and PARI/GP implementation of the command-line tool is available at

[github.com/barinderbanwait/quadratic\\_isogeny\\_primes](https://github.com/barinderbanwait/quadratic_isogeny_primes)

```

483
484 def get_isogeny_primes(K, aux_prime_count, bound=1000, loop_only_j=True):
485
486     # Start with some helpful user info
487
488     print("\nFinding isogeny primes for {}".format(K))
489     print("Number of auxiliary primes is {}".format(aux_prime_count))
490
491     # Get and show TypeOnePrimes
492
493     type_1_primes = get_type_1_primes(K, aux_prime_count=aux_prime_count,
494                                     loop_only_j=loop_only_j)
495     print("type_1_primes = {}".format(type_1_primes))
496
497     # Get and show PreTypeOneTwoPrimes
498
499     pre_type_one_two_primes = get_pre_type_one_two_primes(K,
500                                                         aux_prime_count=aux_prime_count,
501                                                         loop_only_j=loop_only_j)
502     print("pre_type_2_primes = {}".format(pre_type_one_two_primes))
503
504     # Get and show TypeTwoPrimes
505
506     type_2_primes = get_type_2_primes(K, bound=bound)
507     print("type_2_primes = {}".format(type_2_primes))
508
509     # Put them all together and sort the list before returning
510     candidates = set.union(set(type_1_primes),
511                            set(pre_type_one_two_primes),
512                            set(type_2_primes))
513     candidates = list(candidates)
514     candidates.sort()
515
516     return candidates
517

```

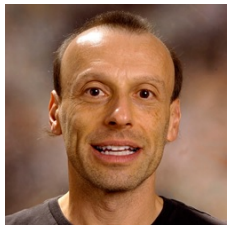
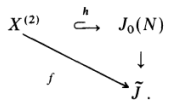
# TypeOnePrimes

Recalling that

**SLOGAN**  
 Explicit formal immersion criteria defeat Type One primes.

we use Kamienny's explicit formal immersion criteria for quadratic fields:

$$S = \text{Spec } \mathbb{Z} \left[ \frac{1}{N} \right].$$



Sheldon Kamienny

The basic ingredient in this work is the following.

**Proposition 3.2** *Let  $N$  be a prime  $> 61$ , but not  $71$ . The map*

$$f: X_{/S}^{(2)} \rightarrow \tilde{J}_S$$

*is a formal immersion along  $(\infty, \infty)$  away from characteristics 2, 3, and 5.*

**From [Kam92a].**

$$\text{TypeOnePrimes}(K) = \text{PrimesUpTo}(71) \cup \{p : p \mid \Delta_K\} \\ \cup \{p : (p-1) \mid 12h_K\} \cup \left( \bigcap_{q \in \text{Aux}} \{p : p \mid D(q)\} \right).$$

# TypeTwoPrimes

This part of the algorithm doesn't use auxiliary primes, but instead checks primes up to the bound on TypeTwoPrimes whether they satisfy condition CC:



```
def get_type_2_primes(K, bound=None):
    """Compute a list containing the type 2 primes"""

    # First get the bound
    if bound is None:
        bound = get_type_2_bound(K)
        print("type_2_bound = {}".format(bound))

    # We need to include all primes up to 25
    # see Larson/Vaintrub's proof of Theorem 6.4
    output = set(prime_range(25))

    for p in pari.primes(25, bound):
        p_int = Integer(p)
        if p_int % 4 == 3: # Type 2 primes necessarily congruent to 3 mod 4
            if satisfies_condition_CC(K, p_int):
                output.add(p_int)
    return output
```

```
blockSize=100000;
export(blockSize)

checktypetwo(pBeg) =
{
    my(p,cond);
    forprime(p = pBeg*blockSize, (pBeg+1)*blockSize-1,
        cond=custom_congruence_condition(p,D);
        if(cond,print_satisfiesCC(p)));
}
export(checktypetwo)

howMany=floor(typetwobound/blockSize);
parapply(checktypetwo,[0..howMany]);
```



# From Superset to Set

Let's run the algorithm on  $\mathbb{Q}(\sqrt{5})$ ; we get a superset of

$$\text{PrimesUpTo}(79) \cup \{163\}.$$

How to determine which of these are actually in  $\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{5}))$ ?

