

On the geometric connected components of  
moduli of  $p$ -adic shtukas.  
(Work in progress)

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# Local Shimura datum

- ▶  $\check{\mathbb{Q}}_p = \widehat{\mathbb{Q}_p^{un}}$ .  $\sigma$  lift of Frobenius.  $\mathbb{C}_p = \widehat{\mathbb{Q}_p}$ .
- ▶ Formalism conjectured by Rapoport-Viehmann. Materialized by Scholze-Weinstein.
- ▶  $p$ -adic shtuka datum is a triple:  $(G, b, \mu)$ .
- ▶  $G/\mathbb{Q}_p$  reductive group.
- ▶  $b \in G(\check{\mathbb{Q}}_p)$ .
- ▶  $\mu \in \{\mathbb{G}_m \rightarrow G_{\overline{\mathbb{Q}}_p}\}/G$  conjugacy class of cocharacters.
- ▶ If  $\mu$  is minuscule  $\implies (G, b, \mu)$  is local Shimura datum.
- ▶ In analogy with Shimura datum  $(G, \nu)$ .
- ▶ Just as  $\nu \rightsquigarrow$  Hodge structure.  $(b, \mu) \rightsquigarrow$  “ $p$ -adic Hodge structure”.
- ▶  $b \rightsquigarrow$  “isocrystal”.  $\mu \rightsquigarrow$  “filtration”.

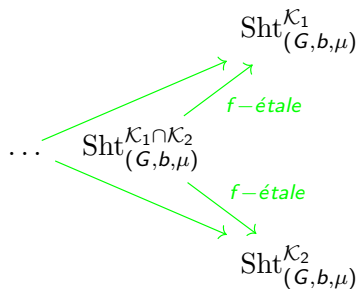
# Some gadgets

We can associate:

1.  $E = E(\mu)$  reflex field (of conj. class).
  - ▶ Consider the Weil group  $W_E$ .
2. Reductive group  $J_b$ .
  - ▶  $\sigma$ -centralizer of  $b$ .
  - ▶  $J_b(\mathbb{Q}_p) = \{g \in G(\check{\mathbb{Q}}_p) \mid g^{-1}b\sigma(g) = b\}$
  - ▶ Inner form of a Levi subgroup.
  - ▶  $J_b(\mathbb{Q}_p)$  is “Automorphism group of isocrystal”.
3. A  $p$ -adic period domain  $Gr_{B_{dR}}^{\leq \mu} / \mathbb{C}_p$ .
  - ▶ Some geometric object of  $p$ -adic analytic geometry. (Scholze's diamonds).
  - ▶ If  $\mu$  is minuscule  $\mathcal{F}l_\mu = G/P_\mu$ . In particular, it is a rigid-analytic space.
  - ▶ Open subset  $\mathcal{F}l_\mu^b \subseteq \mathcal{F}l_\mu$ . Called admissible locus.
  - ▶ Comes equipped with  $J_b(\mathbb{Q}_p) \times W_E$ -action.

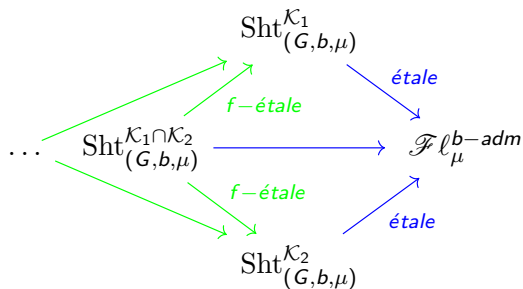
# Local Shimura Varieties/moduli of $p$ -adic shtukas.

- ▶ Tower parametrized by compact open subgroups  $\mathcal{K} \subseteq G(\mathbb{Q}_p)$ :
- ▶  $J_b(\mathbb{Q}_p) \times W_E$ -equivariant tower of analytic spaces:



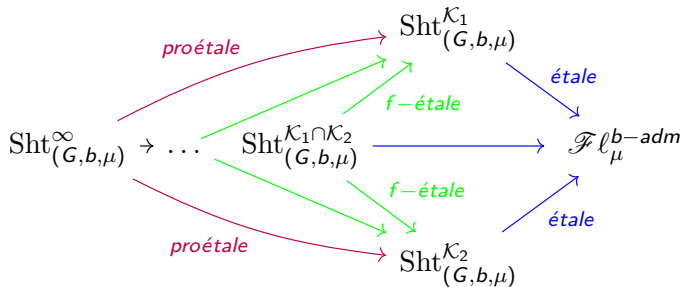
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# Local Shimura Varieties/moduli of $p$ -adic shtukas.

