

# On gamma factors and Bessel functions for representations of finite general linear groups

Elad Zelingher, University of Michigan

MIT Lie Groups Seminar  
March 1st 2023

# Plan

- Generic representations
- Gamma factors
- Bessel functions
- Kloosterman sheaves

## Generic representations

Let  $\mathbb{F}$  be a finite field with  $q$  elements. Let  $\psi : \mathbb{F} \rightarrow \mathbb{C}^\times$  be a non-trivial character.

Let  $U_n \leq \mathrm{GL}_n(\mathbb{F})$  be the subgroup of upper triangular unipotent matrices. We define a character  $\psi : U_n \rightarrow \mathbb{C}^\times$  by

$$\psi \left( \begin{pmatrix} 1 & a_1 & * & * \\ & 1 & a_2 & * \\ & & \ddots & a_{n-1} \\ & & & 1 \end{pmatrix} \right) = \psi \left( \sum_{i=1}^{n-1} a_i \right).$$

Let  $\pi$  be a (finite dimensional) representation of  $\mathrm{GL}_n(\mathbb{F})$ . A vector  $0 \neq v \in \pi$  is called a *Whittaker vector* if  $\pi(u)v = \psi(u)v$  for every  $u \in U_n$ .

- We say that  $\pi$  is *generic* if it admits a non-zero Whittaker vector.
- We say that  $\pi$  is of *Whittaker type* if  $\pi$  is generic and if it has a unique Whittaker vector, up to scalar multiplication.

## Generic representations

By a well known result of Gelfand–Graev, irreducible generic representations are of Whittaker type. We also have the following well known results:

- Irreducible cuspidal representations are generic.
- If  $\sigma_1, \dots, \sigma_r$  are representations of Whittaker type of  $\mathrm{GL}_{n_1}(\mathbb{F}), \dots, \mathrm{GL}_{n_r}(\mathbb{F})$ , respectively, then the parabolic induction  $\sigma_1 \circ \dots \circ \sigma_r$  is also a representation of Whittaker type.

As a corollary, for every irreducible generic representation  $\pi$ , there exist irreducible cuspidal representations  $\sigma_1, \dots, \sigma_r$  as above, such that  $\pi$  is the unique irreducible generic subrepresentation of  $\sigma_1 \circ \dots \circ \sigma_r$ . The multiset  $\{\sigma_1, \dots, \sigma_r\}$  depends only on  $\pi$ , and we call it *the cuspidal support* of  $\pi$ .

## Parabolic induction

Let  $\pi$  and  $\sigma$  be representations of Whittaker type of  $GL_n(\mathbb{F})$  and  $GL_m(\mathbb{F})$ , respectively.

Suppose that  $\pi$  and  $\sigma$  are representations of Whittaker type, and let  $v_{\pi,\psi}$  and  $v_{\sigma,\psi}$  be  $\psi$ -Whittaker vectors. The representations  $\pi \circ \sigma$  and  $\sigma \circ \pi$  are also of Whittaker type. We may define a  $\psi$ -Whittaker vector  $v_{\sigma,\pi,\psi}$  for  $\sigma \circ \pi$  by the formula

$$v_{\sigma,\pi,\psi}(g) = \begin{cases} 0 & g \notin P_{m,n} \begin{pmatrix} & I_m \\ & I_n \end{pmatrix} U_{m+n}, \\ \psi(u) v_{\sigma,\psi} \otimes v_{\pi,\psi} & g = \begin{pmatrix} & I_m \\ & I_n \end{pmatrix} u, \text{ where } u \in U_{m+n}. \end{cases}$$

Similarly, we may define a  $\psi$ -Whittaker vector  $v_{\pi,\sigma,\psi}$  for  $\pi \circ \sigma$ .