There are three ingredients.

# Ingredient 1: Quadratic points on low-genus modular curves



Peter J. Bruin

Hyperelliptic modular curves  $X_0(N)$  and isogenies of elliptic curves over quadratic fields, 2015 [BN15]



Filip Najman



Ekin Özman

Quadratic points on modular curves, 2019 [ÖS19], see Ekin's VaNTAGe talk here:  
<https://youtu.be/fj--cM2o-sA>



Samir Siksek



Josha Box

Quadratic points on modular curves with infinite Mordell-Weil group, 2021 [Box21]

# Ingredient 2: Local solubility of twisted modular curves

**THEOREM 1.1.** *Let  $p$  be a prime,  $N$  a square-free integer, and  $\mathbb{K}$  a quadratic field. Then*



Ekin Özman

- (1)  $X^d(N)(\mathbb{Q}_p) \neq \emptyset$  for all  $p$  that split in  $\mathbb{K}$  and for  $\mathbb{Q}_\infty = \mathbb{R}$  (Proposition 1.2).
- (2)  $X^d(N)(\mathbb{Q}_p) \neq \emptyset$  if  $p$  is inert in  $\mathbb{K}$  and does not divide  $N$  (Theorem 3.17).
- (3) For all odd  $p$  that are inert in  $\mathbb{K}$  and divide  $N$ ,  $X^d(N)(\mathbb{Q}_p) \neq \emptyset$  if and only if either
  - (a)  $N = p \prod_i q_i$  where  $p \equiv 3 \pmod{4}$  and  $q_i \equiv 1 \pmod{4}$  for all  $i$  and  $\left(\frac{-\prod_i q_i}{p}\right) = -1$ , or
  - (b)  $N = 2p \prod_i q_i$  where  $p \equiv 3 \pmod{4}$  and  $q_i \equiv 1 \pmod{4}$  for all  $i$  and  $\left(\frac{-\prod_i q_i}{p}\right) = -1$  (Theorem 3.7).
- (4) If 2 is inert in  $\mathbb{K}$  and divides  $N$ ,  $X^d(N)(\mathbb{Q}_p) \neq \emptyset$  if and only if  $N = 2 \prod_i q_i$  where  $q_i \equiv 1 \pmod{4}$  for all  $i$  (Theorem 3.8).
- (5) For all  $p$  that are ramified in  $\mathbb{K}$  and unramified in  $\mathbb{Q}(\sqrt{-N})$ ,  $X^d(N)(\mathbb{Q}_p) \neq \emptyset$  if and only if  $p$  is in the set  $S_N$  defined in Proposition 4.6 (Theorem 4.10).
- (6) For all  $p$  that are ramified in  $\mathbb{K}$  and  $\mathbb{Q}(\sqrt{-N})$ , if  $X^d(N)(\mathbb{Q}_p) \neq \emptyset$  then  $p \in S_N$  (Proposition 4.5).

## Ingredient 3: Dealing with 79

### APPENDIX A. ON $X_0(79)(\mathbb{Q}(\sqrt{5}))$

by BARINDER S. BANWAIT AND MAARTEN DERICKX

In this appendix we establish the following result.

**Proposition A.1.** *The set of  $\mathbb{Q}(\sqrt{5})$ -rational points on the modular curve  $X_0(79)$  consists only of the two  $\mathbb{Q}$ -rational cusps.*



**Maarten Derickx**

This requires that

$$J_0(79)_-(\mathbb{Q}(\sqrt{5})) = J_0(79)_-(\mathbb{Q}).$$

We in fact show that  $J_0(79)_-(\mathbb{Q})_{tors}$  does not grow in any quadratic extension.

**Open Problem (Quadratic Generalised Ogg's conjecture?)**

*In general how does the torsion of  $J_0(N)$  grow from  $\mathbb{Q}$  to (specific) quadratic fields? What about the minus part?*

See [Yoo21] for recent progress on the Generalised Ogg conjecture over  $\mathbb{Q}$ .



