

# Isometries of lattices and Hasse principles

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May 4, 2021

Dick Gross and Curt McMullen (2002)

Characteristic polynomials of isometries of even, unimodular lattices.

## DEFINITIONS

Lattice :  $(L, q)$

- $L$  is a free  $\mathbf{Z}$ -module of finite rank,
- $q : L \times L \rightarrow \mathbf{Z}$  is a symmetric bilinear form.

unimodular :  $\det(q) = \pm 1.$

## DEFINITIONS

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- $q : L \times L \rightarrow \mathbf{Z}$  is a symmetric bilinear form.

unimodular :  $\det(q) = \pm 1$ .

even :  $q(x, x) \equiv 0 \pmod{2}$

for all  $x \in L$ .

# SIGNATURE

Well-known :

$(L, q)$  an even, unimodular lattice

signature

$(r, s)$

$$r \equiv s \pmod{8}$$

## DEFINITIONS

Isometry of  $(L, q)$  : element of  $SO(L, q)$

$$t : L \rightarrow L$$

$$q(t(x), t(y)) = q(x, y).$$

$$\det(t) = 1.$$

# GEOMETRY : K3 SURFACES

$X$  complex analytic  $K3$  surface

$L = H^2(X, \mathbf{Z})$ ,  $q : L \times L \rightarrow \mathbf{Z}$  : intersection form.

$(L, q)$  is an even, unimodular lattice.

signature  $(3, 19)$

$(L, q) \simeq (-E_8) \oplus (-E_8) \oplus H \oplus H \oplus H.$

# GEOMETRY : K3 SURFACES

Automorphism of  $X$  induces an isometry of  $(L, q)$

Characteristic polynomial  $F \in \mathbf{Z}[X]$  symmetric, product of a

Salem polynomial and of cyclotomic polynomials.

Real root  $> 1$  of the Salem polynomial = dynamical degree of the automorphism (McMullen, Gross-McMullen, Brandhorst,...).



# GEOMETRY - KNOTS

$K \subset S^3$  knot,  $A$  Seifert matrix. We have  $\det(A - A^t) = 1$ .

Set

$$q = A + A^t.$$

signature of  $K$  : signature of  $q$

The signature is a knot invariant.

# GEOMETRY - KNOTS

Alexander polynomial of  $K$  :

$$\Delta(X) = \det(AX - A^t).$$

$\Delta$  is symmetric,  $\Delta(1) = 1$ .

The Alexander polynomial is a knot invariant.

## A THEOREM OF SEIFERT

$A$  square integral matrix with  $\det(A - A^t) = 1$

Then there exists a knot with Seifert matrix  $A$ .

## GEOMETRY - KNOTS

Assume that  $\det(A) = 1$ . Set  $t = A^{-1}A^t$ .

$t$  is an **isometry** of  $q$ .

$$\det(A) \cdot \det(IX - t) = \det(AX - A^t) = \Delta(X)$$

$\Delta$  is the **characteristic polynomial** of  $t$ .

Assume that

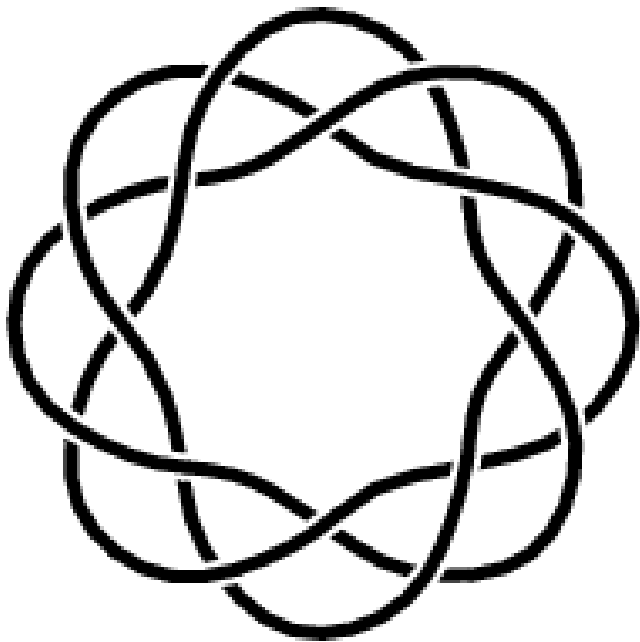
$$q \text{ is } \mathbf{unimodular} : \Delta(-1) = \pm 1$$

## EXAMPLE

$$\Delta_{u,v} = \frac{(X^{uv} - 1)(X - 1)}{(X^u - 1)(X^v - 1)},$$

$u, v > 1$  odd integers with  $u$  and  $v$  prime to each other.