## Intertwining operators and Shahidi's gamma factor

We have an intertwining operator  $U_{\sigma,\pi} : \sigma \circ \pi \rightarrow \pi \circ \sigma$ , defined by

$$(U_{\sigma,\pi} f)(g) = \sum_{X \in \text{Mat}_{n \times m}(\mathbb{F})} \tilde{f} \left( \begin{pmatrix} & I_m \\ I_n & \end{pmatrix} \begin{pmatrix} I_n & X \\ & I_m \end{pmatrix} g \right),$$

where  $\widetilde{v_\sigma \otimes v_\pi} = v_\pi \otimes v_\sigma$ . Since the representations  $\sigma \circ \pi$  and  $\pi \circ \sigma$  are of Whittaker type, and since  $U_{\sigma,\pi} v_{\sigma,\pi,\psi}$  is a  $\psi$ -Whittaker vector, we have that there exists a constant  $\Gamma(\pi \times \sigma, \psi) \in \mathbb{C}$ , such that

$$U_{\sigma,\pi} v_{\sigma,\pi,\psi} = \Gamma(\pi \times \sigma, \psi) v_{\pi,\sigma,\psi}.$$

We call  $\Gamma(\pi \times \sigma, \psi)$  the Shahidi gamma factor of  $\pi$  and  $\sigma$  with respect to  $\psi$ .

## Shahidi's gamma factor

The Shahidi gamma factor enjoys the following properties:

- Symmetry:

$$\Gamma(\pi \times \sigma, \psi) = \Gamma(\sigma^\vee \times \pi^\vee, \psi^{-1}).$$

- Multiplicativity:

$$\Gamma(\pi \times (\sigma_1 \circ \sigma_2), \psi) = \Gamma(\pi \times \sigma_1, \psi) \Gamma(\pi \times \sigma_2, \psi).$$

We show the following property regarding the absolute value of  $\Gamma(\pi \times \sigma, \psi)$ . It is analogous to the property of the order of the pole of  $L(s, \pi \times \sigma^\vee)$  in the local case.

**Theorem (Soudry–Z. 2023):** Suppose that  $\pi$  and  $\sigma$  are irreducible and that  $\sigma$  is cuspidal. Then

$$\left| q^{-\frac{nm}{2}} \Gamma(\pi \times \sigma, \psi) \right| = q^{-\frac{m}{2} d_\pi(\sigma)},$$

where  $d_\pi(\sigma)$  is the number of times  $\sigma$  appears in the cuspidal support of  $\pi$ .

## Jacquet–Piatetski–Shapiro–Shalika gamma factor

There exists another construction of gamma factors for irreducible generic representations of  $GL_n(\mathbb{F})$  and  $GL_m(\mathbb{F})$  representations due to Jacquet–Piatetski–Shapiro–Shalika. A finite field analog of this construction was studied in the master's thesis of Edva Roditty-Gershon, under the supervision of David Soudry. It is based on the notion of a Whittaker model: given an irreducible generic representation  $\tau$  of  $GL_k(\mathbb{F})$ , choose a  $GL_k(\mathbb{F})$ -invariant inner product  $\langle \cdot, \cdot \rangle$  on  $\tau$  and a  $\psi$ -Whittaker vector  $v_{\tau, \psi}$ . The Whittaker model  $\mathcal{W}(\tau, \psi)$  is the space of all functions on  $GL_k(\mathbb{F})$  of the form

$$\mathcal{W}(\tau, \psi) = \{W_{v_{\tau}}(g) = \langle \tau(g)v_{\tau}, v_{\tau, \psi} \rangle \mid v_{\tau} \in \tau\}.$$

We have a linear isomorphism  $\mathcal{W}(\tau, \psi) \rightarrow \mathcal{W}(\tau^{\vee}, \psi^{-1})$  by the formula

$$\tilde{W}_{v_{\tau}}(g) = W_{v_{\tau}}(w_k({}^t g)^{-1}), \text{ where } w_k = \begin{pmatrix} & & & 1 \\ & & & \\ & & \cdot & \\ & & & \\ 1 & & & \end{pmatrix}.$$



## Jacquet–Piatetski–Shapiro–Shalika gamma factor

The Whittaker model  $\mathcal{W}(\tau, \psi)$  is the space of all functions on  $\mathrm{GL}_k(\mathbb{F})$  of the form  $\mathcal{W}(\tau, \psi) = \{W_{v_\tau}(g) = \langle \tau(g) v_\tau, v_{\tau, \psi} \rangle \mid v_\tau \in \tau\}$ .