**Hwajong Yoo**

## To summarise...

### Theorem (B.)

*Assuming GRH, we have the following.*

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{7})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-10})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{5})) = \text{IsogPrimeDeg}(\mathbb{Q}) \cup \{23, 47\}$$

## Open Problem

*Can you extend the results on quadratic points on low-genus modular curves to higher genera?*

Work in progress with Maarten Derickx



## Theorem (B.-Derickx)

*Let  $K$  be a number field which does not contain the Hilbert class field of an imaginary quadratic field. Then there is an algorithm which computes a superset of  $\text{IsogPrimeDeg}(K)$  as the union of three sets:*

$$\text{IsogPrimeDeg}(K) \subseteq \text{PreTypeOneTwoPrimes}(K) \cup \text{TypeOnePrimes}(K) \cup \text{TypeTwoPrimes}(K).$$



**Zoom call with Maarten Derickx**

# TypeOnePrimes

The implementation of TypeOnePrimes uses the state-of-the-art **explicit and tight formal immersion criteria** due to Derickx, Kamienny, Stein, and Stoll [DKSS17]:

**Proposition 5.3.** *Let  $H \subseteq (\mathbb{Z}/p\mathbb{Z})^\times / \{\pm 1\}$  be a subgroup. Let  $\ell \neq p$  be a prime and consider  $t = t_1(t_0)$  as in Proposition 5.1 when  $\ell$  is odd, or  $t$  as in Corollary 5.2 when  $\ell = 2$ . Then  $t \circ \iota$  is a formal immersion at all  $\bar{x}_H \in X_H^{(d)}(\mathbb{F}_\ell)$  that are sums of images of rational cusps on  $X_1(p)$ , if for all partitions  $d = n_1 + \dots + n_m$  with  $n_1 \geq \dots \geq n_m$  and all  $m$ -tuples  $(d_1 = 1, d_2, \dots, d_m)$  of integers representing pairwise distinct elements of  $H$ , the  $d$  Hecke operators*

$$(5.1) \quad (T_i(d_j)t)_{\substack{j=1, \dots, m \\ i=1, \dots, n_j}}$$

are  $\mathbb{F}_\ell$ -linearly independent in  $\mathbb{T} \otimes \mathbb{F}_\ell$ , where  $\mathbb{T}$  is considered as a subalgebra of  $\text{End}_{\mathbb{Q}}(J_H)$ .



Maarten Derickx



Sheldon Kamienny



William Stein



Michael Stoll

# From Superset to Set

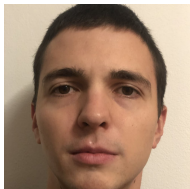
Let's run the algorithm on  $\mathbb{Q}(\zeta_7)^+$ ; we get a superset of  
 $\text{PrimesUpTo}(43) \cup \{61, 67, 73, 163\}$ .

How to determine which of these are actually in  $\text{IsogPrimeDeg}(\mathbb{Q}(\zeta_7)^+)$ ?  
 The main ingredient is

**Theorem (Box-Gajović-Goodman, 2021)**  
*For  $N \in \{53, 57, 61, 65, 67, 73\}$ , the set of cubic points on  $X_0(N)$  is finite and listed in Section 5 of [BGG21].*



**Josha Box**



**Stevan Gajović**



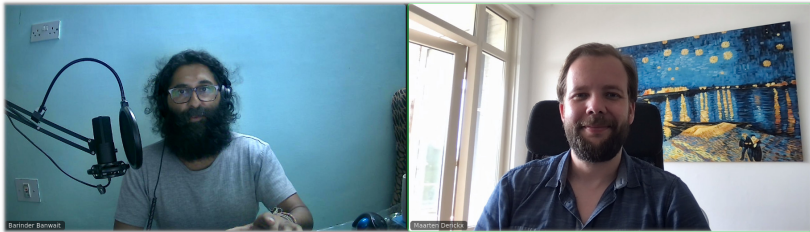
**Pip Goodman**

# The first cubic case of IsogPrimeDeg

## Theorem (B.-Derickx)

*Assuming GRH,*

$$\text{IsogPrimeDeg}(\mathbb{Q}(\zeta_7)^+) = \text{IsogPrimeDeg}(\mathbb{Q})$$



### Open Problem

*Can you extend the results on cubic points on low-genus modular curves to higher genera?*

