

# Harish-Chandra modules over quantizations of nilpotent orbits.

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- 1) Harish-Chandra modules.
- 2) Restriction functors.
- 3) Classification results & ideas of proof.

1) General setting:  $\mathcal{A}$  associative algebra /  $\mathbb{C}$ ,  
 $K$  is an algebraic group acting rationally on  $\mathcal{A}$   
w. a quantum comoment map, i.e.  $\mathcal{P}: \mathfrak{k} \rightarrow \mathcal{A}$   
that is  $K$ -equivariant & satisfies  $[\mathcal{P}(\xi), \cdot] =$   
 $\xi_{\mathcal{A}}$ ,  $\forall \xi \in \mathfrak{k}$ , where  $\xi_{\mathcal{A}}$  is the derivation of  $\mathcal{A}$   
coming from  $K \curvearrowright \mathcal{A}$ .

Ex:  $G$  is a semisimple alg'ic group,  $K \rightarrow G$   
1)  $\mathcal{A} = U(\mathfrak{g})$ ,  $\mathcal{P}: \mathfrak{k} \rightarrow \mathfrak{g} \subset U(\mathfrak{g})$ .

Def'n: • Let  $K \in (\mathbb{K}^*)^K$ . By a  $(K, K)$ -equivariant  $\mathcal{H}$ -module we mean an  $\mathcal{H}$ -module  $M$  equipped with a rational representation of  $K$  s.t.

$\mathcal{H} \otimes_{\mathbb{C}} M \rightarrow M$  is  $K$ -equivariant &

$$\sum_{\mathcal{H}} m = \rho(\xi) m - \langle K, \xi \rangle m \quad \forall \xi \in \mathbb{K}, m \in M,$$

When  $K=0$  we omit it from the notation.

• Suppose  $K \rightarrow G$  gives an isomorphism  $\mathbb{K} \xrightarrow{\sim} \mathfrak{g}^{\sigma}$  for some involution  $\sigma$ . A **Harish-Chandra  $(U(\mathfrak{g}), K)$ -module** is a finitely generated  $K$ -equivariant  $U(\mathfrak{g})$ -module.

Remark: if  $K \subset G$ , then HC  $(\mathfrak{g}, K)$ -modules

are related to representations of the real form  $G_{\mathbb{R}}$  corresponding to  $\sigma$ . In general, they are related to representations of nonlinear

2] covers of  $G_{\mathbb{R}}$ .

Question: Given a primitive ideal  $I \subset U(\mathfrak{g})$   
(= annihilator of a simple module) classify  
irreducible HC modules  $M$  w.  $\text{Ann}_{U(\mathfrak{g})}(M) = I$ .

A very interesting class of primitive ideals  
are unipotent ones (I.L., Mason-Brown,  
Matvieievskiy '21). These ideals are construc-  
ted from equivariant covers of nilpotent orbits.  
We'll concentrate on the ideals arising from  
nilpotent orbits  $\mathcal{O} \subset \mathfrak{g}^*$  s.t.

$$\text{codim}_{\mathcal{O}}(\bar{\mathcal{O}} \setminus \mathcal{O}) \geq 4$$

For example, all "rigid" orbits but six in  
exceptional algebras satisfy this condition.

The ideal  $I = I_{\mathcal{O}}$  arising from  $\mathcal{O}$  has the  
following properties:

(1)  $I$  is maximal.

(2)  $\mathcal{A} := U(\mathfrak{g})/I$  admits a filtration w.  
 $\text{gr } \mathcal{A} \xrightarrow{\sim} \mathbb{C}[\mathcal{O}]$  (isom'm of graded Poisson  
algebras)

**Rem:** Filtered algebras satisfying (2) are naturally classified by  $H^2(\mathcal{O}, \mathbb{C})$ . We pick one corresponding to  $\mathcal{O}$ , the canonical quantization. If  $\mathcal{O}$  is (birationally) rigid, then  $H^2(\mathcal{O}, \mathbb{C}) = \{0\}$ , so  $I$  is uniquely determined by condition (2). In fact, our approach works for all quantizations of  $\mathbb{C}[\mathcal{O}]$  under the codim condition - which is crucial.

**Examples:**

1)  $K = SL_2 \twoheadrightarrow SO_3 \hookrightarrow SL_3 = G$ ,  $\mathcal{O}$  is minimal  
4] nilpotent orbit, Jordan type  $(2, 1)$ ,  $\dim = 4$ .

$\mathcal{A} = \mathcal{D}^{-3/2}(\mathbb{P}^2)$  (global twisted differential operators in  $\frac{1}{2}$ -canonical class). Using localization thm we see that there are three HC  $(\mathcal{A}, K)$ -modules. Two are  $SO_3$ -equivariant (they come from the open  $K$ -orbit in  $\mathbb{P}^2$ ), one is not - it comes from the closed orbit.

