

# Isogeny volcanoes: a computational perspective

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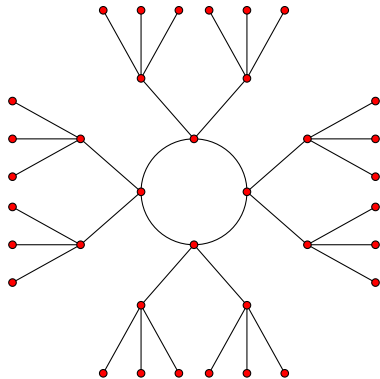
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# A volcano



# A volcano



# $\ell$ -volcanoes

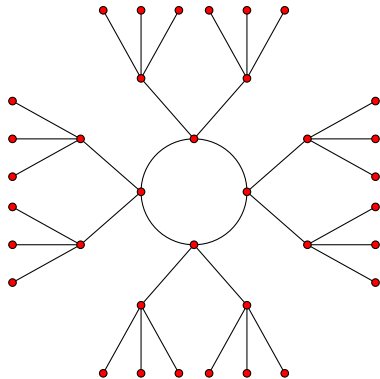
For a prime  $\ell$ , an  $\ell$ -volcano is a connected undirected graph whose vertices are partitioned into levels  $V_0, \dots, V_d$ .

1. The subgraph on  $V_0$  (the *surface*) is a connected regular graph of degree 0, 1, or 2.
2. For  $i > 0$ , each  $v \in V_i$  has exactly one neighbor in  $V_{i-1}$ . All edges not on the surface arise in this manner.
3. For  $i < d$ , each  $v \in V_i$  has degree  $\ell+1$ .

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We allow self-loops and multi-edges in our graphs, but this can happen only on the surface of an  $\ell$ -volcano.

## A 3-volcano of depth 2



# Elliptic curves

An elliptic curve  $E/k$  is a smooth projective curve of genus 1 with a distinguished  $k$ -rational point  $0$ .

For any field extension  $k'/k$ , the set of  $k'$ -rational points  $E(k')$  forms an abelian group with identity element  $0$ .

When the characteristic of  $k$  is not 2 or 3 (which we assume for convenience) we may define  $E$  with an equation of the form

$$y^2 = x^3 + Ax + B,$$

where  $A, B \in k$ .

## $j$ -invariants

The  $\bar{k}$ -isomorphism classes of elliptic curves  $E/k$  are in bijection with the field  $k$ . For  $E: y^2 = x^3 + Ax + B$ , the  $j$ -invariant of  $E$  is

$$j(E) = j(A, B) = 1728 \frac{4A^3}{4A^3 + 27B^2} \in k.$$

The  $j$ -invariants  $j(0, B) = 0$  and  $j(A, 0) = 1728$  are special. They correspond to elliptic curves with extra automorphisms.

For  $j_0 \notin \{0, 1728\}$ , we have  $j_0 = j(A, B)$ , where

$$A = 3j_0(1728 - j_0) \quad \text{and} \quad B = 2j_0(1728 - j_0)^2.$$

Note that  $j(E_1) = j(E_2)$  does not necessarily imply that  $E_1$  and  $E_2$  are isomorphic over  $k$ , but they must be isomorphic over  $\bar{k}$ .

## $\ell$ -isogenies

An *isogeny*  $\phi: E_1 \rightarrow E_2$  is a morphism of elliptic curves, a rational map that fixes the point 0.

It induces a group homomorphism  $\phi: E_1(\bar{k}) \rightarrow E_2(\bar{k})$ .

If  $\phi$  is nonzero then it has a finite kernel.

Every finite subgroup of  $E_1(\bar{k})$  is the kernel of an isogeny.



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The *degree* of an isogeny is its degree as a rational map.

For a nonzero *separable* isogeny,  $\deg \phi = |\ker \phi|$ .

We are interested in isogenies of prime degree  $\ell \neq \text{char } k$ , which are necessarily separable isogenies with cyclic kernels.

The *dual isogeny*  $\hat{\phi}: E_2 \rightarrow E_1$  has the same degree  $\ell$  as  $\phi$ , and

$$\phi \circ \hat{\phi} = \hat{\phi} \circ \phi = [\ell]$$

is the *multiplication-by- $\ell$*  map.

# The $\ell$ -torsion subgroup

For  $\ell \neq \text{char}(k)$ , the  $\ell$ -torsion subgroup

$$E[\ell] = \{P \in E(\bar{k}) : \ell P = 0\}$$

is isomorphic to  $\mathbb{Z}/\ell\mathbb{Z} \times \mathbb{Z}/\ell\mathbb{Z}$  and thus contains  $\ell + 1$  cyclic subgroups of order  $\ell$ , each of which is the kernel of an  $\ell$ -isogeny.

These  $\ell$ -isogenies are not necessarily defined over  $k$ .

An  $\ell$ -isogeny is defined over  $k$  (and has image defined over  $k$ ) if and only if its kernel is Galois-invariant.

The number of Galois-invariant order- $\ell$  subgroups of  $E[\ell]$  is either 0, 1, 2, or  $\ell + 1$ .

# The modular equation

Let  $j: \mathbb{H} \rightarrow \mathbb{C}$  be the classical modular function.

For any  $\tau \in \mathbb{H}$ , the values  $j(\tau)$  and  $j(\ell\tau)$  are the  $j$ -invariants of elliptic curves over  $\mathbb{C}$  that are  $\ell$ -isogenous.

The minimal polynomial  $\Phi_\ell(Y)$  of the function  $j(\ell z)$  over  $\mathbb{C}(j)$  has coefficients that are actually integer polynomials of  $j(z)$ .

Replacing  $j(z)$  with  $X$  yields the *modular polynomial*  $\Phi_\ell \in \mathbb{Z}[X, Y]$  that parameterizes pairs of  $\ell$ -isogenous elliptic curves  $E/\mathbb{C}$ :

$$\Phi_\ell(j(E_1), j(E_2)) = 0 \iff j(E_1) \text{ and } j(E_2) \text{ are } \ell\text{-isogenous.}$$

This moduli interpretation remains valid over any field of characteristic not  $\ell$ .

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$\Phi_\ell(X, Y) = 0$  is a defining equation for the affine modular curve  $Y_0(\ell) = \Gamma_0(\ell) \backslash \mathbb{H}$ .

# The graph of $\ell$ -isogenies

## Definition

The  $\ell$ -isogeny graph  $G_\ell(k)$  has vertex set  $\{j(E) : E/k\} = k$  and edges  $(j_1, j_2)$  for each root  $j_2 \in k$  of  $\Phi_\ell(j_1, Y)$  (with multiplicity).

Except for  $j \in \{0, 1728\}$ , the in-degree of each vertex of  $G_\ell$  is equal to its out-degree. Thus  $G_\ell$  is a bi-directed graph on  $k \setminus \{0, 1728\}$ , which we may regard as an undirected graph.

Note that we have an infinite family of graphs  $G_\ell(k)$  with vertex set  $k$ , one for each prime  $\ell \neq \text{char}(k)$ .

