

18.217 PROBLEM SET 1 (due Friday, October 18, 2024)

**Problem 1.** Define the *hypersimplex*  $\Delta_{nk}$ , for integers  $n > k > 0$ , as

$$\Delta_{nk} := \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid \sum_{i=1}^n x_i = k \text{ and } 0 \leq x_i \leq 1 \text{ for } i \in [n]\}$$

(1) Describe vertices, edges, and facets of the hypersimplex  $\Delta_{nk}$ .

(2) Calculate the  $f$ -vector  $(f_0, f_1, \dots, f_{n-1})$  of  $\Delta_{nk}$ . Your answer for  $f_i$  might involve a single summation.

**Problem 2.** Show that the Minkowski sum of hypersimplices  $\sum_{k=1}^{n-1} \Delta_{nk}$  is the standard permutohedron

$$P_n := \text{conv}\{(w_1, \dots, w_n) \mid w_1, \dots, w_n \text{ is permutation of } 1, \dots, n\}$$

translated by the vector  $-(1, \dots, 1)$ .

**Problem 3.** True or false: Let  $P$  be a convex 3-dimensional polytope such that  $P$  is centrally symmetric and all facets of  $P$  are a centrally symmetric polygons. Then  $P$  is a zonotope. (Prove or find a counterexample.)

**Problem 4.** Let  $G = (V, E)$  be a simple connected graph on the vertex set  $V = \{1, \dots, n\}$ . The *graphical zonotope*  $Z_G$  is defined as the Minkowski sum of line segments

$$Z_G := \sum_{\{i,j\} \in E} [\mathbf{e}_i, \mathbf{e}_j],$$

where  $\mathbf{e}_1, \dots, \mathbf{e}_n$  are the standard coordinate vectors in  $\mathbb{R}^n$ .

Consider a fine zonotopal tiling  $\tau$  of  $Z_G$ . We say that  $F \subset Z_G$  is a *face* the tiling  $\tau$  if  $F$  is a face of (at least one) tile in  $\tau$ . Let  $f_i = f_i(\tau)$  be the number of  $i$ -dimensional faces in  $\tau$ . Define the  $f$ -vector of  $\tau$  as  $(f_0, f_1, \dots, f_{n-1})$ . For example, the zonotopal tiling of a regular hexagon into 3 rhombuses has  $f$ -vector  $(7, 9, 3)$ .

Show that all fine zonotopal tilings of  $Z_G$  have the same  $f$ -vector.

**Problem 5.** For a graph  $G = (V, E)$  as in the previous problem, a  $G$ -tournament  $T$  is an orientation of all edges of  $G$ . (Here vertices of  $G$  represent teams; an edge  $\{i, j\} \in E$  represents a game between teams  $i$  and  $j$ ; and an orientation  $i \rightarrow j$  of an edge means that team  $i$  won the game with team  $j$  in a tournament  $T$ .) The *score vector*  $(s_1, \dots, s_n)$  of a tournament  $T$  is defined by  $s_i = \text{outdegree}_T(i)$ , for  $i = 1, \dots, n$ . In other words,  $s_i$  is the number of games that team  $i$  won.

(1) Prove that score vectors of all  $G$ -tournaments are exactly all integer lattice points of the graphical zonotope  $Z_G$ .

(2) Prove that  $\mathbf{v}$  is a vertex of the graphical zonotope  $Z_G$  if and only if there exists a unique  $G$ -tournament  $T$  such that  $\mathbf{v}$  is the score vector of  $T$ .

**Problem 6.** In class, we defined the  $n$ th associahedron  $A_n$  as the Minkowski sum of simplices

$$A_n := \sum_{1 \leq i \leq j \leq n} \text{conv}\{\mathbf{e}_i, \mathbf{e}_{i+1}, \dots, \mathbf{e}_j\} \subset \mathbb{R}^n.$$

We explained how to describe vertices of  $A_n$  in terms of binary trees.

Describe all faces of the polytope  $A_n$ . Show that the number  $f_k(A_n)$  of  $k$ -dimensional faces of  $A_n$  equals the number of subdivisions of an  $(n+2)$ -gon by  $n-k-1$  nonintersecting diagonals. (Here the diagonals are not allowed to intersect in the interior of the  $(n+2)$ -gon, but they are allowed to have common vertices.)

**Problem 7.** The *Narayana number*  $N(n, k)$ , for  $1 \leq k \leq n$ , is defined as the number of Dyck paths with  $2n$  steps ( $n$  “up” steps and  $n$  “down” steps) and exactly  $k$  peaks. (A *peak* in a Dyck path is an “up” step followed by a “down” step.)

