

Simultaneous Diagonalization of Incomplete Matrices and Applications

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I • Summary

Notation and Problem Statement

- Consider matrices

$$W_0 = P \cdot Q \in \mathbb{Q}^{p \times q}$$

$$W_a = P \cdot U_a \cdot Q \in \mathbb{Q}^{p \times q}, \quad a \in I$$

where

- $P \in \mathbb{Q}^{p \times n}$ of rank $p \leq n$
- $Q \in \mathbb{Q}^{n \times q}$ of rank $q \leq n$
- $\{U_a\}_{a \in I} \subseteq \mathbb{Q}^{n \times n}$ diagonal, $I := \{1, \dots, t\}$
- **Problem:** Given $W_0, \{W_a\}_a$, and assume W_0 is of full rank p compute diagonal entries of $\{U_a\}_a$

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- Easy case ($p, q = n, t = 1$) [we call this Problem \mathbb{A}]

Solution: Return the eigenvalues of

$$W_0^{-1} \cdot W_1 = Q^{-1} U_1 Q$$

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- Motivation for improved cryptanalysis

Summary of our work

1. We solve the mentioned problem by heuristic algorithms in the following cases:

- (Problem C) P of rank $p < n$, Q of rank $q = n$
- (Problem D) P, Q of rank $p = q < n$

Parameters:

- Solve C for $p \geq \sqrt{2n} = \mathcal{O}(\sqrt{n})$; $t \geq \sqrt{2n} - 1 = \mathcal{O}(\sqrt{n})$
- Solve D for $p \geq \frac{2}{3}n + \frac{\sqrt{n}}{3\sqrt{2}} = \frac{2}{3}n + \mathcal{O}(\sqrt{n})$; $t \geq \frac{\sqrt{2n}}{3} + \frac{5}{3} = \mathcal{O}(\sqrt{n})$

2. Applications

- **CRT-Approximate-Common Divisor Problem**
 - improvement of the Coron-Pereira algorithm (Asiacrypt'19)
 - By solving a certain instance of this problem, we obtain a quadratic improvement in the number of input samples
- **Cryptanalysis of CLT13 Multilinear Maps**
 - improvement of the Cheon et al. attack (Eurocrypt'15)
 - By solving a certain instance of this problem, we obtain a quadratic improvement in the number of encodings needed for the attack

II • Our Algorithms

Problem C: $Q \in \mathbf{GL}_n(\mathbb{Q})$

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- **Properties of $\{Z_a\}_a$:**
 - (a) General solution: $Z_a = Y_a + EX_a$, where
 - $Y_a \in \mathbb{Q}^{n \times n}$ s.t. $W_0Y_a = W_a$ (let $Y_a = W_0^\dagger W_a$)
 - $E \in \mathbb{Q}^{n \times p}$ s.t. $\langle E \rangle = \ker(W_0)$
 - $\{X_a\}_a \subseteq \mathbb{Q}^{p \times n}$ variables
 - (b) Matrices $\{Z_a\}_a$ **commute**

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 - (b) Matrices $\{Z_a\}_a$ **commute**
- $[Z_a, Z_b] = 0$ for all $a < b$ gives an explicit system of linear equations in the variables $\{X_a\}_a$
- Heuristic unicity of solution $\{X_a\}_a$ if the system has sufficiently many equations
- working condition: $p(t+1) \leq 2n$

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- working condition: $p(t+1) \leq 2n$
- e.g. choose $p = \lceil \sqrt{2n} \rceil, t = \lceil \sqrt{2n} \rceil - 1$

Algorithm for Pb. $C - P$ of low-rank, Q of full rank

Algorithm for Pb. $\mathbb{C} - P$ of low-rank, Q of full rank

Note that for $a, b \in I$:

$$[Z_a, Z_b] = 0 \implies W_a W_0^+ W_b - W_b W_0^+ W_a + W_a E X_b - W_b E X_a = 0$$

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Algorithm

1. For $a, b \in I, a < b$ compute $\Delta_{ab} = W_a W_0^+ W_b - W_b W_0^+ W_a$
2. Solve a linear system of equations

$$\Delta_{ab} = W_b E X_a - W_a E X_b, \quad a, b \in I, a < b$$

for the matrices $\{X_a\}_a$

3. If success, run simultaneous diagonalization of $\{Z_a\}_a$ with

$$Z_a = W_0^+ W_a + E X_a, \quad a \in I$$

Problem ID: P, Q of low-rank p

- Main idea: reduce to Problem C
- We compute $\{V_a\}_a \subseteq \mathbb{Q}^{p \times (n-p)}$ s.t. there exists $\tilde{Q} \in \mathbb{Q}^{p \times (n-p)}$ s.t. $[Q|\tilde{Q}] \in \text{GL}_n(\mathbb{Q})$ and

$$\begin{aligned}P\tilde{Q} &= \mathbf{0} \\PU_a\tilde{Q} &= V_a, \quad a \in I\end{aligned}$$

Problem D: P, Q of low-rank p

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$$\begin{aligned}P\tilde{Q} &= \mathbf{0} \\PU_a\tilde{Q} &= V_a, \quad a \in I\end{aligned}$$