If  $\pi$  and  $\sigma$  are irreducible generic representations of  $\mathrm{GL}_n(\mathbb{F})$  and  $\mathrm{GL}_m(\mathbb{F})$ , respectively, with  $n > m$ , then one defines an “integral”  $Z_j(W_{v_\pi}, W_{v_\sigma}; \psi)$  by the formula

$$\sum_{h \in U_m \backslash \mathrm{GL}_m(\mathbb{F})} \sum_{x \in \mathrm{Mat}_{(n-m-j-1) \times m}(\mathbb{F})} W_{v_\pi} \left( \begin{pmatrix} h & & \\ x & I_{n-m-j-1} & \\ & & I_{j+1} \end{pmatrix} \right) W_{v_\sigma}(h).$$

Under mild assumptions (for example,  $\pi$  is cuspidal), there exists a constant  $\gamma(\pi \times \sigma, \psi) \in \mathbb{C}^\times$ , such that for any  $j$  and  $v_\pi$  and  $v_\sigma$ ,

$$Z_{n-j}(\pi^\vee \left( \begin{smallmatrix} I_m & \\ & w_{n-m} \end{smallmatrix} \right) \tilde{W}_{v_\pi}, \tilde{W}_{v_\sigma}; \psi^{-1}) = q^{mj} \gamma(\pi \times \sigma, \psi) Z_j(W_{v_\pi}, W_{v_\sigma}; \psi).$$

## Comparison between gamma factors

One can also define  $\gamma(\pi \times \sigma, \psi)$  in the case that  $n = m$ . We show that if  $\pi$  and  $\sigma$  are irreducible generic representations such that  $\gamma(\pi \times \sigma^\vee, \psi)$  is defined, then

$$\Gamma(\pi \times \sigma, \psi) = q^{\frac{m(2n-m-1)}{2}} \omega_\sigma(-1) \gamma(\pi \times \sigma^\vee, \psi).$$

The proof goes through the Bessel functions of  $\pi$  and  $\sigma$ .

**Theorem (Soudry–Z 2023):** if  $n > m$ , then for any irreducible generic  $\pi$  and  $\sigma$

$$\Gamma(\pi \times \sigma, \psi) = q^{\frac{m(2n-m-1)}{2}} \omega_\sigma(-1) \sum_{g \in U_m \backslash \mathrm{GL}_m(\mathbb{F})} J_{\pi, \psi} \left( g \quad I_{n-m} \right) J_{\sigma^\vee, \psi^{-1}}(g).$$

By the work of Roditty-Gershon, this establishes the result above.

## Expression in terms of Gauss sums

Together with Rongqing Ye, we computed  $\gamma(\pi \times \sigma, \psi)$  for  $\pi$  and  $\sigma$  irreducible cuspidal representations. It follows that if  $\pi$  and  $\sigma$  are irreducible generic representations of  $\mathrm{GL}_n(\mathbb{F})$  and  $\mathrm{GL}_m(\mathbb{F})$ , associated with the (Galois orbits of the) regular characters  $\alpha : \mathbb{F}_\lambda^\times \rightarrow \mathbb{C}^\times$  and  $\beta : \mathbb{F}_\mu^\times \rightarrow \mathbb{C}^\times$ , respectively. Then

$$\begin{aligned} \Gamma(\pi \times \sigma, \psi) &= (-1)^{\ell(\mu)n + \ell(\lambda)m} \beta(-1)^n \\ &\quad \times \sum_{\xi \in (\mathbb{F}_\lambda \otimes_{\mathbb{F}} \mathbb{F}_\mu)^\times} \alpha^{-1}(\mathbf{N}_{/\mathbb{F}_\lambda}(\xi)) \beta(\mathbf{N}_{/\mathbb{F}_\mu}(\xi)) \psi(\mathrm{tr}_{/\mathbb{F}} \xi). \end{aligned}$$

Here, for a partition  $\lambda = (n_1, \dots, n_r) \vdash n$  we denote  $\ell(\lambda) = r$  and  $\mathbb{F}_\lambda = \mathbb{F}_{n_1} \times \dots \times \mathbb{F}_{n_r}$ , where  $\mathbb{F}_k/\mathbb{F}$  is a finite field extension of  $\mathbb{F}$  of degree  $k$ .

