

1)

# Rational repres. of $GL_n(K)$ (after Chevalley)

Let  $K$  be an algebraically closed field of characteristic  $p > 0$

Let  $f: GL_n(K) \rightarrow K$  be a function. We

Say that  $f$  is regular if  $f$  is a polynomial in the entries  $x_{ij}$  and in  $\|x\|^{-1}$  where  $x = \det(x_{ij})$

A homomorphism  $\varphi: GL_n(K) \rightarrow GL_m(K)$  is said to be rational if for any  $i, j$  in  $1, \dots, m$  the function

$g \rightarrow \varphi(g)_{ij}$  is in  $\text{Reg}(GL_n(K))$ , the space of regular fns. on  $GL_n(K)$ .

Lemma The rational homom.  $\varphi: K^* \rightarrow K^*$  are precisely the maps  $x \rightarrow x^n$ ,  $n \in \mathbb{Z}$ .

Proof Let  $\varphi: K^* \rightarrow K^*$  be a rat. hom. We have

$$\varphi(x) = \sum_i \alpha_i x^i, \quad \alpha_i \in K. \quad \text{We have } \varphi(1) = 1 \text{ hence}$$

(finite sum)

$$\sum \alpha_i = 1. \quad \text{We have } \varphi(xy) = \varphi(x)\varphi(y), \quad \sum \alpha_i x^i y^i =$$

$$= \sum \alpha_i \alpha_j x^i y^j \quad \text{hence } \alpha_i^2 = \alpha_i, \quad \alpha_i \alpha_j = 0 \text{ for } i \neq j$$

Thus  $\alpha_i \in \{0, 1\}$  and not more than one  $\alpha_i$  is 1.

Since  $\sum \alpha_i = 1$ , we see that exactly one  $\alpha_i$  is 1.  $\square$

Lemma The rational homom.  $\varphi: K^* \rightarrow GL_m(K)$

are precisely the maps  $t \rightarrow \sum_i t^i \varphi_i$

(finite sum) where  $\varphi_i \in \text{Mat}_{m \times m}(K)$  satisfy

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$$\sum \varphi_i = 1, \quad \varphi_i \varphi_j = 0 \text{ for } i \neq j, \quad \varphi_i^2 = \varphi_i$$

Remark. Set  $V_i = \{x \in K^m \mid \varphi_i(x) = x\}$ . Then  $K^m = \bigoplus_i V_i$  and  $\varphi_i|_{V_i} = t^i$ .

Lemma Let  $\varphi: K \rightarrow GL_m(K)$  be a homom. of the form  $t \mapsto \varphi(t) = \sum_{i \geq 0} \varphi_i t^i$  (finite sum) where each  $\varphi_i$  is in  $M_{m \times m}(K)$ .

If  $p=0$  then  $\varphi(t) = e^{tA}$  for some  $m \times m$  matrix  $A$  with  $A^m = 0$

If  $p > 0$  then  $\varphi(t) = e^{tA_1} e^{t^p A_p} e^{t^{2p} A_{2p}} \dots$

where  $A_{pi}^p = 0$ ,  $A_{pi} A_{pj} = A_{pj} A_{pi}$

Proof Since  $\varphi(0) = 1$ , we have  $\varphi_0 = 1$ . We have  $\varphi(t+s) = \varphi(t)\varphi(s)$ ,  $\sum \varphi_i (t+s)^i = \sum \varphi_i t^i \sum \varphi_j s^j$

hence  $\varphi_i \varphi_j = \frac{(i+j)!}{i!j!} \varphi_{i+j}$ . This proves the result

when  $p=0$ ; we have  $\varphi_1 = A$ ,  $\varphi_n = A^n/n!$

Assume now  $p > 0$ . Let  $\varphi_{pi} = A_{pi}$ . Clearly  $A_{pi} A_{pj} = A_{pj} A_{pi}$ ,  $(\varphi_{pi})^2 = \binom{2p}{pi} \varphi_{2pi} = \binom{2}{1} \varphi_{2pi} = 2 \varphi_{2pi}$ ,

$(\varphi_{pi})^3 = 2 \varphi_{pi} \varphi_{2pi} = 2 \binom{3p}{pi} \varphi_{3pi} = 2 \cdot 3 \varphi_{3pi}$  and in general

$\varphi_{\alpha pi} = \frac{1}{\alpha!} (\varphi_{pi})^\alpha$  for  $\alpha = 0, 1, \dots, p-1$ .

