

# On the security of the m-RLWE problem

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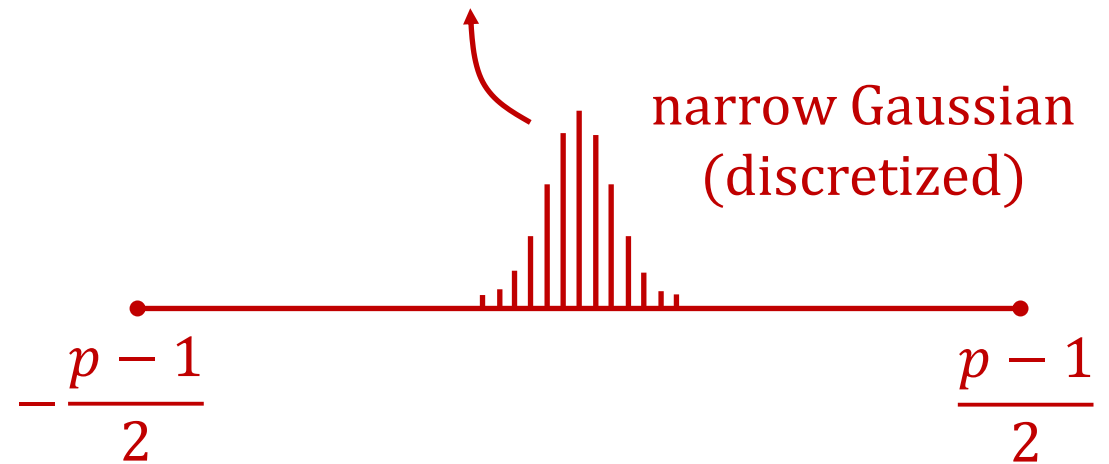
**KU LEUVEN**

# 1. The LWE problem (Regev '05)

↳ “learning with errors”

System of **approximate** linear equations over  $\mathbf{F}_p$ :

$$\begin{cases} a_{11}s_1 + a_{12}s_2 + \dots + a_{1n}s_n \approx c_1 + e_1 =: b_1 \\ \vdots \\ a_{m1}s_1 + a_{m2}s_2 + \dots + a_{mn}s_n \approx c_m + e_m =: b_m \end{cases}$$

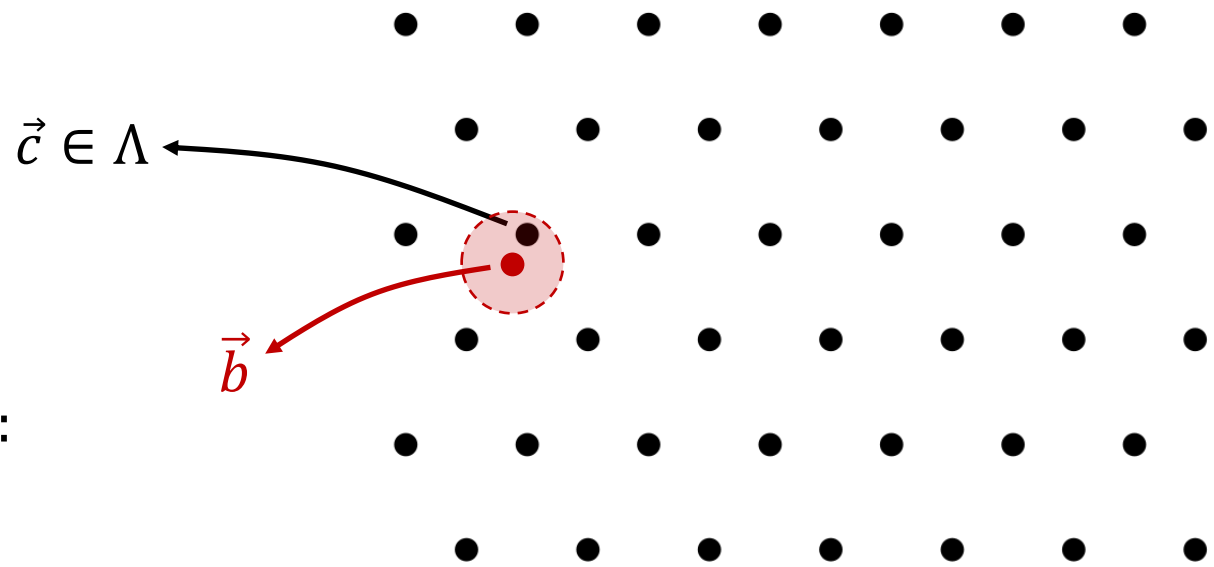


# 1. The LWE problem (Regev '05)

↳ “learning with errors”

