

Sato-Tate distributions

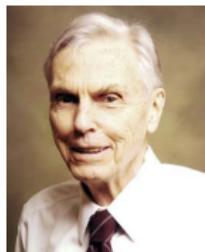
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Joint work with F. Fité, K.S. Kedlaya, and V. Rotger (part 1), and D. Harvey (part 2).

Sato-Tate in dimension 1

Let E/\mathbb{Q} be an elliptic curve, which we can write in the form

$$y^2 = x^3 + ax + b,$$

and let p be a prime of good reduction ($4a^3 + 27b^2 \not\equiv 0 \pmod{p}$).

The number of \mathbb{F}_p -points on the reduction E_p of E modulo p is

$$\#E_p(\mathbb{F}_p) = p + 1 - t_p,$$

where the trace of Frobenius $t_p \in \mathbb{Z}$ lies in the interval $[-2\sqrt{p}, 2\sqrt{p}]$.

We are interested in the limiting distribution of $x_p = -t_p/\sqrt{p} \in [-2, 2]$, as p varies over primes of good reduction up to N , as $N \rightarrow \infty$.

Example: $y^2 = x^3 + x + 1$

p	t_p	x_p	p	t_p	x_p	p	t_p	x_p
3	0	0.000000	71	13	-1.542816	157	-13	1.037513
5	-3	1.341641	73	2	-0.234082	163	-25	1.958151
7	3	-1.133893	79	-6	0.675053	167	24	-1.857176
11	-2	0.603023	83	-6	0.658586	173	2	-0.152057
13	-4	1.109400	89	-10	1.059998	179	0	0.000000
17	0	0.000000	97	1	-0.101535	181	-8	0.594635
19	-1	0.229416	101	-3	0.298511	191	-25	1.808937
23	-4	0.834058	103	17	-1.675060	193	-7	0.503871
29	-6	1.114172	107	3	-0.290021	197	-24	1.709929
37	-10	1.643990	109	-13	1.245174	199	-18	1.275986
41	7	-1.093216	113	-11	1.034793	211	-11	0.757271
43	10	-1.524986	127	2	-0.177471	223	-20	1.339299
47	-12	1.750380	131	4	-0.349482	227	0	0.000000
53	-4	0.549442	137	12	-1.025229	229	-2	0.132164
59	-3	0.390567	139	14	-1.187465	233	-3	0.196537
61	12	-1.536443	149	14	-1.146925	239	-22	1.423062
67	12	-1.466033	151	-2	0.162758	241	22	-1.417145

click histogram to animate (requires adobe reader)

Sato-Tate distributions in dimension 1

1. Typical case (no CM)

Elliptic curves E/\mathbb{Q} without CM have the semicircular trace distribution. (This is also known for E/k , where k is a totally real number field).

[Barnet-Lamb, Clozel, Geraghty, Harris, Shepherd-Barron, Taylor]

2. Exceptional cases (CM)

Elliptic curves E/k with CM have one of two distinct trace distributions, depending on whether k contains the CM field or not.

[classical (Hecke, Deuring)]

Sato-Tate groups in dimension 1

The *Sato-Tate group* of E is a closed subgroup G of $SU(2) = USp(2)$ derived from the ℓ -adic Galois representation attached to E .

The refined Sato-Tate conjecture implies that the distribution of normalized traces of E_p converges to the distribution of traces in the Sato-Tate group of G , under the Haar measure.

G	G/G^0	E	k	$E[a_1^0], E[a_1^2], E[a_1^4] \dots$
$U(1)$	C_1	$y^2 = x^3 + 1$	$\mathbb{Q}(\sqrt{-3})$	$1, 2, 6, 20, 70, 252, \dots$
$N(U(1))$	C_2	$y^2 = x^3 + 1$	\mathbb{Q}	$1, 1, 3, 10, 35, 126, \dots$
$SU(2)$	C_1	$y^2 = x^3 + x + 1$	\mathbb{Q}	$1, 1, 2, 5, 14, 42, \dots$

In dimension 1 there are three possible Sato-Tate groups, two of which arise for elliptic curves defined over \mathbb{Q} .

Zeta functions and L -polynomials

Let C/\mathbb{Q} be a nice curve of genus g and p a prime of good reduction. Define the *zeta function*

$$Z_p(T) := \exp \left(\sum_{r=1}^{\infty} N_r T^r / r \right),$$

where $N_r = \#C_p(\mathbb{F}_{p^r})$. This is a rational function of the form

$$Z_p(T) = \frac{L_p(T)}{(1-T)(1-pT)},$$

where $L_p(T)$ is an integer polynomial of degree $2g$.

For $g = 1$ we have $L_p(t) = pT^2 + c_1T + 1$, and for $g = 2$,

$$L_p(T) = p^2T^4 + c_1pT^3 + c_2T^2 + c_1T + 1.$$

Normalized L -polynomials

The normalized L -polynomial

$$\bar{L}_p(T) := L_p(T/\sqrt{p}) = \sum_{i=0}^{2g} a_i T^i \in \mathbb{R}[T]$$

is monic, reciprocal ($a_i = a_{2g-i}$), and unitary (roots on the unit circle). The coefficients a_i satisfy the Weil bounds $|a_i| \leq \binom{2g}{i}$.

We now consider the limiting distribution of a_1, a_2, \dots, a_g over all primes $p \leq N$ of good reduction, as $N \rightarrow \infty$.

<http://math.mit.edu/~drew/g2SatoTateDistributions.html>

click histogram to animate (requires adobe reader)

L -polynomials of Abelian varieties

Let A be an abelian variety of dimension $g \geq 1$ over a number field k , and let us fix a prime ℓ .

Let $\rho_\ell: G_k \rightarrow \text{Aut}_{\mathbb{Q}_\ell}(V_\ell(A)) \simeq \text{GSp}_{2g}(\mathbb{Q}_\ell)$ be the Galois representation arising from the action of $G_k := \text{Gal}(\bar{k}/k)$ on the ℓ -adic Tate module

$$V_\ell(A) := \varprojlim A[\ell^n] \otimes \mathbb{Q}.$$

For each prime \mathfrak{p} of good reduction for A we have the L -polynomial

$$\begin{aligned} L_{\mathfrak{p}}(T) &:= \det(1 - \rho_\ell(\text{Frob}_{\mathfrak{p}})T), \\ \bar{L}_{\mathfrak{p}}(T) &:= L_{\mathfrak{p}}(T/\sqrt{\|\mathfrak{p}\|}) = \sum a_i T^i. \end{aligned}$$

When A is the Jacobian of a genus g curve C , this agrees with our earlier definition of $L_{\mathfrak{p}}(T)$ as the numerator of the zeta function $Z_{\mathfrak{p}}(T)$.

