

# Extended groups and representation theory

Jeffrey Adams   David Vogan

University of Maryland  
Massachusetts Institute of Technology

CUNY Representation Theory Seminar  
April 19, 2013

# Outline

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Introduction

Root data

Real groups

Classifying  
representations

Classification problems: old ideas

Root data, compact groups, complex groups

Classifying real groups

Classifying representations with extended groups

# Plan of talk

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Lie groups, repn theory are **continuous, analytic**.

But **lists** of Lie groups, repns can be **discrete**.

Allows for exact computer calculations.

**Idea:** conjugation by  $G$  reduces **probs about  $G$**   $\rightsquigarrow$   
**probs about max torus, Weyl group**.

**Example** (Cartan-Weyl): **fin-diml reps**  $\longleftrightarrow$  **dom wts**.

**Agenda:**





1. Root datum classif of complex reductive groups
2. Extended groups (combinatorial) classif of real forms
3. Extended dual groups = L-groups, classif of repns

# Classifying compact groups: take one

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Compact conn Lie gps  $\leftrightarrow$  Dynkin diagrams

Dynkin diags for classical compact groups  $U \dots$

type	diagram	$U$
$A_n$		$SU(n+1)$ , quotients
$B_n$		$SO(2n+1)$ , $Spin(2n+1)$
$C_n$		$Sp(n) = U(n, \mathbb{H})$ , $PSp(n)$
$D_n$		$SO(2n)$ , $Spin(2n)$ , etc.

Missing from pictures: **covering groups**...

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# Classifying compact groups: take two

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Grothendieck replaced Dynkin diag by **root datum**.

$U$  compact  $\rightsquigarrow$   $T$  maximal torus  $\rightsquigarrow$

$X^*(T)$  = lattice of characters

$\supset R(U, T)$  roots of  $T$  in  $U$  (finite subset),

$X_*(T)$  = lattice of cocharacters

$\supset R^\vee(U, T)$  coroots of  $T$  in  $U$  (finite subset).

Structure: **lattices**  $X^*$ ,  $X_*$  **dual** by  $\langle, \rangle: X^* \times X_* \rightarrow \mathbb{Z}$ ;

**Bijection**  $\alpha \mapsto \alpha^\vee$  from  $R$  to  $R^\vee$ ;

**root refl**  $s_\alpha: X^* \rightarrow X^*$ ,  $s_\alpha(\lambda) = \lambda - \langle \lambda, \alpha^\vee \rangle \alpha$

carrying  $R$  to  $R$ ,  $R^\vee$  to  $R^\vee$ .

**Weyl group**  $W = W(U, T)$  generated by various  $s_\alpha$ .

Structure is **integer matrices**; **COMPUTERIZABLE!**

# Main theorems about root data

**Definition.** *Root datum* is  $(X^*, R, X_*, R^\vee)$  subj to

1.  $X^*$  and  $X_*$  are **dual lattices**, by pairing  $\langle, \rangle$ .
2.  $R \subset X^*$ ,  $R^\vee \subset X_*$  **finite**, in bijection by  $\alpha \leftrightarrow \alpha^\vee$ .
3.  $\langle \alpha, \alpha^\vee \rangle = 2$ , all  $\alpha \in R$ .
4. Aut of  $X^*$   $s_\alpha(\lambda) =_{\text{def}} \lambda - \langle \lambda, \alpha^\vee \rangle \alpha$  **permutes  $R$** .
5. Transpose  $s_{\alpha^\vee}$  of  $X_*$  **permutes  $R^\vee$** .
6. Root datum is **reduced** if  $\alpha \in R \implies 2\alpha \notin R$ .

**Weyl group** is  $W = \langle s_\alpha \mid \alpha \in R \rangle \subset \text{Aut}(X^*)$ .

**Theorem.**

1. Every **reduced root datum** arises from a **compact connected Lie group**.
2. Every **isomorphism of root data**

$$\begin{aligned} \Phi: (X^*(T), R(U, T), X_*(T), R^\vee(U, T)) \\ \rightarrow (X^*(T'), R(U', T'), X_*(T'), R^\vee(U', T')) \end{aligned}$$

arises from **isomorphism**  $(U, T) \rightarrow (U', T')$  of **compact connected Lie groups**.

Open problem: describe **group maps** with root data.

# Complexifying $U$

Root data so good as description of compact groups  $\rightsquigarrow$   
seek more questions with the same answer. . .

*Cplx alg group* is a subgp of  $GL(E)$  def by poly eqns.

Cpt Lie gp  $U \rightsquigarrow$  faithful rep on complex  $E \rightsquigarrow$  embed  
 $U \hookrightarrow GL(E)$ .

