

Equidistribution counts abelian varieties

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VaNTAGe

Curves and abelian varieties over finite fields

Prologue

Motivating question

How big is an isogeny class of elliptic curves over a finite field?

*

* Joint work with S. Ali Altuğ (Radix), Luis Garcia (UCL), and Julia Gordon (UBC)

Isogenous elliptic curves

If $E_1, E_2/\mathbb{F}_q$, the following are equivalent:

- E_1 and E_2 are isogenous;
- $\#E_1(\mathbb{F}_q) = \#E_2(\mathbb{F}_q)$;
- $a(E_1) = a(E_2)$, where characteristic polynomial of Frobenius is

$$f_{E_i/\mathbb{F}_q}(T) = T^2 - a(E_i)T + q.$$

Let

$$I(a, \mathbb{F}_q) = \{E/\mathbb{F}_q : a(E) = a\}.$$

Motivating question

What is $\#I(a, \mathbb{F}_q)$?

Or $\widetilde{\#}I(a, \mathbb{F}_q)$, where E has weight $1/\#\text{Aut}(E)$.

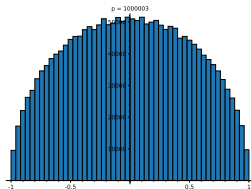
First guess: uniform

- $a \in [-2\sqrt{q}, 2\sqrt{q}]$ (*Hasse*)
- $\asymp q$ elliptic curves over \mathbb{F}_q . (*Exact, if we weight by automorphism.*)
- Suppose $a(E)$ uniformly distributed on $[-2\sqrt{q}, 2\sqrt{q}]$.

Heuristic

$$\#I(a, \mathbb{F}_q) \asymp q / \sqrt{q} = \sqrt{q}.$$

This can't be exactly right. The distribution is *not* uniform.



Sato–Tate distribution

- Frobenius angles:**

$$f_E(T) = T^2 - a_E T + q = (T - \sqrt{q} \exp(i\theta_E))(T - \sqrt{q} \exp(-i\theta_E))$$

$$a_E = 2\sqrt{q} \cos(\theta_E)$$

$$\tilde{a}_E := \frac{a_E}{2\sqrt{q}}$$

- Sato–Tate distribution:**

$$\begin{array}{c} \mathrm{SU}(2) \\ \downarrow \frac{1}{2} \mathrm{tr} \\ [-1, 1] \end{array}$$

$$\begin{array}{c} \mu^{\mathrm{Haar}} \\ \downarrow \\ \mu^{\mathrm{ST}} = \frac{1}{2} \mathrm{tr}_* \mu^{\mathrm{Haar}} \end{array}$$

Second guess: Sato–Tate

Heuristic

$$\begin{aligned}\tilde{\#}I(a, \mathbb{F}_q) &\approx \# \{E/\mathbb{F}_q\} \cdot \mathbb{P}(a_E = a) \\ &\asymp 2q \cdot \frac{1}{2\sqrt{q}} \frac{1}{2\pi} \sqrt{1 - \left(\frac{a}{2\sqrt{q}}\right)^2} \\ &= \sqrt{q} \frac{1}{2\pi} \sqrt{1 - \tilde{a}^2}.\end{aligned}$$

Seems closer, unlikely to be literally correct.

Frobenius elements

- E/\mathbb{F}_q
- For $\ell \neq p$, Frobenius gives

$$\mathrm{Fr}_{E/\mathbb{F}_q, E_\ell} \in \mathrm{Aut}(E_\ell) \cong \mathrm{GL}_2(\mathbb{Z}/\ell)$$

$$\mathrm{Fr}_{E/\mathbb{F}_q, \ell} \in \mathrm{Aut}(T_\ell E) \cong \mathrm{GL}_2(\mathbb{Z}_\ell)$$

and thus conjugacy classes

$$\gamma_{E/\mathbb{F}_q, \mathbb{Z}/\ell} \in \mathrm{GL}_2(\mathbb{Z}/\ell)^\#$$

$$\gamma_{E/\mathbb{F}_q, \ell} \in \mathrm{GL}_2(\mathbb{Z}_\ell)^\#.$$

- These Frobenius elements are:
 - ▶ Equidistributed in $\mathrm{GL}_2(\mathbb{Z}/\ell)$ and $\mathrm{GL}_2(\mathbb{Z}_\ell)$; and
 - ▶ Independent: equidistributed in $\mathrm{GL}_2(\mathbb{Z}/\ell_1) \times \mathrm{GL}_2(\mathbb{Z}/\ell_2)$.

