

# **Gromov-Witten Invariants and Schubert Polynomials**

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based on a joint paper with  
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“Quantum Schubert polynomials”

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# 1. Flag Manifold

$Fl_n = Fl(\mathbb{C}^n)$  flag manifold. Points are

$$U_1 \subset U_2 \subset \cdots \subset U_n = \mathbb{C}^n, \quad \dim U_i = i.$$

Homomorphism  $\alpha : \mathbb{Z}[x_1, \dots, x_n] \rightarrow H^*(Fl_n, \mathbb{Z})$

$$\alpha : x_i \longmapsto -c_1(\mathcal{E}_i/\mathcal{E}_{i-1}) \in H^2(Fl_n),$$

where  $0 = \mathcal{E}_0 \subset \mathcal{E}_1 \subset \cdots \subset \mathcal{E}_{n-1} \subset \mathcal{E}_n = \mathbb{C}^n$  are tautological vector bundles on  $Fl_n$  and  $c_1$  is the first Chern class.

**Theorem** [A. Borel, 1953] The map  $\alpha$  induces the isomorphism

$$\mathbb{Z}[x_1, \dots, x_n]/I_n \xrightarrow{\sim} H^*(Fl_n, \mathbb{Z})$$

where  $I_n = (e_1, \dots, e_n)$  is the ideal generated by elementary symmetric polynomials in  $x_1, \dots, x_n$ .

## Schubert Classes

Another description of  $H^*(Fl_n)$  is based on a decomposition of  $Fl_n$  into Schubert cells, labelled by permutations  $w \in S_n$

Fix a flag  $V_1 \subset V_2 \subset \dots \subset V_n = \mathbb{C}^n$ .

The Schubert variety  $\Omega_w$  is the set of all flags  $U. \in Fl_n$  such that for all  $p, q \in \{1, \dots, n\}$

$$\dim(U_p \cap V_q) \geq \#\{1 \leq i \leq p, w(i) \geq n + 1 - q\}$$

Then  $\text{codim}_{\mathbb{R}} \Omega_w = 2l(w)$ , where  $l(w)$  is the length of  $w$ .

Schubert class:

$$\sigma_w = [\Omega_w] \in H_{n(n-1)-2l}(Fl_n) \simeq H^{2l}(Fl_n)$$

**Theorem** [Ehresmann, 1934] The classes  $\sigma_w$ ,  $w \in S_n$ , form an additive basis in  $H^*(Fl_n, \mathbb{Z})$ .

In particular,  $\dim H^*(Fl_n) = n!$ .

**Q:** How to multiply Schubert classes?

**Q':** How to express a Schubert class in terms of generators  $x_i$ .

Answer (due to Bernstein, Gelfand, Gelfand) can be given in terms of divided differences.

### Divided differences

$S_n$  acts on  $f \in \mathbb{Z}[x_1, \dots, x_n]$  by

$$w f(x_1, \dots, x_n) = f(x_{w^{-1}(1)}, \dots, x_{w^{-1}(n)}).$$

Let  $s_i = (i, i + 1) \in S_n$  (adjacent transposition).