$G(\mathbb{C}) =$  1st def Zariski closure of  $U$  in  $GL(E)$

$$\begin{aligned} \cdot \quad C(U)_U &= \text{alg of } U\text{-finite functions on } U \\ &= \text{matrix coeffs of fin-diml reps} \\ &\simeq \sum_{(\tau, V_\tau) \in \hat{U}} \text{End}(V_\tau) \quad (\text{Peter-Weyl}), \end{aligned}$$

finitely-generated commutative algebra  $/\mathbb{C}$ .

$G(\mathbb{C}) =$  2nd def  $\text{Spec}(C(U)_U)$ .

**Theorem.** Construction gives *all* cplx reductive alg gps.

**Corollary.** Root data  $\leftrightarrow$  cplx conn reductive alg gps.

# Realifying $G(\mathbb{C})$

*Real alg gp* is cplx alg gp  $G(\mathbb{C})$  with  $\text{Gal}(\mathbb{C}/\mathbb{R})$  action.

Require  $(\sigma \cdot f)(g) =_{\text{def}} \sigma[f(\sigma^{-1}g)]$  ( $\sigma \in \text{Gal}(\mathbb{C}/\mathbb{R})$ ) is **real algebra aut** of reg fns on  $G(\mathbb{C})$ ;  $G(\mathbb{R}) = \text{gp of fixed pts.}$

General (separable)  $\bar{k}/k$ : study rational forms using Galois cohomology, often starting from *split* form.

$\mathbb{C}/\mathbb{R}$ : study Galois action as *single* automorphism  $\sigma$  (complex conjugation), often relate to *compact form*.

**Theorem** (Cartan).  $G(\mathbb{C})$  cplx conn reductive alg.

1. Given real form  $\sigma$  of  $G(\mathbb{C})$ , there is *compact* real form  $\sigma_0$  s.t.  $\sigma\sigma_0 = \sigma_0\sigma$ . Therefore  $\theta = \sigma\sigma_0$  is alg inv aut of  $G(\mathbb{C})$ , **Cartan involution** for  $G(\mathbb{R}, \sigma)$ .

2. Write  $K(\mathbb{C}) = G(\mathbb{C})^\theta$ , reductive alg subgp. Real form

$$K =_{\text{def}} K(\mathbb{R}, \sigma) = K(\mathbb{R}, \sigma_0) = G(\mathbb{R}, \sigma)^\theta$$

is *maximal compact subgroup of  $G(\mathbb{R})$* .

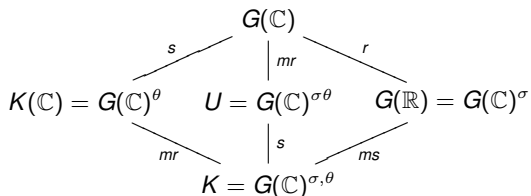
3. Given alg inv aut  $\theta$  of  $G(\mathbb{C})$ , there is *compact* real form  $\sigma_0$  of  $G(\mathbb{C})$ , s.t.  $\theta\sigma_0 = \sigma_0\theta$ . So  $\sigma =_{\text{def}} \theta\sigma_0$  is real form, **Cartan real form** for  $G(\mathbb{C})$  and  $\theta$ .



# Cartan picture of real reductive groups

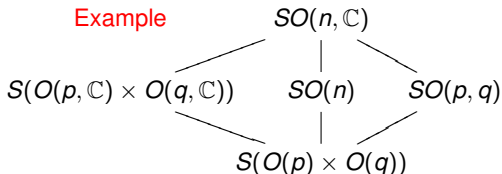
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Real forms  $\sigma / (G(\mathbb{C}) \text{ conj}) \longleftrightarrow \text{inv auts } \theta / (G(\mathbb{C}) \text{ conj})$ .



Subgp is **max cpt** (m) or **real form** (r) or **fixed by inv aut** (s).

**Example**



Classify **real forms** by **involutive automorphisms**.

Harish-Chandra: **(irreps of  $G(\mathbb{R})$ )**  $\longleftrightarrow$  **( $\mathfrak{g}, K(\mathbb{C})$ )-mods**

Involutive automorphism **enough** for rep theory!

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# Root datum automorphisms: inner vs outer

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$(X^*, R, X_*, R^\vee)$  root datum; *basing* is choice of basis  
(*simple roots*)  $\Pi \subset R, \Pi^\vee \subset R^\vee$ . Write  
 $S = \{s_\alpha \mid \alpha \in \Pi\}$  *simple reflections*.

$(X^*, \Pi, X_*, \Pi^\vee)$  called *based root datum*.