The Sato-Tate problem for an abelian variety

The $\bar{L}_p \in \mathbb{R}[T]$ are monic, symmetric, unitary polynomials of degree $2g$.

Every such polynomial arises as the characteristic polynomial of a conjugacy class in the unitary symplectic group $\mathrm{USp}(2g)$.

Each probability measure on $\mathrm{USp}(2g)$ determines a distribution of conjugacy classes (hence a distribution of characteristic polynomials).

The *Sato-Tate problem*, in its simplest form, is to find a measure for which these classes are equidistributed.

Conjecturally, such a measure arises as the Haar measure of a compact subgroup ST_A of $\mathrm{USp}(2g)$.

The Sato-Tate group

Recall that the action of G_k on $V_\ell(A)$ induces the representation

$$\rho_\ell: G_k \rightarrow \mathrm{Aut}_{\mathbb{Q}_\ell}(V_\ell(A)) \simeq \mathrm{GSp}_{2g}(\mathbb{Q}_\ell).$$

Let $G_\ell^{1,\mathrm{zar}}$ denote the kernel of the similitude character of $\mathrm{GSp}_{2g}(\mathbb{Q}_\ell)$ on the Zariski closure of $\rho_\ell(G_k)$. Now fix $\iota: \mathbb{Q}_\ell \hookrightarrow \mathbb{C}$, and define ST_A to be a maximal compact subgroup of the image $G_\ell^{1,\mathrm{zar}}$ under

$$\mathrm{Sp}_{2g}(\mathbb{Q}_\ell) \xrightarrow{\otimes_{\iota} \mathbb{C}} \mathrm{Sp}_{2g}(\mathbb{C}).$$

Conjecturally, ST_A does not depend on ℓ or ι ; this is known for $g \leq 3$.

Definition [Serre]

$\mathrm{ST}_A \subseteq \mathrm{USp}(2g)$ is the *Sato-Tate group* of A .

The refined Sato-Tate conjecture

Let $s(\mathfrak{p})$ denote the conjugacy class of the image of $\text{Frob}_{\mathfrak{p}}$ in ST_A .

Let μ_{ST_A} denote the image of the Haar measure on $\text{Conj}(\text{ST}_A)$, which does not depend on the choice of ℓ or ι .

Conjecture

The conjugacy classes $s(\mathfrak{p})$ are equidistributed with respect to μ_{ST_A} .

In particular, the distribution of $\bar{L}_{\mathfrak{p}}(T)$ matches the distribution of characteristic polynomials of random matrices in ST_A .

We can test this numerically by comparing statistics of the coefficients a_1, \dots, a_g of $\bar{L}_{\mathfrak{p}}(T)$ over $\|\mathfrak{p}\| \leq N$ to the predictions given by μ_{ST_A} .

<https://hensel.mit.edu:8000/home/pub/6>

The Sato-Tate axioms

The Sato-Tate axioms for abelian varieties (weight-1 motives):

- 1 G is closed subgroup of $\mathrm{USp}(2g)$.
- 2 **Hodge condition:** G contains a Hodge circle¹ whose conjugates generate a dense subset of G .
- 3 **Rationality condition:** for each component H of G and each irreducible character χ of $\mathrm{GL}_{2g}(\mathbb{C})$ we have $E[\chi(\gamma) : \gamma \in H] \in \mathbb{Z}$.

For any fixed g , the set of subgroups $G \subseteq \mathrm{USp}(2g)$ that satisfy the *Sato-Tate axioms* is **finite** up to conjugacy (3 for $g = 1$, 55 for $g = 2$).

¹An embedding $\theta: \mathrm{U}(1) \rightarrow G^0$ where $\theta(u)$ has eigenvalues u, u^{-1} with multiplicity g .

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Theorem

For $g \leq 3$, the group ST_A satisfies the Sato-Tate axioms.

This is expected to hold for all g .

¹An embedding $\theta: \mathrm{U}(1) \rightarrow G^0$ where $\theta(u)$ has eigenvalues u, u^{-1} with multiplicity g .

Galois endomorphism modules

Let A be an abelian variety defined over a number field k .

Let K be the minimal extension of k in \bar{k} for which $\text{End}(A_K) = \text{End}(A_{\bar{k}})$.

$\text{Gal}(K/k)$ acts on the \mathbb{R} -algebra $\text{End}(A_K)_{\mathbb{R}} := \text{End}(A_K) \otimes_{\mathbb{Z}} \mathbb{R}$.

Definition

The *Galois (endomorphism module) type* of A is the isomorphism class of $[\text{Gal}(K/k), \text{End}(A_K)_{\mathbb{R}}]$, where $[G, E] \simeq [G', E']$ iff there are isomorphisms $G \simeq G'$ and $E \simeq E'$ that are compatible with the Galois action.

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Theorem [FKRS 2012]

For abelian varieties A/k of dimension $g \leq 3$ there is a one-to-one correspondence between Sato-Tate groups and Galois types.

More precisely, the identity component ST_A^0 is determined by $\text{End}(A_K)_{\mathbb{R}}$, and there is a natural isomorphism $\text{ST}_A / \text{ST}_A^0 \simeq \text{Gal}(K/k)$.