The divided difference operators are given by

$$\partial_i f = \frac{1}{x_i - x_{i+1}} (1 - s_i) f$$

## Schubert polynomials

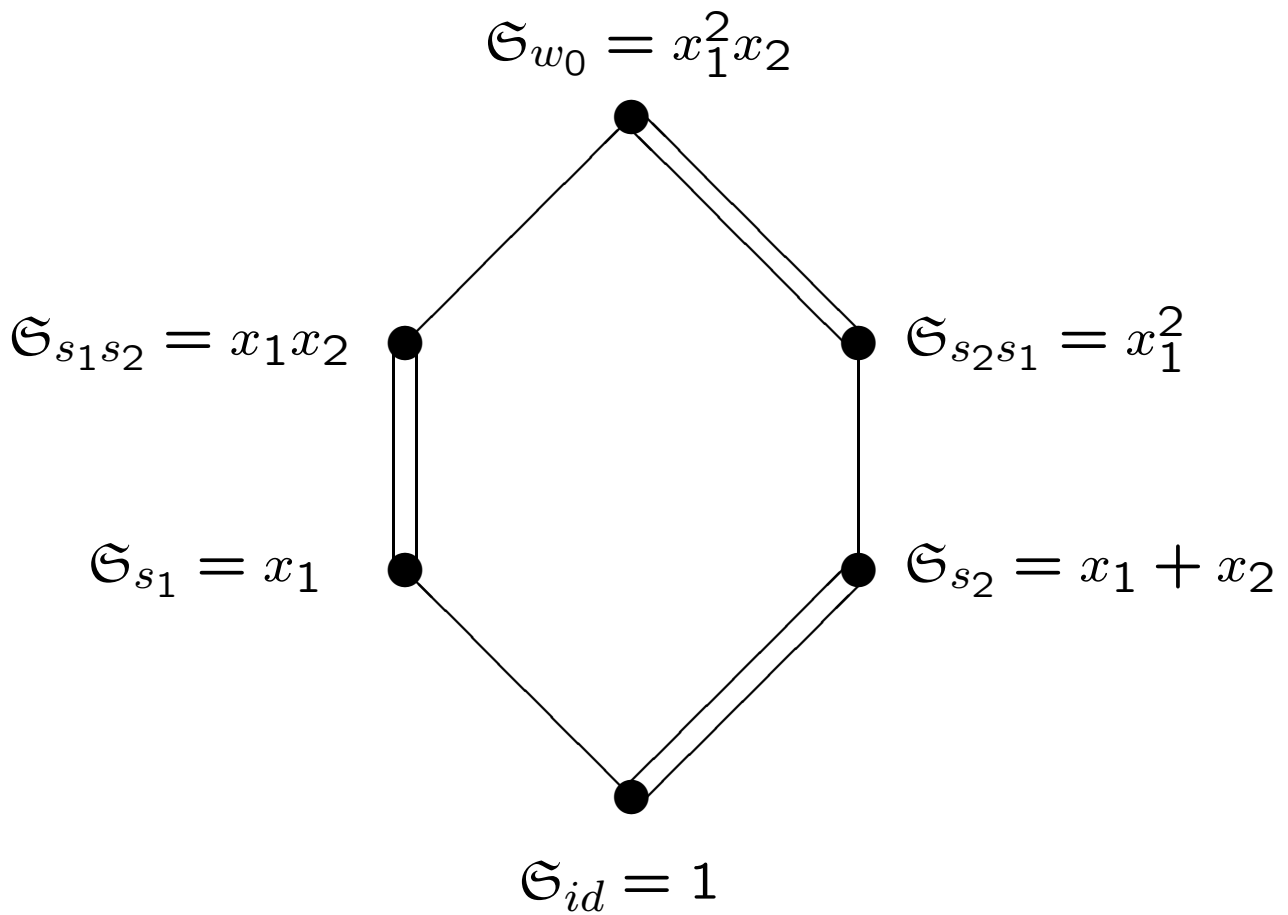
Define the Schubert polynomials  $\mathfrak{S}_w$ ,  $w \in S_n$  recursively by

$$\mathfrak{S}_{w_0} = x_1^{n-1} x_2^{n-2} \cdots x_{n-1},$$

(the choice of Lascoux–Schützenberger [1982]) where  $w_0 = (n-1, n-2, \dots, 1)$  is the longest permutation in  $S_n$ , and

$$\mathfrak{S}_{ws_i} = \partial_i \mathfrak{S}_w \quad \text{whenever} \quad l(ws_i) = l(w) - 1.$$

**Theorem** [BGG, 1973]  $\mathfrak{S}_w$  represents Schubert class  $\sigma_w$ .



**Schubert polynomials for  $S_3$**

## 2. Gromov-Witten Invariants and Quantum Cohomology

(see [Ruan-Tian, Kontsevich-Manin])

Structure constants of the quantum cohomology ring  $QH^*(X)$  are the *Gromov-Witten invariants* (for genus 0).

