

## MIRROR SYMMETRY: LECTURE 14

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**0.1. Lagrangian Floer Homology (contd).** Let  $(M, \omega)$  be a symplectic manifold,  $L_0, L_1$  compact Lagrangian submanifolds intersecting transversely. Recall that the complexes  $CF(L_0, L_1) = \Lambda^{|L_0 \cap L_1|}$  carry a differential  $m_1$ , product  $m_2$ , and higher operations

$$(1) \quad CF^*(L_0, L_1) \otimes \cdots \otimes CF^*(L_{k-1}, L_k) \xrightarrow{m_k} CF^*(L_0, L_k)[2 - k]$$

We looked at  $J$ -holomorphic maps  $u$  from disks  $D^2$  with marked boundary points to disks in the manifold between  $L_0, \dots, L_k$  with  $u(z_0) = q \in L_0 \cap L_k, u(z_i) = p_i \in L_{i-1} \cap L_i$ . We find that the expected dimension of our manifold  $\mathcal{M}(p_1, \dots, p_k, q, [u], J)$  is  $\deg q - (\deg p_1 + \cdots \deg p_k) + k - 2$ . Assuming transversality,

$$(2) \quad m_k(p_k, \dots, p_1) = \sum_{\substack{q \in L_0 \cap L_k \\ \text{ind}([u]) = 0}} (\#\mathcal{M}(p_1, \dots, p_k, q, [u], J)) T^{\omega(u)} q$$

By looking at the  $\partial$  (1-dimensional moduli space), we obtained the  $A_\infty$  relations:

**Proposition 1.** *Assuming no bubbling of disks and spheres,  $\forall m \geq 1, (p_1, \dots, p_m), p_i \in L_{i-1} \cap L_i$ ,*

$$(3) \quad \sum_{\substack{k, \ell \geq 1 \\ k + \ell = m + 1 \\ 0 \leq j \leq \ell - 1}} (-1)^* m_\ell(p_m, \dots, p_{j+k+1}, m_k(p_{j+k}, \dots, p_{j+1}), p_j, \dots, p_1) = 0$$

where  $*$  =  $\deg(p_1) + \cdots + \deg(p_j) + j$ .

This implies that  $m_1$  is a differential,  $m_2$  satisfies the Leibniz rule, and  $m_2$  is associative up to homotopy given by  $m_3$  (i.e. it is associative in  $HF^*$ ).

**Definition 1.** *An  $A_\infty$  category is a linear “category” where morphism spaces are equipped with algebraic operations  $(m_k)_{k \geq 1}$  satisfying the  $A_\infty$ -relations (those defined above).*

In our case, we have the following categories:

- A Fukaya category is any of a number of  $A_\infty$  categories whose objects are Lagrangian submanifolds (with extra data), the morphisms are Floer complexes, and the algebraic operations are as above.
- So far we only have an ‘ $A_\infty$ -precategory’ because the homomorphisms have only been defined for transverse pairs of objects.
- At the homology level, we can also define the *Donaldson-(Fukaya category)* whose homomorphisms are the cohomologies  $HF$ , so that composition is automatically associative. This is technically easier, but we lose some information that we need for mirror symmetry.
- We eventually want to define our Fukaya category to be over  $\mathbb{C}$ , rather than over the Novikov ring. So far, we have counted disks with weights  $T^{\omega(u)} \in \Lambda$ , and Gromov compactness tells us that there are only finitely many contributions below a certain area. That is, the sums may be infinite, but they converge in the Novikov ring. Physicists usually write the terms as  $e^{-2\pi\omega(u)} \in \mathbb{R}$  instead of  $T^{\omega(u)}$ , and hope for convergence. Changing the value of  $T$  is equivalent to rescaling the symplectic form, i.e. working over  $\Lambda$  is equivalent to working with a family  $M, (\omega_t = t\omega)$ , with  $T = e^{-2\pi t}$ . We thus work near the large volume limit  $t \rightarrow \infty$  and compute Floer homologies for all  $t$  simultaneously. We call this the “convergent power series” Floer homology: even when defined, this is often not Hamiltonian isotopy invariant.
- For Lagrangians  $L_i$  equipped with  $(E_i, \nabla_i) \rightarrow L_i$  complex vector bundles with flat (unitary) connections. We think of these as local systems of coefficients on our Lagrangians. We define an associated complex with twisted coefficients:

$$(4) \quad CF((L_0, E_0, \nabla_0), (L_1, E_1, \nabla_1)) = \bigoplus_{p \in L_0 \cap L_1} \text{Hom}((E_0)_p, (E_1)_p) \otimes \Lambda$$

for  $L_0, L_1$  transverse. Then given  $p_1, \dots, p_k, p_i \in L_{i-1} \cap L_i, w_1, \dots, w_k, w_i \in \text{Hom}((E_{i-1})_{p_i}, (E_i)_{p_i})$ , we let