## Gel'fand–Graev Bessel function

We move to discuss the Bessel function. Let  $\pi$  be an irreducible generic representation of  $GL_n(\mathbb{F})$ . Let  $0 \neq v \in \pi$  be a Whittaker vector. Choose a  $GL_n(\mathbb{F})$ -invariant inner product  $\langle \cdot, \cdot \rangle$  on  $\pi$ . The Bessel function  $J_{\pi, \psi} : GL_n(\mathbb{F}) \rightarrow \mathbb{C}$  is defined as the following matrix coefficient

$$J_{\pi, \psi}(g) = \frac{\langle \pi(g)v, v \rangle}{\langle v, v \rangle}.$$

It satisfies the following properties:

- 1  $J_{\pi, \psi}(I_n) = 1$ .
- 2  $J_{\pi, \psi}(u_1 g u_2) = \psi(u_1) \psi(u_2) J_{\pi, \psi}(g)$  for  $u_1, u_2 \in U_n$ .
- 3  $J_{\pi, \psi}(g^{-1}) = \overline{J_{\pi, \psi}(g)} = J_{\pi^\vee, \psi^{-1}}(g)$ .
- 4  $J_{\pi, \psi}(zg) = J_{\pi, \psi}(gz) = \omega_\pi(z) J_{\pi, \psi}(g)$ , where  $z \in \mathbb{F}^\times$  and  $\omega_\pi : \mathbb{F}^\times \rightarrow \mathbb{C}^\times$  is the central character of  $\pi$ .

## Gel'fand–Graev Bessel function

Recall that by the Bruhat decomposition, any  $g \in \mathrm{GL}_n(\mathbb{F})$  can be written as  $g = u_1 d w u_2$ , where  $u_1, u_2 \in U_n$ ,  $d$  is a diagonal matrix and  $w$  is a permutation matrix. Thanks to the property  $J_{\pi, \psi}(u_1 g u_2) = \psi(u_1) \psi(u_2) J_{\pi, \psi}(g)$ , it suffices to know the values of  $J_{\pi, \psi}$  on matrices of the form  $d w$ . It turns out that  $J_{\pi, \psi}(d w) = 0$  unless  $d w$  is of the form

$$d w = \begin{pmatrix} & & & c_1 I_{n_1} \\ & & & \\ & & c_2 I_{n_2} & \\ & & \ddots & \\ c_s I_{n_s} & & & \end{pmatrix},$$

where  $n_1 + \cdots + n_s = n$  and  $c_1, \dots, c_s \in \mathbb{F}^\times$ .

## Gel'fand–Graev Bessel function

Why is this function called the Bessel function? If  $\pi$  is an irreducible cuspidal representation of  $\mathrm{GL}_2(\mathbb{F})$ , corresponding to the (Galois orbit of the) regular character  $\theta : \mathbb{F}_2^\times \rightarrow \mathbb{C}^\times$ , then we have for  $a \in \mathbb{F}^\times$

$$J_{\pi, \psi} \left( \begin{smallmatrix} 0 & 1 \\ a & 0 \end{smallmatrix} \right) = -q^{-1} \sum_{\substack{x \in \mathbb{F}_2^\times \\ N_{2,1}(x) = -a^{-1}}} \theta^{-1}(x) \psi(\mathrm{tr}_{\mathbb{F}_2/\mathbb{F}} x).$$

Compare with the classical Bessel function

$$J_n(x) = \frac{1}{2\pi i} \int_{|z|=1} z^{-n} \exp\left(\frac{ix}{2} (\mathrm{tr}_{\mathbb{C}/\mathbb{R}}(-iz))\right) \frac{dz}{z}.$$

## Exotic Kloosterman sums

Let  $\alpha : \mathbb{F}_\lambda^\times \rightarrow \mathbb{C}^\times$ . We denote

$$J(\alpha, \psi, a) = \sum_{\substack{x \in \mathbb{F}_\lambda^\times \\ N_{/\mathbb{F}}(x) = a}} \alpha^{-1}(x) \psi(\operatorname{tr}_{/\mathbb{F}} x).$$

We call  $J(\alpha, \psi, a)$  an exotic Kloosterman sum.