Real endomorphism algebras of abelian surfaces

abelian surface	$\mathbf{End}(A_K)_{\mathbb{R}}$	\mathbf{ST}_A^0
square of CM elliptic curve	$M_2(\mathbb{C})$	$U(1)_2$
<ul style="list-style-type: none">• QM abelian surface• square of non-CM elliptic curve	$M_2(\mathbb{R})$	$SU(2)_2$
<ul style="list-style-type: none">• CM abelian surface• product of CM elliptic curves	$\mathbb{C} \times \mathbb{C}$	$U(1) \times U(1)$
product of CM and non-CM elliptic curves	$\mathbb{C} \times \mathbb{R}$	$U(1) \times SU(2)$
<ul style="list-style-type: none">• RM abelian surface• product of non-CM elliptic curves	$\mathbb{R} \times \mathbb{R}$	$SU(2) \times SU(2)$
generic abelian surface	\mathbb{R}	$USp(4)$

(factors in products are assumed to be non-isogenous)

Sato-Tate groups in dimension 2

Theorem [Fité-Kedlaya-Rotger-S 2012]

Up to conjugacy, 55 subgroups of $\mathrm{USp}(4)$ satisfy the Sato-Tate axioms:

$$\begin{aligned} \mathrm{U}(1)_2: & C_1, C_2, C_3, C_4, C_6, D_2, D_3, D_4, D_6, T, O, \\ & J(C_1), J(C_2), J(C_3), J(C_4), J(C_6), \\ & J(D_2), J(D_3), J(D_4), J(D_6), J(T), J(O), \end{aligned}$$

$$\begin{aligned} & C_{2,1}, C_{4,1}, C_{6,1}, D_{2,1}, D_{3,2}, D_{4,1}, D_{4,2}, D_{6,1}, D_{6,2}, O_1 \\ \mathrm{SU}(2)_2: & E_1, E_2, E_3, E_4, E_6, J(E_1), J(E_2), J(E_3), J(E_4), J(E_6) \end{aligned}$$

$$\mathrm{U}(1) \times \mathrm{U}(1): F, F_a, F_c, F_{a,b}, F_{ab}, F_{ac}, F_{ab,c}, F_{a,b,c}$$

$$\mathrm{U}(1) \times \mathrm{SU}(2): \mathrm{U}(1) \times \mathrm{SU}(2), N(\mathrm{U}(1) \times \mathrm{SU}(2))$$

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Of these, exactly 52 arise as ST_A for an abelian surface A (34 over \mathbb{Q}).

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$$\begin{aligned} & C_{2,1}, C_{4,1}, C_{6,1}, D_{2,1}, D_{3,2}, D_{4,1}, D_{4,2}, D_{6,1}, D_{6,2}, O_1 \\ \mathrm{SU}(2)_2: & E_1, E_2, E_3, E_4, E_6, J(E_1), J(E_2), J(E_3), J(E_4), J(E_6) \end{aligned}$$

$$\mathrm{U}(1) \times \mathrm{U}(1): F, F_a, F_c, F_{a,b}, F_{ab}, F_{ac}, F_{ab,c}, F_{a,b,c}$$

$$\mathrm{U}(1) \times \mathrm{SU}(2): \mathrm{U}(1) \times \mathrm{SU}(2), N(\mathrm{U}(1) \times \mathrm{SU}(2))$$

$$\mathrm{SU}(2) \times \mathrm{SU}(2): \mathrm{SU}(2) \times \mathrm{SU}(2), N(\mathrm{SU}(2) \times \mathrm{SU}(2))$$

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Of these, exactly 52 arise as ST_A for an abelian surface A (34 over \mathbb{Q}).

This theorem says nothing about equidistribution, however this is now known in many special cases [FS 2012, Johansson 2013].

Sato-Tate groups in dimension 2 with $G^0 = U(1)_2$.

d	c	G	G/G^0	z_1	z_2	$M[a_1^2]$	$M[a_2]$
1	1	C_1	C_1	0	0, 0, 0, 0, 0	8, 96, 1280, 17920	4, 18, 88, 454
1	2	C_2	C_2	1	0, 0, 0, 0, 0	4, 48, 640, 8960	2, 10, 44, 230
1	3	C_3	C_3	0	0, 0, 0, 0, 0	4, 36, 440, 6020	2, 8, 34, 164
1	4	C_4	C_4	1	0, 0, 0, 0, 0	4, 36, 400, 5040	2, 8, 32, 150
1	6	C_6	C_6	1	0, 0, 0, 0, 0	4, 36, 400, 4900	2, 8, 32, 148
1	4	D_2	D_2	3	0, 0, 0, 0, 0	2, 24, 320, 4480	1, 6, 22, 118
1	6	D_3	D_3	3	0, 0, 0, 0, 0	2, 18, 220, 3010	1, 5, 17, 85
1	8	D_4	D_4	5	0, 0, 0, 0, 0	2, 18, 200, 2520	1, 5, 16, 78
1	12	D_6	D_6	7	0, 0, 0, 0, 0	2, 18, 200, 2450	1, 5, 16, 77
1	2	$J(C_1)$	C_2	1	1, 0, 0, 0, 0	4, 48, 640, 8960	1, 11, 40, 235
1	4	$J(C_2)$	D_2	3	1, 0, 0, 0, 1	2, 24, 320, 4480	1, 7, 22, 123
1	6	$J(C_3)$	C_6	3	1, 0, 0, 2, 0	2, 18, 220, 3010	1, 5, 16, 85
1	8	$J(C_4)$	$C_4 \times C_2$	5	1, 0, 2, 0, 1	2, 18, 200, 2520	1, 5, 16, 79
1	12	$J(C_6)$	$C_6 \times C_2$	7	1, 2, 0, 2, 1	2, 18, 200, 2450	1, 5, 16, 77
1	8	$J(D_2)$	$D_2 \times C_2$	7	1, 0, 0, 0, 3	1, 12, 160, 2240	1, 5, 13, 67
1	12	$J(D_3)$	D_6	9	1, 0, 0, 2, 3	1, 9, 110, 1505	1, 4, 10, 48
1	16	$J(D_4)$	$D_4 \times C_2$	13	1, 0, 2, 0, 5	1, 9, 100, 1260	1, 4, 10, 45
1	24	$J(D_6)$	$D_6 \times C_2$	19	1, 2, 0, 2, 7	1, 9, 100, 1225	1, 4, 10, 44
1	2	$C_{2,1}$	C_2	1	0, 0, 0, 0, 1	4, 48, 640, 8960	3, 11, 48, 235
1	4	$C_{4,1}$	C_4	3	0, 0, 2, 0, 0	2, 24, 320, 4480	1, 5, 22, 115
1	6	$C_{6,1}$	C_6	3	0, 2, 0, 0, 1	2, 18, 220, 3010	1, 5, 18, 85
1	4	$D_{2,1}$	D_2	3	0, 0, 0, 0, 2	2, 24, 320, 4480	2, 7, 26, 123
1	8	$D_{4,1}$	D_4	7	0, 0, 2, 0, 2	1, 12, 160, 2240	1, 4, 13, 63
1	12	$D_{6,1}$	D_6	9	0, 2, 0, 0, 4	1, 9, 110, 1505	1, 4, 11, 48
1	6	$D_{3,2}$	D_3	3	0, 0, 0, 0, 3	2, 18, 220, 3010	2, 6, 21, 90
1	8	$D_{4,2}$	D_4	5	0, 0, 0, 0, 4	2, 18, 200, 2520	2, 6, 20, 83
1	12	$D_{6,2}$	D_6	7	0, 0, 0, 0, 6	2, 18, 200, 2450	2, 6, 20, 82
1	12	T	A_4	3	0, 0, 0, 0, 0	2, 12, 120, 1540	1, 4, 12, 52
1	24	O	S_4	9	0, 0, 0, 0, 0	2, 12, 100, 1050	1, 4, 11, 45
1	24	O_1	S_4	15	0, 0, 6, 0, 6	1, 6, 60, 770	1, 3, 8, 30
1	24	$J(T)$	$A_4 \times C_2$	15	1, 0, 0, 8, 3	1, 6, 60, 770	1, 3, 7, 29
1	48	$J(O)$	$S_4 \times C_2$	33	1, 0, 6, 8, 9	1, 6, 50, 525	1, 3, 7, 26