An algebraic map  $f : \mathbb{P}^1 \rightarrow Fl_n$  has multidegree  $d = (d_1, \dots, d_{n-1}) \in \mathbb{Z}_+^{n-1}$ ,  
 $d_i = \text{degree of } f_i : \mathbb{P}^1 \rightarrow Fl_n \rightarrow Gr(n, i)$ .

$\mathcal{M}_d(\mathbb{P}^1, Fl_n) = \text{moduli space of such maps.}$   
For  $Y \subset Fl_n$ ,  $t \in \mathbb{P}^1$ , denote

$$Y(t) = \{f \in \mathcal{M}_d(\mathbb{P}^1, Fl_n) \mid f(t) \in Y\}.$$

Gromov-Witten invariants: Fix  $t_1, t_2, t_3 \in \mathbb{P}^1$ .

$$\langle \sigma_u, \sigma_v, \sigma_w \rangle_d = \# \tilde{\Omega}_u(t_1) \cap \tilde{\Omega}_v(t_2) \cap \tilde{\Omega}_w(t_3)$$

provided  $l(u) + l(v) + l(w) = \dim \mathcal{M}_d(\mathbb{P}^1, Fl_n)$   
 $\tilde{\Omega}_u, \tilde{\Omega}_v, \tilde{\Omega}_w$  are generic translates of  $\Omega_u, \Omega_v, \Omega_w$ .

## Quantum multiplication

As an abelian group

$$QH^* = QH^*(Fl_n) = H^*(Fl_n, \mathbb{Z}) \otimes \mathbb{Z}[q_1, \dots, q_{n-1}]$$

Let  $*$  :  $QH^* \otimes QH^* \rightarrow QH^*$  be the  $\mathbb{Z}[q_1, \dots, q_{n-1}]$ -linear operation defined by

$$\sigma_u * \sigma_v = \sum_{w \in S_n} \sum_d q^d \langle \sigma_u, \sigma_v, \sigma_w w_0 \rangle_d \sigma_w.$$

Then  $(QH^*, *)$  is a commutative and associative algebra called the quantum cohomology ring of  $Fl_n$ .

**Remark:**  $\langle \sigma_u, \sigma_v, \sigma_w \rangle_{(0, \dots, 0)}$  is the ordinary intersection number. If we specialize  $q_1 = \dots = q_{n-1} = 0$ , the operation  $*$  becomes the standard multiplication in  $H^*(Fl_n)$  (the “classical limit”).



## Quantum analogue of Borel's theorem

Let  $E_1, \dots, E_n$  be the quantum elementary polynomials defined to be the coefficients of the characteristic polynomial of the matrix

$$\begin{pmatrix} x_1 & q_1 & 0 & \cdots & 0 & 0 \\ -1 & x_2 & q_2 & \cdots & 0 & 0 \\ 0 & -1 & x_3 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & x_{n-1} & q_{n-1} \\ 0 & 0 & 0 & \cdots & -1 & x_n \end{pmatrix}$$

Example:  $n = 3$

$$E_1 = x_1 + x_2 + x_3,$$

$$E_2 = x_1x_2 + x_1x_3 + x_2x_3 + q_1 + q_2,$$

$$E_3 = x_1x_2x_3 + q_1x_3 + q_2x_1.$$

**Theorem** [Givental, Kim, Ciocan-Fontanine, 1993–1996] There is a canonical isomorphism

$$\mathbb{Z}[x_1, \dots, x_n, q_1, \dots, q_{n-1}] / I_n^q \xrightarrow{\sim} \mathrm{QH}^*(Fl_n, \mathbb{Z})$$

where  $I_n^q$  be the ideal generated by  $E_1, \dots, E_n$ .