2)  $G = Sp_4$ ,  $\mathfrak{k} = \mathfrak{gl}_2$ ,  $\mathcal{O} = \text{minimal orbit}$ , Jordan type  $(2, 2)$ ,  $\dim = 4$ . In fact,  $\overline{\mathcal{O}} = \mathbb{C}^4 / \{\pm 1\}$ . The only quantization is  $\mathcal{A} = W(\mathbb{C}^4)^{\{\pm 1\}}$ , where  $W$  is for the Weyl algebra. For a 2-fold cover  $K$  of  $GL(2)$ , there are 4 irreducible HC modules: the  $\{\pm 1\}$ -isotypic components in quantizations of  $GL(2)$ -equivariant Lagrangian subspaces in  $\mathbb{C}^4$ . All HC modules factor through

$\boxed{5}$  this cover (name: metaplectic modules)

## 2) Restriction functors.

$\text{codim}_{\bar{\mathcal{O}}}(\bar{\mathcal{O}} \setminus \mathcal{O}) \geq 4 \Rightarrow \forall K\text{-orbit } \mathcal{O}_K \subset \mathcal{O} \cap \mathbb{K}^\perp$   
have  $\text{codim}_{\bar{\mathcal{O}}_K}(\bar{\mathcal{O}}_K \setminus \mathcal{O}_K) \geq 2$

**Fact (Vogan):** The associated variety of any irreducible HC  $(\mathcal{A}, K)$ -module is the closure of a single  $K$ -orbit in  $\mathcal{O} \cap \mathbb{K}^\perp$ .

So fix  $\mathcal{O}_K \subset \mathcal{O}$  & pick  $X \in \mathcal{O}_K \rightsquigarrow$  stabilizer  $K_X \subset K$   
 $\rightsquigarrow$  its reductive part  $R$ .

Canonical bundle of  $\mathcal{O}_K \longleftrightarrow$  character of  $R$   
 $\kappa := \frac{1}{2}$  this character  $\in \mathbb{K}^* R$

**Def'n:**  $(R, \kappa)\text{-mod} = \{ \text{fin. dim } R\text{-modules, where}$

$\mathbb{K}$  acts by  $\kappa \}$  -semisimple category.

$\text{HC}_{\mathcal{O}_K}(\mathcal{A}, K) = \{ \text{HC}(\mathcal{A}, K)\text{-modules whose}$

associated variety is  $\bar{\mathcal{O}}_K \}$ .

I.L. (12)  $\rightsquigarrow$  restriction functor  $\cdot_{\dagger}: HC(\mathcal{U}(\mathfrak{g}), K) \rightarrow "HC(\mathcal{W}, R)"$ , where  $\mathcal{W}$  is the  $W$ -algebra quantizing Slodowy slice to  $\mathcal{O}$ ;  $I \rightsquigarrow \text{codim } 1$  ideal in  $\mathcal{W}$  which acts by 0 on  $M_{\dagger}$  for  $M \in HC(\mathfrak{A}, K)$ . So  $\cdot_{\dagger}$  restricts to  $HC(\mathfrak{A}, K)_{\mathcal{O}_K} \longrightarrow (R, \kappa)\text{-mod}$

Properties: 1)  $\cdot_{\dagger}$  is a fully faithful embedding.  
 2) if  $\text{codim}_{\overline{\mathcal{O}_K}} \overline{\mathcal{O}_K} \setminus \mathcal{O} \geq 3$ , then  $\cdot_{\dagger}$  is equivalence.

This is proved in I.L.'s papers (08, 15) for bimodules, the general case is analogous.  
 Another proof of 2) was found by Leung-Yu.

Example: 1)  $\mathcal{O} = \mathcal{O}_{\min} \subset \mathcal{S}_3^{\vee}$ ,  $K = SL_2$ ,  
 $\overline{\mathcal{O}} \cap \mathbb{E}^{\perp}$  is single  $K$ -orbit  $(\mathbb{C}^2 \setminus \{0\}) / \{\pm 1, \pm \sqrt{-1}\}$

$(R, \kappa)$ -mod  $\cong (\mathbb{Z}/4\mathbb{Z})$ -mod;  $SO_3$ -equivariant  
 irreducible HC modules  $\mapsto$  irreps where  $\pm F$  act  
 trivially; non- $SO_3$ -equivariant module goes to one  
 of remaining two. So  $\cdot_f$  is NOT equivalence

2)  $\mathcal{O} = \mathcal{O}_{\min} \subset \mathbb{S}^3$ ,  $\mathbb{K} = \mathfrak{g}_2$ :  $\mathcal{O} \cap \mathbb{K}^\perp = \sqcup 2$  orbits  
 both  $\cong (\mathbb{C}^2 \setminus \{0\}) / \{\pm 1\}$  ( $\subset (\mathbb{C}^4 \setminus \{0\}) / \{\pm 1\} = \mathcal{O}$ )

So for each orbit  $(R, \kappa)$ -mod  $\cong \mathbb{Z}/2\mathbb{Z}$ -mod.

