

Extended groups and representation theory

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Outline

Adams, Vogan

Introduction

Root data

Real groups

Classifying
representations

Classification problems: old ideas

Root data, compact groups, complex groups

Classifying real groups

Classifying representations with extended groups

Plan of talk

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Lie groups, repn theory are **continuous, analytic**.

But **lists** of Lie groups, repns can be **discrete**.

Allows for exact computer calculations.

Idea: conjugation by G reduces **probs about G** \rightsquigarrow
probs about max torus, Weyl group.

Example (Cartan-Weyl): **fin-diml reps** \longleftrightarrow **dom wts**.

Agenda:





1. Root datum classif of complex reductive groups
2. Extended groups (combinatorial) classif of real forms
3. Extended dual groups = L-groups, classif of repns

Classifying compact groups: take one

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Compact conn Lie gps \leftrightarrow Dynkin diagrams

Dynkin diags for classical compact groups $U \dots$

type	diagram	U
A_n		$SU(n+1)$, quotients
B_n		$SO(2n+1)$, $Spin(2n+1)$
C_n		$Sp(n) = U(n, \mathbb{H})$, $PSp(n)$
D_n		$SO(2n)$, $Spin(2n)$, etc.

Missing from pictures: **covering groups**...

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Classifying compact groups: take two

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Grothendieck replaced Dynkin diag by **root datum**.

U compact \rightsquigarrow T maximal torus \rightsquigarrow

$X^*(T)$ = lattice of characters

$\supset R(U, T)$ roots of T in U (finite subset),

$X_*(T)$ = lattice of cocharacters

$\supset R^\vee(U, T)$ coroots of T in U (finite subset).

Structure: **lattices** X^* , X_* **dual** by $\langle, \rangle: X^* \times X_* \rightarrow \mathbb{Z}$;

Bijection $\alpha \mapsto \alpha^\vee$ from R to R^\vee ;

root refl $s_\alpha: X^* \rightarrow X^*$, $s_\alpha(\lambda) = \lambda - \langle \lambda, \alpha^\vee \rangle \alpha$

carrying R to R , R^\vee to R^\vee .

Weyl group $W = W(U, T)$ generated by various s_α .

Structure is **integer matrices**; **COMPUTERIZABLE!**

Main theorems about root data

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Definition. *Root datum* is (X^*, R, X_*, R^\vee) subj to

1. X^* and X_* are **dual lattices**, by pairing $\langle \cdot, \cdot \rangle$.
2. $R \subset X^*$, $R^\vee \subset X_*$ **finite**, in bijection by $\alpha \leftrightarrow \alpha^\vee$.
3. $\langle \alpha, \alpha^\vee \rangle = 2$, all $\alpha \in R$.
4. Aut of X^* $s_\alpha(\lambda) =_{\text{def}} \lambda - \langle \lambda, \alpha^\vee \rangle \alpha$ **permutes R** .
5. Transpose s_{α^\vee} of X_* **permutes R^\vee** .
6. Root datum is **reduced** if $\alpha \in R \implies 2\alpha \notin R$.

Weyl group is $W = \langle s_\alpha \mid \alpha \in R \rangle \subset \text{Aut}(X^*)$.

Theorem.

1. Every **reduced root datum** arises from a **compact connected Lie group**.
2. Every **isomorphism of root data**

$$(X^*(T), R(U, T), X_*(T), R^\vee(U, T))$$

$$\rightarrow (X^*(T'), R(U', T'), X_*(T'), R^\vee(U', T'))$$

arises from **isomorphism $(U, T) \rightarrow (U', T')$ of compact connected Lie groups**.

Open problem: describe **group maps** with root data.

Examples of root data

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Case $U \supset T \rightsquigarrow U(n) \supset U(1)^n$

$$X^* = \text{Hom}(U(1)^n, U(1)) \simeq \mathbb{Z}^n, \quad X_* = \text{Hom}(U(1), U(1)^n) \simeq \mathbb{Z}^n$$

$$R = \{e_p - e_q \mid p \neq q\}, \quad R^\vee = \{e_p - e_q \mid p \neq q\}$$

$$\begin{aligned} s_{e_p - e_q}(\lambda_1, \dots, \lambda_n) &= (\lambda_1, \dots, \lambda_n) - (\lambda_p - \lambda_q)(e_p - e_q) \\ &= (\lambda_1, \dots, \lambda_q, \dots, \lambda_p, \dots, \lambda_n) \\ &= \text{transposition of } p \text{ and } q \text{ coords.} \end{aligned}$$