System of **approximate** linear equations over  $\mathbf{F}_p$ :

$$A = \begin{pmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \cdots & a_{mn} \end{pmatrix} \cdot \begin{pmatrix} s_1 \\ \vdots \\ s_n \end{pmatrix} \approx \begin{pmatrix} b_1 \\ \vdots \\ b_m \end{pmatrix}$$



Lattice:

$$\Lambda = \left\{ \vec{y} \in \mathbf{Z}^m \mid \begin{array}{l} A \cdot \vec{s} = \vec{y} \text{ has a} \\ \text{solution mod } p \end{array} \right\}$$

Goal: find  $s_1, s_2, \dots, s_n$  (requires  $m > n$  or extra assumptions on the  $s_i$ 's).

Notes: ➤ ~~Gaussian elimination?~~

↳ errors heap up and become indistinguishable from uniform

➤ Can be viewed as instance of bounded distance decoding (BDD)

# 1. The LWE problem (Regev '05)

Good: ➤ Flexible and versatile

↳ key exchange, signatures, homomorphic encryption, ...

➤ Random self-reducible

↳ average case as hard as worst case

➤ No known quantum attacks

↳ of the 26 second-round contenders to the NIST competition,  
9 schemes are based on some form of LWE

Bad: ➤ Quadratic key size

↳ need  $\begin{pmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \cdots & a_{mn} \end{pmatrix}$ ,  $\begin{pmatrix} b_1 \\ \vdots \\ b_m \end{pmatrix}$  to hide  $\begin{pmatrix} s_1 \\ \vdots \\ s_n \end{pmatrix}$

## 2. The RLWE problem (Lyubashevsky, Peikert, Regev '12)

↳ “ring learning with errors”

Idea to reduce key size: use **structured** matrices, such as circulant matrices

$$\begin{pmatrix} a_1 & a_n & \cdots & a_2 \\ a_2 & a_1 & \cdots & a_3 \\ \vdots & \vdots & \ddots & \vdots \\ a_n & a_{n-1} & \cdots & a_1 \end{pmatrix} \cdot \begin{pmatrix} s_1 \\ s_2 \\ \vdots \\ s_n \end{pmatrix} \approx \begin{pmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{pmatrix}$$

sufficient to store first column

matrix of multiplication by

$$a_1 + a_2x + \cdots + a_nx^{n-1}$$

in the ring  $\mathbf{F}_p[x]/(x^n - 1)$



## 2. The RLWE problem (Lyubashevsky, Peikert, Regev '12)

↳ “ring learning with errors”

! Note: this does **not** apply to properly instantiated RLWE

Evaluation attack (Eisenträger, Hallgren, Lauter '14):

$$\frac{\mathbf{F}_p[x]}{(x^n - 1)} \rightarrow \mathbf{F}_p: f(x) \mapsto f(1)$$

most popular proper use:

$$\frac{\mathbf{F}_p[x]}{(x^n + 1)} \quad (\text{with } n = 2^k)$$

Therefore:  $a(x) \cdot s(x) = b(x) - e(x)$

$$e(x) = b(x) - a(x) \cdot s(x)$$

$$e(1) = b(1) - a(1) \cdot s(1)$$

$$\begin{aligned} &\parallel \\ &\sum_i e_i \longrightarrow \text{small} \end{aligned}$$

leaks  $s(1)$  when given enough samples

### 3. The **m-RLWE** problem (Pedrouzo-Ulloa, Troncoso-Pastoriza, Pérez-González '15)

↳ “multivariate ring learning with errors”