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Also  $\varphi_{\alpha_0 + \alpha_1 p + \alpha_2 p^2 + \dots} = \varphi_{\alpha_0} \varphi_{\alpha_1 p} \dots \varphi_{\alpha_i p^i} \dots$  for

$\alpha_i \in \{0, 1, \dots, p-1\}$ . This follows from

$$\begin{pmatrix} \alpha_0 + \alpha_1 p + \alpha_2 p^2 + \dots \\ \beta_0 + \beta_1 p + \beta_2 p^2 + \dots \end{pmatrix} = \begin{pmatrix} \alpha_0 \\ \beta_0 \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \beta_1 \end{pmatrix} \begin{pmatrix} \alpha_2 \\ \beta_2 \end{pmatrix} \dots \pmod{p}$$

for  $0 \leq \alpha_i \leq p-1$ , which follows from

$$(1+x)^{\alpha_0 + \alpha_1 p + \alpha_2 p^2 + \dots} = (1+x)^{\alpha_0} (1+x^p)^{\alpha_1} (1+x^{p^2})^{\alpha_2} \dots$$

clearly  $(\varphi_{p^i})^p = p! \varphi_{p^{i+1}} = 0$ .  $\square$

Lemma. Let  $\rho: K \rightarrow GL_m(K)$  be as in previous lemma. Assume  $m > 0$ . Then there exists  $x \in K^m - \{0\}$  such that  $\varphi(t)x = x$  for all  $t \in K$

Proof When  $p=0$  we have  $\varphi(t) = e^{tA}$  where  $A$  is nilpotent  $m \times m$ -matrix. Can find  $x \in K^m - \{0\}$  with  $Ax = 0$ . Then  $\varphi(t)x = x$ ,  $\forall t$ .

Assume  $p > 0$ . Since  $A_1^p = 0$ , the subspace  $\{x \in K^m, A_1 x = 0\}$  is  $\neq 0$ . It is stable under  $A_p$ , with  $A_p^p = 0$ . Hence the subspace  $\{x \in K^m \mid A_1 x = A_p x = 0\}$  is  $\neq 0$ . Continuing we see that  $\{x \in K^m \mid A_1 x = A_p x = A_{p^2} x = \dots = 0\}$  is  $\neq 0$ . If  $x \neq 0$  is in this subspace then  $\varphi(t)x = x$ ,  $\forall t$ .  $\square$

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Let  $U = \{ (x_{ij}) \in GL_m(K); x_{ij} = 0 \text{ for } i > j, x_{ii} = 1 \forall i \}$ . Let  $\rho: U \rightarrow GL_m(K)$

be a homomorphism such that for any  $k, l$  in  $1, \dots, m$ ,  $u \mapsto \rho(u)_{k,l}$  is a polynomial in  $x_{ij}, i < j$ .

Lemma. Assume that  $m > 0$ . There exists  $x \in K^m \setminus \{0\}$  such that  $\rho(u)x = x, \forall u \in U$ .

Proof Consider the sequence  $\{1\} = G_0 \subset G_1 \subset G_2 \subset \dots \subset G_N = U$  of subgroups of  $U$  where

$$G_1 = \begin{pmatrix} 1 & 0 & \dots & 0 & * \\ & 1 & & & 0 \\ & & \ddots & & \vdots \\ & & & 1 & * \\ & & & & 1 \end{pmatrix}, G_2 = \begin{pmatrix} 1 & 0 & \dots & * & * \\ & 1 & & & 0 \\ & & \ddots & & \vdots \\ & & & 1 & * \\ & & & & 1 \end{pmatrix}, G_3 = \begin{pmatrix} 1 & 0 & & * & * \\ & \ddots & & & \vdots \\ & & 1 & & * \\ & & & \ddots & \\ & & & & 1 \end{pmatrix},$$

$$G_4 = \begin{pmatrix} 1 & 0 & & 0 & * & * & * \\ & \ddots & & & 0 & 0 & * \\ & & 1 & & & & 0 \\ & & & \ddots & & & \\ & & & & 1 & & \\ & & & & & \ddots & \\ & & & & & & 1 \end{pmatrix}, \dots \text{ Then } G_i \text{ is normal in } G_{i+1}$$

and  $G_{i+1}/G_i = K$ . By a previous lemma, the space

$V_1 = \{ v \in K^m; \rho(t)v = v, \forall t \in G_1 \}$  is  $\neq 0$ . For  $x \in V_1$ ,  $t \in G_1, t_2 \in G_2$  we have  $\rho(t_1)\rho(t_2)x = \rho(t_2)\rho(t_1^{-1}t_2)x = \rho(t_2)x$ . Hence  $\rho(t_1): V_1 \rightarrow V_1$  and this is a rational homom of  $G_2/G_1 = K$  to  $GL(V_1)$ . By the previous lemma, the space  $V_2 = \{ v \in V_1; \rho(t)v = v, \forall t \in G_2 \}$  is  $\neq 0$ . Continuing we find that  $\{ v \in K^m; \rho(t)v = v, \forall t \in U \}$  is  $\neq 0$ .  $\square$