(based root datum)  $\rightsquigarrow$  Dynkin diagram: nodes  $\longleftrightarrow \Pi$ ,  
edges  $\longleftrightarrow \langle \alpha, \beta^\vee \rangle \neq 0$  ( $\alpha, \beta \in \Pi$ ).

## Theorem.

1. Simple refls  $S$  are Coxeter gens for Weyl group  $W$ .
2.  $W$  is a normal subgroup of  $\text{Aut}(X^*, R, X_*, R^\vee)$
3.  $W$  acts in *simply transitive way* on bases.
4. Short exact sequence

$$1 \rightarrow W \rightarrow \text{Aut}(X^*, R, X_*, R^\vee) \rightarrow \text{Out}(X^*, R, X_*, R^\vee) \rightarrow 1$$

(defining Out) is split by subgroup  $\text{Aut}(X^*, \Pi, X_*, \Pi^\vee)$

Conclude: *outer automorphisms of root datum correspond to Dynkin diagram automorphisms.*

# Group automorphisms: inner vs outer

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$G \supset T$  cplx reduc  $\supset$  max tor  $\rightsquigarrow (X^*(T), R(G, T), X_*(T), R^\vee(G, T))$   
root datum; **basing** is choice of **Borel subgroup**  $B \supset T$ .

**Pinning** is choice of **root  $SL(2)$ s**  $\phi_\alpha: SL(2) \rightarrow G$  for each  $\alpha \in \Pi$ ; **pinning determines**  $T \subset B$ .

**Theorem.** Suppose that  $(G, \{\phi_\alpha\})$  and  $(G', \{\phi'_{\alpha'}\})$  are **pinning**s for reductive groups. Any isom  $\Phi$  of the based root data lifts to **unique** isom  $\Phi: (G, \{\phi_\alpha\}) \rightarrow (G', \{\phi'_{\alpha'}\})$  of pinned alg gps.

**Corollary.**  $G$  cplx conn reductive alg.

1. Group  $\text{Int}(G) \simeq G/Z(G)$  of inner automorphisms acts simply transitively on pinning
2. Short exact sequence

$$1 \rightarrow \text{Int}(G) \rightarrow \text{Aut}(G) \rightarrow \text{Out}(G) \rightarrow 1$$

is split by subgroup  $\text{Aut}(G, \{\phi_\alpha\})$ .

3.  $\text{Out}(G) \simeq \underbrace{\text{Aut}(G, \{\phi_\alpha\})}_{\text{distinguished}} \xrightarrow{\sim} \text{Aut}(X^*, \Pi, X_*, \Pi^\vee)$ .

$$\text{Aut}(G) \simeq \text{Int}(G) \rtimes \text{Aut}(G, \{\phi_\alpha\}).$$

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# Classifying real forms: extended groups

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$G \supset B \supset T$  cplx conn red alg, Borel, max torus  $\rightsquigarrow$   
 $(X^*, \Pi, X_*, \Pi^\vee)$  based root datum;  $\{\phi_\alpha \mid \alpha \in \Pi\}$  pinning.

Recall **Cartan**: real forms  $\sigma \longleftrightarrow$  involutive auts  $\theta$ .

Inv aut  $\theta \rightsquigarrow \delta = \delta(\theta) \in \text{Out}(G) \simeq \text{Aut}(X^*, \Pi, X_*, \Pi^\vee)$ .

**Fix involution**  $\delta \in \text{Aut}(X^*, \Pi, X_*, \Pi^\vee) \simeq \text{Aut}(G, \{\phi_\alpha\})$ .

$\rightsquigarrow$  **extended group**  $G^\Gamma =_{\text{def}} G \rtimes \{1, \delta\} = \langle G, \delta \rangle$ .

Defining relations:

$$\delta g \delta^{-1} = \delta(g) \quad (\text{action of automorphism } \delta),$$

$$\delta^2 = 1 \quad (\text{or replace by any } z \in Z(G)^\delta)$$

**Definition.** **Strong inv** is  $\xi \in G^\Gamma \setminus G$  s.t.  $\xi^2 \in Z(G)$ .

**Proposition.**  $N_G(T)$  orbits on strong invs in  $T\delta$

$\xrightarrow{\sim} G$  orbits on strong invs

$\rightarrow G$  orbits of inv auts  $\theta$  s.t.  $\delta(\theta) = \delta$

**FIRST LINE** computerizable, **LAST LINE** interesting.

# Secrets of KGB

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$G \supset B \supset T$ ;  $G^\Gamma = \langle G, \delta \rangle$  ext grp;  $\theta$  inv aut,  $K = G^\theta$ ;  $G(\mathbb{R})$ .