# Ordinary and supersingular curves

For an elliptic curve  $E/k$  with  $\text{char}(k) = p$  we have

$$E[p] \simeq \begin{cases} \mathbb{Z}/p\mathbb{Z} & (\text{ordinary}), \\ \{0\} & (\text{supersingular}). \end{cases}$$

For isogenous elliptic curves  $E_1 \sim E_2$ , either both are ordinary or both are supersingular. Thus the each isogeny graph  $G_\ell$  decomposes into ordinary and supersingular components.

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Every supersingular curve is defined over  $\mathbb{F}_{p^2}$ . Thus the supersingular components of  $G_\ell(\mathbb{F}_{p^2})$  are regular graphs of degree  $\ell + 1$ .

In fact,  $G_\ell(\mathbb{F}_{p^2})$  has just one supersingular component, and it is a *Ramanujan graph* [Pizer 1990].

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This has cryptographic applications; see [Charles-Lauter-Goren 2008], for example.

# Endomorphism rings

Isogenies from an elliptic curve  $E$  to itself are *endomorphisms*. They form a ring  $\text{End}(E)$  under composition and point addition.

We always have  $\mathbb{Z} \subseteq \text{End}(E)$ , due to scalar multiplication maps. If  $\mathbb{Z} \subsetneq \text{End}(E)$ , then  $E$  has *complex multiplication* (CM).

For an elliptic curve  $E$  with complex multiplication:

$$\text{End}(E) \simeq \begin{cases} \text{order in an imaginary quadratic field} & \text{(ordinary),} \\ \text{order in a quaternion algebra} & \text{(supersingular).} \end{cases}$$

Every elliptic curve over a finite field  $\mathbb{F}_q$  has CM, since if  $E$  is ordinary then the *Frobenius endomorphism*  $\pi_E(x, y) = (x^q, y^q)$  does not lie in  $\mathbb{Z}$ .

# Horizontal and vertical isogenies

Let  $\varphi: E_1 \rightarrow E_2$  by an  $\ell$ -isogeny of ordinary elliptic curves with CM.  
Let  $\text{End}(E_1) \simeq \mathcal{O}_1 = [1, \tau_1]$  and  $\text{End}(E_2) \simeq \mathcal{O}_2 = [1, \tau_2]$ .

Then  $\ell\tau_2 \in \mathcal{O}_1$  and  $\ell\tau_1 \in \mathcal{O}_2$ .

Thus one of the following holds:

- ▶  $\mathcal{O}_1 = \mathcal{O}_2$ , in which case  $\varphi$  is *horizontal*;
- ▶  $[\mathcal{O}_1 : \mathcal{O}_2] = \ell$ , in which case  $\varphi$  is *descending*;
- ▶  $[\mathcal{O}_2 : \mathcal{O}_1] = \ell$ , in which case  $\varphi$  is *ascending*.

In the latter two cases we say that  $\varphi$  is a *vertical* isogeny.



# The theory of complex multiplication

Let  $E/k$  have  $\text{End}(E) \simeq \mathcal{O} \subset K = \mathbb{Q}(\sqrt{D})$ , with  $D = \text{disc } K$ .

For each invertible  $\mathcal{O}$ -ideal  $\mathfrak{a}$ , the  $\mathfrak{a}$ -torsion subgroup

$$E[\mathfrak{a}] = \{P \in E(\bar{k}) : \alpha(P) = 0 \text{ for all } \alpha \in \mathfrak{a}\}$$

is the kernel of an isogeny  $\varphi_{\mathfrak{a}} : E \rightarrow E'$  of degree  $N(\mathfrak{a}) = [\mathcal{O} : \mathfrak{a}]$ . We necessarily have  $\text{End}(E) \simeq \text{End}(E')$ , so  $\varphi_{\mathfrak{a}}$  is **horizontal**.

If  $\mathfrak{a}$  is principal, then  $E' \simeq E$ . This induces a  $\text{cl}(\mathcal{O})$ -action on the set.

$$\text{Ell}_{\mathcal{O}}(k) = \{j(E) : E/k \text{ with } \text{End}(E) \simeq \mathcal{O}\}.$$

This action is faithful and transitive; thus  $\text{Ell}_{\mathcal{O}}(k)$  is a principal homogeneous space, a *torsor*, for  $\text{cl}(\mathcal{O})$ .

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One can decompose horizontal isogenies of large prime degree into an equivalent sequence of isogenies of small prime degrees, which makes them **easy to compute**; see [Bröker-Charles-Lauter 2008, Jao-Souhkarev ANTS IX].

# Horizontal isogenies

Every horizontal  $\ell$ -isogeny arises from the action of an invertible  $\mathcal{O}$ -ideal  $\mathfrak{l}$  of norm  $\ell$ .

If  $\ell \mid [\mathcal{O}_K : \mathcal{O}]$ , no such  $\mathfrak{l}$  exists; if  $\ell \nmid [\mathcal{O}_K : \mathcal{O}]$ , then there are

$$1 + \left(\frac{D}{\ell}\right) = \begin{cases} 0 & \ell \text{ is inert in } K, \\ 1 & \ell \text{ is ramified in } K, \\ 2 & \ell \text{ splits in } K, \end{cases}$$

such  $\ell$ -isogenies.

In the split case,  $(\ell) = \mathfrak{l} \cdot \bar{\mathfrak{l}}$ , and the  $\mathfrak{l}$ -orbits partition  $\text{Ell}_{\mathcal{O}}(k)$  into cycles corresponding to the cosets of  $\langle [\mathfrak{l}] \rangle$  in  $\text{cl}(\mathcal{O})$ .

## Vertical isogenies

Let  $\mathcal{O}$  be an imaginary quadratic order with discriminant  $D_{\mathcal{O}} < -4$ , and let  $\mathcal{O}' = \mathbb{Z} + \ell\mathcal{O}$  be the order of index  $\ell$  in  $\mathcal{O}$ .

The map that sends each invertible  $\mathcal{O}'$ -ideal  $\mathfrak{a}$  to the (invertible)  $\mathcal{O}$ -ideal  $\mathfrak{a}\mathcal{O}$  preserves norms and induces a surjective homomorphism

$$\phi: \text{cl}(\mathcal{O}') \rightarrow \text{cl}(\mathcal{O})$$

compatible with the class group actions on  $\text{Ell}_{\mathcal{O}}(k)$  and  $\text{Ell}_{\mathcal{O}'}(k)$ .

It follows that each  $j(E') \in \text{Ell}_{\mathcal{O}'}(k)$  has a unique  $\ell$ -isogenous “parent”  $j(E)$  in  $\text{Ell}_{\mathcal{O}}(k)$ , and every vertical isogeny must arise in this way.

The “children” of  $j(E)$  correspond to a coset of the kernel of  $\phi$ , which is a cyclic of order  $\ell - \left(\frac{D_{\mathcal{O}}}{\ell}\right)$ , generated by the class of an invertible  $\mathcal{O}'$ -ideal with norm  $\ell^2$ .