Sato-Tate groups in dimension 2 with $G^0 \neq U(1)_2$.

d	c	G	G/G^0	z_1	z_2	$M[a_1^2]$	$M[a_2]$
3	1	E_1	C_1	0	0, 0, 0, 0, 0	4, 32, 320, 3584	3, 10, 37, 150
3	2	E_2	C_2	1	0, 0, 0, 0, 0	2, 16, 160, 1792	1, 6, 17, 78
3	3	E_3	C_3	0	0, 0, 0, 0, 0	2, 12, 110, 1204	1, 4, 13, 52
3	4	E_4	C_4	1	0, 0, 0, 0, 0	2, 12, 100, 1008	1, 4, 11, 46
3	6	E_6	C_6	1	0, 0, 0, 0, 0	2, 12, 100, 980	1, 4, 11, 44
3	2	$J(E_1)$	C_2	1	0, 0, 0, 0, 0	2, 16, 160, 1792	2, 6, 20, 78
3	4	$J(E_2)$	D_2	3	0, 0, 0, 0, 0	1, 8, 80, 896	1, 4, 10, 42
3	6	$J(E_3)$	D_3	3	0, 0, 0, 0, 0	1, 6, 55, 602	1, 3, 8, 29
3	8	$J(E_4)$	D_4	5	0, 0, 0, 0, 0	1, 6, 50, 504	1, 3, 7, 26
3	12	$J(E_6)$	D_6	7	0, 0, 0, 0, 0	1, 6, 50, 490	1, 3, 7, 25
2	1	F	C_1	0	0, 0, 0, 0, 0	4, 36, 400, 4900	2, 8, 32, 148
2	2	F_a	C_2	0	0, 0, 0, 0, 1	3, 21, 210, 2485	2, 6, 20, 82
2	2	F_c	C_2	1	0, 0, 0, 0, 0	2, 18, 200, 2450	1, 5, 16, 77
2	2	F_{ab}	C_2	1	0, 0, 0, 0, 1	2, 18, 200, 2450	2, 6, 20, 82
2	4	F_{ac}	C_4	3	0, 0, 2, 0, 1	1, 9, 100, 1225	1, 3, 10, 41
2	4	$F_{a,b}$	D_2	1	0, 0, 0, 0, 3	2, 12, 110, 1260	2, 5, 14, 49
2	4	$F_{ab,c}$	D_2	3	0, 0, 0, 0, 1	1, 9, 100, 1225	1, 4, 10, 44
2	8	$F_{a,b,c}$	D_4	5	0, 0, 2, 0, 3	1, 6, 55, 630	1, 3, 7, 26
4	1	G_4	C_1	0	0, 0, 0, 0, 0	3, 20, 175, 1764	2, 6, 20, 76
4	2	$N(G_4)$	C_2	0	0, 0, 0, 0, 1	2, 11, 90, 889	2, 5, 14, 46
6	1	G_6	C_1	0	0, 0, 0, 0, 0	2, 10, 70, 588	2, 5, 14, 44
6	2	$N(G_6)$	C_2	1	0, 0, 0, 0, 0	1, 5, 35, 294	1, 3, 7, 23
10	1	$USp(4)$	C_1	0	0, 0, 0, 0, 0	1, 3, 14, 84	1, 2, 4, 10