5) Lemma.  $\underbrace{\quad}_n$   
 Let  $\rho: K^{\times} \times \dots \times K^{\times} \rightarrow GL_m(K)$  be a rational homomorphism. (For any  $k, l$  in  $1, \dots, m$ , the function  $(x_1, \dots, x_n) \rightarrow \rho(x_1, \dots, x_n)_{k,l}$  is a polynomial in  $x_i, x_i^{-1}$ .) Then there is a direct sum decomposition  $K^m = \bigoplus_a V_a$   $a = (a_1, \dots, a_n) \in \mathbb{Z}^n$

where  $\rho(x_1, \dots, x_n)|_{V_a} = x_1^{a_1} x_2^{a_2} \dots x_n^{a_n} \times \text{Identity}$ .

proof. It is easy to reduce this to the case  $n=1$ . This follows from a previous lemma.

Let  $B = \{ (x_{ij}) \in GL_n(K); x_{ij} = 0 \text{ for } i > j \}$ . Let  $\rho: B \rightarrow GL_m(K)$  be a homom. such that for any  $k, l$  in  $1, \dots, m$ ,  $g \rightarrow \rho(g)_{k,l}$  is a polynomial in  $x_{ij}$  ( $i \leq j$ ) and  $(x_{11} x_{22} \dots x_{nn})^{-1}$ .

Theorem (Lie-Kolchin). Assume that  $m > 0$ . Then there exists  $v \in K^m - \{0\}$  and  $(a_1, \dots, a_n) \in \mathbb{Z}^n$  such that  $\rho(x_{ij}) v = x_{11}^{a_1} x_{22}^{a_2} \dots x_{nn}^{a_n} v, \forall (x_{ij}) \in B$ .

6) Proof. We have  $V \subset B$ . By a previous lemma we have  $V = \{x \in K^m; \rho(u)x = x, \forall u \in U\} \neq \emptyset$ .

Since  $U$  is normal in  $B$ ,  $V$  is stable under  $B$  and in particular under the subgroup of  $B$  consisting of diagonal matrices. Let  $x \in V - \{0\}$  be such that  $x$  belongs to one of the pieces in the decomposition  $V = \bigoplus V_\lambda$  described in an earlier lemma. This satisfies the requirement.

In particular for any rational homomorphism  $\rho: GL_n(K) \rightarrow GL_m(K)$ ,  $m > 0$ , there exists  $x \in K^m - \{0\}$  and  $(\alpha_1, \dots, \alpha_n) \in \mathbb{Z}^n$  such that

$$\rho(x_{ij})x = x_{11}^{\alpha_1} \cdots x_{nn}^{\alpha_n} x \text{ for all } (x_{ij}) \in B.$$

Such an  $x$  is called a highest weight vector of  $\rho$ .

$$\text{Let } B^- = \{ (x_{ij}) \in GL_n(K) \mid x_{ij} = 0 \text{ if } i < j \}.$$

Proposition. Let  $\rho: GL_n(K) \rightarrow GL(V)$  be an irreducible rational homomorphism. Then there is a unique line  $L \subset V$  which is  $B^-$ -stable.

(Irreducible means:  $V \neq 0$  and there is no subspace of  $V$  other than  $0, V$  which is  $GL_n(K)$ -stable.)

7) The existence follows from Lie-Kolchin applied to  $B^-$  instead of  $B$ . By the same

result applied to  $g^*: GL_n(K) \rightarrow V^*$ , where

$$(g^*(g) \xi)(v) = \xi(g^{-1}v) \text{ for } v \in V, \xi \in V^*,$$

we can find  $w \in V^* - 0$  such that

$$f(x_{ij})w = x_{11}^{\alpha_1} \dots x_{nn}^{\alpha_n} w \text{ for } (x_{ij}) \in B.$$

Here  $(\alpha_1, \dots, \alpha_n) \in \mathbb{Z}^n$ . Define  $F: GL_n(K) \rightarrow V^*$  by

$$F(g) = gw. \text{ Define a homomorphism}$$

$$\varphi: V = \text{Hom}(V^*, K) \rightarrow \text{Reg}(GL_n(K))$$

by  $v \rightarrow \varphi(v) = \text{composition } [GL_n(K) \xrightarrow{F} V^* \xrightarrow{v} K]$ .