Local factors

Set

$$v_\ell(a, q) = \lim_{n \rightarrow \infty} \frac{\#\{\gamma \in \mathrm{GL}_2(\mathbb{Z}/\ell^n) : (\mathrm{tr}(\gamma), \det(\gamma)) \equiv (a, q) \bmod \ell^n\}}{\#\mathrm{GL}_2(\mathbb{Z}/\ell^n) / (\ell^n \cdot \ell^{n-1}(\ell - 1))}.$$

Rationale (sic)

- Denominator is average number of elements with given charpoly.
- Equivalently, v_ℓ comes from pushforward of Haar:

$$\begin{array}{ccc} \mathrm{GL}_2(\mathbb{Z}_\ell) & & \gamma \\ \downarrow \mathfrak{c} & & \downarrow \\ (\mathbb{A}^1 \times \mathbb{G}_m)(\mathbb{Z}_\ell) & & (\mathrm{tr}(\gamma), \det(\gamma)), \end{array}$$

Third guess: local corrections

Heuristic

$$\tilde{I}(a, \mathbb{F}_q) \asymp \sqrt{q} v_\infty(a, q) \prod_{\ell < \infty} v_\ell(a, q)$$

$$v_\infty(a, q) = \frac{2}{\pi} \sqrt{1 - \frac{a^2}{4q}}$$

$$v_\ell(a, q) = \lim_{n \rightarrow \infty} \frac{\#\{\gamma \in \mathrm{GL}_2(\mathbb{Z}/\ell^n) : (\mathrm{tr}(\gamma), \det(\gamma)) \equiv (a, q) \bmod \ell^n\}}{\#\mathrm{GL}_2(\mathbb{Z}/\ell^n) / (\ell^n \cdot \ell^{n-1}(\ell - 1))}.$$

This can't be right.

Equidistribution only holds for $\ell \ll q$.

Gekeler's Theorem

Set

$$v_\ell(a, p) = \lim_{n \rightarrow \infty} \frac{\#\{\gamma \in \mathrm{GL}_2(\mathbb{Z}/\ell^n) : (\mathrm{tr}(\gamma), \mathrm{det}(\gamma)) \equiv (a, p) \bmod \ell^n\}}{\#\mathrm{GL}_2(\mathbb{Z}/\ell^n) / (\ell^n \cdot \ell^{n-1}(\ell - 1))}$$

$$v_p(a, p) = \lim_{n \rightarrow \infty} \frac{\#\{\gamma \in \mathrm{Mat}_2(\mathbb{Z}/p^n) : (\mathrm{tr}(\gamma), \mathrm{det}(\gamma)) \equiv (a, p) \bmod p^n\}}{\#\mathrm{GL}_2(\mathbb{Z}/p^n) / (p^n \cdot p^{n-1}(p - 1))}$$

$$v_\infty(a, p) = \frac{2}{\pi} \sqrt{1 - \frac{a^2}{4p}}$$

Theorem (Gekeler)

If $|a| < 2\sqrt{p}$ and $a \neq 0$, then

$$\widetilde{I}(a, \mathbb{F}_p) = \sqrt{p} v_\infty(a, p) \prod_{\ell} v_\ell(a, p).$$

Counterfactual equidistribution predicts the right answer!

Why does it work?

Why How does it work?

- $\Delta := \Delta_{a,q} = a^2 - 4q$, $\mathcal{O}_{a,q} = \mathbb{Z}[\sqrt{\Delta}] = \mathbb{Z}[T]/(T^2 - aT + q)$.
- $K = \mathbb{Q}(\sqrt{\Delta})$, $\Delta_0 = \Delta_{K/\mathbb{Q}}$, $\chi = \chi_K = \left(\frac{\Delta_0}{\cdot}\right)$.
- $\Delta = \mathfrak{f}^2 \Delta_0$.

Theorem (Deuring)

$$\widetilde{\#}I(a, q) = \sum_{b|\mathfrak{f}} \frac{h(b^2 \Delta_0)}{w(b^2 \Delta_0)} = \sum_{\mathcal{O}' \supseteq \mathcal{O}_{a,q}} \widetilde{h}(\mathcal{O}').$$

A **class number** counts the isogeny class.