Alexander polynomial of the  $(u, v)$  torus knot.



## GROSS and McMULLEN

Let  $F \in \mathbf{Z}[X]$ , monic, symmetric, irreducible,  $\deg(F) = 2n$ .

$(r, s)$  integers  $\geq 0$  such that

$$r \equiv s \pmod{8},$$

$$r + s = 2n.$$

## GROSS and McMULLEN

If there exists an **even, unimodular** lattice of signature  $(r, s)$  having an isometry with **characteristic polynomial  $F$** , then :

(C 1)  $|F(1)|$ ,  $|F(-1)|$  and  $(-1)^n F(1)F(-1)$  are **all squares**.

Set

$m(F)$  = number of **roots**  $z$  of  $F$  with  $|z| > 1$ .

(C 2)

$m(F) \leq r$ ,  $m(F) \leq s$ ,  $m(F) \equiv r \equiv s \pmod{2}$ .



# GROSS and McMULLEN

$F \in \mathbf{Z}[X]$  monic, symmetric, IRREDUCIBLE,  $\deg(F) = 2n$ , and  $(r, s)$  such that

$$r \equiv s \pmod{8},$$

$$r + s = 2n.$$

**Theorem.** (Gross-McMullen, 2002) Assume that

$$|F(1)| = |F(-1)| = 1.$$

Then there exists an even, unimodular lattice of signature  $(r, s)$  having an isometry with characteristic polynomial  $F \iff$  conditions (C 1) and (C 2) hold.

## GROSS and McMULLEN

$F \in \mathbf{Z}[X]$  monic, symmetric, IRREDUCIBLE,  $\deg(F) = 2n$ , and  $(r, s)$  such that

$$r \equiv s \pmod{8},$$

$$r + s = 2n.$$

Gross and McMullen (2002) speculate that

conditions (C 1) and (C 2)

may be sufficient for the existence of an even, unimodular lattice of signature  $(r, s)$  having an isometry with characteristic polynomial  $F$ .

## GROSS and McMULLEN

$$F(X) = (X^6 - 3X^5 - X^4 + 5X^3 - X^2 - 3X + 1)(X^4 - X^2 + 1).$$

Gross-McMullen (2002)

Conditions (C 1) and (C 2) hold for  $(r, s) = (9, 1)$ , but

$$E_8 \oplus H$$

does **not** have an isometry with characteristic polynomial  $F$ .

## E.B. and TAEELMAN

$F \in \mathbf{Z}[X]$  monic, symmetric, **IRREDUCIBLE**,  $\deg(F) = 2n$ , and  $(r, s)$  such that

$$r \equiv s \pmod{8},$$

$$r + s = 2n.$$

**Theorem.** (E.B.-Taelman, 2020) There exists an **even, unimodular lattice** of signature  $(r, s)$  having an isometry with characteristic polynomial  $F \iff$  **conditions (C 1) and (C 2) hold.**

## K3 SURFACES

**Corollary.** Let  $F$  be a Salem polynomial of degree 22, and assume that  $|F(1)|$ ,  $|F(-1)|$  and  $(-1)^n F(1)F(-1)$  are all squares.

Then there exists a complex analytic K3 surface  $X$  and an automorphism  $T$  of  $X$  such that the characteristic polynomial of  $T^*$  on  $H^2(X, \mathbf{Z})$  is  $F$ .

## KNOTS

**Corollary.** Let  $\Delta \in \mathbf{Z}[X]$  be a monic, symmetric, irreducible polynomial such that  $\Delta(1) = 1$ . Set  $\deg(\Delta) = 2n$ , and assume that  $\Delta(-1) = (-1)^n$ .

Let  $(r, s)$  such that  $r \equiv s \pmod{8}$ ,  $r + s = \deg(\Delta) = 2n$ .

There exists a knot with Alexander polynomial equal to  $\Delta$  and signature  $(r, s)$  if and only if condition (C 2) holds.