# Ordinary elliptic curves over finite fields

Let  $E/\mathbb{F}_q$  be an ordinary elliptic curve with *trace of Frobenius*

$$t = \text{tr } \pi_E = q + 1 - \#E(\mathbb{F}_q).$$

Then  $\pi_E^2 - t\pi_E + q = 0$  and we have the *norm equation*

$$4q = t^2 - v^2D,$$

where  $D$  is the (fundamental) discriminant of the imaginary quadratic field  $K = \mathbb{Q}(\sqrt{t^2 - 4q}) \simeq \text{End}(E) \otimes \mathbb{Q}$  and  $v = [\mathcal{O}_K : \mathbb{Z}[\pi_E]]$ . We have

$$\mathbb{Z}[\pi_E] \subseteq \text{End}(E) \subseteq \mathcal{O}_K.$$

Thus  $[\mathcal{O}_K : \text{End}(E)]$  divides  $v$ ; this holds for any  $E$  with trace  $t$ .  
If we define  $\text{Ell}_t(\mathbb{F}_q) = \{j(E) : E/\mathbb{F}_q \text{ with } \text{tr } \pi_E = t\}$ , then

$$\text{Ell}_t(\mathbb{F}_q) = \bigcup_{\mathbb{Z}[\pi_E] \subseteq \mathcal{O} \subseteq \mathcal{O}_K} \text{Ell}_{\mathcal{O}}(\mathbb{F}_q).$$

# The main theorem

## Theorem (Kohel)

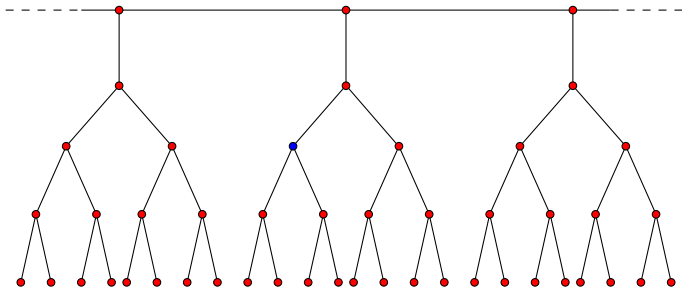
Let  $V$  be an ordinary connected component of  $G_\ell(\mathbb{F}_q)$  that does not contain 0, 1728. Then  $V$  is an  $\ell$ -volcano in which the following hold:

- (i) Vertices in level  $V_i$  all have the same endomorphism ring  $\mathcal{O}_i$ .
- (ii)  $\ell \nmid [\mathcal{O}_K : \mathcal{O}_0]$ , and  $[\mathcal{O}_i : \mathcal{O}_{i+1}] = \ell$ .
- (iii) The subgraph on  $V_0$  has degree  $1 + (\frac{D}{\ell})$ , where  $D = \text{disc}(\mathcal{O}_0)$ .
- (iv) If  $(\frac{D}{\ell}) \geq 0$  then  $|V_0|$  is the order of  $[1]$  in  $\text{cl}(\mathcal{O}_0)$ .
- (v) The depth of  $V$  is  $\text{ord}_\ell(v)$ , where  $4q = t^2 - v^2D$ .

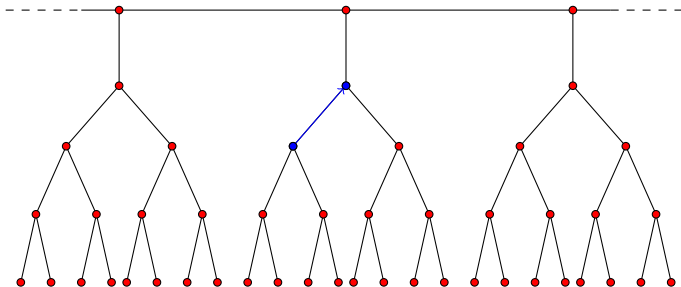
# Applications



# Finding the floor

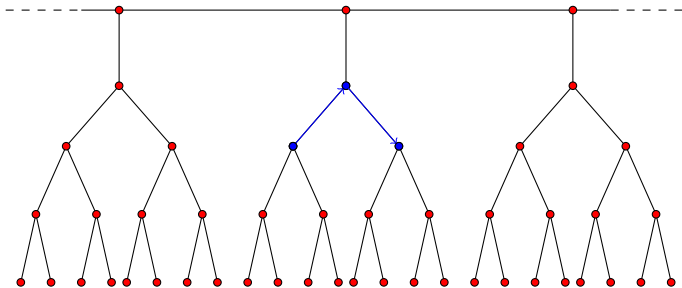


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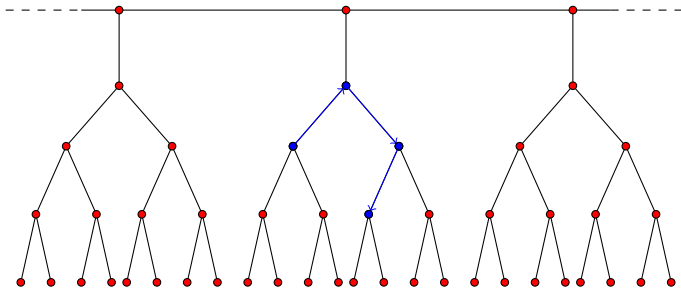




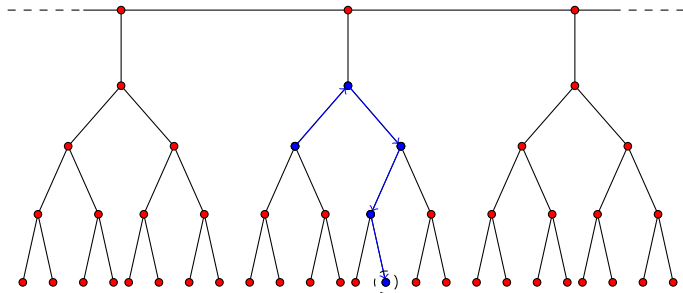
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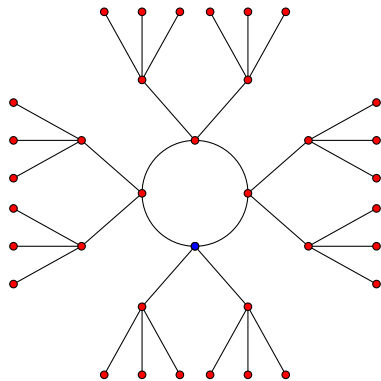


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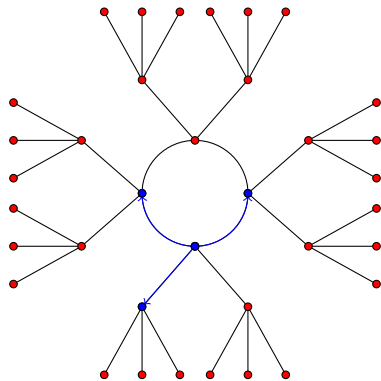


Curves on the floor necessarily have cyclic rational  $\ell$ -torsion. This is useful, for example, when constructing Edwards curves with the CM method [Morain 2009].