Special case

Suppose $\mathcal{O}_{a,q} = \mathcal{O}_K$.

$$\begin{aligned}
 \widetilde{\#}I(a, q) &= h(K) \text{ *Deuring*} \\
 &= \frac{\#\mathcal{O}_K^\times \sqrt{|\Delta_K|}}{2\pi} L(1, \chi) \text{ *analytic class number formula*} \\
 &= \frac{\#\mathcal{O}_K^\times \sqrt{|\Delta_K|}}{2\pi} \prod_{\ell} \frac{1}{1 - \chi(\ell)/\ell}.
 \end{aligned}$$

Key matrix calculation

Suppose $\ell \nmid \Delta = a^2 - 4q$.

$f(T) \bmod \ell$	split	irreducible
centralizer T	$\begin{pmatrix} * & \\ & *' \end{pmatrix}$	$\begin{pmatrix} * & \epsilon*' \\ *' & * \end{pmatrix}$
$T(\mathbb{F}_\ell)$	$\mathbb{F}_\ell^\times \times \mathbb{F}_\ell^\times$	$\mathbb{F}_{\ell^2}^\times$
$\#T(\mathbb{F}_\ell)$	$(\ell - 1)^2$	$\ell^2 - 1$

$$\begin{aligned}
 & \frac{\#\{\text{conjugacy class of } \gamma_0 \bmod \ell\}}{\#\mathrm{GL}_2(\mathbb{F}_\ell)/(\ell(\ell-1))} \\
 &= \frac{\#\mathrm{GL}_2(\mathbb{F}_\ell)/\#T(\mathbb{F}_\ell)}{\#\mathrm{GL}_2(\mathbb{F}_\ell)/(\ell(\ell-1))} = \frac{\ell(\ell-1)}{\#T(\mathbb{F}_\ell)} \\
 &= \begin{cases} \frac{1}{1-1/\ell} & \text{split} \\ \frac{1}{1+1/\ell} & \text{irreducible} \end{cases} = \frac{1}{1 - \chi(\ell)/\ell}.
 \end{aligned}$$

Extensions

Similar strategy used for certain:

- Abelian surfaces [Williams, Rauch]
- Abelian varieties of prime dimension [Gerhard–Williams]

Count isogeny class using something like $h(K)/h(K^+)$.

Two questions

Can we find...

- a pure-thought proof of Gekeler's theorem?
- an analogue for isogeny classes of principally polarized abelian varieties?

Remark 8.7. Perhaps with a more conceptual approach, one could also verify the conjecture for the more general moduli problems we considered, where we allow also a $\Gamma_0(L)$ -structure and a $\Gamma_1(M)$ -structure. What is the relation of the conjectured formula to the formula, in terms of orbital integrals, given by Kottwitz in [Ko1, §16, pp. 432-433] and [Ko2, p. 205], when that general formula is specialized to the case of elliptic curves; cf. also [Cl, §3,§4]?

N. Katz, Lang–Trotter Revisited, 2008.

One answer

Theorem (AAGG)

$[X, \lambda] \in \mathcal{A}_g(\mathbb{F}_q)$ a principally polarized abelian variety over \mathbb{F}_q with commutative endomorphism ring. Suppose X is ordinary or that $\mathbb{F}_q = \mathbb{F}_p$. Then

$$\widetilde{\#}I([X, \lambda], \mathbb{F}_q) = q^{\frac{\dim(\mathcal{A}_g)}{2}} \tau_T \nu_\infty([X, \lambda], \mathbb{F}_q) \prod_{\ell} \nu_{\ell}([X, \lambda], \mathbb{F}_q).$$

Proof is close to pure thought:

- No actual calculation of local terms.
- No appeal to analytic class number formula.

Theorem (Remix)

$$\widetilde{\#}I([X, \lambda], \mathbb{F}_q) = \tau_T \frac{q^{-\frac{g(3g-1)}{4}}}{(2\pi)^g} \sqrt{\left| \frac{\text{disc}(f)}{\text{disc}(f^+)} \right|} B([X, \lambda]) \lim_{s \rightarrow 1^+} \frac{\zeta_K(s)}{\zeta_{K^+}(s)}$$

where

$$B([X, \lambda]) = \prod_{\ell \mid 2p \text{ disc}(f)} \frac{\zeta_{K^+, \ell}(1)}{\zeta_{K, \ell}(1)} v_\ell([X, \lambda]).$$

Class numbers emerge, but we never used the class number formula.