### 3. Main Results

**Q:** How to multiply Schubert classes in  $QH^*$ ?

**Q':** How to calculate the Gromov-Witten invariants  $\langle \sigma_u, \sigma_v, \sigma_w \rangle_d$  ?

**Q'':** How to express  $\sigma_w$  in terms of  $x_i$  in the ring  $QH^*$ .

$$\begin{array}{ccc} H^*(Fl_n) \otimes \mathbb{Z}[q_j] & \cong & \mathbb{Z}[x_i, q_j]/I_n \\ \parallel & & ? \\ QH^*(Fl_n) & \cong & \mathbb{Z}[x_i, q_j]/I_n^q \end{array}$$

We will construct the isomorphism

$$\mathbb{Z}[x_i, q_j]/I_n \longrightarrow \mathbb{Z}[x_i, q_j]/I_n^q$$

(“quantization map”)

## Standard elementary monomials

$e_i^k$  = the  $i^{\text{th}}$  elementary symmetric polynomial in  $x_1, \dots, x_k$  and

$E_i^k$  = the  $i^{\text{th}}$  quantum elementary polynomial in  $x_1, \dots, x_k$ .

For  $I = (i_1, i_2, \dots, i_{n-1})$ ,  $0 \leq i_p \leq p$

$$e_I = e_{i_1}^1 e_{i_2}^2 \cdots e_{i_{n-1}}^{n-1},$$

$$E_I = E_{i_1}^1 E_{i_2}^2 \cdots E_{i_{n-1}}^{n-1}$$

**Lemma** Both  $\{e_I\}$  and  $\{E_I\}$  are  $K$ -linear bases in  $K[x_1, x_2, \dots]$ , where  $K = \mathbb{Z}[q_1, q_2, \dots]$ .

## Quantization map

Define the  $K$ -linear map  $\psi : K[x_1, x_2, \dots] \rightarrow K[x_1, x_2, \dots]$  by

$$\psi : e_I \longmapsto E_I \text{ for all } I$$

**Remark.**  $\psi$  induces a map

$$K^n[x_1, \dots, x_n]/I_n \longrightarrow K^n[x_1, \dots, x_n]/I_n^q$$

where  $K^n = \mathbb{Z}[q_1, \dots, q_{n-1}]$ .