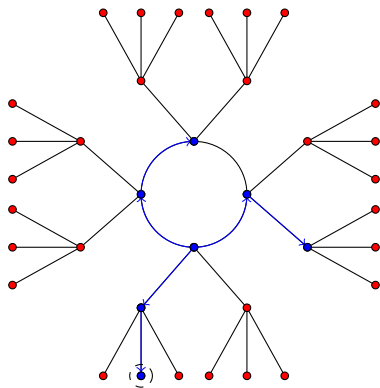
## Finding a shortest path to the floor



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# Finding a shortest path to the floor



We now know that we are 2 levels above the floor.

## Application: identifying supersingular curves

The equation  $4q = t^2 - v^2D$  implies that each ordinary component of  $G_\ell(\mathbb{F}_q)$  is an  $\ell$ -volcano of depth less than  $\log_\ell \sqrt{4q}$ .

Given  $j(E) \in \mathbb{F}_{p^2}$ , if we cannot find a shortest path to the floor in  $G_2(\mathbb{F}_{p^2})$  within  $\lceil \log_2 p \rceil$  steps, then  $E$  **must be supersingular**.

Conversely, if  $E$  is supersingular, our attempt to find the floor must fail, since every vertex in the supersingular component has degree  $\ell + 1$ .

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Conversely, if  $E$  is supersingular, our attempt to find the floor must fail, since every vertex in the supersingular component has degree  $\ell + 1$ .

This yields a (probabilistic) algorithm to determine supersingularity in  $\tilde{O}(n^3)$  time, where  $n = \log p$ , improving the  $\tilde{O}(n^4)$  complexity of the best previously known algorithms.

Moreover, the expected running time on a random elliptic curve is  $\tilde{O}(n^2)$ , matching the complexity of the best *Monte Carlo* algorithms, and faster in practice.



## Application: computing endomorphism rings

Given an ordinary elliptic curve  $E/\mathbb{F}_q$ , if we compute the Frobenius trace  $t$  and put  $4q = t^2 - v^2D$ , we can determine  $\mathcal{O} \simeq \text{End}(E)$  by determining  $u = [\mathcal{O}_K : \mathcal{O}]$ , which must divide  $v$ .

It suffices to determine the level of  $j(E)$  in its  $\ell$ -volcano for  $\ell|v$ .

**Problem:** when  $\ell$  is large it is not feasible to compute  $\Phi_\ell$ , nor is it feasible to directly compute a **vertical**  $\ell$ -isogeny.

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**Solution:** we may determine the primes  $\ell|u$  by finding *smooth relations* that hold in  $\text{cl}((v/\ell)^2D)$  but not in  $\text{cl}(\ell^2D)$  and evaluating the corresponding **horizontal** isogenies (and similarly for  $\ell^e$ )

This yields a probabilistic algorithm to compute  $\text{End}(E)$  with subexponential expected running time  $L[1/2, \sqrt{3}/2]$ , under GRH..

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See [Bisson-S 2011] and [Bisson 2011] for more details.

## Example

Let  $q = 2^{320} + 261$  and suppose  $\text{tr } \pi_E = t$ , where  
 $t = 2306414344576213633891236434392671392737040459558$ .

Then  $4q = t^2 - v^2D$ , where  $D = -147759$  and  $v = 2^2p_1p_2$  with

$$p_1 = 16447689059735824784039,$$

$$p_2 = 71003976975490059472571.$$

For  $D_1 = 2^4p_2^2D$ , and  $D'_1 = p_1^2D$ , the relation

$$\{\mathfrak{p}_5, \mathfrak{p}_{19}^2, \bar{\mathfrak{p}}_{23}^{210}, \mathfrak{p}_{29}, \mathfrak{p}_{31}, \bar{\mathfrak{p}}_{41}^{145}, \mathfrak{p}_{139}, \bar{\mathfrak{p}}_{149}, \mathfrak{p}_{167}, \bar{\mathfrak{p}}_{191}, \bar{\mathfrak{p}}_{251}^6, \mathfrak{p}_{269}, \bar{\mathfrak{p}}_{587}^7, \bar{\mathfrak{p}}_{643}\}$$

holds in  $\text{cl}(D_1)$  but not in  $\text{cl}(D'_1)$  ( $\mathfrak{p}_\ell$  is an ideal of norm  $\ell$ ).

For  $D_2 = 2^4p_1^2D$ , and  $D'_2 = p_2^2D$ , the relation

$$\{\mathfrak{p}_{11}, \bar{\mathfrak{p}}_{13}^{576}, \mathfrak{p}_{23}^2, \bar{\mathfrak{p}}_{41}, \bar{\mathfrak{p}}_{47}, \mathfrak{p}_{83}, \mathfrak{p}_{101}, \bar{\mathfrak{p}}_{197}^{28}, \bar{\mathfrak{p}}_{307}^3, \mathfrak{p}_{317}, \bar{\mathfrak{p}}_{419}, \mathfrak{p}_{911}\}$$

holds in  $\text{cl}(D_2)$  but not in  $\text{cl}(D'_2)$ .

# Constructing elliptic curves with the CM method

Let  $\mathcal{O}$  be an imaginary quadratic order with discriminant  $D$ .  
The *Hilbert class polynomial*  $H_D \in \mathbb{Z}[X]$  is defined by

$$H_D(X) = \prod_{j \in \text{Ell}_{\mathcal{O}}(\mathbb{C})} (X - j).$$

Equivalently, it is the minimal polynomial of  $j(\mathcal{O})$  over  $K = \mathbb{Q}(\sqrt{D})$ .  
The field  $K_{\mathcal{O}} = K(j(\mathcal{O}))$  is the *ring class field* for  $\mathcal{O}$ .

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The field  $K_{\mathcal{O}} = K(j(\mathcal{O}))$  is the *ring class field* for  $\mathcal{O}$ .

If  $q$  splits completely in  $K_{\mathcal{O}}$ , then  $H_D(X)$  splits completely in  $\mathbb{F}_q[X]$ ,  
and every root of  $H_D$  is the  $j$ -invariant of an elliptic curve  $E/\mathbb{F}_q$  with  
 $N = q + 1 - t$  points, where  $4q = t^2 - v^2D$ .

Every ordinary elliptic curve  $E/\mathbb{F}_q$  can be constructed in this way,  
but computing  $H_D$  becomes quite difficult as  $|D|$  grows.

The size of  $H_D$  is  $O(|D| \log |D|)$  bits, exponential in  $\log q$ .

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One can also construct supersingular curves with Hilbert class polynomials; see [Bröker 2008].

# Application: computing Hilbert class polynomials

The CRT approach to computing  $H_D(X)$ , as described in [Belding-Bröker-Enge-Lauter ANTX VIII] and [S 2011].