Curtis–Shinoda showed the following relation to the Bessel function.

**Theorem (Curtis–Shinoda 2004):** If  $\pi$  is an irreducible generic representation of  $\mathrm{GL}_n(\mathbb{F})$  associated with the character  $\alpha : \mathbb{F}_\lambda^\times \rightarrow \mathbb{C}^\times$  then for any  $c \in \mathbb{F}^\times$ ,

$$J_{\pi, \psi} \left( c \begin{matrix} & I_{n-1} \\ & \end{matrix} \right) = (-1)^{n+\ell(\lambda)} q^{-n+1} J \left( (-1)^{n-1} c^{-1}, \psi, a \right).$$

I generalized this result of Curtis–Shinoda.

## Exotic Kloosterman sums

When  $\lambda = (1, \dots, 1) \vdash n$  and  $\alpha = 1$ , we have that  $\pi$  is the Steinberg representation  $\text{St}_n$  of  $\text{GL}_n(\mathbb{F})$  and that  $J(1, \psi, a)$  is the usual Kloosterman sum

$$J(1, \psi, a) = \text{Kl}(\psi, a) = \sum_{\substack{x_1, \dots, x_n \in \mathbb{F}^\times \\ x_1 \cdots x_n = a}} \psi(x_1 + x_2 + \cdots + x_n).$$

Curtis–Shinoda’s result reads

$$J_{\text{St}_n, \psi} \left( \begin{matrix} & I_{n-1} \\ c & \end{matrix} \right) = q^{-n+1} \text{Kl}(\psi, (-1)^{n-1} c^{-1}).$$



## Exotic Kloosterman sheaves

Consider the exotic Kloosterman sheaf

$\mathcal{K}^* = \mathbf{R} \text{Norm}_! (\text{Trace}^* \text{AS}_\psi \otimes \mathcal{L}_{\alpha^{-1}}) [n-1] \left(\frac{n-1}{2}\right)$ , where

$$\begin{array}{ccc}
 & \text{Res}_{\mathbb{F}_\lambda/\mathbb{F}} \mathbb{G}_m & \\
 \text{Norm} \swarrow & & \searrow \text{Trace} \\
 \mathbb{G}_m & & \mathbb{A}^1
 \end{array}$$

For every  $m$ , we have

$$\text{tr}(\text{Fr}^m, \mathcal{K}^* |_a) = (-1)^{n-1} q^{-\frac{m(n-1)}{2}} \sum_{\substack{x \in (\mathbb{F}_\lambda \otimes_{\mathbb{F}} \mathbb{F}_m)^\times \\ N_{/\mathbb{F}_m}(x) = a}} \alpha^{-1}(N_{/\mathbb{F}_\lambda}(x)) \psi(\text{tr}_{/\mathbb{F}} x).$$

## Exotic Kloosterman sheaves

**Theorem (Z. 2022):** for any  $c \in \mathbb{F}^\times$  we have

$$\mathrm{tr} \left( \mathrm{Fr}, \wedge^m \mathcal{K}^* \mid_{(-1)^{n-1}c^{-1}} \right) = (-1)^{m(\ell(\lambda)-1)} q^{\frac{m(n-m)}{2}} J_{\pi, \psi} \left( \begin{array}{c} I_{n-m} \\ cI_m \end{array} \right).$$

As a corollary, we get that for the usual Kloosterman sheaf  $\mathrm{Kl}(\psi)$ , the characteristic polynomial of  $\mathrm{Fr}, \mathrm{Kl}(\psi) \mid_{(-1)^{n-1}c^{-1}}$  is

$$\sum_{m=0}^n (-1)^{n(m-n)} q^{\frac{m(n-m)}{2}} J_{\mathrm{St}_n, \psi} \left( \begin{array}{c} I_m \\ cI_{n-m} \end{array} \right) T^m.$$

This is a deep relation between the Steinberg representation and Kloosterman sheaves.