And  $\cdot_f$  is an equivalence.

Rem: Here's a way to compute  $\cdot_f$ . Take a good  
 filtration on  $M \rightsquigarrow \text{gr } M|_{\mathcal{O}_k}$ . By a result of Vogan,  
 this is a twisted local system w. half-canonical  
 twist. Its fiber at  $X$  is an object in

$(R, \kappa)$ -mod. This fiber is isomorphic to  $M_f$ .



### 3) Classification result & ideas of proof.

Recall that  $\text{codim}_{\bar{O}} \bar{O} \setminus O \geq 4$ .

**Thm:** The functor  $\cdot_+ : HC_{O_K}(\mathcal{A}, K) \rightarrow (R, \mathfrak{r})\text{-mod}$  is an equivalence if  $K \subset G$  or  $\mathfrak{g}$  is of type B, C, D.

There's also a description of the image for  $\mathfrak{g} = \mathfrak{SO}_n$ ,  $K = \text{Spin}_n$ . Here under  $\text{codim}_{\bar{O}} \bar{O} \setminus O \geq 4$   $\mathfrak{p}^*R = \{0\}$  so  $(R, \mathfrak{r})\text{-mod} = K_{\mathbb{Q}}/K_{\mathbb{Q}}^{\circ}\text{-mod}$ .

**Thm:** There are elements  $a_1, \dots, a_k \in K_{\mathbb{Q}}/K_{\mathbb{Q}}^{\circ}$  (described explicitly up to an isomorphism) such as TFAE:

- $V \in K_{\mathbb{Q}}/K_{\mathbb{Q}}^{\circ}\text{-mod}$  is in the image of  $\cdot_+$
- $-\sqrt{-1}$  is not an eigenvalue of  $a_i$  in  $V$ ,  $\forall i$ .

9 | A general idea of proof is as follows

Let  $\mathcal{O}' \subset \bar{\mathcal{O}}$  be a codim 4 orbit w.  $\mathcal{O}' \cap \bar{\mathcal{O}}_k = \emptyset$   
 Pick  $e' \in \mathcal{O}' \cap \bar{\mathcal{O}}_k \rightsquigarrow \mathcal{S}_2^1$ -triple  $(e', h', f')$  w.  $e', f' \in \mathbb{K}^\perp$   
 $\rightsquigarrow$  slice  $S' := (e' + \mathbb{Z}_{\mathfrak{g}}(f')) \cap \bar{\mathcal{O}} \cap Q' := \mathbb{Z}_{\mathfrak{g}}(e', h', f')$

A description of  $S'$  is known in all cases

(Kraft-Procesi for classical types, Fu-Juteau-Levy-Sommers for exceptional types), it's as follows:

- Type A:  $S' = \bar{\mathcal{O}}_{\min} \subset \mathcal{S}_3^1$ ,  $Q'$ -action factors through  $PGL_3$ .

- Types BCD:  $S' = \bar{\mathcal{O}}_{\min} \subset \mathcal{S}_4^1$ ,  $Q'$ -action factors through  $SO_5$ .

Exceptional types: these two + variations & 3 exceptional types.

Now take  $V \in (R, \mathfrak{r})$ -mod  $\rightsquigarrow$  twisted local system  $\mathcal{L}_V$  on  $\mathcal{O}_k$ . The main observation is the following two implications:

10 | • Easy: if  $V = \mathcal{M}_+$ , then  $\mathcal{L}_V|_{S'} \simeq \mathbb{C}$

coincides w.  $\text{gr}(\mathcal{M}|_{S'})|_{S' \setminus \{0\}}$ , where  $\bullet|_{S'} : HC(\mathcal{A}, K) \rightarrow HC(\mathcal{A}_{S'}, K_{Q'})$ , where  $\mathcal{A}_{S'}$  is the canonical quantization of  $\mathbb{C}[S']$  and  $K_{Q'} = K \cap Q'$ . The category  $HC(\mathcal{A}_{S'}, K_{Q'})$  makes sense when  $S' = \bar{O}_{\min}$ ; it needs to be modified for exceptional 4-dim'l singularities.

• Harder: Suppose that  $\forall \mathcal{O}' \exists \mathcal{M}_{S'} \in HC(\mathcal{A}_{S'}, K_{Q'})$  w.  $\text{gr} \mathcal{M}_{S'} = \Gamma(L_V|_{S' \setminus \{0\}})$ . Then  $V \in \text{im}(\bullet_+)$ .