### Open Problem

*Can you start compiling catalogues of higher degree points on low-genus modular curves?*

# Uniform TypeTwoPrimes

## Theorem (B.-Derickx)

*Assume GRH, and let  $d$  be an odd integer. Then there is a bound  $C_d$  such that if  $E$  is an elliptic curve over a number field  $K$  of degree  $d$  which admits a  $K$ -rational  $p$ -isogeny, then  $p \leq C_d$ . Moreover, we have  $C_3 = 253,507$ .*

There is a cubic field which satisfies Condition CC with  $p = 253,507$ , but this is only a necessary condition for Type 2 isogenies, so the following is natural:

## Open Problem

*Does there exist an elliptic curve over a cubic field admitting a rational 253,507-isogeny?*

# Strong Uniform Boundedness for Isogenies?

## Open Problem

*Fix an integer  $d$ . As one varies over all elliptic curves over all number fields of degree  $d$  which do not contain the Hilbert class field of an imaginary quadratic field, are there only finitely many isogeny primes that arise?*





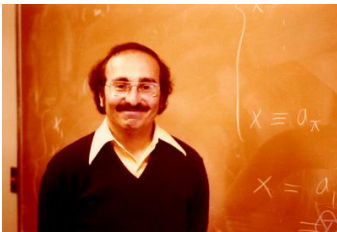
Further Problems to consider

# Statistical Distribution of $\text{IsogPrimeDeg}(K)$

Both **Ralph Greenberg** and **Jaap Top** asked this *Arithmetic Statistics* question:

## Open Problem

*Fix an integer  $d$ . What is the distribution of  $\text{IsogPrimeDeg}(K)$  as one varies over all number fields  $K$  of degree  $d$ ?*



**Ralph Greenberg**



**Jaap Top**

## Definition

Let  $K$  be a number field, and let  $p \in \text{IsogPrimeDeg}(K)$ . We say that  $p$  is **new** if  $p \notin \text{IsogPrimeDeg}(L)$  for any proper subfield  $L \subset K$ .

## Question

*As one varies over all isogeny-finite quadratic fields  $\mathbb{Q}(\sqrt{D})$  for  $|D| \leq 50$ , how many new isogeny primes arise for each  $D$ , which new isogeny primes arise, and how often do they arise?*

There are 54 quadratic fields to consider.  
We were able to decide on 38 of these.

## Theorem (B.-Derickx)

Assuming GRH,

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-46})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-42})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-39})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-38})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-34})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-30})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-29})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-23})) = \text{IsogPrimeDeg}(\mathbb{Q}) \cup \{29, 31\}$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-21})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-17})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-15})) = \text{IsogPrimeDeg}(\mathbb{Q}) \cup \{23\}$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-14})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-13})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{-5})) = \text{IsogPrimeDeg}(\mathbb{Q}) \cup \{23\}$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{2})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{3})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{6})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{7})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{10})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{11})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{13})) = \text{IsogPrimeDeg}(\mathbb{Q}) \cup \{31\}$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{14})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{19})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{21})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{23})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{26})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{30})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{31})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{33})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{34})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{35})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{38})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

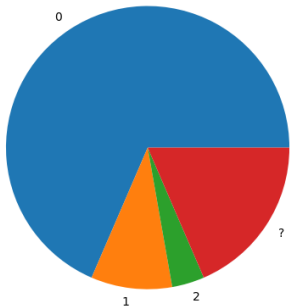
$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{39})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{42})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