Follows from Gross-McMullen, since  $|\Delta(1)| = |\Delta(-1)| = 1$ .

## E.B. - TAE LMAN : PROOF

**Theorem.** Let  $F \in \mathbf{Z}[X]$  be a monic, symmetric, irreducible polynomial and  $(r, s)$  such that  $r \equiv s \pmod{8}$ ,  $r + s = \deg(F)$ .

There exists an even, unimodular lattice of signature  $(r, s)$  having an isometry with characteristic polynomial  $F \iff$  the conditions (C 1) and (C 2) hold.

Strategy to prove this result :

- Prove it everywhere locally.
- Local-global principle.

## LOCAL RESULTS

$F \in \mathbf{Z}[X]$  monic, symmetric,  $\deg(F) = 2n$ , irreducible.

$(r, s)$  such that  $r \equiv s \pmod{8}$ , and  $r + s = 2n$ .

Assume that conditions (C 1) and (C 2) hold.

- $p$  odd prime : There exists a unimodular  $\mathbf{Z}_p$ -lattice having an isometry with characteristic polynomial  $F$ .
- $p = 2$  : There exists an even, unimodular  $\mathbf{Z}_2$ -lattice having an isometry with characteristic polynomial  $F$ .
- There exists a non-degenerate quadratic form over  $\mathbf{R}$  of signature  $(r, s)$  having an isometry with characteristic polynomial  $F$ .



## REDUCIBLE POLYNOMIALS ?

$$F = \prod_{f \in I} f$$

$f \in \mathbf{Z}[X]$  distinct, irreducible, symmetric, of even degree.

- Local results hold.

Conditions (C 1) and (C 2) are local conditions.

- Local-global principle does not always hold.

## LOCAL RESULTS

$F \in \mathbf{Z}[X]$  monic, symmetric,  $\deg(F) = 2n$ , as above.

$(r, s)$  such that  $r \equiv s \pmod{8}$ , and  $r + s = 2n$ .

Assume that conditions (C 1) and (C 2) hold.

- $p$  odd prime : There exists a unimodular  $\mathbf{Z}_p$ -lattice having an isometry with characteristic polynomial  $F$ .
- $p = 2$  : There exists an even, unimodular  $\mathbf{Z}_2$ -lattice having an isometry with characteristic polynomial  $F$ .
- There exists a non-degenerate quadratic form over  $\mathbf{R}$  of signature  $(r, s)$  having an isometry with characteristic polynomial  $F$ .

## LOCAL-GLOBAL

**Example.**

$$F(X) = (X^6 - 3X^5 - X^4 + 5X^3 - X^2 - 3X + 1)(X^4 - X^2 + 1).$$

$$F(1) = -1, F(-1) = 1.$$

Condition (C 1) holds.

$$m(F) = 1.$$

$$(r, s) = (9, 1) \text{ or } (5, 5) \text{ or } (1, 9).$$

Condition (C 2) holds for all three.

## LOCAL-GLOBAL

$$F(X) = (X^6 - 3X^5 - X^4 + 5X^3 - X^2 - 3X + 1)(X^4 - X^2 + 1).$$

$$(r, s) = (9, 1) \text{ or } (5, 5) \text{ or } (1, 9).$$

local conditions hold.

no even, unimodular lattice of signature  $(9, 1)$  or  $(1, 9)$  has an isometry with characteristic polynomial  $F$ .

Hasse principle does not hold for  $(9, 1)$  and  $(1, 9)$ .

## REDUCIBLE POLYNOMIALS

$$F = \prod_{f \in J} f^{n_f} = F_0 F_1 F_2$$

$$F_1 = \prod_{f \in I_1} f^{n_f}, \quad F_0 = \prod_{f \in I_0} f^{n_f}$$

$I_1$  : set of irreducible, symmetric factors of  $F$  of even degree.

$$I_0 = \{X - 1, X + 1\}, \quad I = I_0 \cup I_1.$$

$$F_0(X) = (X - 1)^{n_+} (X + 1)^{n_-} \text{ with } n_-, n_+ \text{ even.}$$

isometry = semi-simple isometry.

## (C 1) and (C 2)

(C 1)  $|F(1)|$ ,  $|F(-1)|$  and  $(-1)^n F(1)F(-1)$  are all squares.