1. Select a sufficiently large set of primes of the form  $4p = t^2 - v^2D$ .
2. For each prime  $p$ , compute  $H_D \bmod p$  as follows:
  - a. Generate random curves  $E/\mathbb{F}_p$  until  $\#E = p + 1 - t$ .
  - b. Use volcano climbing to find  $E' \sim E$  with  $\text{End}(E') \simeq \mathcal{O}$ .
  - c. Enumerate  $\text{Ell}_{\mathcal{O}}(\mathbb{F}_p)$  by applying the  $\text{cl}(\mathcal{O})$ -action to  $j(E')$ .
  - d. Compute  $\prod_{j \in \text{Ell}_{\mathcal{O}}(\mathbb{F}_p)} (X - j) = H_D(X) \bmod p$ .
3. Use the CRT to recover  $H_D$  over  $\mathbb{Z}$  (or mod  $q$  via the explicit CRT).

Under the GRH, the expected running time is  $O(|D| \log^{5+\epsilon} |D|)$ , quasi-linear in the size of  $H_D$ .

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One can similarly compute other types of class polynomials [Enge-S ANTS IX].

## Using a polycyclic presentation

For  $D = -79447$ ,  $\text{cl}(D)$  is cyclic of order  $h(D) = 100$ .  
It is generated by the class of an ideal  $\alpha_{19}$  with norm 19.

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For  $D = -79447$ ,  $\text{cl}(D)$  is cyclic of order  $h(D) = 100$ .  
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But  $\text{cl}(D)$  is also generated by the classes of ideals  $\mathfrak{a}_2$  and  $\mathfrak{a}_{13}$  with norms 2 and 13. The classes  $[\mathfrak{a}_2]$  and  $[\mathfrak{a}_{13}]$  have orders 20 and 50 and thus are not independent in  $\text{cl}(\mathcal{O})$ , in fact  $[\mathfrak{a}_{13}]^5 = [\mathfrak{a}_2]^{18}$ .

Nevertheless, every element of  $\text{cl}(D)$  can uniquely represented as

$$[\mathfrak{a}_2]^{e_2} [\mathfrak{a}_{13}]^{e_{13}},$$

with  $0 \leq e_2 < 20$  and  $0 \leq e_{13} < 5$ .

In general, any sequence of generators for a finite abelian group  $G$  determines a *polycyclic presentation* for  $G$ .



## Using a polycyclic presentation

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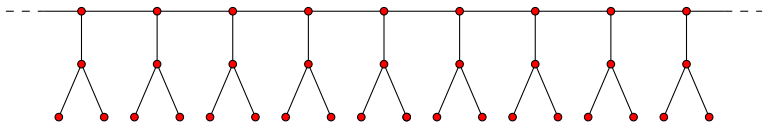
$$[\mathfrak{a}_2]^{e_2} [\mathfrak{a}_{13}]^{e_{13}},$$

with  $0 \leq e_2 < 20$  and  $0 \leq e_{13} < 5$ .

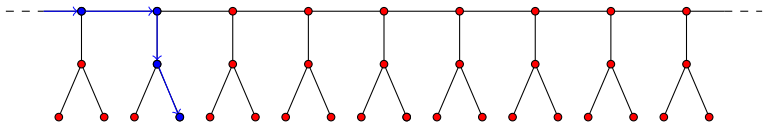
In general, any sequence of generators for a finite abelian group  $G$  determines a *polycyclic presentation* for  $G$ .

Using the polycyclic presentation  $([\mathfrak{a}_2], [\mathfrak{a}_{13}])$  to enumerate  $\text{Ell}_{\mathcal{O}}(\mathbb{F}_p)$  is **100 times faster** than using  $([\mathfrak{a}_{19}])$ .

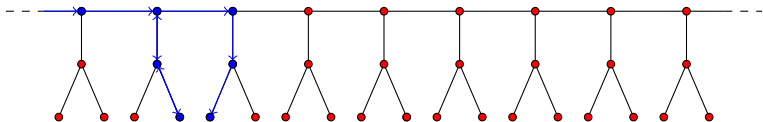
# Running the rim



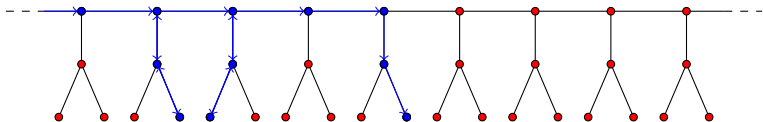
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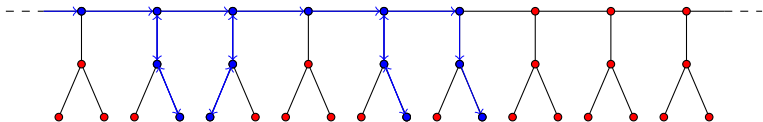
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# Running the rim

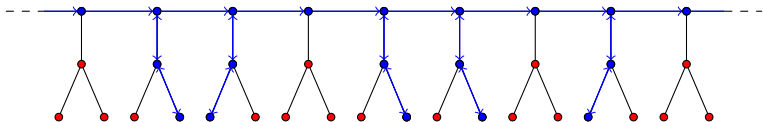


# Running the rim





# Running the rim



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For particularly deep volcanoes, one may prefer to use a pairing-based approach; see [Ionica-Joux ANTS IX].



# Computational results

The CRT method has been used to compute  $H_D(X)$  with  $|D| > 10^{13}$ , and using alternative class polynomials, with  $|D| > 10^{15}$  (for comparison, the previous record was  $|D| \approx 10^{10}$ ).

When  $\text{cl}(\mathcal{O})$  is composite (almost always the case), one can accelerate the CM method by decomposing the ring class field [Hanrot-Morain 2001, Enge-Morain 2003].

Combining this idea with the CRT approach has made CM constructions with  $|D| > 10^{16}$  possible [S 2012].

# Application: computing modular polynomials

We can also use a CRT approach to compute  $\Phi_\ell(X, Y)$   
[Bröker-Lauter-S 2012].

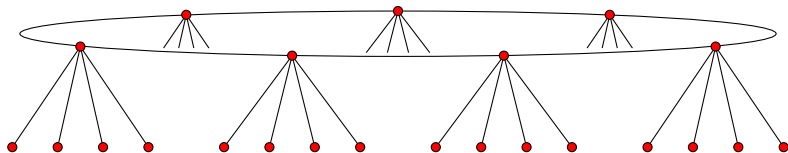
1. Select a sufficiently large set of primes of the form  $4p = t^2 - \ell^2 v^2 D$  with  $\ell \nmid v$ ,  $p \equiv 1 \pmod{\ell}$ , and  $h(D) > \ell + 1$ .
2. For each prime  $p$ , compute  $\Phi_\ell \pmod{p}$  as follows:
  - a. Compute  $\text{Ell}_O(\mathbb{F}_p)$  using  $H_D \pmod{p}$ .
  - b. Map the  $\ell$ -volcanoes intersecting  $\text{Ell}_O(\mathbb{F}_p)$  (without using  $\Phi_\ell$ ).
  - c. Interpolate  $\Phi_\ell(X, Y) \pmod{p}$ .
3. Use the CRT to recover  $\Phi_\ell$  over  $\mathbb{Z}$  (or mod  $q$  via the explicit CRT).

Under the GRH, the expected running time is  $O(\ell^3 \log^{3+\epsilon} \ell)$ ,  
quasi-linear in the size of  $\Phi_\ell$ .