## Relation to exotic Kloosterman sheaves

Using the formula

$$\mathrm{tr} \left( \mathrm{Fr}, \wedge^m \mathcal{K}^* \mid_{(-1)^{n-1}c^{-1}} \right) = (-1)^{m(r-1)} q^{\frac{m(n-m)}{2}} J_{\pi, \psi} \left( \begin{array}{c} I_{n-m} \\ cI_m \end{array} \right),$$

the relation

$$J_{\pi, \psi} \left( \begin{array}{c} I_{n-m} \\ cI_m \end{array} \right) = \overline{J_{\pi, \psi} \left( \begin{array}{c} c^{-1}I_m \\ I_{n-m} \end{array} \right)} = \omega_{\pi}(c) \overline{J_{\pi, \psi} \left( \begin{array}{c} I_m \\ cI_{n-m} \end{array} \right)}$$

can be seen as a corollary of the isomorphism

$$\wedge^m(V) \cong \det V \otimes (\wedge^{n-m}(V))^{\vee},$$

where  $V$  is an  $n$ -dimensional complex representation of a group.

## About the proof – Recursive formula for the Bessel function

As we explained before,  $\gamma(\pi \times \sigma, \psi)$  can be expressed in terms of the Bessel functions of  $\pi$  and  $\sigma$ :

$$\gamma(\pi \times \sigma, \psi) = \sum_{g \in U_m \backslash \mathrm{GL}_m(\mathbb{F})} J_{\pi, \psi} \left( g \begin{matrix} & I_{n-m} \\ & \end{matrix} \right) J_{\sigma, \psi^{-1}}(g).$$

On the other hand, we have that matrix coefficients of non-isomorphic representations are orthogonal. This allows us (by inverting a matrix) to find a formula for  $J_{\pi, \psi} \left( g \begin{matrix} & I_{n-m} \\ & \end{matrix} \right)$  in terms of  $J_{\sigma, \psi}(g)$ :

$$J_{\pi, \psi} \left( g \begin{matrix} & I_{n-m} \\ & \end{matrix} \right) = \frac{1}{[\mathrm{GL}_m(\mathbb{F}) : U_m]} \sum_{\sigma} \dim \sigma \cdot \gamma(\pi \times \sigma^{\vee}, \psi) \cdot J_{\sigma, \psi}(g),$$

where  $\sigma$  runs over the irreducible generic representations of  $\mathrm{GL}_m(\mathbb{F})$ .

## About the proof – Recursive formula for the Bessel function

We are able to parameterize irreducible generic representations of  $GL_m(\mathbb{F})$  by characters of multiplicative groups of étale algebras over  $\mathbb{F}$  of degree  $m$ . Using this parameterization, we have:

**Theorem (Z. 2022)**

$$J_{\pi, \psi} \left( g \begin{pmatrix} & & & \\ & & & \\ & & & \\ & & & I_{n-m} \end{pmatrix} \right) = \sum_{\mu \vdash m} \frac{1}{Z_{\mu}} \frac{1}{|\widehat{\mathbb{F}}_{\mu}^{\times}|} \sum_{\beta \in \widehat{\mathbb{F}}_{\mu}^{\times}} \gamma(\pi \times \Pi_{\mu}(\beta)^{\vee}, \psi) J_{\Pi_{\mu}(\beta), \psi}(g)$$

Where, for a partition  $\mu = (m_1, \dots, m_t) \vdash m$ ,  $\widehat{\mathbb{F}}_{\mu}^{\times}$  is the group of characters  $\beta : \mathbb{F}_{\mu}^{\times} \rightarrow \mathbb{C}^{\times}$ , and  $Z_{\mu} = \prod_{k=1}^{\infty} k^{\mu(k)} \mu(k)!$ , where  $\mu(k)$  is the number of  $1 \leq i \leq t$  for which  $m_i$  equals  $k$ .

This formula allows us to recursively compute  $J_{\pi, \psi}$ . Substituting  $g = cI_m$  and the expression for the  $\gamma$ -factor yields the result. When  $g$  is not a scalar matrix, we get more complicated expressions.

Thank you for your attention!