$m(F)$  = number of roots  $z$  of  $F$  with  $|z| > 1$ .

(C 2)

$$m(F) \leq r, m(F) \leq s, .$$

If moreover  $F(1)F(-1) \neq 0$ , then

$$m(F) \equiv r \equiv s \pmod{2}.$$

## LOCAL RESULTS

$F \in \mathbf{Z}[X]$  monic, symmetric  $\deg(F) = 2n$ .

$(r, s)$  such that  $r \equiv s \pmod{8}$ , and  $r + s = 2n$ .

Assume that conditions (C 1) and (C 2) hold.

- $p$  odd prime : There exists a unimodular  $\mathbf{Z}_p$ -lattice having an isometry with characteristic polynomial  $F$ .
- $p = 2$  : There exists an even, unimodular  $\mathbf{Z}_2$ -lattice having an isometry with characteristic polynomial  $F$ .
- There exists a non-degenerate quadratic form over  $\mathbf{R}$  of signature  $(r, s)$  having an isometry with characteristic polynomial  $F$ .

# HASSE PRINCIPLE

Hasse principle in terms of an

obstruction group

$$\text{III}_F$$

$\text{III}_F = 0 \implies$  conditions (C 1) and (C 2) suffice.



# OBSTRUCTION GROUP

$F \in \mathbf{Z}[X]$  symmetric, monic,  $C(I) : \text{maps } I \rightarrow \mathbf{Z}/2\mathbf{Z}$ .

Let  $f, g \in I$ . Let  $V_{f,g}$  be the set of prime numbers  $p$  such that

$$f \pmod{p} \text{ and } g \pmod{p}$$

have a **common symmetric** irreducible factor in  $\mathbf{F}_p[X]$ .

$C_0(I) : c \in C(I)$  such that

$$c(f) = c(g) \quad \text{if} \quad V_{f,g} \neq \emptyset.$$

$\text{III}_F : \text{quotient of } C_0(I) \text{ by the constant maps.}$

## EXAMPLES

**Example.**

$$F(X) = (X^6 - 3X^5 - X^4 + 5X^3 - X^2 - 3X + 1)(X^4 - X^2 + 1).$$

$$f(X) = X^6 - 3X^5 - X^4 + 5X^3 - X^2 - 3X + 1,$$

$$g(X) = X^4 - X^2 + 1.$$

$$V_{f,g} = \emptyset.$$

$$\text{III}_F \simeq \mathbf{Z}/2\mathbf{Z}.$$

## EXAMPLES

$$f_1(X) = X^{12} - X^{11} + X^{10} - X^9 - X^6 - X^3 + X^2 - X + 1,$$

$$f_2(X) = X^6 - X^5 + X^4 - X^3 + X^2 - X + 1 = \Phi_{14}(X)$$

$$f_3(X) = X^4 - X^2 + 1 = \Phi_{12}(X).$$

$$F = f_1 f_2 f_3.$$

$$V_{f_1, f_2} = \{7\}, V_{f_1, f_3} = \{13\}, V_{f_2, f_3} = \emptyset.$$

$$\text{III}_F = 0.$$

## EASY EXAMPLE

$f \in \mathbf{Z}[X]$  symmetric, irreducible,

$$g(X) = X - 1.$$

$V_{f,g}$  : set of prime divisors of  $f(1)$ .

If

$$|f(1)| \neq 1,$$

then

$$V_{f,g} \neq \emptyset.$$

## ANOTHER EASY EXAMPLE

$f \in \mathbf{Z}[X]$  symmetric, irreducible,

$$g(X) = X + 1.$$

$V_{f,g}$  : set of prime divisors of  $f(-1)$ .

If

$$|f(-1)| \neq 1,$$

then

$$V_{f,g} \neq \emptyset.$$

## THEOREM

$F \in \mathbf{Z}[X]$  monic, symmetric  $\deg(F) = 2n$ .

$(r, s)$  such that  $r \equiv s \pmod{8}$ , and  $r + s = 2n$ .

**Theorem.** Assume that conditions (C 1) and (C 2) hold, and that

$$\text{III}_F = 0.$$

Then there exists an even, unimodular lattice of signature  $(r, s)$  having an isometry with characteristic polynomial  $F$ .