Genus 2 curves realizing Sato-Tate groups with $G^0 = U(1)_2$

Group	Curve $y^2 = f(x)$	k	K
C_1	$x^6 + 1$	$\mathbb{Q}(\sqrt{-3})$	$\mathbb{Q}(\sqrt{-3})$
C_2	$x^5 - x$	$\mathbb{Q}(\sqrt{-2})$	$\mathbb{Q}(i, \sqrt{2})$
C_3	$x^6 + 4$	$\mathbb{Q}(\sqrt{-3})$	$\mathbb{Q}(\sqrt{-3}, \sqrt[3]{2})$
C_4	$x^6 + x^5 - 5x^4 - 5x^2 - x + 1$	$\mathbb{Q}(\sqrt{-2})$	$\mathbb{Q}(\sqrt{-2}, a); a^4 + 17a^2 + 68 = 0$
C_6	$x^6 + 2$	$\mathbb{Q}(\sqrt{-3})$	$\mathbb{Q}(\sqrt{-3}, \sqrt[6]{2})$
D_2	$x^5 + 9x$	$\mathbb{Q}(\sqrt{-2})$	$\mathbb{Q}(i, \sqrt{2}, \sqrt{3})$
D_3	$x^6 + 10x^3 - 2$	$\mathbb{Q}(\sqrt{-2})$	$\mathbb{Q}(\sqrt{-3}, \sqrt[6]{-2})$
D_4	$x^5 + 3x$	$\mathbb{Q}(\sqrt{-2})$	$\mathbb{Q}(i, \sqrt{2}, \sqrt[4]{3})$
D_6	$x^6 + 3x^5 + 10x^3 - 15x^2 + 15x - 6$	$\mathbb{Q}(\sqrt{-3})$	$\mathbb{Q}(i, \sqrt{2}, \sqrt{3}, a); a^3 + 3a - 2 = 0$
T	$x^6 + 6x^5 - 20x^4 + 20x^3 - 20x^2 - 8x + 8$	$\mathbb{Q}(\sqrt{-2})$	$\mathbb{Q}(\sqrt{-2}, a, b);$ $a^3 - 7a + 7 = b^4 + 4b^2 + 8b + 8 = 0$
O	$x^6 - 5x^4 + 10x^3 - 5x^2 + 2x - 1$	$\mathbb{Q}(\sqrt{-2})$	$\mathbb{Q}(\sqrt{-2}, \sqrt{-11}, a, b);$ $a^3 - 4a + 4 = b^4 + 22b + 22 = 0$
$J(C_1)$	$x^5 - x$	$\mathbb{Q}(i)$	$\mathbb{Q}(i, \sqrt{2})$
$J(C_2)$	$x^5 - x$	\mathbb{Q}	$\mathbb{Q}(i, \sqrt{2})$
$J(C_3)$	$x^6 + 10x^3 - 2$	$\mathbb{Q}(\sqrt{-3})$	$\mathbb{Q}(\sqrt{-3}, \sqrt[6]{-2})$
$J(C_4)$	$x^6 + x^5 - 5x^4 - 5x^2 - x + 1$	\mathbb{Q}	see entry for C_4
$J(C_6)$	$x^6 - 15x^4 - 20x^3 + 6x + 1$	\mathbb{Q}	$\mathbb{Q}(i, \sqrt{3}, a); a^3 + 3a^2 - 1 = 0$
$J(D_2)$	$x^5 + 9x$	\mathbb{Q}	$\mathbb{Q}(i, \sqrt{2}, \sqrt{3})$
$J(D_3)$	$x^6 + 10x^3 - 2$	\mathbb{Q}	$\mathbb{Q}(\sqrt{-3}, \sqrt[6]{-2})$
$J(D_4)$	$x^5 + 3x$	\mathbb{Q}	$\mathbb{Q}(i, \sqrt{2}, \sqrt[4]{3})$
$J(D_6)$	$x^6 + 3x^5 + 10x^3 - 15x^2 + 15x - 6$	\mathbb{Q}	see entry for D_6
$J(T)$	$x^6 + 6x^5 - 20x^4 + 20x^3 - 20x^2 - 8x + 8$	\mathbb{Q}	see entry for T
$J(O)$	$x^6 - 5x^4 + 10x^3 - 5x^2 + 2x - 1$	\mathbb{Q}	see entry for O
$C_{2,1}$	$x^6 + 1$	\mathbb{Q}	$\mathbb{Q}(\sqrt{-3})$
$C_{4,1}$	$x^5 + 2x$	$\mathbb{Q}(i)$	$\mathbb{Q}(i, \sqrt[4]{2})$
$C_{6,1}$	$x^6 + 6x^5 - 30x^4 + 20x^3 + 15x^2 - 12x + 1$	\mathbb{Q}	$\mathbb{Q}(\sqrt{-3}, a); a^3 - 3a + 1 = 0$
$D_{2,1}$	$x^5 + x$	\mathbb{Q}	$\mathbb{Q}(i, \sqrt{2})$
$D_{4,1}$	$x^5 + 2x$	\mathbb{Q}	$\mathbb{Q}(i, \sqrt[4]{2})$
$D_{6,1}$	$x^6 + 6x^5 - 30x^4 - 40x^3 + 60x^2 + 24x - 8$	\mathbb{Q}	$\mathbb{Q}(\sqrt{-2}, \sqrt{-3}, a); a^3 - 9a + 6 = 0$
$D_{3,2}$	$x^6 + 4$	\mathbb{Q}	$\mathbb{Q}(\sqrt{-3}, \sqrt[3]{2})$
$D_{4,2}$	$x^6 + x^5 + 10x^3 + 5x^2 + x - 2$	\mathbb{Q}	$\mathbb{Q}(\sqrt{-2}, a); a^4 - 14a^2 + 28a - 14 = 0$
$D_{6,2}$	$x^6 + 2$	\mathbb{Q}	$\mathbb{Q}(\sqrt{-3}, \sqrt[6]{2})$
O_1	$x^6 + 7x^5 + 10x^4 + 10x^3 + 15x^2 + 17x + 4$	\mathbb{Q}	$\mathbb{Q}(\sqrt{-2}, a, b);$ $a^3 + 5a + 10 = b^4 + 4b^2 + 8b + 2 = 0$

Genus 2 curves realizing Sato-Tate groups with $G^0 \neq U(1)_2$

Group	Curve $y^2 = f(x)$	k	K
F	$x^6 + 3x^4 + x^2 - 1$	$\mathbb{Q}(i, \sqrt{2})$	$\mathbb{Q}(i, \sqrt{2})$
F_a	$x^6 + 3x^4 + x^2 - 1$	$\mathbb{Q}(i)$	$\mathbb{Q}(i, \sqrt{2})$
F_{ab}	$x^6 + 3x^4 + x^2 - 1$	$\mathbb{Q}(\sqrt{2})$	$\mathbb{Q}(i, \sqrt{2})$
F_{ac}	$x^5 + 1$	\mathbb{Q}	$\mathbb{Q}(a); a^4 + 5a^2 + 5 = 0$
$F_{a,b}$	$x^6 + 3x^4 + x^2 - 1$	\mathbb{Q}	$\mathbb{Q}(i, \sqrt{2})$
E_1	$x^6 + x^4 + x^2 + 1$	\mathbb{Q}	\mathbb{Q}
E_2	$x^6 + x^5 + 3x^4 + 3x^2 - x + 1$	\mathbb{Q}	$\mathbb{Q}(\sqrt{2})$
E_3	$x^5 + x^4 - 3x^3 - 4x^2 - x$	\mathbb{Q}	$\mathbb{Q}(a); a^3 - 3a + 1 = 0$
E_4	$x^5 + x^4 + x^2 - x$	\mathbb{Q}	$\mathbb{Q}(a); a^4 - 5a^2 + 5 = 0$
E_6	$x^5 + 2x^4 - x^3 - 3x^2 - x$	\mathbb{Q}	$\mathbb{Q}(\sqrt{7}, a); a^3 - 7a - 7 = 0$
$J(E_1)$	$x^5 + x^3 + x$	\mathbb{Q}	$\mathbb{Q}(i)$
$J(E_2)$	$x^5 + x^3 - x$	\mathbb{Q}	$\mathbb{Q}(i, \sqrt{2})$
$J(E_3)$	$x^6 + x^3 + 4$	\mathbb{Q}	$\mathbb{Q}(\sqrt{-3}, \sqrt[3]{2})$
$J(E_4)$	$x^5 + x^3 + 2x$	\mathbb{Q}	$\mathbb{Q}(i, \sqrt[4]{2})$
$J(E_6)$	$x^6 + x^3 - 2$	\mathbb{Q}	$\mathbb{Q}(\sqrt{-3}, \sqrt[6]{-2})$
$G_{1,3}$	$x^6 + 3x^4 - 2$	$\mathbb{Q}(i)$	$\mathbb{Q}(i)$
$N(G_{1,3})$	$x^6 + 3x^4 - 2$	\mathbb{Q}	$\mathbb{Q}(i)$
$G_{3,3}$	$x^6 + x^2 + 1$	\mathbb{Q}	\mathbb{Q}
$N(G_{3,3})$	$x^6 + x^5 + x - 1$	\mathbb{Q}	$\mathbb{Q}(i)$
$USp(4)$	$x^5 - x + 1$	\mathbb{Q}	\mathbb{Q}