Weil polynomials and isogeny classes

- $(X, \lambda)/\mathbb{F}_q$ a g -dimensional principally polarized abelian variety.
- Frobenius $\text{Fr}_{X/\mathbb{F}_q, \ell}$ acts on $V_\ell X = T_\ell X \otimes \mathbb{Q}_\ell$.
- Tate: (unpolarized) isogeny class determined by charpoly of $\text{Fr}_{X/\mathbb{F}_q, \ell}$:

$$f_{X/\mathbb{F}_q}(T) \in \mathbb{Z}[T].$$

- λ induces $\langle \cdot, \cdot \rangle_\lambda : V_\ell X \times V_\ell X \rightarrow \mathbb{Q}_\ell^\times$.
- (X, λ) determines
 - ▶ $\gamma_0 = \gamma_{X/\mathbb{F}_q, \ell} \in \text{GSp}(V_\ell, \langle \cdot, \cdot \rangle_\lambda) \cong \text{GSp}_{2g}(\mathbb{Q}_\ell)$, up to conjugacy.
 - ▶ $\delta_0 = \delta_{X/\mathbb{F}_q} \in \text{GSp}(H_{\text{cris}}^1(X), \langle \cdot, \cdot \rangle) \cong \text{GSp}_{2g}(\mathbb{Q}_q)$ up to σ -conjugacy.

If $g = 1$, λ unique, $\langle \cdot, \cdot \rangle$ unique, $\text{GSp}_2 = \text{GL}_2$, conjugacy determined by charpoly.

Groups attached to (X, λ)

- $G = \mathrm{GSp}_{2g}$, $g = \dim X$;
- $\gamma_0 \in G(\mathbb{A}_{\mathrm{fin}}^p)$, $\delta_0 \in G(\mathbb{Q}_q)$;
- - ▶ Polarization induces involution (\dagger) on $\mathrm{End}(X)$.
 - ▶ Define group scheme $T = T_{(X, \lambda)}$:

$$T_{(X, \lambda)}(R) = \left\{ \alpha \in (\mathrm{End}(X) \otimes R)^\times : \alpha \alpha^{(\dagger)} \in R^\times \right\}.$$

▶

$$T(\mathbb{Q}_\ell) = \mathrm{GSp}(V_\ell X) \cap (\mathrm{End}(X) \otimes \mathbb{Q}_\ell)$$

$$T_{\mathbb{Q}_\ell} = (\mathrm{GSp}_{2g})_{\gamma_{X/\mathbb{F}_{q,\ell}}} \text{ (Tate)}$$

Orbital integrals

Theorem (Kottwitz)

We have

$$\begin{aligned} \widetilde{\#}I([X, \lambda], \mathbb{F}_q) &= \text{vol}(T_{(X, \lambda)}(\mathbb{Q}) \backslash T_{(X, \lambda)}(\mathbb{A}_{\text{fin}})) \\ &\quad \times \int_{G_{\gamma_0}(\mathbb{A}_{\text{fin}}^p) \backslash G(\mathbb{A}_{\text{fin}}^p)} \phi_0(g^{-1} \gamma_0 g) d\mu^{\text{can}}(g) \\ &\quad \times \int_{G_{\delta_0 \sigma}(\mathbb{Q}_p) \backslash G(\mathbb{Q}_q)} \psi_{q,p}(h^{-1} \delta_0 h^\sigma) d\mu^{\text{can}}(h) \end{aligned}$$

where the measure on the orbit is the canonical measure.

Idea

Proof is elementary; count sub-lattices of $H^1(X)$ stable under Frobenius.

Towards local terms

Want natural local factors $v_\ell(X, \lambda)$ which compute

$$\int_{G_{\gamma_0}(\mathbb{Q}_\ell) \backslash G(\mathbb{Q}_\ell)} \mathbf{1}_{G(\mathbb{Z}_\ell)}(g^{-1}\gamma_0 g) d\mu^{\text{can}}(g).$$

$G(\mathbb{Q}_\ell)$ vs. $G(\overline{\mathbb{Q}_\ell})$ Can't use $f_{X/\mathbb{F}_q}(T)$; conjugacy and stable conjugacy are different.