$\varphi$  commutes with the action of  $GL_n(K)$ , which acts on  $\text{Reg}(GL_n(K))$  by  $(gf)(g_1) = F(g^{-1}g_1)$  i.e. by left translation. We have  $\varphi \neq 0$  otherwise we would have  $F=0$  hence  $F(1)=w=0$ , absurd. Since  $V$  is

irreducible, we see that  $\varphi$  is injective. If  $v \in V$  then

$$\varphi(v)(g(x_{ij})) = v(Fg(x_{ij})) = v(g(x_{ij})w) =$$

$$x_{11}^{\alpha_1} \dots x_{nn}^{\alpha_n} v(gw) = x_{11}^{\alpha_1} \dots x_{nn}^{\alpha_n} \varphi(v)(g)$$

for  $g \in GL_n(K), (x_{ij}) \in B$ . Thus  $\varphi(V) \subset A_\alpha$  where

$$A_\alpha = \{f \in \text{Reg}(GL_n(K));$$

$$f(g(x_{ij})) = x_{11}^{\alpha_1} \dots x_{nn}^{\alpha_n} f(g), \forall g \in GL_n(K), (x_{ij}) \in B\}.$$

Here  $\alpha = (\alpha_1, \dots, \alpha_n)$  can be any element of  $\mathbb{Z}^n$ .

8) To conclude the proof it is enough to prove:

Lemma. Let  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{Z}^n$ . Let

$$A_\alpha^{U^-} = \{f \in A_\alpha \mid f(ug) = f(g), \forall g \in G, u \in U^-\}.$$

Then  $\dim A_\alpha^{U^-} \leq 1$ .

Here  $U^- = \{(x_{ij}) \in GL_n(K); x_{ij} = 0 \text{ for } i < j, x_{ii} = 1 \forall i\}$ .

Proof. Define  $\lambda: A_\alpha^{U^-} \rightarrow K$  (a linear map)

by  $\lambda(f) = f(1)$ . It is enough to prove that  $\lambda$  is injective. Assume that  $f \in A_\alpha^{U^-}$ ,  $f(1) = 0$ . Then

$f(ub) = 0$  for any  $u \in U^-$ ,  $b \in B$ . If

$y = (y_{ij}) \in GL_n(K)$  satisfies (\*)  $y_{11} \neq 0$ ,  $\begin{vmatrix} y_{11} & y_{12} \\ y_{21} & y_{22} \end{vmatrix} \neq 0$ , etc

then  $y = ub$  for a unique  $u \in U^-$ ,  $b \in B$ . (exercise)

Thus  $f(y) = 0$  for any  $y$  satisfying (\*).

It follows that  $f = 0$ .  $\square$

[we use "Weyl's principle of irrelevance of algebraic inequalities": If  $P[x_1, \dots, x_n]$  is a polynomial/ $K$  which vanishes on

$\{(x_1, \dots, x_n) \in K^n; R_1(x_1, \dots, x_n) \neq 0, \dots, R_k(x_1, \dots, x_n) \neq 0\}$  where

$R_1, \dots, R_k$  are  $\neq 0$  polyn./ $K$ , then  $P = 0$ . Proof. If  $P \neq 0$  then

$PR_1 \dots R_k \neq 0$  and vanishes on all of  $K^n$ . Hence it is 0.]

g) Let  $\rho: GL_n(K) \rightarrow GL(V)$  be an irred.  $\bar{U}$ -repres.

We associate to  $\rho$  a sequence  $\beta = (\beta_1, \dots, \beta_n) \in \mathbb{Z}^n$  as follows:

$$\rho(x_{ij})v = x_{11}^{\beta_1} \dots x_{nn}^{\beta_n} v \text{ for any } (x_{ij}) \in \bar{B}$$

where  $v \in V - 0$  is the unique  $\bar{U}$ -invariant vector in  $V$  (up to non zero scalar). Note that  $\rho = \beta(V)$  depends only on the isomorphism class of  $V$ .

For example, assume that  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{Z}^n$  satisfies  $\alpha_1 \geq \alpha_2 \geq \dots \geq \alpha_n$ . Define  $f_\alpha: GL_n(K) \rightarrow K$

$$\text{by } f_\alpha(x_{ij}) = x_{11}^{\alpha_1 - \alpha_2} \begin{vmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{vmatrix}^{\alpha_2 - \alpha_3} \begin{vmatrix} x_{11} & x_{12} & x_{13} \\ x_{21} & x_{22} & x_{23} \\ x_{31} & x_{32} & x_{33} \end{vmatrix}^{\alpha_3 - \alpha_4} \dots \begin{vmatrix} x_{11} & \dots & x_{1n} \\ \dots & \dots & \dots \\ x_{n1} & \dots & x_{nn} \end{vmatrix}^{\alpha_n}$$

Let  $M_\alpha$  be the subspace of  $\text{Reg}(GL_n(K))$

spanned by the left translates of  $f_\alpha$ . We have

$M_\alpha \subset A_\alpha$  since  $f_\alpha \in A_\alpha$  (it is the unique  $\bar{U}$ -invar. line in  $A_\alpha$ ).