- This gives public **augmented** matrices $\{W'_a\}_{a \in I \cup \{0\}}$:

$$\begin{aligned}W'_0 &:= [W_0|\mathbf{0}] = P[Q|\tilde{Q}] \in \mathbb{Q}^{p \times n} \text{ of full rank} \\W'_a &:= [W_a|V_a] = PU_a[Q|\tilde{Q}] \in \mathbb{Q}^{p \times n}, \quad a \in I\end{aligned}$$

- Use previous Algorithm on augmented input $W'_0, \{W'_a\}_a$

Algorithm for Pb. D - symmetrically low ranks in P, Q

- For $a, b \in I$ define $\Delta_{ab} = W_a W_0^{-1} W_b - W_b W_0^{-1} W_a \in \mathbb{Q}^{p \times p}$
- Rewrite as

$$\Delta_{ab} = V_a G_b - V_b G_a$$

for some $V_a \in \mathbb{Q}^{p \times (n-p)}$, $G_a \in \mathbb{Q}^{(n-p) \times n}$ (explicit construction)

- Heuristically, if $p > \frac{2}{3}n$ and $t = \#I \geq 3$:

$$\bigcap_{b \in I \setminus \{a\}} \text{Im}(\Delta_{ab}) = \text{Im}(V_a), \quad a \in I$$

Algorithm

1. Compute $\Delta_{ab} = W_a W_0^{-1} W_b - W_b W_0^{-1} W_a$ for $a, b \in I$
2. Compute basis matrices $\{V'_a\}$ of $\bigcap_{b \in I \setminus \{a\}} \text{Im}(\Delta_{ab})$ for every $a \in I$
3. Compute $\{V_a\}$ by solving a system of linear equations
4. Run first algorithm on $W'_0 = [W_0 | 0]$ and $W'_a = [W_a | V_a]$ for $a \in I$

III • Applications

Motivation : Applications in Cryptography

1. The CRT-ACD Approximate Common Divisor Problem

- improvement of the Coron-Pereira [CP19] algorithm
- By solving a certain instance of this problem, we obtain a quadratic improvement in the number of input samples

2. CLT13 Multilinear Maps

- improvement of the Cheon et al. attack [CHL⁺15]
- By solving a certain instance of this problem, we obtain a quadratic improvement in the number of encodings (of zero) needed for the attack

The CLT13 multilinear maps over the integers [CLT13]

- integers $n \geq 2$ (dimension of CLT13), $\kappa \geq 2$ multilinearity degree
- **Instance generation:** secret "large" primes p_1, \dots, p_n and secret "small" primes g_1, \dots, g_n
 - $x_0 = \prod_{1 \leq i \leq n} p_i$ public

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- **Encoding space** $\mathcal{E} = \mathbb{F}_{p_1} \times \dots \times \mathbb{F}_{p_n} \simeq \mathbb{Z}/x_0\mathbb{Z}$
 - graded structure: encode at levels $j \in \{1, \dots, \kappa\}$
 - supports homomorphic addition and multiplication

The CLT₁₃ multilinear maps over the integers [CLT₁₃]

- integers $n \geq 2$ (dimension of CLT₁₃), $\kappa \geq 2$ multilinearity degree
- **Instance generation**: secret "large" primes p_1, \dots, p_n and secret "small" primes g_1, \dots, g_n
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 - graded structure: encode at levels $j \in \{1, \dots, \kappa\}$
 - supports homomorphic addition and multiplication
- A public **zero-testing** procedure $\mathcal{P} : \mathcal{E}_\kappa \rightarrow \{0, 1\}$ defined by public zero-test parameter $p_{zt} \in \mathbb{Z}/x_0\mathbb{Z}$

Application to the cryptanalysis of the CLT13 Mmap

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- Sets $\mathcal{A}, \mathcal{B}, \mathcal{C}$ of low-level encodings s.t.
 $\forall (a, b, c) \in \mathcal{A} \times \mathcal{B} \times \mathcal{C} : abc = enc_{\kappa}(0)$
- $\#\mathcal{A} = n, \#\mathcal{B} = 2, \#\mathcal{C} = n$

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 $\forall (a, b, c) \in \mathcal{A} \times \mathcal{B} \times \mathcal{C} : abc = \text{enc}_k(0)$
- $\#\mathcal{A} = n, \#\mathcal{B} = 2, \#\mathcal{C} = n$
- Using zero-test function, derive matrix equalities
 $W_a = P \cdot U_a \cdot Q, a = 1, 2$ with secret
 - P – $n \times n$ matrix of rank n (whp)
 - U_a – diagonal $n \times n$
 - Q – $n \times n$ matrix of rank n (whp)
- Find prime factorization of x_0 from W_1, W_2 by solving Problem \mathbb{A}

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Cryptanalysis with fewer encodings

Rearrange sets $\mathcal{A}, \mathcal{B}, \mathcal{C}$ and solve Pb. \mathbb{C}/\mathbb{D} instead of \mathbb{A} :

- $\mathcal{O}(\sqrt{n})$ encodings of zero vs. n
- $4n/3 + \mathcal{O}(\sqrt{n})$ total encodings vs. $2n + 2$




Conclusion

This work

- generalizes a computational problem based on simultaneous matrix diagonalization
- provides heuristic algorithms to solve this problem
- offers quadratic improvement in input size for two problems with interest in computational number theory and cryptanalysis
- open: other applications possibly to find

Thank you for your attention

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