$G(\mathbb{Q}_\ell)$ vs. $G(\mathbb{Z}_\ell)$ Can't use $G(\mathbb{Z}_\ell)$ -conjugacy;

$$\left\{ g^{-1}\gamma_0 g : g \in G(\mathbb{Z}_\ell) \right\} \subsetneq \left\{ g^{-1}\gamma_0 g : g \in G(\mathbb{Q}_\ell) \right\} \cap G(\mathbb{Z}_\ell).$$

Local terms

The key definition is:

Local factor

$$v_\ell([X, \lambda]) = \lim_{d \rightarrow \infty} \lim_{n \rightarrow \infty} \frac{\#C_{(d,n,\ell)}(\gamma_0)}{\#G(\mathbb{Z}_\ell/\ell^n)/\#A(\mathbb{Z}_\ell/\ell^n)}.$$

Lemma

If $\ell \nmid \text{disc}(f(T))$, then

$$v_\ell([X, \lambda]) = \frac{\#\{\gamma \in G(\mathbb{Z}_\ell/\ell) : \gamma \sim \gamma_0\}}{\#G(\mathbb{Z}_\ell/\ell)/\#A(\mathbb{Z}_\ell/\ell)}.$$

Strategy

Rewrite Kottwitz formula as Gekeler-type product.

*But for the unquiet heart and brain
A use in measured language lies;
The sad mechanic exercise
Like dull narcotics numbing pain.*

Alfred, Lord Tennyson

Serre–Oesterlé measure (on analytic sets)

μ^{SO} is essentially a point-counting measure on ℓ -adic analytic sets.

If $\mathcal{Z} \subset \mathbb{A}_{\mathbb{Z}_\ell}^m$,

$$\mu^{\text{SO}}(\mathcal{Z}(\mathbb{Z}_\ell)) = \int_{\mathcal{Z}(\mathbb{Z}_\ell)} d\mu^{\text{SO}} = \lim_{n \rightarrow \infty} \frac{\#\mathcal{Z}(\mathbb{Z}_\ell/\ell^n)}{\ell^{n \dim \mathcal{Z}}}.$$

Example

If \mathcal{Z} smooth, then

$$\mu^{\text{SO}}(\mathcal{Z}(\mathbb{Z}_\ell)) = \frac{\#\mathcal{Z}(\mathbb{Z}/\ell)}{\ell^{\dim \mathcal{Z}}}.$$

Gauge measures (on groups)

G/\mathbb{Q}_ℓ an algebraic group.

- ω_G an invariant top-degree form; then

$$\mu^\omega(S) = \int_S |\omega_G|.$$

Example

G split, ω_G Gross's canonical form; then

$$\mu^\omega(G(\mathbb{Z}_\ell)) = \int_{G(\mathbb{Z}_\ell)} |\omega_G|_\ell = \mu^{\text{SO}}(G(\mathbb{Z}_\ell)) = \frac{\#G(\mathbb{Z}_\ell/\ell)}{\ell^{\dim G}}.$$

Canonical measure (on groups)

- Normalize Haar measure so a certain maximal compact subgroup has volume 1.

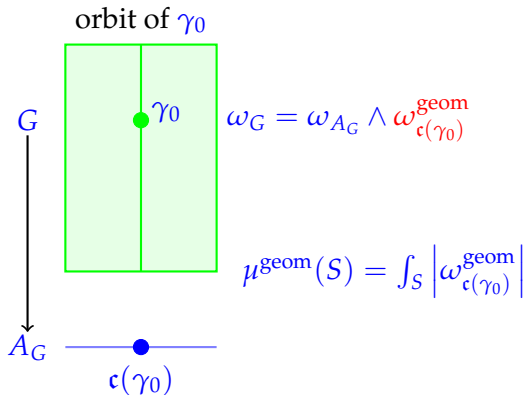
Example

$\mathcal{G}/\mathbb{Z}_\ell$ smooth; choose Haar measure with

$$\mu_G^{\text{can}}(\mathcal{G}(\mathbb{Z}_\ell)) = 1.$$

Geometric measure (on orbit)

Use Steinberg fibration (“characteristic polynomial map”) to define a measure on the orbit of γ_0 :



Tamagawa measure (on orbit)

- S/\mathbb{Q} a torus, ω a gauge form on S .



$$\omega^{\text{Tama}} = \omega_{\infty} \prod_{\ell} L_{\ell}(1, \sigma_S) \omega_{\ell}.$$