$W = S_n$ symmetric group of order $n!$

Case $U = SU(n)$ determinant 1 subgroup

$$X^* = \mathbb{Z}^n / \mathbb{Z}(1, \dots, 1), \quad X_* = \{\xi \in \mathbb{Z}^n \mid \sum \xi_p = 0\}.$$

Case $U = G_2$ 14-diml group $\supset SU(3)$

$$X^* = \mathbb{Z}^3 / \mathbb{Z}(1, 1, 1), \quad X_* = \{\xi \in \mathbb{Z}^3 \mid \xi_1 + \xi_2 + \xi_3 = 0\}.$$

$$R = \{e_p - e_q\} \cup \{\pm e_r\}, \quad R^\vee = \{e_p - e_q\} \cup \{2e_r - e_p - e_q\}$$

$$s_{e_1}(\lambda_1, \lambda_2, \lambda_3) = (-\lambda_1 + \lambda_2 + \lambda_3, \lambda_2, \lambda_3) \equiv (-\lambda_1, -\lambda_3, -\lambda_2).$$

$W = \langle S_3, -Id \rangle$ dihedral group of order 12

Complexifying U

Root data so good as description of compact groups \rightsquigarrow
seek more questions with the same answer. . .

Cplx alg group is a subgp of $GL(E)$ def by poly eqns.

Cpt Lie gp $U \rightsquigarrow$ faithful rep on complex $E \rightsquigarrow$ embed
 $U \hookrightarrow GL(E)$.

$G(\mathbb{C}) =$ 1st def Zariski closure of U in $GL(E)$

• $C(U)_U =$ alg of U -finite functions on U
= matrix coeffs of fin-diml reps
 $\simeq \sum_{(\tau, V_\tau) \in \hat{U}} \text{End}(V_\tau)$ (Peter-Weyl),

finitely-generated commutative algebra $/\mathbb{C}$.

$G(\mathbb{C}) =$ 2nd def $\text{Spec}(C(U)_U)$.

Theorem. Construction gives *all* cplx reductive alg gps.

Corollary. Root data \leftrightarrow cplx conn reductive alg gps.

Realifying $G(\mathbb{C})$

Real alg gp is cplx alg gp $G(\mathbb{C})$ with $\text{Gal}(\mathbb{C}/\mathbb{R})$ action.

Require $(\sigma \cdot f)(g) =_{\text{def}} \sigma[f(\sigma^{-1}g)]$ ($\sigma \in \text{Gal}(\mathbb{C}/\mathbb{R})$) is **real algebra aut** of reg fns on $G(\mathbb{C})$; $G(\mathbb{R}) = \text{gp of fixed pts.}$

General (separable) \bar{k}/k : study rational forms using Galois cohomology, often starting from *split* form.

\mathbb{C}/\mathbb{R} : study Galois action as *single* automorphism σ (complex conjugation), often relate to *compact form*.

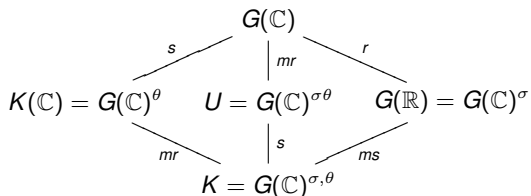
Theorem (Cartan). $G(\mathbb{C})$ cplx conn reductive alg.

1. Given real form σ of $G(\mathbb{C})$, there is *compact* real form σ_0 s.t. $\sigma\sigma_0 = \sigma_0\sigma$. Therefore $\theta = \sigma\sigma_0$ is alg inv aut of $G(\mathbb{C})$, **Cartan involution** for $G(\mathbb{R}, \sigma)$.
2. Write $K(\mathbb{C}) = G(\mathbb{C})^\theta$, reductive alg subgp. Real form
$$K =_{\text{def}} K(\mathbb{R}, \sigma) = K(\mathbb{R}, \sigma_0) = G(\mathbb{R}, \sigma)^\theta$$
 is *maximal compact subgroup of $G(\mathbb{R})$* .
3. Given alg inv aut θ of $G(\mathbb{C})$, there is *compact* real form σ_0 of $G(\mathbb{C})$, s.t. $\theta\sigma_0 = \sigma_0\theta$. So $\sigma =_{\text{def}} \theta\sigma_0$ is real form, **Cartan real form** for $G(\mathbb{C})$ and θ .

Cartan picture of real reductive groups

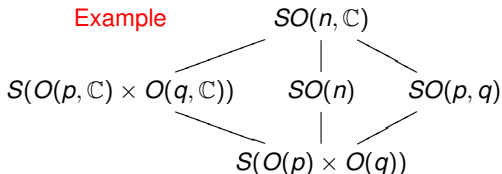
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Real forms $\sigma / (G(\mathbb{C}) \text{ conj}) \longleftrightarrow \text{inv auts } \theta / (G(\mathbb{C}) \text{ conj})$.