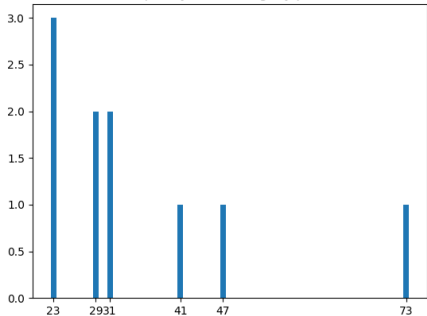
$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{43})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

$$\text{IsogPrimeDeg}(\mathbb{Q}(\sqrt{46})) = \text{IsogPrimeDeg}(\mathbb{Q})$$

Number of new isogeny primes



Frequency of new isogeny primes



OK, to be totally honest: In these diagrams I have assumed that the hyperelliptic curves

$X^{17}(23)$ ,  $X^{37}(23)$ ,  $X^{29}(31)$ ,  $X^{29}(37)$ ,  $X^{-31}(41)$ ,  $X^{-22}(59)$ ,  $X^{47}(59)$  do not have any  $\mathbb{Q}$ -rational points, because I searched up to a really big height bound but failed to find any points. This could possibly be proved with Quadratic Chabauty; this only affects a small number of the 54 cases, so even if not true, the general picture would remain unaffected.



△ → Number fields → 2.2.5.1  
**Number field 2.2.5.1:  $\mathbb{Q}(\sqrt{5})$**

Normalized defining polynomial

$$x^2 - x - 1$$

Isogeny primes

2, 3, 5, 7, 11, 13, 17, 19, 23, 37, 43, 47, 67, 163

△ → Number fields → 5.5.14641.1  
**Number field 5.5.14641.1:  $\mathbb{Q}(\zeta_{11})^+$**

This is the quintic field with [Galois group](#)  $C_5$  with the smallest [absolute discriminant](#). S

Normalized defining polynomial

$$x^5 - x^4 - 4x^3 + 3x^2 + 3x - 1$$

Superset for isogeny primes

Isogeny primes of elliptic curves

Isogeny primes are AWESOME!

permalink · (awaiting review)

2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, 37, 41, 43, 47, 53, 59, 61, 67, 71, 73, 89, 97, 109, 163, 197, 199, 241, 307, 397, 571

# Quadratic Bilu-Parent-Rebollo?

Momose wrote a paper about the split Cartan modular curves  $X_s(p)$  [Mom84] and one about its generalisation  $X_0^+(p^r)$  [Mom87].

## Open Problem

*Fix a quadratic field  $K$ . Can one exactly determine the primes  $p$  for which the split Cartan modular curve  $X_s(p)$  admits non-cuspidal, non-CM rational points?*

There's been enough progress on quadratic points on modular curves in recent years to at least start thinking about this seriously.

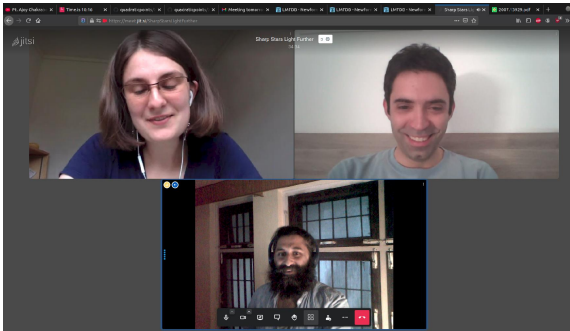


# Quadratic Kenku

## Open Problem

*For a number field  $K$ , what possible degrees arise as the degree of a  $K$ -rational cyclic isogeny between elliptic curves over  $K$ ?*

I am currently working on this with **Oana Adascalitei** and **Filip Najman**:



# Mazur's theorems for abelian surfaces?

Open Problem

*Is there a uniform bound on isogeny primes for abelian surfaces over  $\mathbb{Q}$ ?*

Open Problem

*Is there a uniform bound on torsion primes for abelian surfaces over  $\mathbb{Q}$ ?*

One may initially want to restrict the class to principally polarised abelian surfaces, and to isogenies which are compatible with the polarisation. But this is hard because fewer explicit results are known about moduli spaces of principally polarised abelian surfaces.



Thanks for listening!

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