- Define Tamagawa measure on orbit of γ_0 :

$$\mu_{\gamma_0}^{\text{Tama}} = \frac{|\omega_G^{\text{can}}|}{|\omega_T^{\text{Tama}}|}.$$

Key observation

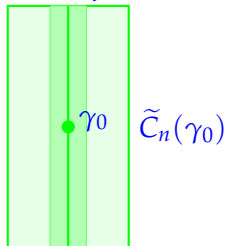
Recall:

$$v_\ell([X, \lambda]) = \lim_{d \rightarrow \infty} \lim_{n \rightarrow \infty} \frac{\#C_{(d,n,\ell)}(\gamma_0)}{\#G(\mathbb{Z}_\ell/\ell^n)/\#A(\mathbb{Z}_\ell/\ell^n)}.$$

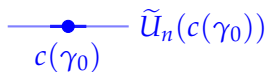
Let $\tilde{C}_{(d,n,\ell)}(\gamma_0) = \left\{ \gamma \in \gamma_0 : (\gamma \bmod \ell^n) \in C_{(d,n,\ell)}(\gamma_0) \right\}.$

Lemma

$$\begin{aligned} \#C_{(d,n,\ell)}(\gamma_0) &= \ell^{n \dim G} \mu^{\text{SO}}(\tilde{C}_{(d,n,\ell)}(\gamma_0)) \\ &= \ell^{n \dim G} \mu^{|\omega_G|}(\tilde{C}_{(d,n,\ell)}(\gamma_0)) \end{aligned}$$



Use this to relate v_ℓ to $\mathcal{O}^{\text{geom}}$.



Main result

Theorem (AAGG)

$[X, \lambda] \in \mathcal{A}_g(\mathbb{F}_q)$ a principally polarized abelian variety, $\text{End}(X)$ commutative. Suppose X is ordinary or that $\mathbb{F}_q = \mathbb{F}_p$. Then

$$\widetilde{\#}I([X, \lambda], \mathbb{F}_q) = q^{\frac{\dim(\mathcal{A}_g)}{2}} \tau_T \nu_\infty([X, \lambda], \mathbb{F}_q) \prod_{\ell} \nu_\ell([X, \lambda], \mathbb{F}_q).$$

Proof.

$$\prod_{\ell} \nu_{\ell} \rightsquigarrow \mathcal{O}^{\text{geom}} \rightsquigarrow \mathcal{O}^{\text{Tama}} \rightsquigarrow \text{LHS}$$

Kottwitz formula



A numerical example

- Consider the 3-Weil polynomial

$$f(T) = T^8 - 6T^7 + 13T^6 - 10T^5 + T^4 - 30T^3 + 117T^2 - 162T + 81.$$

Isogeny class is ordinary, contains principally polarized $(X, \lambda)/\mathbb{F}_3$.

- $K := \mathbb{Q}[T]/f(T)$; $\text{Gal}(K/\mathbb{Q}) \cong \mathbb{Z}/4 \oplus \mathbb{Z}/2$.
- $\tau_T = 2$ [Rüd]
- Since

$$\text{disc}(f(T)) / \text{disc}(K) = 3^{4(4-1)},$$

for all finite ℓ , including $\ell = p$,

$$v_\ell([X, \lambda], \mathbb{F}_3) = \frac{\zeta_{K, \ell}(1)}{\zeta_{K^+, \ell}(1)}.$$

A numerical example

- Evaluate numerically:

$$\begin{aligned}\widetilde{\#}I([X, \lambda], \mathbb{F}_3) &= 3^{3(3+1)/4} \tau_T \nu_\infty([X, \lambda], \mathbb{F}_3) \lim_{s \rightarrow 1} \frac{\zeta_K(s)}{\zeta_{K^+}(s)} \\ &\approx 3^3 \cdot 2 \cdot 0.000111808 \cdot 0.871253\end{aligned}$$

which of course is

$$\approx 0.0500000.$$

- Check LMFDB: (X, λ) is unique in $I([X, \lambda], \mathbb{F}_3)$, and

$$\#\mathrm{Aut}([X, \lambda]) \cong (\mathcal{O}_K^\times)_{\mathrm{tors}} \cong \mathbb{Z}/20.$$

Questions?