- ▶  $J_b(\mathbb{Q}_p) \times W_E$ -equivariant tower of analytic spaces:



- ▶  $\text{Sht}_{(G,b,\mu)}^\infty \rightarrow \mathcal{F}\ell_\mu^{b-adm}$ . A  $G(\mathbb{Q}_p)$  proétale Galois cover.
- ▶  $G(\mathbb{Q}_p) \times J_b(\mathbb{Q}_p) \times W_E$  acts on  $\text{Sht}_{(G,b,\mu)}^\infty$ . Geometric incarnation of LLC and JLC. (Kottwitz conjecture).

## Example

- ▶ If  $G = GL_n$ .

- ▶ 
$$b = \begin{pmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & \dots & \dots & \dots & 1 \\ p & 0 & 0 & \dots & 0 \end{pmatrix}, \mu = (1, 0, \dots, 0),$$

- ▶ Then  $\mathrm{Sht}_{(GL_n, b, \mu)}$  is the Lubin-Tate tower. Used on Harris-Taylor's proof of LLC.
- ▶  $\mathcal{F}\ell_{\mu}^b = \mathbb{P}^{n-1}$ .
- ▶  $\mathrm{Sht}_{(GL_n, b, \mu)}^{GL_n(\mathbb{Z}_p)} = \mathcal{M}_{\eta}$  it is the Raynaud generic fiber of a formal scheme.
- ▶  $\mathcal{M}$  is deformation space of 1-dimensional formal  $p$ -divisible group of height  $n$ .

# Main Goal

- ▶ Describe connected components.  $\pi_0(\mathrm{Sht}_{(G,b,\mu)}^\infty) \Leftrightarrow \pi_0(\mathrm{Tower})$ .
- ▶ Understand  $G(\mathbb{Q}_p) \times J_b(\mathbb{Q}_p) \times W_E$ -action.
- ▶ Consequences:
  1. Explicit computation of  $H^0(\mathrm{Sht}_{(G,b,\mu)})$ .
  2.  $H^0(\mathrm{Sht}_{(G,b,\mu)})$  acts on rest of cohomology through “cup product”. Allows twists by characters.
  3. Expresses geometrically: LLC and JLC are compatible with character twists.



# Case of tori

If  $G$  is a torus:

- ▶  $\mathcal{F}l_\mu = \{*\}$ .
- ▶  $\text{Sht}_{(G,b,\mu)}^\infty \cong G(\mathbb{Q}_p) \times \{*\}$ . Free  $G(\mathbb{Q}_p)$ -torsor.
- ▶ Let  $x \in \pi_0(\text{Sht}_{(G,b,\mu)}^\infty)$ .
- ▶  $J_b(\mathbb{Q}_p) = G(\mathbb{Q}_p)$  canonically. Take  $j \in J_b(\mathbb{Q}_p)$  and  $\gamma \in W_E$  then:

$$x \cdot_{J_b} j = x \cdot_G j^{-1}$$

$$x \cdot_{W_E} \gamma = x \cdot_{G(\mathbb{Q}_p)} [Nm \circ \mu \circ \text{Art}_E(\gamma)^{-1}]$$

$$W_E \xrightarrow{\text{Art}_E} E^\times \xrightarrow{(-1)} E^\times \xrightarrow{\mu} G(E) \xrightarrow{Nm} G(\mathbb{Q}_p)$$

# Main Result

## Theorem (G.)

Assume  $G$  unramified,  $(b, \mu)$  HN-irreducible and  $G^{\text{der}}$  is simply connected, then  $\det : (G, b, \mu) \rightarrow (G^{\text{ab}}, \det(b), \det(\mu))$  gives

$$\det : \text{Sht}_{(G, b, \mu)}^{\infty} \rightarrow \text{Sht}_{(G^{\text{ab}}, \det(b), \det(\mu))}^{\infty}$$

and induces a  $G(\mathbb{Q}_p) \times J_b(\mathbb{Q}_p) \times W_E$ -equivariant bijection

$$\pi_0(\det) : \pi_0(\text{Sht}_{(G, b, \mu)}) \xrightarrow{\cong} \pi_0(\text{Sht}_{(G^{\text{ab}}, \det(b), \det(\mu))})$$