## QUESTION

$F \in \mathbf{Z}[X]$  monic, symmetric  $\deg(F) = 2n$ .

$(r, s)$  such that  $r \equiv s \pmod{8}$ , and  $r + s = 2n$ .

**Question.** Let  $t \in \mathrm{SO}_{r,s}(\mathbf{R})$  be a semi-simple isometry with characteristic polynomial  $F$ .

Does  $t$  preserve an even, unimodular lattice ?

## THEOREM

$F \in \mathbf{Z}[X]$  monic, symmetric  $\deg(F) = 2n$ .

$(r, s)$  such that  $r \equiv s \pmod{8}$ , and  $r + s = 2n$ .

**Theorem.** Assume that conditions (C 1) and (C 2) hold, and that

$$\text{III}_F = 0.$$

Let  $t \in \text{SO}_{r,s}(\mathbf{R})$  be a semi-simple isometry with characteristic polynomial  $F$ . Then  $t$  preserves an even, unimodular lattice.



## MILNOR INDICES

Let  $V$  be a finite dimensional  $\mathbf{R}$ -vector space, and let

$$q : V \times V \rightarrow \mathbf{R}$$

be a quadratic form of signature  $(r, s)$ .

$$\text{index of } q = r - s$$

Let  $t : V \rightarrow V$  be an isometry of  $(V, q)$ .

$P \in \mathbf{R}[X]$  : irreducible, symmetric polynomial.

$V_P$  :  $P(t)$ -primary subspace of  $V$ .

( $x \in V$  such that  $P(t)^N x = 0$  for large  $N$ .)

# MILNOR INDICES

$P \in \mathbf{R}[X]$  : irreducible, **symmetric** polynomial.

$V_P$  :  $P(t)$ -primary subspace of  $V$ .

**Milnor index** of  $t \in \mathrm{SO}(q)$  at  $P$  :

Index of  $q$  restricted to  $V_P$ .

**Index** of  $q$  = sum of **Milnor indices**.

## MILNOR INDICES

$\text{Irr}_{\mathbf{R}}(F)$  : irreducible, symmetric factors of  $F \in \mathbf{R}[X]$ .

$n_P > 0$  : integer such that  $P^{n_P}$  is the power of  $P$  dividing  $F$ .

$\text{Mil}(F)$  : maps

$$\tau : \text{Irr}_{\mathbf{R}}(F) \rightarrow \mathbf{Z}$$

Such that

$$\tau(P) \in \{-n_P \deg(P), \dots, n_P \deg(P)\}.$$

# MILNOR INDICES

$\text{Mil}_m(F) :$

$$\tau \in \text{Mil}(F)$$

such that

$$\sum_{\mathcal{P}} \tau(\mathcal{P}) = m.$$

## THEOREM

$F \in \mathbf{Z}[X]$  monic, symmetric  $\deg(F) = 2n$ .

$(r, s)$  such that  $r \equiv s \pmod{8}$ , and  $r + s = 2n$ .

**Theorem.** Assume that conditions (C 1) and (C 2) hold, and that

$$\text{III}_F = 0.$$

Let  $\tau \in \text{Mil}_{r-s}(F)$ . Then there exists an even, unimodular lattice having an isometry with

- characteristic polynomial  $F$ , and
- Milnor index  $\tau$ .

## THEOREM

$F \in \mathbf{Z}[X]$  monic, symmetric  $\deg(F) = 2n$ .

$(r, s)$  such that  $r \equiv s \pmod{8}$ , and  $r + s = 2n$ .

**Theorem.** Assume that conditions (C 1) and (C 2) hold, and that

$$\text{III}_F = 0.$$

Let  $\tau \in \text{Mil}_{r-s}(F)$ . Then there exists an even, unimodular lattice having an isometry with

- characteristic polynomial  $F$ , and
- Milnor index  $\tau$ .

Hence signature  $(r, s)$ .

## BIJECTION

$$t \in \mathrm{SO}_{r,s}(\mathbf{R}) \mapsto \tau_t \in \mathrm{Mil}_{r-s}(F).$$

**Bijection** between

- Conjugacy classes of elements of  $\mathrm{SO}_{r,s}(\mathbf{R})$  with characteristic polynomial  $F$ .

and

- $\mathrm{Mil}_{r-s}(F)$ .