## Quantum Schubert polynomials:

Define

$$\mathfrak{S}_w^q := \psi(\mathfrak{S}_w)$$

**Theorem** [FGP] The quantum Schubert polynomial  $\mathfrak{S}_w^q$  represents the Schubert class  $\sigma_w$  in

$$\text{QH}^* \simeq \mathbb{Z}[x_1, \dots, x_n][q_1, \dots, q_{n-1}]/I_n^q.$$

## Example

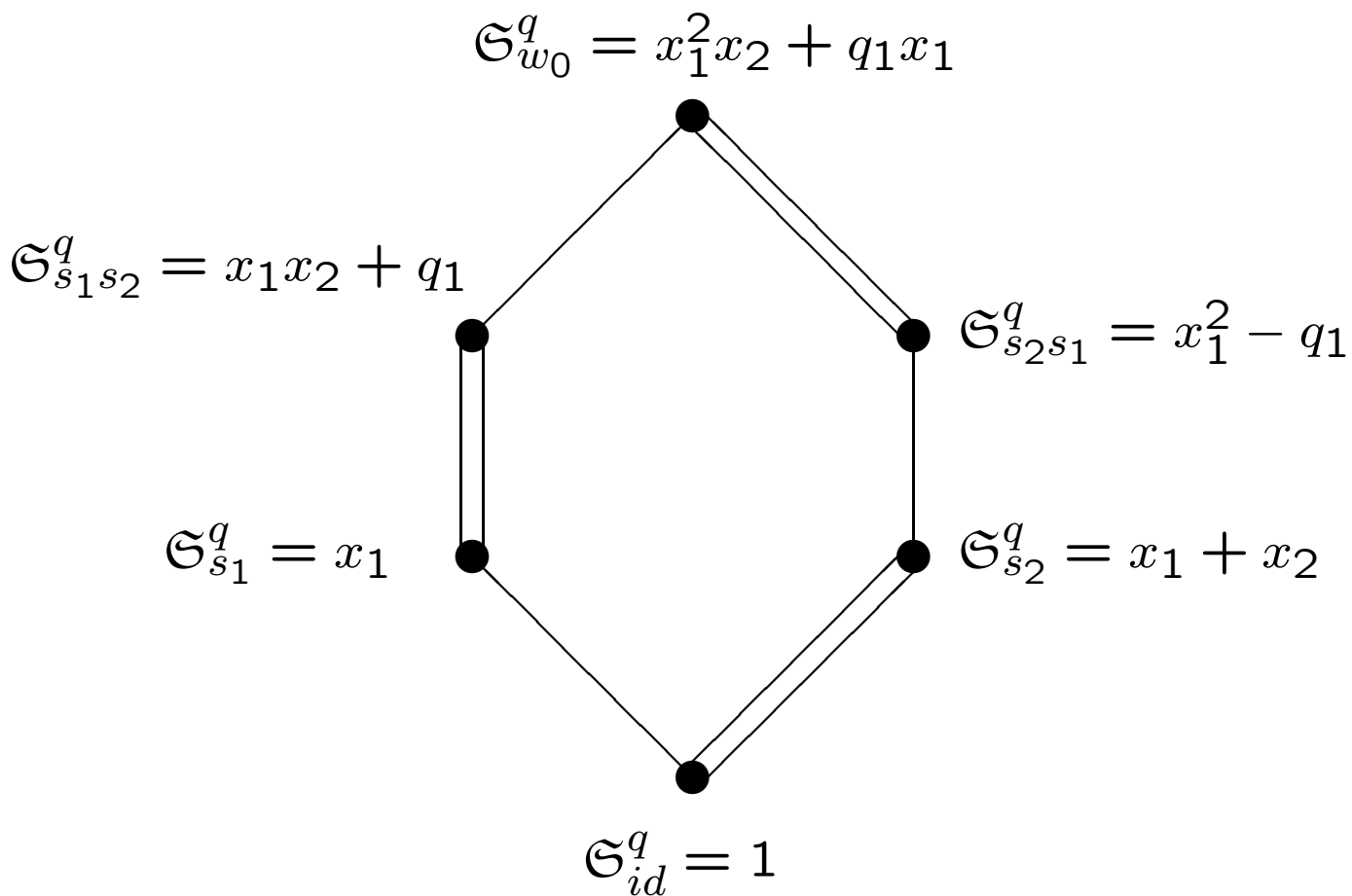
One can easily calculate the  $\mathfrak{S}_w^q$  using the divided differences  $\partial_i$ .

$$\mathfrak{S}_{4321} = \mathfrak{S}_{w_0} = e_{123};$$

$$\mathfrak{S}_{3421} = \partial_1 \mathfrak{S}_{w_0} = \partial_1 e_{123} = e_{023};$$

$$\mathfrak{S}_{3412} = \partial_3 e_{023} = (e_2^2)^2 = e_{022} - e_{013}.$$

$$\begin{aligned} & \mathfrak{S}_{3412}^q \\ &= E_{022} - E_{013} \\ &= x_1^2 x_2^2 + 2q_1 x_1 x_2 - q_2 x_1^2 + q_1^2 + q_1 q_2. \end{aligned}$$



**Quantum Schubert polynomials for  $S_3$**

## Axiomatic approach

The following properties of the  $\mathfrak{S}_w^q$  follow from their geometric definition:

**Axiom 1. Homogeneity:**  $\mathfrak{S}_w^q$  is a homogeneous polynomial of degree  $l(w)$  in  $x_1, \dots, x_n, q_1, \dots, q_{n-1}$ , assuming  $\deg(x_i) = 1$  and  $\deg(q_j) = 2$ .

**Axiom 2. Classical limit:** Specializing  $q_1 = \dots = q_{n-1} = 0$  yields  $\mathfrak{S}_w^q = \mathfrak{S}_w$ .

**Axiom 3. Positivity of GW-invariants:**

The  $c_{uv}^w$  in

$$\mathfrak{S}_u^q \mathfrak{S}_v^q = \sum_w c_{uv}^w \mathfrak{S}_w^q$$

are polynomials in the  $q_i$  with positive integer coefficients.