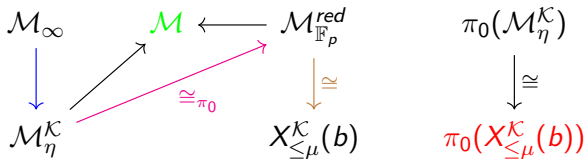
► Canonical map

$$G(\mathbb{Q}_p) \times J_b(\mathbb{Q}_p) \times W_E \rightarrow G^{\text{ab}}(\mathbb{Q}_p) \times G^{\text{ab}}(\mathbb{Q}_p) \times W_E.$$

## Remarks

1. De Jong (1994): Lubin-Tate case over  $\mathbb{Q}_p$ .
2. Strauch(2006): Lubin-Tate case over arbitrary local field (including ramification).
3. M. Chen(2012): Computation for tori. Introduced determinant map.
4. M. Chen(2014): Unramified Rapoport-Zink space of EL or PEL type.
5. New for local Shimura varieties associated to exceptional reductive groups. We handle  $\mu$  non-minuscule. We handle  $G^{der}$  non-simply connected.
6. Central strategy is the same, but techniques and difficulties are very different.

# Road map for de Jong + M. Chen



**Blue** From infinite to hyperspecial level.

- ▶ Group theoretic techniques.
- ▶ M. Chen's work on "generic" crystalline representations.

**Green** Theory of Rapoport-Zink spaces.

**Magenta** Formal smoothness of unramified RZ spaces.

$$\Gamma(\mathcal{M}_\eta^K, \mathcal{O}^+) = \Gamma(\mathcal{M}, \mathcal{O})$$

**Brown** Dieudonné Theory

**Red** Computation of connected components of minuscule affine Deligne Lusztig varieties . (Chen-Kisin-Viehmann).

# Integral models

- ▶ Main difficulty: What are integral models for diamonds? We make a modest attack to this question.
- ▶ Solve analogy:

*Rigid analytic spaces*  $\rightsquigarrow$  *Diamonds*

*Formal schemes*  $\rightsquigarrow$  ?

- ▶ First approximation to “?”: Kimberlites



# Road map for us

$$\begin{array}{ccccc} \text{Sht}_{(G,b,\mu)}^\infty & & \text{Sht}_{(G,b,\mu)}^\mathcal{K} & \longleftarrow & (\text{Sht}_{(G,b,\mu)}^\mathcal{K})^{\text{red}} & & \pi_0(\text{Sht}_{(G,b,\mu)}^\mathcal{K}) \\ \downarrow & \nearrow & & \nearrow & \downarrow \cong & & \downarrow \text{Sp} \\ \text{Sht}_{(G,b,\mu)}^\mathcal{K} & & & \cong \pi_0 & \mathcal{X}_{\leq \mu}^\mathcal{K}(b) & & \pi_0(\mathcal{X}_{\leq \mu}^\mathcal{K}(b)) \end{array}$$

**Blue** Adapt Chen's strategy to diamonds.

**Green** Scholze-Weinstein propose a model.

**Magenta** Specialization maps for kimberlites. Prove SW are kimberlites.

**Brown** Reduction functor for kimberlites.

**Red** Computation of connected components of general ADLV.  
(Chen-Kisin-Viehmann, He-Zhou, Nie).

# Main technical theorem

## Theorem (G.)

Let  $\mathcal{K} \subseteq G(\mathbb{Q}_p)$  a hyperspecial subgroup. There is a specialization map  $\mathrm{Sp} : |\mathrm{Sht}_{(G,b,\mu)}^{\mathcal{K}}| \rightarrow |\mathcal{X}_{\leq \mu}^{\mathcal{K}}(b)|$  that satisfies the following properties:

- ▶ Continuous and spectral (of locally spectral spaces).
- ▶  $J_b(\mathbb{Q}_p)$ -equivariant.
- ▶ A quotient map.
- ▶ Induces a bijection  $\pi_0(\mathrm{Sp}) : \pi_0(\mathrm{Sht}_{(G,b,\mu)}^{\mathcal{K}}) \cong \pi_0(\mathcal{X}_{\leq \mu}^{\mathcal{K}}(b))$ .