$$(5) \quad m_k(w_k, \dots, w_1) = \sum_{\substack{q \in L_0 \cap L_k \\ \text{ind}([u]) = 0}} (\#\mathcal{M}(p_1, \dots, p_k, q, [u], J)) T^{\omega(u)} \mathcal{P}_{[\partial u]}(w_k, \dots, w_1)$$

where  $\mathcal{P}_{[\partial u]}(w_k, \dots, w_1) \in \text{Hom}((E_0)_q, (E_k)_q)$  is defined by

$$(6) \quad \mathcal{P}_{[\partial u]}(w_k, \dots, w_1) = \gamma_k \circ w_k \circ \gamma_{k-1} \circ \dots \circ \gamma_1 \circ w_1 \circ \gamma_0$$

where parallel transport along  $\partial u$  from  $q \rightarrow p_1$  gives  $\gamma_0 \in \text{Hom}((E_0)_q, (E_0)_{p_1})$ , and similarly parallel transport from  $p_i \rightarrow p_{i+1}$  using  $\nabla_i$  gives  $\gamma_i \in \text{Hom}((E_i)_{p_i}, (E_i)_{p_{i+1}})$ . For  $\nabla_i$  flat, this only depends on  $[\partial u]$ . In particular, if  $E_i$  is the topologically trivial line bundle  $\mathbb{C} \times L_i$  and  $\nabla_i$  is a flat  $U(1)$

connection (up to gauge equivalence),  $\nabla_i = d + iA_i$  for  $A_i$  a closed 1-form, this encodes the data of holonomies  $\pi_1(L_i) \rightarrow U(1)$ . Then, using trivializations, we get  $CF = \Lambda_{\mathbb{C}}^{|L_0 \cap L_1|}$  with generators  $p, w = \text{id} : E_{0_p} \rightarrow E_{1_p}$  and  $m_k$  counts disks with weight  $T^{\omega(u)} \cdot \text{hol}(\partial u)$ , where

$$(7) \quad \text{hol}(\partial u) = \exp \left( i \sum_{j=0}^k \int_{\partial u_j} A_j \right)$$

is the holonomy of parallel transport.

We can now construct our first iteration of the Fukaya category:

- The objects are  $\mathcal{L} = (L, E, \nabla)$ , where  $L$  is a compact spin Lagrangian ( $\mathbb{Z}$ -graded:  $\mu_L = 0$  with grading data) and  $(E, \nabla)$  a flat hermitian vector bundle.
- The morphisms for transverse  $\mathcal{L}_0, \mathcal{L}_1$  is given by  $\text{hom}(\mathcal{L}_0, \mathcal{L}_1) = CF^*$ .

Issues:

- (1) What if  $L_0$  is not transverse to  $L_1$  (in particular, if  $L_0 = L_1$ )?
- (2) What if  $L$  bounds disks?

For the first problem, see Seidel's book: the idea is to use a Hamiltonian perturbation  $\phi_H$  to get  $L_1$  to be transverse to  $L_0$ , and define  $CF^*(L_0, L_1)$  to be generated by  $L_0 \cap \phi_H(L_1)$  (the vector bundles carry without change). We perturb all the  $\bar{\partial}$ -equations by suitable terms: in the strip-like ends, we have  $\frac{\partial u}{\partial s} + J(\frac{\partial u}{\partial t} + X_H(u)) = 0$  for  $H = H(L_{i-1}, L_i)$ . We need a procedure to associate to  $(L, L')$  a Hamiltonian  $H(L, L')$ , and to a sequence  $L_0, \dots, L_k$  some compatible perturbation data, and further to show that different choices give equivalent  $A_\infty$ -categories. Note that this will not be strictly unital, and will only get a homology unit.

Alternatively, one can use “Morse-Bott” Floer theory (e.g. FOOO). We define  $CF^*(L, L) = C_*(L; \Lambda)$  to be the space of singular chains on  $L$ : when defining the operations  $m_k$ , instead of strip-like ends, we have a marked point  $z$  on the boundary such that when evaluating at  $z$ , and require  $u(z)$  to be in the chain. For instance, in the product  $m_2$ , one considers disks with boundary points  $z_0, z_1, z_2$  with three evaluation maps  $\text{ev}_i : \overline{\mathcal{M}}_{0,3}(M, L; J, \beta) \rightarrow L$ ,

$$(8) \quad m_2(C_2, C_1) = \sum_{\beta \in \pi_2(X, L)} T^{\omega(\beta)} (\text{ev}_0)_* ([\overline{\mathcal{M}}_{0,3}(M, L; J, \beta)] \cap \text{ev}_1^* C_1 \cap \text{ev}_2^* C_2)$$

For the class  $\beta = 0$ , we find that the contribution of constant disks gives the intersection product on  $C_*(L)$ . The higher  $m_k$  follow similarly, though  $m_1$  does not allow  $\beta = 0$  and adds the classical  $\partial C$  instead. More generally, if  $L_0 \cap L_1$  have a “clean intersection” (i.e.  $L_0 \cap L_1$  is smooth), then we set  $CF^*(L_0, L_1) = C_*(L_0 \cap L_1; \Lambda)$ .