---

We can similarly compute modular polynomials for other modular functions.  
One can also use a CRT approach to compute  $\Phi_N$  for composite  $N$  [Ono-S in prog].

# Mapping a volcano



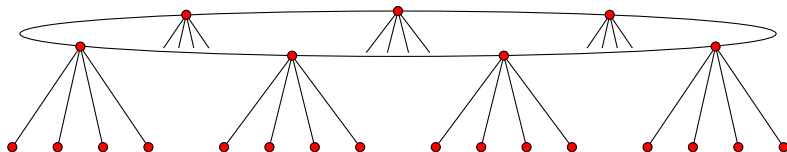
# Mapping a volcano

Example

$$\ell = 5, \quad p = 4451, \quad D = -151$$

General requirements

$$4p = t^2 - v^2 \ell^2 D, \quad p \equiv 1 \pmod{\ell}$$



# Mapping a volcano

Example

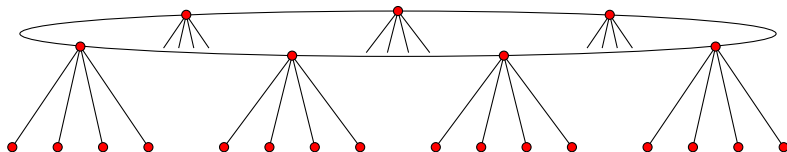
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$$\ell \nmid v, \quad \left(\frac{D}{\ell}\right) = 1, \quad h(D) \geq \ell + 2$$



# Mapping a volcano

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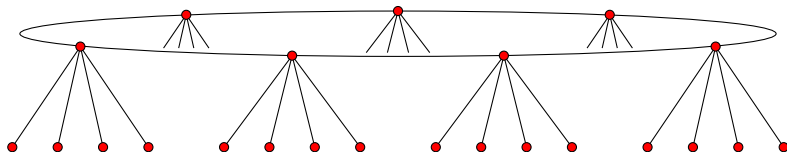
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1. Find a root of  $H_D(X)$

# Mapping a volcano

Example

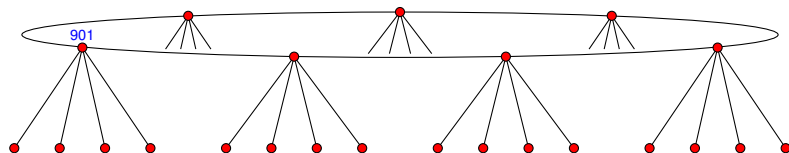
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# Mapping a volcano

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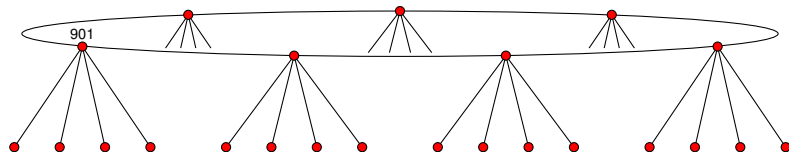
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2. Enumerate surface using the action of  $\alpha_{\ell_0}$



# Mapping a volcano

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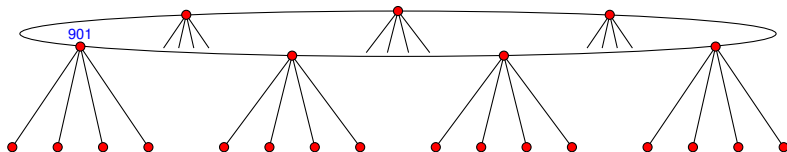
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## 2. Enumerate surface using the action of $\alpha_{\ell_0}$

$$901 \xrightarrow{2} 1582 \xrightarrow{2} 2501 \xrightarrow{2} 351 \xrightarrow{2} 701 \xrightarrow{2} 2872 \xrightarrow{2} 2215 \xrightarrow{2}$$

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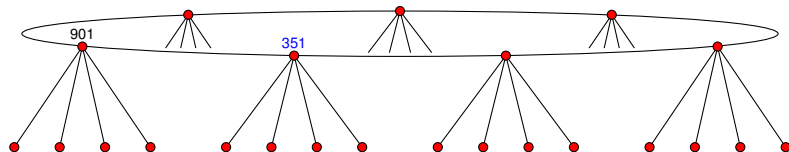
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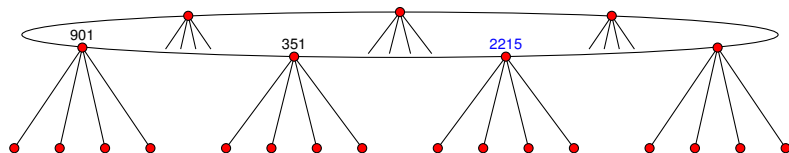
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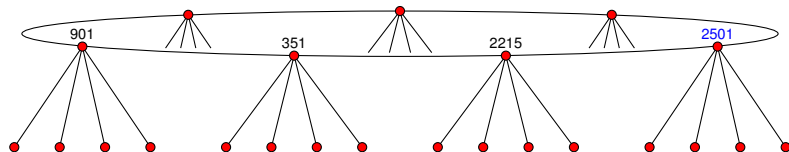
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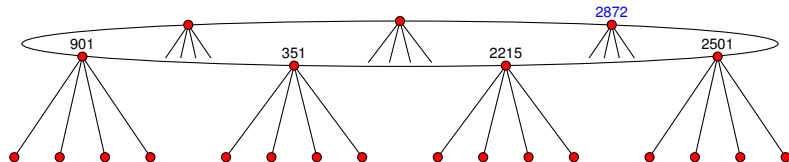
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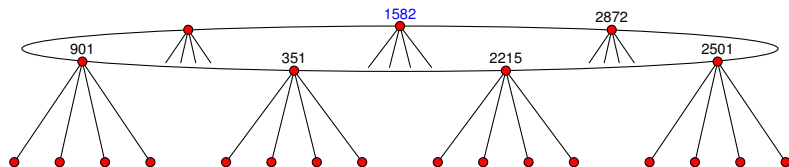
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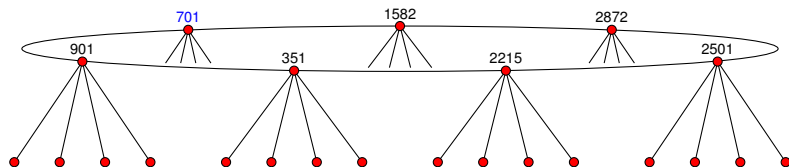
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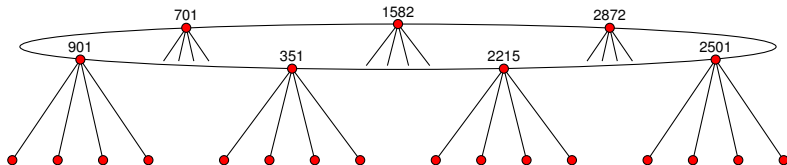
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3. Descend to the floor using Vélú's formula