Subgp is **max cpt** (m) or **real form** (r) or **fixed by inv aut** (s).

Example



Classify **real forms** by **involutive automorphisms**.

Harish-Chandra: **(irreps of $G(\mathbb{R})$)** \longleftrightarrow **($\mathfrak{g}, K(\mathbb{C})$)-mods**

Involutive automorphism **enough** for rep theory!

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Root datum automorphisms: inner vs outer

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(X^*, R, X_*, R^\vee) root datum; *basing* is choice of basis
(*simple roots*) $\Pi \subset R, \Pi^\vee \subset R^\vee$. Write
 $S = \{s_\alpha \mid \alpha \in \Pi\}$ *simple reflections*.

$(X^*, \Pi, X_*, \Pi^\vee)$ called *based root datum*.

(based root datum) \rightsquigarrow Dynkin diagram: nodes $\longleftrightarrow \Pi$,
edges $\longleftrightarrow \langle \alpha, \beta^\vee \rangle \neq 0$ ($\alpha, \beta \in \Pi$).

Theorem.

1. Simple refls S are Coxeter gens for Weyl group W .
2. W is a normal subgroup of $\text{Aut}(X^*, R, X_*, R^\vee)$
3. W acts in *simply transitive way* on bases.
4. Short exact sequence

$$1 \rightarrow W \rightarrow \text{Aut}(X^*, R, X_*, R^\vee) \rightarrow \text{Out}(X^*, R, X_*, R^\vee) \rightarrow 1$$

(defining Out) is split by subgroup $\text{Aut}(X^*, \Pi, X_*, \Pi^\vee)$

Conclude: *outer automorphisms of root datum correspond to Dynkin diagram automorphisms.*

Group automorphisms: inner vs outer

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$G \supset T$ cplx reduc \supset max tor $\rightsquigarrow (X^*(T), R(G, T), X_*(T), R^\vee(G, T))$
root datum; **basing** is choice of **Borel subgroup** $B \supset T$.

Pinning is choice of **root $SL(2)$ s** $\phi_\alpha: SL(2) \rightarrow G$ for each $\alpha \in \Pi$; **pinning determines** $T \subset B$.

Theorem. Suppose that $(G, \{\phi_\alpha\})$ and $(G', \{\phi'_{\alpha'}\})$ are **pinning**s for reductive groups. Any isom Φ of the based root data lifts to **unique** isom $\Phi: (G, \{\phi_\alpha\}) \rightarrow (G', \{\phi'_{\alpha'}\})$ of pinned alg gps.

Corollary. G cplx conn reductive alg.

1. Group $\text{Int}(G) \simeq G/Z(G)$ of inner automorphisms acts simply transitively on pinning
2. Short exact sequence

$$1 \rightarrow \text{Int}(G) \rightarrow \text{Aut}(G) \rightarrow \text{Out}(G) \rightarrow 1$$

is split by subgroup $\text{Aut}(G, \{\phi_\alpha\})$.

3. $\text{Out}(G) \simeq \underbrace{\text{Aut}(G, \{\phi_\alpha\})}_{\text{distinguished}} \xrightarrow{\sim} \text{Aut}(X^*, \Pi, X_*, \Pi^\vee)$.

$$\text{Aut}(G) \simeq \text{Int}(G) \rtimes \text{Aut}(G, \{\phi_\alpha\}).$$

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Classifying real forms: extended groups

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$G \supset B \supset T$ cplx conn red alg, Borel, max torus \rightsquigarrow
 $(X^*, \Pi, X_*, \Pi^\vee)$ based root datum; $\{\phi_\alpha \mid \alpha \in \Pi\}$ pinning.

Recall **Cartan**: real forms $\sigma \longleftrightarrow$ involutive auts θ .

Inv aut $\theta \rightsquigarrow \delta = \delta(\theta) \in \text{Out}(G) \simeq \text{Aut}(X^*, \Pi, X_*, \Pi^\vee)$.

Fix involution $\delta \in \text{Aut}(X^*, \Pi, X_*, \Pi^\vee) \simeq \text{Aut}(G, \{\phi_\alpha\})$.

\rightsquigarrow **extended group** $G^\Gamma =_{\text{def}} G \rtimes \{1, \delta\} = \langle G, \delta \rangle$.

Defining relations:

$$\delta g \delta^{-1} = \delta(g) \quad (\text{action of automorphism } \delta),$$

$$\delta^2 = 1 \quad (\text{or replace by any } z \in Z(G)^\delta)$$

Definition. **Strong inv** is $\xi \in G^\Gamma \setminus G$ s.t. $\xi^2 \in Z(G)$.

