

18.212 Lec. 10.1

Stirling # s : $s(n, k)$ (I kind) $S(n, k)$ (II kind)

Thm: (1) $\sum_{k=0}^n s(n, k) x^k = (x)_n$

(2) $\sum_{k=0}^n S(n, k) (x)_k = x^n$

Proof A (2): If the identity holds for x being a positive integers, then these polynomials should indeed be equal.

Assume $x \in \mathbb{Z}_{\geq 0} \Rightarrow (x)_k = k! \binom{x}{k}$

RHS: $x^n = \# \text{ functions } f: \{1, 2, \dots, n\} \rightarrow \{1, \dots, x\}$

LHS: $\sum_{k=0}^n \underbrace{k! S(n, k)}_{\substack{\# \text{ ordered partitions} \\ \text{of } n \text{ with} \\ k \text{ blocks (nonempty)}}} \underbrace{\binom{x}{k}}_{\substack{\# k \text{ element subsets}}}$

$\pi = (B_1 | B_2 | \dots | B_k)$

$\Rightarrow k! S(n, k) = \# \text{ surjective maps } f: [n] \rightarrow \{i_1, \dots, i_k\}$

$\binom{x}{k} = \# k \text{ element subsets } \{i_1, \dots, i_k\} \text{ of } \{1, 2, \dots, x\}$

$\Rightarrow k! S(n, k) \binom{x}{k} = \# \text{ functions } f: \{1, 2, \dots, n\} \rightarrow \{1, \dots, x\} \text{ s.t. } |\text{Im } f| = k$
i.e. f takes on exactly k different values.

$\Rightarrow \sum_{k=0}^n k! S(n, k) \binom{x}{k} = \# \text{ functions } f: \{1, 2, \dots, n\} \rightarrow \{1, \dots, x\} = x^n \quad \square$

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Sperner's thm (1928): let $I_1, I_2, \dots, I_N$ be different subsets of $[n]$ s.t.
 $\forall i \neq j: \underline{I_i \not\subseteq I_j \text{ and } I_j \not\subseteq I_i}$, Then $N \leq \binom{n}{\lfloor \frac{n}{2} \rfloor}$
i.e. they are incomparable

Note: By considering all $\lfloor \frac{n}{2} \rfloor$ sized subsets, we get that the bound is sharp.

Posets (Partially ordered sets)

Def: P is a set with a binary relation $\leq$, i.e. $\forall a, b: a \leq b$ is either true or not.

Axioms: (1) $a \leq a \quad \forall a \in P$ (reflexivity)

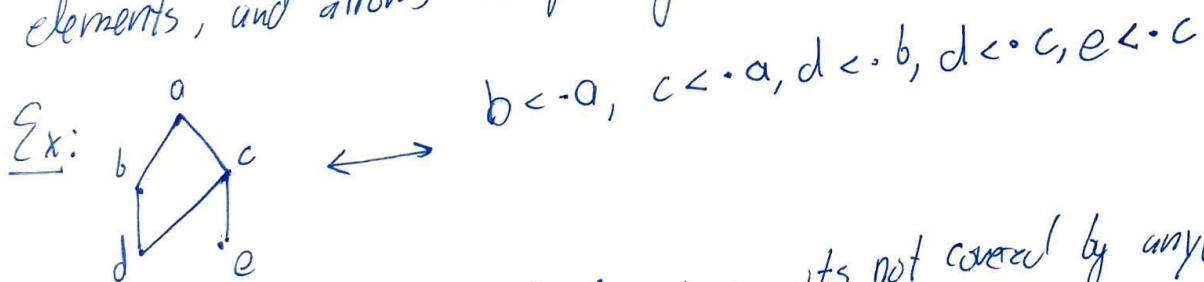
(2) $a \leq b$ and $b \leq a \Rightarrow a = b$

(3) $a \leq b, b \leq c \Rightarrow a \leq c$ (transitivity)

Notation: " $a < b$ " denotes $\begin{cases} a \leq b \\ a \neq b \end{cases}$ ($a \leq b$ & $a \neq b$)

• " $a < \cdot b$ " denotes that b covers a , or a is covered by b , i.e:
 $a < b$ and $\nexists c \in P$ s.t. $a < c < b$

Hasse diagram of P : a directed graph, with vertices corresponding to P 's elements, and arrows corresponding to $a < b$ relations.



When P has a unique maximal element, i.e. its not covered by anything, we denote it by $\hat{1}$. Vice versa for the minimal element, denoted by $\hat{0}$.

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Def: $a, b \in P$ are incomparable if $a \not\leq b$ & $b \not\leq a$

Def: A chain $C \subset P$ is a subset, s.t. any two elements are comparable.

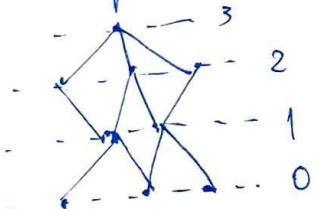
Def: A saturated chain is a chain of form $C = \{c_1 < c_2 < \dots < c_k\}$

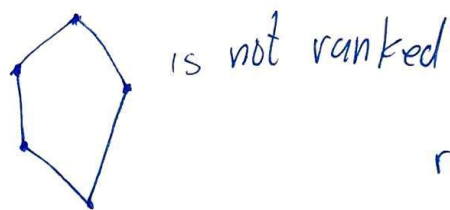
Def: An anti-chain $A \subset P$ is a subset s.t. any two elements are incomparable.

Def: A poset P is ranked if $\exists$ function $g: P \rightarrow \mathbb{Z}$ s.t.
(rank function)

$$\forall a, b \in P: a < b \Rightarrow g(a) + 1 = g(b)$$

For a finite poset P , we assume that $g: P \rightarrow \{0, 1, \dots, l\}$ is a surjective function.

Ex:  is ranked.



is not ranked

rank numbers



For ranked poset P , let $P_i = \{a \in P \mid g(a) = i\}$ and let $r_i = |P_i|$

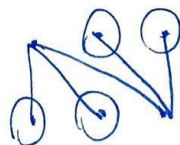
Def: A finite ranked poset is

- rank symmetric if $\forall i = 0, \dots, l$ we have $r_i = r_{l-i}$

- unimodal $\exists s \in \{0, \dots, l\}$ s.t. $r_0 \leq r_1 \leq \dots \leq r_s \geq r_{s+1} \geq \dots \geq r_l$

- sperrnard: If a maximal size of chain an anti-chain = $\max(r_0, r_1, \dots, r_l)$

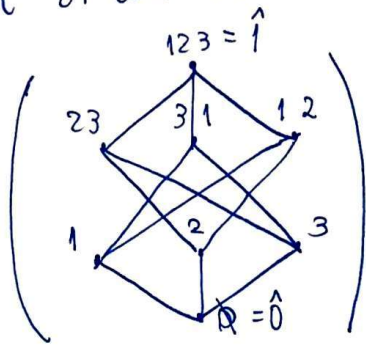
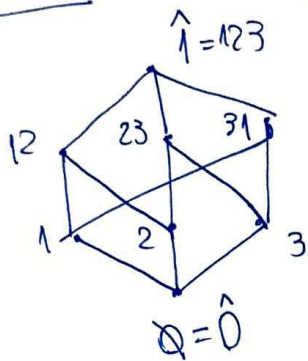
Ex:  is ranked, unimodal but not sperrnard!



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Boolean lattice: B_n the poset of all subsets $I \subseteq [n]$ ordered by inclusion

Ex: B_3 :



Thm: B_n is rank-symmetric, unimodal & Sperner.