# Mapping a volcano

Example

$$\ell = 5, \quad p = 4451, \quad D = -151$$

$$t = 52, \quad v = 2, \quad h(D) = 7$$

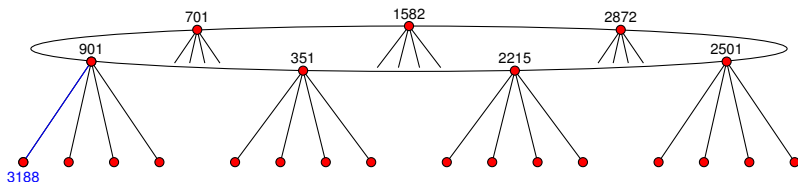
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3. Descend to the floor using Vélú's formula:  $901 \xrightarrow{5} 3188$

# Mapping a volcano

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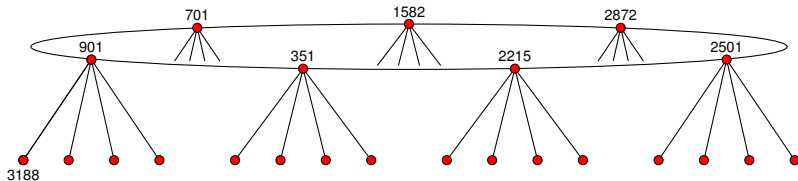
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4. Enumerate floor using the action of  $\beta_{\ell_0}$

# Mapping a volcano

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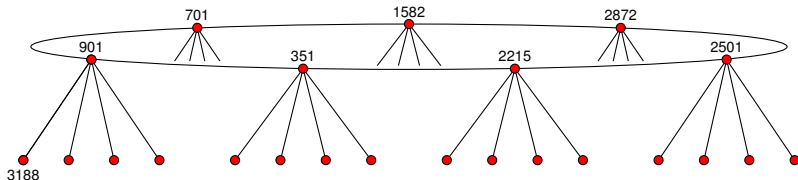
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## 4. Enumerate floor using the action of $\beta_{\ell_0}$

$$\begin{array}{cccccccc}
 3188 & \xrightarrow{\frac{2}{2}} & 945 & \xrightarrow{\frac{2}{2}} & 3144 & \xrightarrow{\frac{2}{2}} & 3508 & \xrightarrow{\frac{2}{2}} & 2843 & \xrightarrow{\frac{2}{2}} & 1502 & \xrightarrow{\frac{2}{2}} & 676 & \xrightarrow{\frac{2}{2}} & \\
 2970 & \xrightarrow{\frac{2}{2}} & 3497 & \xrightarrow{\frac{2}{2}} & 1180 & \xrightarrow{\frac{2}{2}} & 2464 & \xrightarrow{\frac{2}{2}} & 4221 & \xrightarrow{\frac{2}{2}} & 4228 & \xrightarrow{\frac{2}{2}} & 2434 & \xrightarrow{\frac{2}{2}} & \\
 1478 & \xrightarrow{\frac{2}{2}} & 3244 & \xrightarrow{\frac{2}{2}} & 2255 & \xrightarrow{\frac{2}{2}} & 2976 & \xrightarrow{\frac{2}{2}} & 3345 & \xrightarrow{\frac{2}{2}} & 1064 & \xrightarrow{\frac{2}{2}} & 1868 & \xrightarrow{\frac{2}{2}} & \\
 3328 & \longrightarrow & 291 & \longrightarrow & 3147 & \longrightarrow & 2566 & \longrightarrow & 4397 & \longrightarrow & 2087 & \longrightarrow & 3341 & \longrightarrow & 
 \end{array}$$

# Mapping a volcano

## Example

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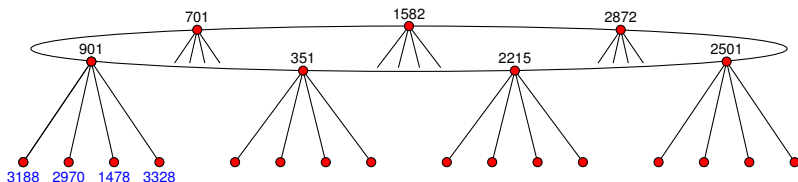
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# Mapping a volcano

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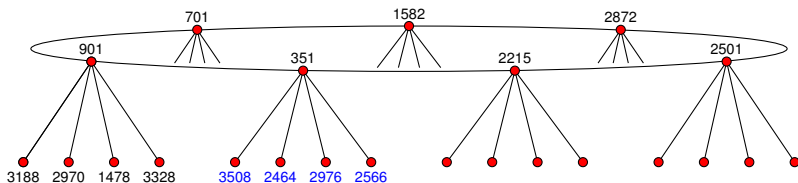
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 3328 & \longrightarrow & 291 & \longrightarrow & 3147 & \longrightarrow & 2566 & \longrightarrow & 4397 & \longrightarrow & 2087 & \longrightarrow & 3341 & \longrightarrow & 
 \end{array}$$

# Mapping a volcano

## Example

$$\ell = 5, \quad p = 4451, \quad D = -151$$

$$t = 52, \quad v = 2, \quad h(D) = 7$$

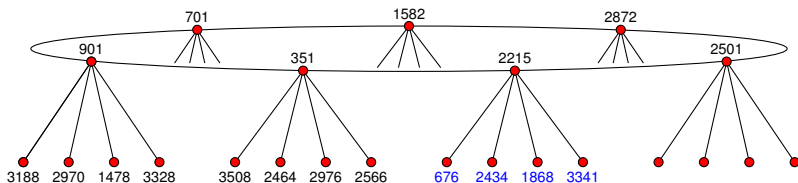
$$\ell_0 = 2, \quad \alpha_5 = \alpha_2^3, \quad \beta_{25} = \beta_2^7$$

## General requirements

$$4p = t^2 - v^2 \ell^2 D, \quad p \equiv 1 \pmod{\ell}$$

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## 4. Enumerate floor using the action of $\beta_{\ell_0}$

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# Mapping a volcano

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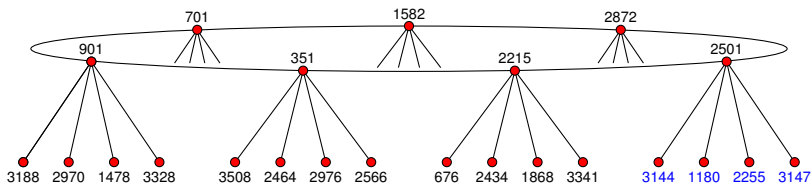
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# Mapping a volcano

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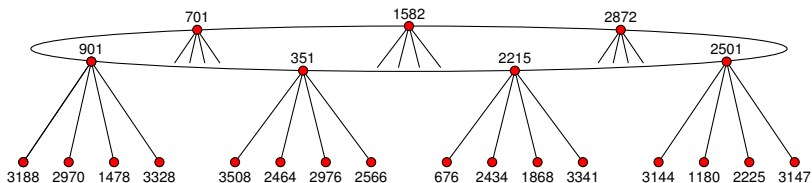
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# Mapping a volcano