## THEOREM

$F \in \mathbf{Z}[X]$  monic, symmetric  $\deg(F) = 2n$ , and  $(r, s)$  such that  $r \equiv s \pmod{8}$ , and  $r + s = 2n$ . Assume that conditions (C 1) and (C 2) hold.

Let  $\tau \in \text{Mil}_m(F)$ .

Define a homomorphism

$$\epsilon_\tau : \text{III}_F \rightarrow \mathbf{Z}/2\mathbf{Z}.$$

**Theorem.** There exists an even, unimodular lattice of signature  $(r, s)$  having an isometry with

- characteristic polynomial  $F$ , and
- Milnor index  $\tau$

if and only if

$$\epsilon_\tau = 0.$$



## THEOREM

$F \in \mathbf{Z}[X]$  monic, symmetric  $\deg(F) = 2n$ .

$(r, s)$  such that  $r \equiv s \pmod{8}$ , and  $r + s = 2n$ .

Assume that conditions (C 1) and (C 2) hold.

Let  $t \in \mathrm{SO}_{r,s}(\mathbf{R})$  be a semi-simple isometry with characteristic polynomial  $F$ . Define a homomorphism

$$\epsilon_t : \mathbb{III}_F \rightarrow \mathbf{Z}/2\mathbf{Z}.$$

**Theorem.** The isometry  $t$  preserves an even, unimodular lattice if and only if

$$\epsilon_t = 0.$$

## K3 SURFACES

Let  $d$  be an integer,  $4 \leq d \leq 20$ . Let  $S$  be a Salem polynomial of degree  $d$ , and assume that  $|S(1)| \neq 1$  and that  $|F(-1)|$  is a square.

Let  $\delta \in S^1$  be a root of  $S$ .

Let

$$F(X) = S(X)(X - 1)^{22-d}.$$

**Theorem.** There exists a complex analytic K3 surface  $X$  and an automorphism  $T$  of  $X$  such that

- The characteristic polynomial of  $T^*$  on  $H^2(X, \mathbf{Z})$  is  $F$ .
- $T^*$  acts on  $H^{2,0}(X)$  by multiplication by  $\delta$ .

## K3 SURFACES

The proof uses results of Brandhorst and McMullen.

Let  $\lambda \in \mathbf{R}$  be the root of  $S$  with  $\lambda > 1$ .

**Corollary.**  $\lambda$  is realized as the dynamical degree of an automorphism of a complex holomorphic K3 surface.

Let  $d$  be an integer,  $4 \leq d \leq 18$ . Let  $S$  be a Salem polynomial of degree  $d$ , and assume that  $|S(1)S(-1)| \neq 1$ .

**Theorem.**  $\lambda^2$  is realized as the dynamical degree of an automorphism of a complex holomorphic K3 surface.

# KNOTS

Let  $\Delta \in \mathbf{Z}[X]$  be a monic, symmetric polynomial such that  $\Delta(1) = 1$ . Suppose that  $\Delta$  is a product of **distinct** irreducible polynomials.

Set  $\deg(\Delta) = 2n$ , and assume that  $\Delta(-1) = (-1)^n$ .

Let  $(r, s)$  such that  $r \equiv s \pmod{8}$ ,  $r + s = \deg(\Delta) = 2n$ .

Assume that condition (C 2) holds.

# KNOTS

Let  $\tau \in \text{Mil}_{r-s}(\Delta)$ . We have a homomorphism

$$\epsilon_\tau : \text{III}_\Delta \rightarrow \mathbf{Z}/2\mathbf{Z}$$

**Theorem.** There exists a knot with Alexander polynomial  $\Delta$  and Milnor index  $\tau$  if and only if

$$\epsilon_\tau = 0.$$

## EXAMPLE

$$\Delta_{u,v} = \frac{(X^{uv} - 1)(X - 1)}{(X^u - 1)(X^v - 1)},$$

$u, v > 1$  odd integers with  $u$  and  $v$  prime to each other.