**Axiom 4. Quantum elementary polynomials:**

For a cycle  $w = s_{k-i+1} \dots s_k$ , we have

$$\mathfrak{S}_w^q = E_i(x_1, \dots, x_k).$$

Proved by [Ciocan-Fontanine].

**Theorem** [FGP] The polynomials  $\mathfrak{S}_w^q$  (modulo the ideal  $I_n^q$ ) are uniquely determined by Axioms 1–4.

**Conjecture** The polynomials  $\mathfrak{S}_w^q$  (mod  $I_n^q$ ) are uniquely determined by Axioms 1–3.

Checked for  $S_3$  and  $S_4$ .



## Quantum Monk's formula

Let  $t_{ab} = (a, b) = s_a s_{a+1} \cdots s_{b-1} \cdots s_a$  (transposition).

**Theorem** [FGP] We have

$$\mathfrak{S}_{s_r}^q \mathfrak{S}_w^q = \sum \mathfrak{S}_{wt_{ab}}^q + \sum q_c q_{c+1} \cdots q_{b-1} \mathfrak{S}_{wt_{cd}}^q$$

where the first sum is over  $a \leq r < b$  such that  $l(wt_{ab}) = l(w) + 1$  and the second sum is over  $c \leq r < d$  such that  $l(wt_{cd}) = l(w) - l(t_{cd})$ .

Note that  $\mathfrak{S}_{s_r}^q = \mathfrak{S}_{s_r} = x_1 + \cdots + x_r$ .

## Commuting operators approach

Define the operators on  $K[x_1, x_2, \dots]$

$$X_k = x_k - \sum_{i < k} q_{ij} \partial_{(ij)} + \sum_{j > k} q_{kj} \partial_{(kj)}$$

where  $\partial_{(ij)} = \partial_i \partial_{i+1} \dots \partial_{j-1} \dots \partial_{i+1} \partial_i$   
and  $q_{ij} = q_i q_{i+1} \dots q_{j-1}$ .

### **Theorem [FGP]**

- The operators  $X_k$  commute pairwise and  $K[X_1, X_2, \dots]$  is a free abelian group.
- For any  $g \in K[x_1, x_2, \dots]$  there is a unique polynomial  $G \in K[X_1, X_2, \dots]$  such that  $G : 1 \mapsto g$ .
- The map  $g \mapsto G$  is the quantization map  $\psi$ . In particular,  $e_I \mapsto E_I$  and  $\mathfrak{S}_w \mapsto \mathfrak{S}_w^q$ .
- $X_i$  induces the operator of quantum multiplication by  $x_i$  in  $\mathbb{Z}[x_i, q_j]/I_n \simeq H^* \otimes \mathbb{Z}[q_j]$ .

## Examples:

$$\begin{aligned}X_i(1) &= x_i, \\X_1X_1(1) &= x_1^2 + q_1, \\X_iX_i(1) &= x_i^2 - q_{i-1} + q_i, \quad i > 1 \\X_iX_{i+1}(1) &= X_{i+1}X_i(1) = x_ix_{i+1} - q_i, \\X_1X_1X_1(1) &= x_1^3 + 2q_1x_1 + q_1x_2.\end{aligned}$$

Thus we obtain

$$\begin{aligned}\psi : x_i &\longmapsto x_i, \\ \psi : x_1^2 &\longmapsto x_1^2 - q_1, \\ \psi : x_i^2 &\longmapsto x_i^2 + q_{i-1} - q_i, \quad i > 1 \\ \psi : x_ix_{i+1} &\longmapsto x_ix_{i+1} + q_i, \\ \psi : x_1^3 &\longmapsto x_1^3 - 2q_1x_1 - q_1x_2.\end{aligned}$$

**Three definitions** of  $\mathfrak{S}_w^q$ :

1.  $\mathfrak{S}_w^q$  represents  $\sigma_w$  in  $\text{QH}^*$ .
2. Quantization map  $\psi : e_I \mapsto E_I$ .
3.  $\psi : g(x_1, x_2, \dots) \mapsto G(X_1, X_2, \dots)$ .