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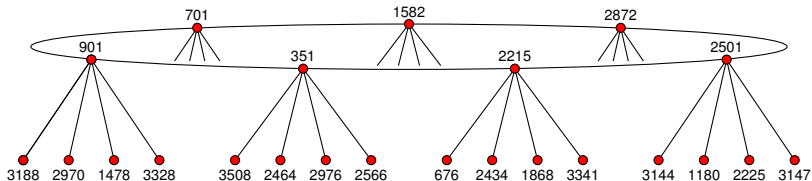
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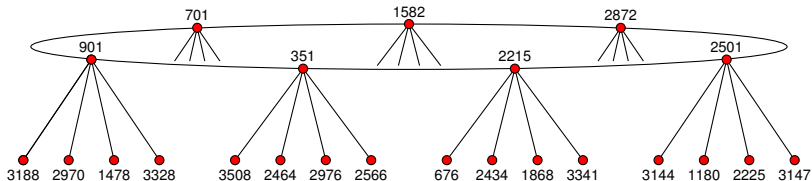
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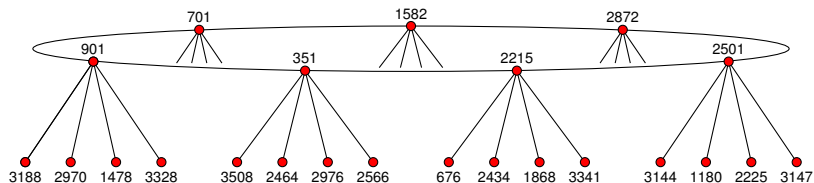
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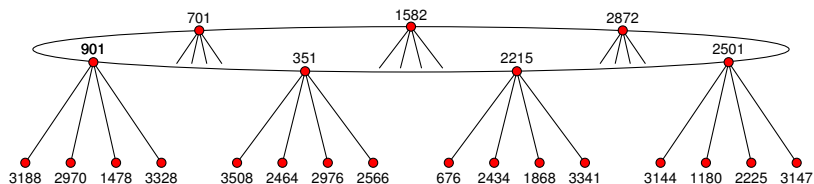
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# Interpolating $\Phi_\ell \bmod p$



$$\Phi_5(X, 901) = (X - 701)(X - 351)(X - 3188)(X - 2970)(X - 1478)(X - 3328)$$

$$\Phi_5(X, 351) = (X - 901)(X - 2215)(X - 3508)(X - 2464)(X - 2976)(X - 2566)$$

$$\Phi_5(X, 2215) = (X - 351)(X - 2501)(X - 3341)(X - 1868)(X - 2434)(X - 676)$$

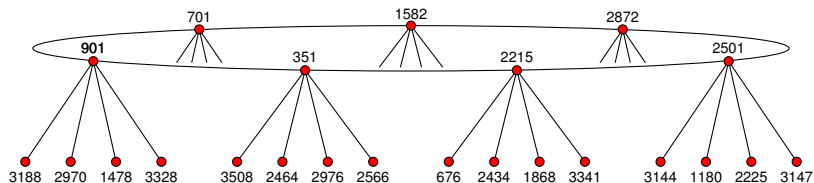
$$\Phi_5(X, 2501) = (X - 2215)(X - 2872)(X - 3147)(X - 2225)(X - 1180)(X - 3144)$$

$$\Phi_5(X, 2872) = (X - 2501)(X - 1582)(X - 1502)(X - 4228)(X - 1064)(X - 2087)$$

$$\Phi_5(X, 1582) = (X - 2872)(X - 701)(X - 945)(X - 3497)(X - 3244)(X - 291)$$

$$\Phi_5(X, 701) = (X - 1582)(X - 901)(X - 2843)(X - 4221)(X - 3345)(X - 4397)$$

# Interpolating $\Phi_\ell \bmod p$



$$\Phi_5(X, 901) = X^6 + 1337X^5 + 543X^4 + 497X^3 + 4391X^2 + 3144X + 3262$$

$$\Phi_5(X, 351) = X^6 + 3174X^5 + 1789X^4 + 3373X^3 + 3972X^2 + 2932X + 4019$$

$$\Phi_5(X, 2215) = X^6 + 2182X^5 + 512X^4 + 435X^3 + 2844X^2 + 2084X + 2709$$

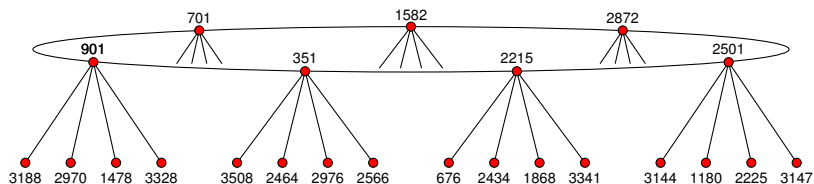
$$\Phi_5(X, 2501) = X^6 + 2991X^5 + 3075X^4 + 3918X^3 + 2241X^2 + 3755X + 1157$$

$$\Phi_5(X, 2872) = X^6 + 389X^5 + 3292X^4 + 3909X^3 + 161X^2 + 1003X + 2091$$

$$\Phi_5(X, 1582) = X^6 + 1803X^5 + 794X^4 + 3584X^3 + 225X^2 + 1530X + 1975$$

$$\Phi_5(X, 701) = X^6 + 515X^5 + 1419X^4 + 941X^3 + 4145X^2 + 2722X + 2754$$

# Interpolating $\Phi_\ell \bmod p$



$$\begin{aligned} \Phi_5(X, Y) = & X^6 + (4450Y^5 + 3720Y^4 + 2433Y^3 + 3499Y^2 + 70Y + 3927)X^5 \\ & (3720Y^5 + 3683Y^4 + 2348Y^3 + 2808Y^2 + 3745Y + 233)X^4 \\ & (2433Y^5 + 2348Y^4 + 2028Y^3 + 2025Y^2 + 4006Y + 2211)X^3 \\ & (3499Y^5 + 2808Y^4 + 2025Y^3 + 4378Y^2 + 3886Y + 2050)X^2 \\ & (70Y^5 + 3745Y^4 + 4006Y^3 + 3886Y^2 + 905Y + 2091)X \\ & (Y^6 + 3927Y^5 + 233Y^4 + 2211Y^3 + 2050Y^2 + 2091Y + 2108) \end{aligned}$$

# Computational results

## Level records

1. **10009**:  $\Phi_\ell$
2. **20011**:  $\Phi_\ell \bmod q$
3. **60013**:  $\Phi_\ell^f$

## Speed records

1. **251**:  $\Phi_\ell$  in 28s       $\Phi_\ell \bmod q$  in 4.8s      (vs 688s)
2. **1009**:  $\Phi_\ell$  in 2830s       $\Phi_\ell \bmod q$  in 265s      (vs 107200s)
3. **1009**:  $\Phi_\ell^f$  in 2.8s

Effective throughput when computing  $\Phi_{1009} \bmod q$  is 100Mb/s.

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Single core CPU times (AMD 3.0 GHz), using prime  $q \approx 2^{256}$ .

Polynomials  $\Phi_\ell^f$  for  $\ell < 5000$  available at <http://math.mit.edu/~drew>.