Idea: use quotients of **multivariate** polynomial rings, such as

$$\frac{\mathbf{F}_p[x, y]}{(x^{n_1} + 1, y^{n_2} + 1)} \quad (n_1 = 2^{k_1}, n_2 = 2^{k_2}).$$

Samples now look like:

$$\left( \sum_{i=0}^{n_1-1} \sum_{j=0}^{n_2-1} a_{ij} x^i y^j \right) \cdot \left( \sum_{i=0}^{n_1-1} \sum_{j=0}^{n_2-1} s_{ij} x^i y^j \right) \approx \sum_{i=0}^{n_1-1} \sum_{j=0}^{n_2-1} b_{ij} x^i y^j$$

$\begin{matrix} \text{!!} & & \text{!!} & & \text{!!} \\ a(x, y) & & s(x, y) & & b(x, y) = c(x, y) + e(x, y) \end{matrix}$

Motivation: matrix/tensor arithmetic in homomorphic encryption (e.g., signal processing).



### 3. The m-RLWE problem (Pedrouzo-Ulloa, Troncoso-Pastoriza, Pérez-González '15)

↳ “multivariate ring learning with errors”

This paper: m-RLWE **falls prey to evaluation attack** (also observed by Cheon, Kim, Yhee '18):

$$\frac{\mathbf{F}_p[x, y]}{(x^{n_1} + 1, y^{n_2} + 1)} = \frac{\frac{\mathbf{F}_p[x]}{(x^{n_1} + 1)} [y]}{(y^{n_2} + 1)}$$

admits  $x^{n_1/n_2}$  as a root  
(assume  $n_2 | n_1$ )

### 3. The m-RLWE problem (Pedrouzo-Ulloa, Troncoso-Pastoriza, Pérez-González '15)

↳ “multivariate ring learning with errors”

This paper: m-RLWE **falls prey to evaluation attack** (also observed by Cheon, Kim, Yhee '18):

$$\frac{\mathbf{F}_p[x, y]}{(x^{n_1} + 1, y^{n_2} + 1)} \rightarrow \frac{\mathbf{F}_p[x]}{(x^{n_1} + 1)} : f(x, y) \mapsto f(x, x^{n_1/n_2})$$

As before:  $a(x, y) \cdot s(x, y) = b(x, y) - e(x, y)$

$$e(x, y) = b(x, y) - a(x, y) \cdot s(x, y)$$

$$e(x, x^{n_1/n_2}) = b(x, x^{n_1/n_2}) - a(x, x^{n_1/n_2}) \cdot s(x, x^{n_1/n_2})$$

$$\sum_{i=0}^{n_1-1} \left( \sum_{j=0}^{n_2-1} \pm e_{r(i,j),j} \right) x^i$$

small

solving RLWE in dim  $n_1$   
leaks  $s(x, x^{n_1/n_2})$

### 3. The **m-RLWE** problem (Pedrouzo-Ulloa, Troncoso-Pastoriza, Pérez-González '15)

↳ “multivariate ring learning with errors”

It does not stop there:

$$\frac{\mathbf{F}_p[x]}{(x^{n_1} + 1)} [y] \quad \text{factors **completely** as} \quad (y - x^{n_1/n_2})(y - x^{3n_1/n_2}) \dots (y - x^{(2n_2-1)n_1/n_2})$$

*(Note: A curved arrow points from the fraction to the text "factors completely as")*

Thus: solving  $n_2$  instances of RLWE in dim  $n_1$  leaks

$$\left. \begin{array}{l} s(x, x^{n_1/n_2}) \\ s(x, x^{3n_1/n_2}) \\ \vdots \\ s(x, x^{(2n_2-1)n_1/n_2}) \end{array} \right\} \xrightarrow{\text{easy linear algebra}} s(x, y).$$

### 3. The **m-RLWE** problem (Pedrouzo-Ulloa, Troncoso-Pastoriza, Pérez-González '15)

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It does not stop there:

$$\frac{\mathbf{F}_p[x]}{(x^{n_1} + 1)} [y] \quad \text{factors **completely** as} \quad (y - x^{n_1/n_2})(y - x^{3n_1/n_2}) \dots (y - x^{(2n_2-1)n_1/n_2})$$

*(Note: The fraction above is also divided by  $(y^{n_2} + 1)$  in the original image, but the arrow points to the polynomial part.)*

Concrete example:

- $n_1 = n_2 = 128, p = 2^{42} + 15, \sigma = 1$  were expected to reach security level  $> 2500$ ,
- actual security level  $\approx 32$ , easy to run full break in practice.