**Beilinson-Bernstein** (approx): irrs of  $G(\mathbb{R}) \iff K \backslash G/B$ .

**Classical**: fix  $K$ , study orbits on  $G/B =$  Borel subgps.

**Adams-du Cloux**: fix  $B$ , study orbits on  $K \backslash G =$  strong invs.

**Proposition.** Given  $K$ , any Borel  $B'$  contains  $\theta$ -fixed  $T'$ , unique up to  $B' \cap K$  conjugation.

**Proposition.** Given  $B \supset T$ , any strong inv has  $B$ -conj  $\xi'$  preserving  $T$ , unique up to  $T$  conj.

**Theorem (Adams-du Cloux)** There are bijections

$$\begin{aligned} & T \text{ orbits on strong invs in } N_G(T)\delta \\ & \xrightarrow{\sim} B \text{ orbits on strong invs} \\ & \xrightarrow{\sim} \coprod_K K \text{ orbits on } G/B \end{aligned}$$

**FIRST LINE** computerizable, **LAST LINE** interesting.

**Cor**  $N_G(T)$  orbits on strong invs in  $N_G(T)\delta \iff$  max tori in  $G(\mathbb{R})$

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# Dual *everything*: L-groups

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Recall axioms for **root datum**  $(X^*, R, X_*, R^\vee)$ :

1.  $X^*$  and  $X_*$  **dual lattices**, by pairing  $\langle \cdot, \cdot \rangle$ .
2.  $R \subset X^*$ ,  $R^\vee \subset X_*$  **finite**, in bijection by  $\alpha \leftrightarrow \alpha^\vee$ .
3.  $\langle \alpha, \alpha^\vee \rangle = 2$ , all  $\alpha \in R$ .
4. Aut of  $X^*$   $s_\alpha(\lambda) =_{\text{def}} \lambda - \langle \lambda, \alpha^\vee \rangle \alpha$  **permutes  $R$** .
5. Transpose  $s_{\alpha^\vee}$  of  $X_*$  **permutes  $R^\vee$** .

Weyl group is  $W = \langle s_\alpha \mid \alpha \in R \rangle \subset \text{Aut}(X^*)$

**Dual root datum** is  $(X_*, R^\vee, X^*, R)$ ; **same** Weyl gp.

Similarly  $\text{Out}(X^*, R, X_*, R^\vee) \simeq \text{Out}(X_*, R^\vee, X^*, R)$ .

Recall (based root datum)  $\iff$  (cplx reductive  $G$ ).

**Langlands dual group**  ${}^\vee G$ :  $\iff (X_*, \Pi^\vee, X^*, \Pi)$ .

reps of  $G \iff$  structure of  ${}^\vee G$ .

Torus: characters of  $T \iff$  one param subgps of  ${}^\vee T$ .

$\delta \in \text{Out}(G) \simeq \text{Out}({}^\vee G) \ni {}^\vee \delta \rightsquigarrow {}^\vee G^\Gamma =_{\text{def}} {}^L G$  **L-group of  $G(\mathbb{R})$** .

# Details about representations: L-groups

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$G$  cplx reductive, inner class of real forms  $\longleftrightarrow$

$\delta \in \text{Out}(G) \longleftrightarrow G^\Gamma = \langle G, \delta \rangle$  extended group.

$K \backslash G/B \longleftrightarrow \{ \xi \in N_G(T)\delta \mid \xi^2 \in Z(G) \} / T.$

$\xi \rightsquigarrow$  *twisted inv*  $w\delta \in \langle W, \delta \rangle$ . (Order 2 elt of  $W\delta$ )

Reps of  $G(R)$  related to *Langlands params*

$\phi: W_{\mathbb{R}} \rightarrow {}^L G$  modulo  ${}^\vee G$  conj.

**Proposition.** Langlands params  $\longleftrightarrow$

$\{ (\eta, \lambda) \in N_{\vee G}({}^\vee T)^\vee \delta \times {}^\vee \mathfrak{t}^+ \mid \eta^2 = \exp(2\pi i \lambda) \} / {}^\vee T.$

$\eta \rightsquigarrow$  *twisted inv*  ${}^\vee w^\vee \delta \in \langle W, {}^\vee \delta \rangle$ .

**Definition.**  $\xi$  and  $(\eta, \lambda)$  *match* if twisted invs are negative transpose.

**Theorem.** Matching pairs  $(\xi, (\eta, \lambda)) / (T \times {}^\vee T) \longleftrightarrow$   
(irr reps of real forms in inner class).

FIRST LINE computerizable, LAST LINE interesting.