Part Two

Searching for curves

We surveyed the \bar{L} -polynomial distributions of genus 2 curves

$$y^2 = x^5 + c_4x^4 + c_3x^3 + c_2x^2 + c_1x + c_0,$$

$$y^2 = x^6 + c_5x^5 + c_4x^4 + c_3x^3 + c_2x^2 + c_1x + c_0,$$

with integer coefficients $|c_i| \leq 128$. More than 2^{48} curves.

We found over 10 million non-isomorphic curves with exceptional distributions, including at least 3 apparent matches for each of the 34 Sato-Tate groups that can occur over \mathbb{Q} .

Representative examples were computed to high precision $N = 2^{30}$.

For each example, the field K was then determined, allowing the Galois type, and hence the Sato-Tate group, to be **provably** identified.

Exhibiting Sato-Tate groups of abelian surfaces

The 34 Sato-Tate groups that can arise for an abelian surface over \mathbb{Q} are all realized by Jacobians of genus 2 curves.

By extending the base field from \mathbb{Q} to a suitable subfield k of K , we can restrict $G/G^0 \simeq \text{Gal}(K/k)$ to any normal subgroup of $\text{Gal}(K/k)$ (base extension does not change the identity component G^0).

This allows us to realize all 52 Sato-Tate groups using base extensions of 34 curves defined over \mathbb{Q} (in fact, 9 suffice).

Serre asks: can all 52 can be realized over a single base field k ?

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Theorem (Fité-Guitart 2015)

All 52 possible Sato-Tate groups arise for abelian surfaces defined over

$$k := \mathbb{Q}(\sqrt{-10}, \sqrt{-51}, \sqrt{-163}, \sqrt{-67}, \sqrt{817}, \sqrt{-57}).$$

Computing zeta functions

Algorithms to compute $L_p(T)$ for low genus hyperelliptic curves

algorithm	complexity (ignoring factors of $O(\log \log p)$)		
	$g = 1$	$g = 2$	$g = 3$
point enumeration	$p \log p$	$p^2 \log p$	$p^3 \log p$
group computation	$p^{1/4} \log p$	$p^{3/4} \log p$	$p^{5/4} \log p$
p -adic cohomology	$p^{1/2} \log^2 p$	$p^{1/2} \log^2 p$	$p^{1/2} \log^2 p$
CRT (Schoof-Pila)	$\log^5 p$	$\log^8 p$	$\log^{12} p$

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(see [Kedlaya-S 2008]).

An average polynomial-time algorithm

All of these methods perform separate computations for each p .
But we want to compute $L_p(T)$ for all good $p \leq N$ using reductions of *the same curve* in each case. Can we take advantage of this?

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But we want to compute $L_p(T)$ for all good $p \leq N$ using reductions of *the same curve* in each case. Can we take advantage of this?

Theorem (Harvey 2012)

There exists a deterministic algorithm that, given a hyperelliptic curve $y^2 = f(x)$ of genus g with a rational Weierstrass point and an integer N , computes $L_p(T)$ for all good primes $p \leq N$ in time

$$O(g^{8+\epsilon} N \log^{3+\epsilon} N),$$

assuming the coefficients of $f \in \mathbb{Z}[x]$ have size bounded by $O(\log N)$.

Average time is $O(g^{8+\epsilon} \log^{4+\epsilon} N)$ per prime, polynomial in g and $\log p$.
Recently generalized to arithmetic schemes.

An average polynomial-time algorithm

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	$g = 1$	$g = 2$	$g = 3$
point enumeration	$p \log p$	$p^2 \log p$	$p^3 \log p$
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p -adic cohomology	$p^{1/2} \log^2 p$	$p^{1/2} \log^2 p$	$p^{1/2} \log^2 p$
CRT (Schoof-Pila)	$\log^5 p$	$\log^8 p$	$\log^{12} p$
Average polytime	$\log^4 p$	$\log^4 p$	$\log^4 p$

But is it practical?

The Hasse-Witt matrix of a hyperelliptic curve

The *Hasse-Witt* matrix of a hyperelliptic curve $y^2 = f(x)$ over \mathbb{F}_p of genus g is the $g \times g$ matrix $W_p = [w_{ij}]$ with entries

$$w_{ij} = f_{pi-j}^{(p-1)/2} \pmod{p} \quad (1 \leq i, j \leq g).$$

The w_{ij} can each be computed using recurrence relations between the coefficients of f^n and those of f^{n-1} .

The congruence

$$L_P(T) \equiv \det(I - TW_p) \pmod{p}$$

allows us to determine the coefficients a_1, \dots, a_g of $L_p(T)$ modulo p . This is enough to compute $\#C_p(\mathbb{F}_p)$ for $p > 16g^2$.

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The algorithm can be extended to compute $L_p(T)$ modulo higher powers of p (and thereby obtain $L_p \in \mathbb{Z}[T]$), but for $g \leq 3$ it's easier to derive $L_p(T)$ from $L_p(T) \pmod{p}$ using computations in $\text{Jac}(C)$.