# Kimberlites

- ▶  $\{\text{Diamonds}\} \subseteq \{v\text{-sheaves}\} \supseteq \{\text{Kimberlites}\}$ .
- ▶ (Lourenço) For “nice” formal schemes  $\mathfrak{X}/\mathbb{Z}_p \mapsto (X_\eta, \mathfrak{X}^{\text{red}}, \text{Sp})$  is a fully-faithful embedding.
- ▶ Axioms on a  $v$ -sheaf  $\mathcal{F}$  that allow us to construct a triple!!!  
 $\mathcal{F} \mapsto (\mathcal{F}^{\text{an}}, \mathcal{F}^{\text{red}}, \text{Sp})$



# How to construct $\mathcal{F}^{\text{red}}$ and $\mathcal{F}^{\text{an}}$ ?

- ▶ Analogy: 
$$\begin{array}{ccc} & \{\text{Reduced Schemes}\} & \\ & \downarrow \uparrow & \\ \iota & (-)^{\text{red}} & \text{Adjunction!} \\ & \uparrow \downarrow & \\ & \{\text{Formal Schemes}\} & \end{array}$$

- ▶ Scholze constructs fully-faithful functor:

$$\diamond : \{\text{Perfect Schemes}\} \rightarrow \{v\text{-sheaves}\}$$

- ▶ Observation:  $\diamond$  admits a right adjoint  $(-)^{\text{red}}$  (sort of). Not always a scheme!!!.
- ▶ If  $[(\mathcal{F})^{\text{red}}]^{\diamond} \rightarrow \mathcal{F}$  is closed immersion, then  $\mathcal{F}^{\text{an}} := \mathcal{F} \setminus [(\mathcal{F})^{\text{red}}]^{\diamond}$ . Complementary open subsheaf.

## How to construct $Sp$ ?

- ▶ Descend it from a known case: Let  $(R, R^+)$  be a Tate Huber pair,  $\varpi \in R$  is pseudo-uniformizer.
- ▶  $\mathrm{Spa}(R, R^+) \subseteq \mathrm{Spa}(R^+, R^+)$ . Also, one computes  $\mathrm{Spd}(R^+, R^+)^{\mathrm{red}} = \mathrm{Spec}(R^+/\varpi)^{\mathrm{perf}}$ .
- ▶ There is a specialization map  $Sp : |\mathrm{Spa}(R^+, R^+)| \rightarrow |\mathrm{Spec}(R^+/\varpi)|$ . Formula:

$$x \mapsto \mathfrak{p}_x = \{r \in R^+ \mid |r|_x < 1\}$$

$$\begin{array}{ccc} |\mathrm{Spd}(R^+, R^+)| & \xrightarrow{\text{cover}} & |\mathcal{F}| \\ \downarrow \mathrm{sp}_{R^+} & & \downarrow \mathrm{sp}_{\mathcal{F}} \\ |\mathrm{Spd}(R^+, R^+)^{\mathrm{red}}| & \longrightarrow & |\mathcal{F}^{\mathrm{red}}| \end{array}$$

# The axioms:

## Definition

$\mathcal{F}$  is a kimberlite if:

- ▶  $\mathcal{F}$  is  $v$ -locally formal (covered by  $\mathrm{Spd}(R^+, R^+)$  in the sense of  $v$ -sheaves).
- ▶  $\mathcal{F}$  is separated.
- ▶  $(\mathcal{F}^{\mathrm{red}})^{\diamond} \rightarrow \mathcal{F}$  is a closed immersion.
- ▶  $\mathcal{F}^{\mathrm{red}}$  is represented by a perfect scheme.
- ▶  $\mathcal{F}^{\mathrm{an}} := \mathcal{F} \setminus (\mathcal{F}^{\mathrm{red}})^{\diamond}$  is a locally spatial diamond.