Let  $p$  and  $q$  be two distinct odd prime numbers with  $q \equiv 3 \pmod{4}$ , let  $e \geq 1$  be an integer, and set

$$u = p^e, v = q;$$

set

$$\Delta = \Delta_{p^e, q}.$$

## EXAMPLE

- $\left(\frac{p}{q}\right) = -1$

There exists a knot with Alexander polynomial  $\Delta$  and index  $m$

if and only if

$$m \equiv 0 \pmod{8}, \text{ and } |m| \leq \deg(\Delta).$$

- $\left(\frac{p}{q}\right) = 1$

There exists a knot with Alexander polynomial  $\Delta$  and index  $m$

if and only if

$$m \equiv 0 \pmod{8}, \text{ and } |m| \leq \deg(\Delta) - 4(e-1).$$

## EXAMPLE

$$p = 3, q = 7, e = 2.$$

$$\Delta = \Delta_{3^2, 7} = \Delta_{9, 7} =$$

$$\Phi_{21} \Phi_{63}.$$

$$\left(\frac{3}{7}\right) = -1$$

$$\text{III}_{\Delta} = 0.$$



## EXAMPLE - INDICES

Necessary conditions

$$m \equiv 0 \pmod{8}, \text{ and } |m| \leq \deg(\Delta).$$

$$\deg(\Delta) = 12 + 36 = 48.$$

$$m \equiv 0 \pmod{8}, \text{ and } |m| \leq 48.$$

$$|m| = 0, 8, 16, 24, 32, 40, 48.$$

## EXAMPLE - MILNOR INDICES

Let  $\tau \in \text{Mil}_m(\Delta)$  for

$$|m| = 0, 8, 16, 24, 32, 40, 48.$$

There exists a knot with

- Alexander polynomial  $\Delta$ , and
- Milnor index  $\tau$ .

## EXAMPLE - MILNOR INDICES

$$|\text{Mil}_{48}(\Delta)| = 1, \quad |\text{Mil}_{40}(\Delta)| = \binom{24}{2}, \quad |\text{Mil}_{32}(\Delta)| = \binom{24}{4},$$

$$|\text{Mil}_{24}(\Delta)| = \binom{24}{6}, \quad |\text{Mil}_{16}(\Delta)| = \binom{24}{8},$$

$$|\text{Mil}_8(\Delta)| = \binom{24}{10}, \quad |\text{Mil}_0(\Delta)| = \binom{24}{12}.$$

## EXAMPLE

$$p = 7, q = 3, e = 2.$$

$$\Delta = \Delta_{3,7^2} = \Delta_{3,49} =$$

$$\Phi_{21} \Phi_{147}.$$

$$\left(\frac{7}{3}\right) = 1$$

$$\text{III}_{\Delta} \simeq \mathbf{Z}/2\mathbf{Z}.$$

## EXAMPLE - INDICES

Necessary conditions

$$m \equiv 0 \pmod{8}, \text{ and } |m| \leq \deg(\Delta).$$

$$\deg(\Delta) = 12 + 84 = 96.$$

$$m \equiv 0 \pmod{8}, \text{ and } |m| \leq 96.$$

## EXAMPLE

$$\tau \in \text{Mil}_m(\Delta)$$

$$\epsilon_\tau : \text{III}_\Delta \rightarrow \mathbf{Z}/2\mathbf{Z}$$

there exists a knot with

- Alexander polynomial  $\Delta$ , and
- Milnor index  $\tau$

if and only if  $\epsilon_\tau = 0$ .

## EXAMPLE

$$\tau \in \text{Mil}_m(\Delta)$$

$$\epsilon_\tau : \text{III}_\Delta \rightarrow \mathbf{Z}/2\mathbf{Z}$$

there exists a knot with

- Alexander polynomial  $\Delta$ , and
- Milnor index  $\tau$

if and only if  $\epsilon_\tau = 0$ .

Set

$$\text{Mil}_m(\Delta)^+ : \tau \in \text{Mil}_m(\Delta) \text{ such that } \epsilon_\tau = 0.$$

## EXAMPLE

$$\text{Mil}_{96}(\Delta)^+ = \emptyset.$$

$$|\text{Mil}_{88}(\Delta)| = \binom{48}{2} = 24.47 = 12.94$$

$$|\text{Mil}_{88}(\Delta)^+| = 6.42 = 12.21$$



## EXAMPLE

NO KNOT with

- Alexander polynomial  $\Delta$
- signature 96.

There exist knots with

- Alexander polynomial  $\Delta$
- signature 88,

but not all Milnor indices occur.

Thank you