Complexity

Theorem (Harvey-S 2014)

Given a hyperelliptic curve $y^2 = f(x)$ of genus g , and an integer N , one can compute the Hasse-Witt matrices W_p for all good primes $p \leq N$ in

$$O(g^3 N \log^3 N \log \log N) \text{ time} \quad \text{and} \quad O(g^2 N) \text{ space,}$$

assuming g and the bit-size of each coefficient of f are $O(\log N)$.

The complexity is close to optimal (nearly quasi-linear in output size).

Extends to computing $L_p \in \mathbb{Z}[T]$ in $O(g^{4+\epsilon} N \log^{3+\epsilon} N)$ time.

In progress: smooth plane quartics.

N	genus 2		genus 3	
	smalljac	hwlpoly	hypellfrob	hwlpoly
2^{14}	0.2	0.1	7.2	0.4
2^{15}	0.6	0.3	16.3	1.0
2^{16}	1.7	0.9	39.1	2.9
2^{17}	5.5	2.2	98.3	7.8
2^{18}	19.2	5.3	255	18.3
2^{19}	78.4	12.5	695	43.2
2^{20}	271	27.8	1950	98.8
2^{21}	1120	64.5	5600	229
2^{22}	2820	155	16700	537
2^{23}	9840	357	51200	1240
2^{24}	31900	823	158000	2800
2^{25}	105000	1890	501000	6280
2^{26}	349000	4250	1480000	13900
2^{27}	1210000	9590	4360000	31100
2^{28}	4010000	21200	12500000	69700
2^{29}	13200000	48300	39500000	155000
2^{30}	45500000	108000	120000000	344000

(Intel Xeon E5-2697v2 2.7 GHz CPU seconds).

Naïve approach

For each good prime $p < N$ we want to compute the entries

$$w_{ij} = f_{p^{i-j}}^{(p-1)/2} \pmod{p} \quad (1 \leq i, j \leq g).$$

of the Hasse-Witt matrix $W_p = [w_{ij}]$.

So we could iteratively compute $f, f^2, f^3, \dots, f^{(N-1)/2}$ in $\mathbb{Z}[x]$ and just reduce the x^{p^i-j} coefficients of $f(x)^{(p-1)/2} \pmod{p}$ for each prime $p \leq N$.

Naïve approach

For each good prime $p < N$ we want to compute the entries

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But the polynomials f^n are huge, each has $\Omega(n^2)$ bits. It would take $\Omega(N^3)$ time to compute $f, \dots, f^{(N-1)/2}$ in $\mathbb{Z}[x]$.

So this is a terrible idea...

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So we could iteratively compute $f, f^2, f^3, \dots, f^{(N-1)/2}$ in $\mathbb{Z}[x]$ and just reduce the x^{pi-j} coefficients of $f(x)^{(p-1)/2} \pmod p$ for each prime $p \leq N$.

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So this is a terrible idea...

But we don't need all the coefficients of f^n , we only need one, and we only need to know its value modulo $p = 2n + 1$.

A better approach

For any integer $n \geq 0$ the equations

$$f^{n+1} = f \cdot f^n \quad \text{and} \quad (f^{n+1})' = (n+1)f'f^n$$

yield the relations

$$f_k^{n+1} = \sum_{j=0}^d f_j f_{k-j}^n \quad \text{and} \quad k f_k^{n+1} = (n+1) \sum_{j=0}^d j f_j f_{k-j}^n,$$

where f_k^n denotes the coefficient of x^k in f^n . Subtracting k times the first from the second and solving for f_k^n yields the identity

$$f_k^n = \frac{1}{k f_0} \sum_{j=1}^d (n j + j - k) f_j f_{k-j}^n, \quad (1)$$

which is valid for all positive integers k and n (assuming $f_0 \neq 0$).

If we now define

$$v_k^n := [f_{k-d+1}^n, \dots, f_k^n] \in \mathbb{Z}^d,$$

then the last g entries of $v_{p-1}^{(p-1)/2} \bmod p$ form the first row of W_p , and

$$f_k^n \equiv \frac{1}{2k f_0} \sum_{j=1}^d (j - 2k) f_j f_{k-j}^n \bmod p,$$

holds for $k \leq p - 1 = 2n$. Starting from $v_0^n = [0, \dots, 0, f_0^n]$, we compute

$$v_{p-1}^n \equiv \frac{v_0^n}{2^{p-1} (p-1)! f_0^{p-1}} \prod_{k=1}^{p-1} M_k \equiv -v_0^n \prod_{i=1}^{p-1} M_k \bmod p,$$

where the $d \times d$ matrices

$$M_k := \begin{bmatrix} 0 & \cdots & 0 & (d - 2k) f_d \\ 2k f_0 & \cdots & 0 & (d - 1 - 2k) f_{d-1} \\ \vdots & \ddots & \vdots & \vdots \\ 0 & \cdots & 2k f_0 & (1 - 2k) f_1 \end{bmatrix}$$

do not depend on p !

Computing a sequence of reduced partial products

Computing the first row of W_p for all $p < N$ reduces to compute the sequence of reduced partial products

$$M_1 M_2 \bmod 3$$

$$M_1 M_2 M_3 M_4 \bmod 5$$

$$M_1 M_2 M_3 M_4 M_5 M_6 \bmod 7$$

$$\vdots$$

$$M_1 M_2 M_3 M_4 M_5 M_6 \cdots M_{N-2} \bmod N - 1$$

Doing this naïvely would take time quasi-quadratic in N .

But quasi-linear time is achieved with an *accumulating remainder tree*.

Accumulating remainder trees

Input: integer matrices M_0, \dots, M_{N-1} and moduli m_0, \dots, m_{N-1} .

Output: A_0, A_1, \dots, A_{N-1} , where $A_i := \prod_{j < i} M_j \bmod m_i$.

Algorithm:

- 1 If $N = 1$ then output $A_0 := 1$ and terminate (base case).
- 2 Use $M'_i := M_{2i}M_{2i+1}$ and $m'_i := m_{2i}m_{2i+1}$ to recursively compute $A'_1, \dots, A'_{N/2}$.
- 3 Output

$$A_i := \begin{cases} A'_{i/2} \bmod m_i & i \text{ even;} \\ A'_{(i-1)/2} M_{i-1} \bmod m_i & i \text{ odd.} \end{cases}$$

Using FFT-multiplication, this runs in quasi-linear time.