Proposition. $N_G(T)$ orbits on strong invs in $T\delta$

$\xrightarrow{\sim} G$ orbits on strong invs

$\rightarrow G$ orbits of inv auts θ s.t. $\delta(\theta) = \delta$

FIRST LINE computerizable, **LAST LINE** interesting.

Secrets of KGB

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$G \supset B \supset T$; $G^\Gamma = \langle G, \delta \rangle$ ext grp; θ inv aut, $K = G^\theta$; $G(\mathbb{R})$.

Beilinson-Bernstein (approx): irrs of $G(\mathbb{R}) \iff K \backslash G/B$.

Classical: fix K , study orbits on $G/B =$ Borel subgps.

Adams-du Cloux: fix B , study orbits on $K \backslash G =$ strong invs.

Proposition. Given K , any Borel B' contains θ -fixed T' , unique up to $B' \cap K$ conjugation.

Proposition. Given $B \supset T$, any strong inv has B -conj ξ' preserving T , unique up to T conj.

Theorem (Adams-du Cloux) There are bijections

$$\begin{aligned} & T \text{ orbits on strong invs in } N_G(T)\delta \\ & \xrightarrow{\sim} B \text{ orbits on strong invs} \\ & \xrightarrow{\sim} \coprod_K K \text{ orbits on } G/B \end{aligned}$$

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Cor $N_G(T)$ orbits on strong invs in $N_G(T)\delta \iff$ max tori in $G(\mathbb{R})$

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Dual *everything*: L-groups

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Recall axioms for **root datum** (X^*, R, X_*, R^\vee) :

1. X^* and X_* **dual lattices**, by pairing $\langle \cdot, \cdot \rangle$.
2. $R \subset X^*$, $R^\vee \subset X_*$ **finite**, in bijection by $\alpha \leftrightarrow \alpha^\vee$.
3. $\langle \alpha, \alpha^\vee \rangle = 2$, all $\alpha \in R$.
4. Aut of X^* $s_\alpha(\lambda) =_{\text{def}} \lambda - \langle \lambda, \alpha^\vee \rangle \alpha$ **permutes R** .
5. Transpose s_{α^\vee} of X_* **permutes R^\vee** .

Weyl group is $W = \langle s_\alpha \mid \alpha \in R \rangle \subset \text{Aut}(X^*)$

Dual root datum is (X_*, R^\vee, X^*, R) ; **same** Weyl gp.

Similarly $\text{Out}(X^*, R, X_*, R^\vee) \simeq \text{Out}(X_*, R^\vee, X^*, R)$.

Recall (based root datum) \iff (cplx reductive G).

Langlands dual group ${}^\vee G$: $\iff (X_*, \Pi^\vee, X^*, \Pi)$.

reps of $G \iff$ structure of ${}^\vee G$.

Torus: characters of $T \iff$ one param subgps of ${}^\vee T$.

$\delta \in \text{Out}(G) \simeq \text{Out}({}^\vee G) \ni {}^\vee \delta \rightsquigarrow {}^\vee G^\Gamma =_{\text{def}} {}^L G$ **L-group of $G(\mathbb{R})$** .

Details about representations: L-groups

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G cplx reductive, inner class of real forms \longleftrightarrow

$\delta \in \text{Out}(G) \longleftrightarrow G^\Gamma = \langle G, \delta \rangle$ extended group.

$K \backslash G/B \longleftrightarrow \{ \xi \in N_G(T)\delta \mid \xi^2 \in Z(G) \} / T.$

$\xi \rightsquigarrow$ *twisted inv* $w\delta \in \langle W, \delta \rangle$. (Order 2 elt of $W\delta$)

Reps of $G(\mathbb{R})$ related to *Langlands params*

$\phi: W_{\mathbb{R}} \rightarrow {}^L G$ modulo ${}^\vee G$ conj.

Proposition. Langlands params \longleftrightarrow

$\{ (\eta, \lambda) \in N_{\vee G}({}^\vee T)^\vee \delta \times {}^\vee \mathfrak{t}^+ \mid \eta^2 = \exp(2\pi i \lambda) \} / {}^\vee T.$

$\eta \rightsquigarrow$ *twisted inv* ${}^\vee w^\vee \delta \in \langle W, {}^\vee \delta \rangle.$

Definition. ξ and (η, λ) *match* if twisted invs are negative transpose.

Theorem. Matching pairs $(\xi, (\eta, \lambda)) / (T \times {}^\vee T) \longleftrightarrow$
(irr reps of real forms in inner class).

FIRST LINE computerizable, LAST LINE interesting.