In class, we showed that the  $k$ th component  $h_k(A_n)$  of the  $h$ -vector of the associahedron  $A_n$  equals the number binary trees on  $n$  nodes with exactly  $k$  left edges.

Prove that  $h_k(A_n) = N(n, k+1)$ . In other words, show that the number of binary trees on  $n$  nodes with  $k$  left edges equals the number of Dyck paths with  $2n$  steps and  $k+1$  peaks. Preferably, construct a bijection between these sets.

**Problem 8.** Recall the relation  $f(x) = h(x + 1)$  between the  $f$ -polynomial and the  $h$ -polynomial of a simple polytope. For the associahedron  $A_n$ , this gives the combinatorial identity

$$\sum_{k=0}^{n-1} f_k(A_n) x^k = \sum_{k=0}^{n-1} h_k(A_n) (x + 1)^k,$$

where  $f_k(A_n)$  is the number of subdivisions of an  $(n+2)$ -gon by  $n-k-1$  nonintersecting diagonals and  $h_k(A_n)$  is the Narayana number  $N(n, k+1)$  (see the previous two problems). Give a direct combinatorial proof of this identity.

**Problem 9.** (cf. previous problem) Similarly, for the standard permutohedron  $P_n$  we obtain the combinatorial identity

$$\sum_{k=0}^{n-1} f_k(P_n) x^k = \sum_{k=0}^{n-1} h_k(P_n) (x + 1)^k.$$

We showed in class that  $f_k(P_n) = (n-k)! S(n, n-k)$ , where  $S(n, n-k)$  is the Stirling number of the second kind, i.e., the number of set partitions of  $[n]$  with  $n-k$  nonempty blocks. On the other hand,  $h_k(P_n)$  is the Eulerian number  $A(n, k)$ , i.e., the number of permutations in  $S_n$  with exactly  $k$  descents.

Give a direct combinatorial proof of this identity relating Stirling numbers of the second kind with Eulerian numbers.

**Problem 10.** Construct a bijection between integer lattice points of the standard permutohedron  $P_n$  and forests on  $n$  labelled vertices.

**Problem 11.** Construct a decomposition of the permutohedron  $P_n$  into a disjoint union  $P_n = \pi_1 \dot{\cup} \pi_2 \dot{\cup} \dots \dot{\cup} \pi_M$  of half-open paralleloptopes  $\pi_i$  (of various dimensions  $d$ ) such that each  $d$ -dimensional paralleloptope  $\pi_i$  is isomorphic to the half-open cube  $[1, 0]^d$  via an integer invertible (over  $\mathbb{Z}$ ) linear map. (In class, we showed such a decomposition for  $P_3$ .)

**Problem 12.** We say that  $f : \mathbb{Z}_{\geq 0} \rightarrow \mathbb{R}$  is a *quasipolynomial function* if there exist a positive integer  $k$  and polynomials  $f_0(t), f_1(t), \dots, f_{k-1}(t)$  such that  $f(t) = f_i(t)$ , for any  $t \in \mathbb{Z}_{\geq 0}$  and  $i \in \{0, \dots, k-1\}$  such that  $t \equiv i \pmod{k}$ . For example,  $\lfloor t/2 \rfloor$  is a quasipolynomial with  $k = 2$ ,  $f_0(t) = t/2$ , and  $f_1(t) = (t-1)/2$ .

Let  $P \subset \mathbb{R}^d$  be a *rational* polytope, i.e., all vertices of  $P$  belong to  $\mathbb{Q}^d$ . Show that the function

$$i_P(t) = \#(tP \cap \mathbb{Z}^d), \text{ for } t \in \mathbb{Z}_{\geq 0}$$

is quasipolynomial. (Here you may assume that we already know polynomiality of Ehrhart polynomials of integer lattice polytopes.)

**Problem 13.** For a simple connected graph  $G$ , let  $\mathcal{F}_{\text{odd}}(G)$  (resp.,  $\mathcal{F}_{\text{even}}(G)$ ) and be the number of forests  $F \subset G$  containing all vertices of  $G$  with odd (resp., even) number of connected components.

(1) Show that  $\mathcal{F}_{\text{odd}}(G) \geq \mathcal{F}_{\text{even}}(G)$  for any  $G$ .

(2) Give a simple combinatorial characterization of graphs  $G$  for which  $\mathcal{F}_{\text{odd}}(G) = \mathcal{F}_{\text{even}}(G)$ .