## Examples:

- ▶ If  $\mathfrak{X}/\mathbb{Z}_p$  is a separated formal scheme then  $\mathfrak{X}^\diamond$  is a kimberlite.
- ▶ Product of kimberlites are kimberlites.
- ▶ Exotic example  $\mathbb{Z}_p^\diamond \times_{\mathbb{F}_p^\diamond} \mathbb{Z}_p^\diamond$  is a kimberlite.  
$$((\mathbb{Z}_p^\diamond \times_{\mathbb{F}_p^\diamond} \mathbb{Z}_p^\diamond)^{\text{red}})^\diamond = \mathbb{F}_p^\diamond$$
- ▶ Main example: Scholze-Weinstein's integral model  $\text{Sht}_{(G,b,\mu)}^{\mathcal{K}}$  is a kimberlite, we have an open immersion  $(\text{Sht}_{(G,b,\mu)}^{\mathcal{K}}) \subseteq (\text{Sht}_{(G,b,\mu)}^{\mathcal{K}})^{\text{an}}$ , and  $(\text{Sht}_{(G,b,\mu)}^{\mathcal{K}})^{\text{red}} = \mathcal{X}_{\leq \mu}^{\mathcal{K}}(b)$ .

## Theorem

*(G.) Given a kimberlite  $\mathcal{F}$ , there is a canonical, well-defined specialization map  $\mathrm{Sp} : |\mathcal{F}^{\mathrm{an}}| \rightarrow |\mathcal{F}^{\mathrm{red}}|$ . It is continuous and a spectral map of locally spectral spaces.*

## Theorem

(G.) Given a kimberlite  $\mathcal{F}$ , there is a canonical, *well-defined* specialization map  $\mathrm{Sp} : |\mathcal{F}^{\mathrm{an}}| \rightarrow |\mathcal{F}^{\mathrm{red}}|$ . It is continuous and a spectral map of locally spectral spaces.

# Main technical theorem

## Theorem (G.)

There is a specialization map  $\mathrm{Sp} : |\mathrm{Sht}_{(G,b,\mu)}^{\mathcal{K}}| \rightarrow |\mathcal{X}_{\leq \mu}^{\mathcal{K}}(b)|$ , it satisfies the following properties:

- ▶ Continuous and spectral
- ▶  $J_b(\mathbb{Q}_p)$ -equivariant.

Remains:

- ▶ Induces a bijection  $\pi_0(\mathrm{Sp}) : \pi_0(\mathrm{Sht}_{(G,b,\mu)}^{\mathcal{K}}) \cong \pi_0(\mathcal{X}_{\leq \mu}^{\mathcal{K}}(b))$ .

Ingredients:  $\pi_0(\mathrm{Sht}_{(G,b,\mu)}^{\mathcal{K}}) \cong \pi_0(X_{\leq \mu}^{\mathcal{K}}(b))$

- ▶ Tubular neighborhoods: given  $x \in |\mathcal{F}^{\mathrm{red}}|$  a closed point define:

$$\widehat{\mathcal{F}}_{/x} = \mathrm{Sp}^{-1}(x)^{\circ} \subseteq \mathrm{Sp}^{-1}(x)$$

- ▶ Admits moduli interpretation. It is an open subdiamond  
 $\widehat{\mathcal{F}}_{/x} = \mathrm{Sp}^{-1}(x)^{\circ} \subseteq \mathcal{F}^{\mathrm{an}}$
- ▶ Point-set topology arguments in the constructible topology reduces to prove:  $|\widehat{\mathrm{Sht}_{(G,b,\mu)}^{\mathcal{K}}}_{/x}|$  connected for all  $x \in |X_{\leq \mu}^{\mathcal{K}}(b)|$ .



Ingredients:  $\pi_0(\text{Sht}_{(G,b,\mu)}^{\mathcal{K}}) \cong \pi_0(X_{\leq \mu}^{\mathcal{K}}(b))$

- ▶ Classic theme:  $\mathcal{F}l_{\mu}$  and  $\mathcal{M}$  have isomorphic tubular neighborhoods. Prove “Kimberlite version” for  $\text{Sht}_{(G,b,\mu)}^{\mathcal{K}}$  and  $Gr_{B_{dR}}^{\leq \mu}$ .
- ▶ This finishes the proof for minuscule cocharacters since  $Gr_{B_{dR}}^{\leq \mu}$  is represented by a smooth formal scheme.
- ▶ For non-minuscule cocharacters we use a “Kimberlite version” of the Demazure resolution.

This is the end

Thanks!!!