The space complexity can be improved using a *remainder forest*.

click histogram to animate (requires adobe reader)

Real endomorphism algebras of abelian threefolds

abelian threefold	$\text{End}(A_K)_{\mathbb{R}}$	$\text{ST}_{\mathcal{A}}^0$
cube of a CM elliptic curve	$M_3(\mathbb{C})$	$U(1)_3$
cube of a non-CM elliptic curve	$M_3(\mathbb{R})$	$SU(2)_3$
product of CM elliptic curve and square of CM elliptic curve	$\mathbb{C} \times M_2(\mathbb{C})$	$U(1) \times U(1)_2$
<ul style="list-style-type: none"> product of CM elliptic curve and QM abelian surface product of CM elliptic curve and square of non-CM elliptic curve 	$\mathbb{C} \times M_2(\mathbb{R})$	$U(1) \times SU(2)_2$
product of non-CM elliptic curve and square of CM elliptic curve	$\mathbb{R} \times M_2(\mathbb{C})$	$SU(2) \times U(1)_2$
<ul style="list-style-type: none"> product of non-CM elliptic curve and QM abelian surface product of non-CM elliptic curve and square of non-CM elliptic curve 	$\mathbb{R} \times M_2(\mathbb{R})$	$SU(2) \times SU(2)_2$
<ul style="list-style-type: none"> CM abelian threefold product of CM elliptic curve and CM abelian surface product of three CM elliptic curves 	$\mathbb{C} \times \mathbb{C} \times \mathbb{C}$	$U(1) \times U(1) \times U(1)$
<ul style="list-style-type: none"> product of non-CM elliptic curve and CM abelian surface product of non-CM elliptic curve and two CM elliptic curves 	$\mathbb{C} \times \mathbb{C} \times \mathbb{R}$	$U(1) \times U(1) \times SU(2)$
<ul style="list-style-type: none"> product of CM elliptic curve and RM abelian surface product of CM elliptic curve and two non-CM elliptic curves 	$\mathbb{C} \times \mathbb{R} \times \mathbb{R}$	$U(1) \times SU(2) \times SU(2)$
<ul style="list-style-type: none"> RM abelian threefold product of non-CM elliptic curve and RM abelian surface product of 3 non-CM elliptic curves 	$\mathbb{R} \times \mathbb{R} \times \mathbb{R}$	$SU(2) \times SU(2) \times SU(2)$
product of CM elliptic curve and abelian surface	$\mathbb{C} \times \mathbb{R}$	$U(1) \times \text{USp}(4)$
product of non-CM elliptic curve and abelian surface	$\mathbb{R} \times \mathbb{R}$	$SU(2) \times \text{USp}(4)$
quadratic CM abelian threefold	\mathbb{C}	$U(3)$
generic abelian threefold	\mathbb{R}	$\text{USp}(6)$

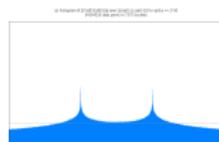
Connected Sato-Tate groups of abelian threefolds:



$U(1)_3$



$SU(2)_3$



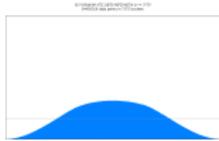
$U(1) \times U(1)_2$



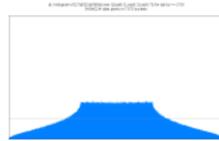
$U(1) \times SU(2)_2$



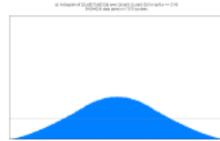
$SU(2) \times U(1)_2$



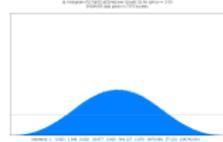
$SU(2) \times SU(2)_2$



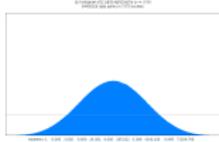
$U(1) \times U(1) \times U(1)$



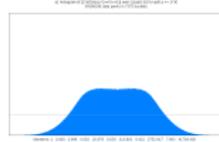
$U(1) \times U(1) \times SU(2)$



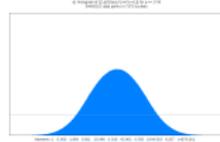
$U(1) \times SU(2) \times U(1)$



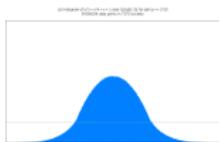
$SU(2) \times SU(2) \times SU(2)$



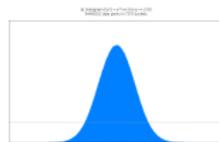
$U(1) \times USp(4)$



$SU(2) \times USp(4)$



$U(3)$



$USp(6)$

Partial classification of component groups

G_0	$G/G_0 \hookrightarrow$	$ G/G_0 $ divides
$\mathrm{USp}(6)$	C_1	1
$\mathrm{U}(3)$	C_2	2
$\mathrm{SU}(2) \times \mathrm{USp}(4)$	C_1	1
$\mathrm{U}(1) \times \mathrm{USp}(4)$	C_2	2
$\mathrm{SU}(2) \times \mathrm{SU}(2) \times \mathrm{SU}(2)$	S_3	6
$\mathrm{U}(1) \times \mathrm{SU}(2) \times \mathrm{SU}(2)$	D_2	4
$\mathrm{U}(1) \times \mathrm{U}(1) \times \mathrm{SU}(2)$	D_4	8
$\mathrm{U}(1) \times \mathrm{U}(1) \times \mathrm{U}(1)$	$C_2 \wr S_3$	48
$\mathrm{SU}(2) \times \mathrm{SU}(2)_2$	D_4, D_6	8, 12
$\mathrm{SU}(2) \times \mathrm{U}(1)_2$	$D_6 \times C_2, S_4 \times C_2$	48
$\mathrm{U}(1) \times \mathrm{SU}(2)_2$	$D_4 \times C_2, D_6 \times C_2$	16, 24
$\mathrm{U}(1) \times \mathrm{U}(1)_2$	$D_6 \times C_2 \times C_2, S_4 \times C_2 \times C_2$	96
$\mathrm{SU}(2)_3$	D_6, S_4	24
$\mathrm{U}(1)_3$	\dots	336, 1728

(disclaimer: this is work in progress subject to verification)