Putting the p back in Prym[†]



Credit: BBC Two

[†] Joint work with S. Casalaina-Martin (Boulder)

Inclusions of abelian schemes

- S reduced, connected, locally Noetherian
- $Y \xrightarrow{\iota_Y} X$ an inclusion of abelian schemes over S
- $\lambda : X \rightarrow \hat{X}$ a polarization of X .

Example

$\omega : C \rightarrow C'$ a finite morphism of curves over S .

Then $\omega^* : \text{Pic}_{C'/S}^0 \rightarrow \text{Pic}_{C/S}^0$ has finite kernel, and factors as

$$\begin{array}{ccc} \text{Pic}_{C'/S}^0 & \xrightarrow{\omega^*} & \text{Pic}_{C/S}^0 \\ \downarrow & \nearrow \iota_Y & \\ Y & & \end{array}$$

Complements

- The *exponent* $e = e_{Y \subset X, \lambda}$ is the exponent of $\iota_Y^* \lambda$:

$$\begin{array}{ccc} Y & \xrightarrow{\iota_Y^* \lambda} & \widehat{Y} \\ \downarrow \iota & & \uparrow \widehat{\iota} \\ X & \xrightarrow{\lambda} & \widehat{X} \end{array}$$

- Define a *norm endomorphism* $N_Y : X \rightarrow X$ with image Y .
- Let $Z = \text{im}([e]_X - N_Y)$.

Question

When does λ induce a principal polarization on Y ?

Definition (Prym–Tyurin)

A Prym–Tyurin scheme of exponent e is:

- $(Z, \xi)/S$ a principally polarized abelian scheme;
- C/S a smooth projective curve; and

$$Z \hookrightarrow \text{Pic}_{C/S}^0$$

- such that

$$\iota_Z^* \lambda_C = e \xi.$$

Definition (Prym)

(Z, ξ) is further a Prym scheme if there is a finite separable $\omega : C \rightarrow C'$, and Z is the complement of $\text{im Pic}_{C'/S}^0$ in $\text{Pic}_{C/S}^0$.

Welters' Criterion

Welters' Criterion (proved for varieties over an algebraically closed field of characteristic zero) holds over an arbitrary field:

Theorem

- $(Z, \xi)/K$ PPAV, $\Xi \subset Z$ a divisor, $\phi_{\Xi} = \xi$;
- C/K a smooth projective curve, $\Theta \subset \text{Pic}_{C/K}^0$ a divisor, $\phi_{\Theta} = \theta$.

Suppose there is a morphism $\beta : C \rightarrow Z$ such that:

- $\beta^* : \widehat{Z} \hookrightarrow \text{Pic}_{C/K}^0$ is an inclusion; and
- $\beta_*[C] \equiv \frac{e}{(g_Z-1)!} [\Xi]^{g_Z-1}$.

Then there is a morphism $Z \hookrightarrow \text{Pic}_{C/K}^0$ making (Z, ξ) a Prym–Tyurin variety of exponent e .

If $C(K) \neq \emptyset$, then the converse holds.

Everything is a Prym–Tyurin

Corollary

Let $(Z, \xi)/K$ be a principally polarized abelian variety.

Then (Z, ξ) is a Prym–Tyurin variety of exponent $n^{g-1}(g-1)!$ for infinitely many n .

If $\text{char}(K) = 0$, this holds for all $n \geq 3$.

Classification of Prym varieties

Theorem

- $\omega : C \rightarrow C'/K$ finite separable morphism of degree d
- Z the complement of $\omega^* \text{Pic}_{C'/K}^0$ in $\text{Pic}_{C/K}^0$.

Then Z is a Prym variety of exponent e and dimension f if and only if one of:

- Ⓐ $d = 2$ and ω is étale; then $(e, f) = (2, g' - 1)$;
- Ⓑ $d = 2$, $\deg \text{ram}(\omega) = 2$; then $(e, f) = (2, g')$;
- Ⓒ $d = 3$, ω étale noncyclic, and $g' = 2$; then $(e, f) = (3, 2)$;
- Ⓓ $g = 2$ and $g' = 1$; then $(e, f) = (d, 1)$.

In case (b), either

- $\text{char}(K) \neq 2$, ω is tamely ramified at exactly two points; or
- $\text{char}(K) = 2$, and f is weakly wildly ramified at exactly one point.
 $K[[x]] \hookrightarrow K[[x]][y] / (y^2 - y - x)$

Thanks!