We have  $\dim M_\alpha < \infty$  (see Last Remark.) We have

$M_\alpha \neq 0$ . We show that  $M_\alpha$  is irreducible as

repres. of  $GL_n(K)$ . Assume  $M' \subset M_\alpha$  is a non-zero  $GL_n(K)$ -stable subspace of  $M'$ . By an earlier result,  $M'$  contains a unique  $\bar{B}$ -stable line which is necessarily the unique  $\bar{U}$ -invar. line in  $A_\alpha$ . Hence  $M' = M_\alpha$

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$M_\alpha$  is irreducible. We see that  $M_\alpha \neq 0$  for any  $\alpha$  such that  $\alpha_1 \geq \dots \geq \alpha_n$ . We have

$$f_\alpha \left( g \begin{pmatrix} x_1 & & 0 \\ & \ddots & \\ 0 & & x_n \end{pmatrix} \right) = x_1^{-\alpha_1} \dots x_n^{-\alpha_n} f_\alpha(g) \quad \text{for } g \in G, x_1, \dots, x_n \in K^*.$$

hence

$$\begin{pmatrix} x_1 & & 0 \\ * & \ddots & \\ & & x_n \end{pmatrix} f_\alpha = x_1^{-\alpha_1} \dots x_n^{-\alpha_n} f_\alpha.$$

Lemma. Let  $(d_1, \dots, d_n) \in \mathbb{Z}^n$  be such that  $A_\alpha \neq 0$ .

Then  $d_1 \geq \dots \geq d_n$ .

Proof. By Last Remark, can find  $M \in A_\alpha$ ,  $\dim M < \infty$ ,  $M$  rat. repr.

Hence exists  $f \in A_\alpha \neq 0$  such that  $f(ug) = f(g) \quad \forall u \in U$ .

Hence  $f(ub) = x_1^{d_1} \dots x_n^{d_n} f(b)$  for any  $u \in U, b \in B$ .

If  $f(b) = 0$  then by an earlier argument  $f = 0$ , absurd.

Thus  $f(b) \neq 0$ . Can assume  $f(b) = 1$ . Now

$$f \left( \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & t^{-1} & \\ & & & 0 & \\ & & & & \ddots & \\ & & & & & 1 \end{pmatrix} \leftarrow i \right)$$

is a polynomial in  $t$ .

$$\text{Also } \begin{pmatrix} t & -1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ t^i & 1 \end{pmatrix} \begin{pmatrix} t & -1 \\ 0 & t^{-1} \end{pmatrix} \text{ hence}$$

$$\| t^{d_i - d_{i+1}}$$

so that  $d_i \geq d_{i+1}$ .

11) Theorem. There is 1-1 correspondence

$\{ \text{iso. classes of irred. rat. repres. of } GL_n(K) \}$

$\leftrightarrow \{ \text{sequences } \beta = (\beta_1, \dots, \beta_n) \in \mathbb{Z}^n \text{ s.t. } \beta_1 \leq \beta_2 \leq \dots \leq \beta_n \}$

This is obtained by associating to  $V$  the unique

seq  $(\beta_i)$  such that  $\begin{pmatrix} x_1 & 0 \\ & \ddots \\ * & x_n \end{pmatrix} v = x_1^{\beta_1} \dots x_n^{\beta_n} v$  where

$v \neq 0$  is the unique  $U^-$ -invar. vector (up to scalar). In the

opposite direction to  $\beta$  we associate the unique

irred. rat. subrepres. of  $A_d$  where  $d = (d_1, \dots, d_n) \in \mathbb{Z}^n$

satisfies  $(\beta_1, \dots, \beta_n) = -(d_1, \dots, -d_n)$ .

Last Remark. If  $f \in \text{Reg}(GL_n(K))$ , let

$M_f$  be the subspace of  $\text{Reg}(GL_n(K))$  spanned

by all left translates of  $f$ . Then  $\dim M_f < \infty$

and the action of  $GL_n(K)$  on  $M_f$  by left translation is a rational repres. of  $M_f$ .

Proof. Easy and omitted.