### 3. The **m-RLWE problem** (Pedrouzo-Ulloa, Troncoso-Pastoriza, Pérez-González '15)

↳ “multivariate ring learning with errors”

High-level viewpoint: hardness of LWE in (the reduction mod  $p$  of)

$$\text{tensor product } \underbrace{\mathbf{Z}[\zeta_{2n_1}] \otimes_{\mathbf{Z}} \mathbf{Z}[\zeta_{2n_2}]}_{\dim = n_1 n_2} \text{ reduces to that in } \text{compositum } \underbrace{\mathbf{Z}[\zeta_{2n_1}, \zeta_{2n_2}]}_{\dim = n_1} = \mathbf{Z}[\zeta_{2n_1}]$$

Generalizes:

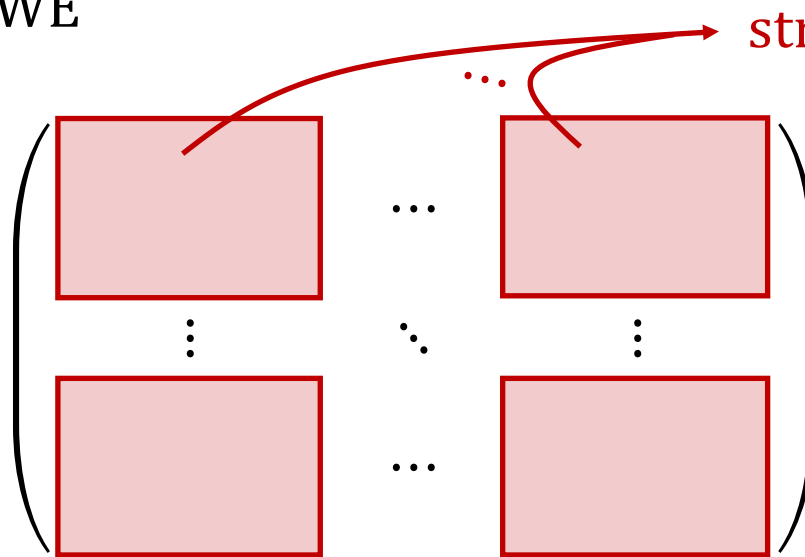
- from 2-RLWE to m-RLWE, i.e., to arbitrary number of factors,
- to arbitrary products with Galois compositum and good error growth.



#### 4. The MLWE problem (Langlois, Stehlé '15)

↳ “module learning with errors”

Interpolation LWE  $\longrightarrow$  RLWE



structured blocks:

matrices of multiplication by  
elements of  $\mathbf{F}_p[x]/(x^n + 1)$



4. The **MLWE** problem (Langlois, Stehlé '15)

↳ “module learning with errors”

Interpolation **LWE** → **RLWE**

what if, in a similar attempt to save space,  
we endow this module with a ring structure ...

$$\begin{pmatrix} a_{11}(x) & \dots & a_{1m}(x) \\ \vdots & \ddots & \vdots \\ a_{m1}(x) & \dots & a_{mm}(x) \end{pmatrix}$$

... like

$$\frac{\mathbf{F}_p[x]}{(x^n + 1)} [y] \frac{?}{(y^m + 1)}$$



... and choose this matrix to be a  
matrix of multiplication?

↳ linear transformation of the rank  $m$  **module**

Note: warning does not apply to all parameters  
(e.g., Kyber-768 uses  $n = 256$  and  $m = 3$ ).

$$\frac{\mathbf{F}_p[x]}{(x^n + 1)} \oplus \dots \oplus \frac{\mathbf{F}_p[x]}{(x^n + 1)}$$